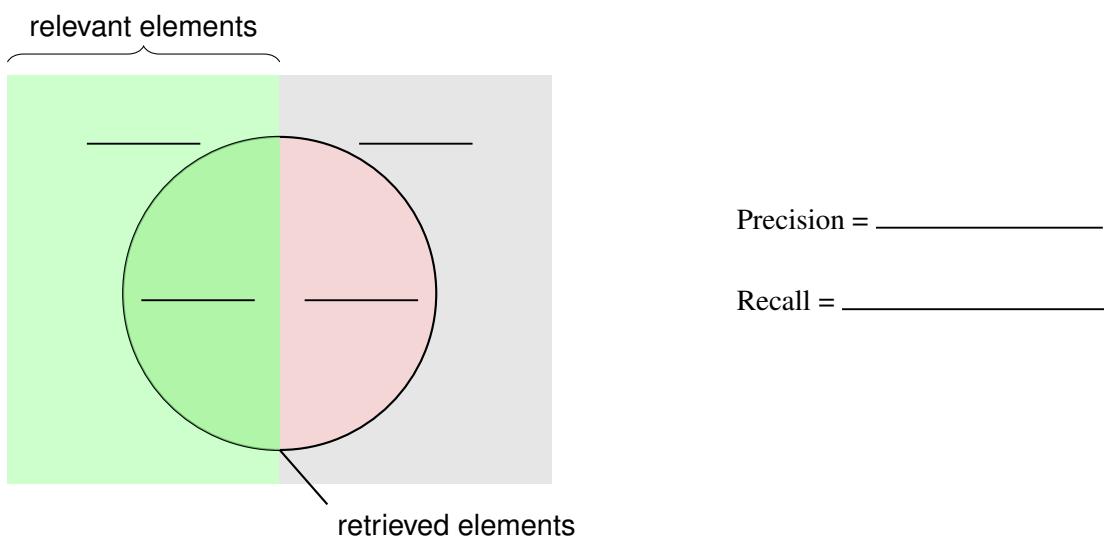


**Lab Class IR:II****Exercise 1 : Effectiveness measures in Set Retrieval**

The diagram below illustrates the relationship between “relevant” and “retrieved” elements and True Positives (TPs), True Negatives (TNs), False Positives (FPs), and False Negatives (FNs) in an information retrieval task.

- (a) Label the four regions in the diagram with TP, FP, FN, and TN.
- (b) Complete the formulas for precision and recall in the fields provided.

**Exercise 2 : Measure Intuition (Set & Ranked Retrieval)**

Describe the following measures, each in 1–3 sentences:

- (a) Recall
- (b) Query throughput
- (c) Indexing space overhead
- (d) Normalized Discounted Cumulative Gain (nDCG)

**Exercise 3 : Measure Suitability (Set Retrieval)**

Name two search tasks where the Precision measure (not Precision@ $k$ !) is good or bad at modelling the user behavior, respectively. Give a short explanation (1 sentence).:

- (a) For which search task is it a good fit?
- (b) For which search task is it a bad fit?

#### Exercise 4 : Effectiveness vs. Efficiency (Set & Ranked Retrieval)

Which of the following measures describe the effectiveness, and which describe the efficiency of a retrieval system? Give a short reason (up to 10 words)

- (a) Query latency
- (b) nDCG
- (c) Recall
- (d) Index size

#### Exercise 5 : Practical Limitations of Measuring Recall (Set Retrieval)

Recall measures how many of the relevant documents were successfully retrieved.

- (a) Explain in your own words what happens when recall is very high.
- (b) Is there a trivial way to maximize recall? What would be the consequence for precision?
- (c) In practice, information retrieval systems often work with very large document collections. What difficulties arise when trying to measure recall in such settings?

#### Exercise 6 : Precision, Recall, and F-Measure (Set Retrieval)

Two information retrieval systems  $A$  and  $B$ , have been tested on the same topics. The number of relevant documents retrieved by each system is shown below:

System	Relevant Retrieved	Total Retrieved
A	8	10
B	5	6

Assume the total number of relevant documents in the collection is 12.

- (a) Compute the precision  $p$  and recall  $r$  for each system.
- (b) Determine which system is better in terms of precision  $p$  and which is better in terms of recall  $r$ .
- (c) Compute the F-measure (i.e., harmonic mean  $\frac{2 \cdot p \cdot r}{p + r}$  of precision  $p$  and recall  $r$ ) for each system.
- (d) Based on the F-score, determine which system performs better overall.
- (e) Explain why the F-measure is a better single measure in this scenario.

#### Exercise 7 : Harmonic vs. Arithmetic and Geometric Means (Set Retrieval)

The F-measure induces a total order and is computed as the harmonic mean of precision  $p$  and recall  $r$ . Compare the harmonic, geometric, and arithmetic means of precision and recall. Explain why the harmonic mean ([Figure 1](#)) is often preferred over the geometric mean ([Figure 2](#)) or the arithmetic mean ([Figure 3](#)) in evaluating performance metrics. Discuss how each mean behaves when precision and recall differ significantly, and justify why the harmonic mean provides a more balanced evaluation in such cases.

