

# Optical and near-infrared coverage of SN 2004et: physical parameters and comparison with other Type IIP supernovae

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## ABSTRACT

We present new optical and near-infrared (NIR) photometry and spectroscopy of the Type IIP supernova (SN), SN 2004et. In combination with already published data, this provides one of the most complete studies of optical and NIR data for any Type IIP SN from just after explosion to +500 d. The contribution of the NIR flux to the bolometric light curve is estimated to increase from 15 per cent at explosion to around 50 per cent at the end of the plateau and then declines to 40 per cent at 300 d. SN 2004et is one of the most luminous IIP SNe which has been well studied and characterized, and with a luminosity of  $\log L = 42.3 \text{ erg s}^{-1}$  and a  $^{56}\text{Ni}$  mass of  $0.06 \pm 0.04 M_{\odot}$ , it is two times brighter than SN 1999em. We provide parametrized bolometric corrections as a function of time since explosion for SN 2004et and three other IIP SNe that have extensive optical and NIR data. These can be used as templates for future events in optical and NIR surveys without full wavelength coverage. We compare the physical parameters of SN 2004et with those of other well-studied IIP SNe and find that the kinetic energies span a range of  $10^{50}$ – $10^{51}$  erg. We compare the ejected masses calculated from hydrodynamic models with the progenitor masses and limits derived from pre-discovery images. Some of the ejected mass estimates are significantly higher than the progenitor mass estimates, with SN 2004et showing perhaps the most serious mass discrepancy. With the current models, it appears difficult to reconcile 100 d plateau lengths and high expansion velocities with the low ejected masses of  $5$ – $6 M_{\odot}$  implied from  $7$ – $8 M_{\odot}$  progenitors. The nebular phase is studied using very late-time *Hubble Space Telescope* photometry, along with optical and NIR spectroscopy. The light curve shows a clear flattening at 600 d in the optical and the NIR, which is likely due to the ejecta impacting on circumstellar material. We further show that the [O I] 6300, 6364 Å line strengths in the nebular spectra of four Type IIP SNe imply ejected oxygen masses of  $0.5$ – $1.5 M_{\odot}$ .

**Key words:** supernovae: general – supernovae: individual: 2004et – supernovae: individual: 2004A – supernovae: individual: 2006my.

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## 1 INTRODUCTION

Supernovae (SNe) are classified based on the elements present in their spectra, with Type II SNe being distinguished from Type I SNe by the presence of hydrogen (for review, see Filippenko 1997). The type of explosion is directly determined by the evolutionary status of the progenitor star, which is influenced by the initial mass, metallicity, rotation rate and presence of a binary companion (Smartt 2009). The progenitor stars of some Type II SNe have been shown to be red supergiants (Smartt et al. 2004; Li et al. 2005) and in some rare cases blue supergiants (Walborn et al. 1987; Pastorello et al. 2005). Stellar evolutionary models predict that most single stars with masses in the range of  $\sim 8\text{--}30 M_{\odot}$  should end their lives as red supergiants and produce Type II SNe (Heger et al. 2003; Limongi & Chieffi 2003; Eldridge & Tout 2004; Hirschi, Meynet & Maeder 2004). This is supported by the observational constraints on core-collapse SN progenitors, although the lack of the detection of high-mass progenitors is concerning (Smartt 2009).

Type II SNe can be further subclassified based on the shape of their light curves. Those that display a linear decrease from peak magnitude are called Type IIL SNe, while those that display an extended plateau of nearly constant luminosity are termed Type IIP SNe. The plateau phase generally lasts  $\sim 80\text{--}120$  d before entering the exponential decay phase and is caused by the diffusion of thermal energy deposited by the shock wave and by the release of internal energy when hydrogen starts to recombine. During recombination, constant luminosity is achieved by the balance of the increase in radius caused by expansion and the inward movement (in Lagrangian coordinates) of the recombination front. It is observationally established that the majority of IIP SN progenitors are red supergiants that initially have masses above  $8 M_{\odot}$  and up to at least  $17 M_{\odot}$ . Smartt et al. (2009) summarized the observed progenitor properties of the nearest IIP SNe and found a lack of high-mass red supergiants in this sample. If these estimates of progenitor masses are accurate, it means that long plateau phases are produced by ejected envelope masses as low as  $6\text{--}7 M_{\odot}$ , which are in some cases much lower values than those calculated from light-curve modelling (Hamuy 2003; Nadëzhin 2003).

Type IIP SNe have been proposed as cosmological standard candles, and their use as distance indicators has been demonstrated in Hamuy & Pinto (2002), Nugent et al. (2006) and Poznanski et al. (2009). Therefore, a detailed understanding of their pre-explosion parameters and explosion physics is of the utmost importance. Despite being the most common type of SN observed, there is a surprisingly small sample of IIP SNe to date with well-monitored optical light curves and spectra from just after explosion through to the radioactive tail phase. In the past 20 yr, there have been only four IIP SNe for which extended photometric and spectral monitoring observations have been published: SN 1990E (Schmidt et al. 1993; Benetti et al. 1994), SN 1999em (Hamuy et al. 2001; Leonard et al. 2002a; Elmhamdi et al. 2003b), SN 1999gi (Leonard et al. 2002b) and SN 2005cs (Pastorello et al. 2006; Tsvetkov et al. 2006; Brown et al. 2007; Dessart et al. 2008; Pastorello et al. 2009). Coverage at near-infrared (NIR) wavelengths is even less common, with only one Type IIP SN having been observed extensively both photometrically and spectroscopically at these wavelengths in the last 10 yr, SN 1999em (Hamuy et al. 2001; Elmhamdi et al. 2003b; Krisciunas et al. 2009).

SN 2004et was discovered in the nearby starburst galaxy, NGC 6946, by S. Moretti on 2004 September 27 (Zwitter, Munari & Moretti 2004). At a distance of only  $5.9 \pm 0.4$  Mpc (Karachentsev, Sharina & Huchtmeier 2000), it was an ideal candidate for intensive

follow-up observations. A high-resolution spectrum of SN 2004et was obtained with the Mt. Ekar 1.82-m telescope on 2004 September 28 that confirmed that it was a Type II event with spectra showing prominent H Balmer lines with P-Cygni profiles (Zwitter et al. 2004). A total extinction of  $E(B - V) = 0.41 \pm 0.007$  was also estimated by Zwitter et al. (2004) from the equivalent width of the Na ID lines in the high-resolution spectrum. SN 2004et [RA:  $20^{\text{h}}35^{\text{m}}25^{\text{s}}.33$ , dec.:  $+60^{\circ}07'17''.7$  (J2000)] was located in one of the spiral arms of the galaxy, which are known to be regions of high star formation. NGC 6946 is presently the galaxy with the highest number of SNe discovered to date, nine SNe since the first in SN 1917A with the most recent being SN 2008S, which has been suggested by Botticella et al. (2009) as a probable electron-capture event, although its nature has been debated (Smith et al. 2009).

Sahu et al. (2006) reported optical photometric and spectroscopic observations of SN 2004et from 8–541 d post-explosion. They noted that SN 2004et was at the brighter end of SNe IIP luminosities and estimated the mass of  $^{56}\text{Ni}$  synthesized during the explosion to be  $0.06 \pm 0.02 M_{\odot}$ . They also suggested that the steepening of the decline rates of the optical luminosity 1 yr after explosion along with a blueshift in the emission lines at a similar epoch was an indication of dust formation. Misra et al. (2007) presented optical photometry of SN 2004et from  $\sim 14\text{--}470$  d post-explosion and in agreement with Sahu et al