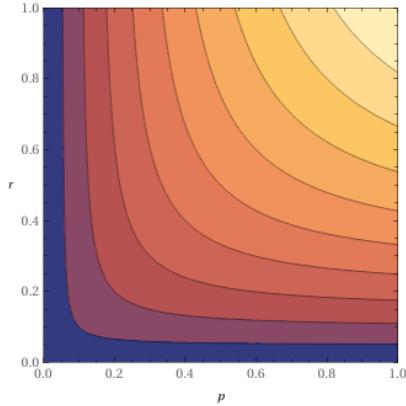


Figure 1: Harmonic mean  $\frac{2 \cdot p \cdot r}{p+r}$  [\[plot\]](#)

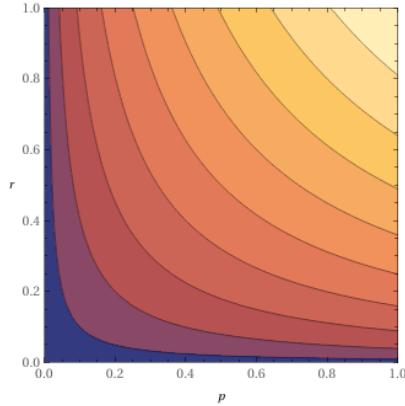


Figure 2: Geometric mean  $\sqrt{p \cdot r}$  [\[plot\]](#)

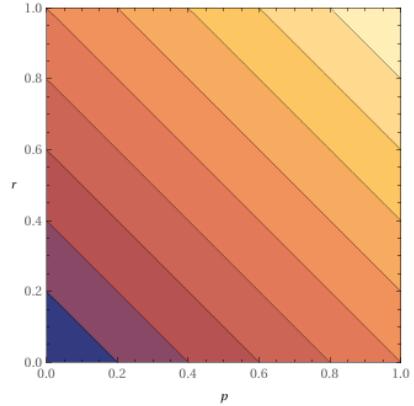


Figure 3: Arithmetic mean  $\frac{p+r}{2}$  [\[plot\]](#)

#### Exercise 8 : Precision and Recall (Set Retrieval)

Consider a retrieval system that achieves a recall of  $r_1$  and a precision of  $p_1$  when retrieving  $k_1$  documents. Suppose we retrieve more documents,  $k_2 > k_1$ , and compute the corresponding recall  $r_2$  and precision  $p_2$ .

Determine whether the following statements are true or false:

- (a)  $r_2 \geq r_1$
- (b)  $p_2 \geq p_1$

#### Exercise 9 : Interactive Retrieval

Name three main differences between ad hoc retrieval and interactive retrieval.

#### Exercise 10 : Cranfield Paradigm

Which items are required for a laboratory experiment for ad hoc retrieval? This setup is also referred to as an experiment under the Cranfield Paradigm.

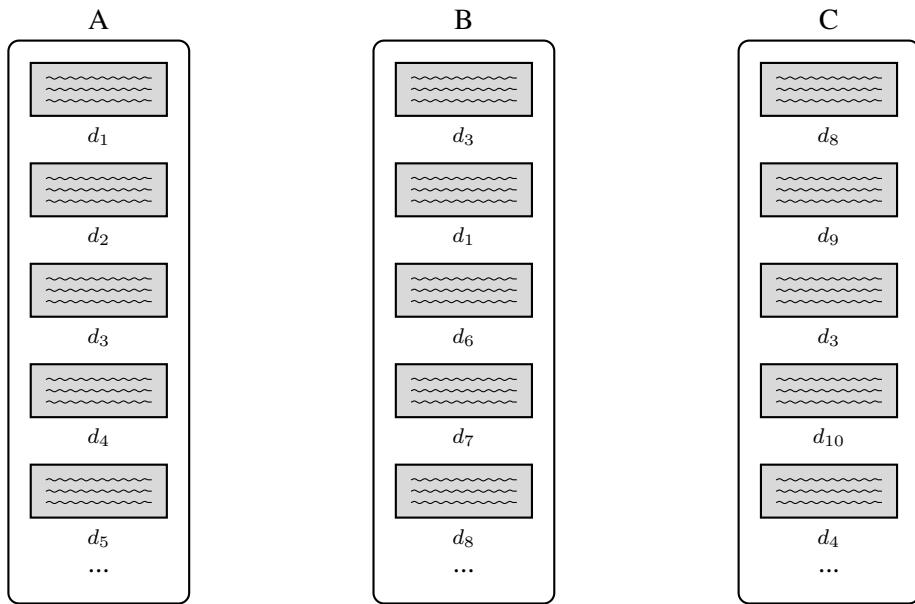
#### Exercise 11 : Experimental setup: Relevance judgements

Which dimensions should be considered for carrying out manual assessment for relevance judgement.

#### Exercise 12 : Pooling

Given a corpus with documents denoted as  $d_i$  indexed by three retrieval systems  $A$ ,  $B$ , and  $C$ , each producing a ranked list of documents for a query  $q$  (where the top is considered most relevant), answer the following:

- (a) For  $k = 3$  pooling depth, which documents are included in the resulting document “pool”?
- (b) Explain the limitations of this pooling approach in the context of this example.



### Exercise 13 : Kappa statistics

Given the judgements of two annotators  $A, B$  on a given topic, Scott's  $\kappa$  measures their agreement as follows:

$$\kappa = \frac{p_o - p_e}{1 - p_e}$$

where  $p_o$  denotes the proportion of agreement observed, and  $p_e$  the expected proportion of agreement by chance.

- (a) Compute the Scott's  $\kappa$  measure for the binary relevance judgements given in Table 1.
- (b) How would you interpret the results?

Table 1: Annotators  $A$  and  $B$  have made the following  $n = 600$  binary relevance judgements.

		B		$\sum$
		yes	no	
A	yes	456	44	500
	no	13	87	100
$\sum$		469	131	600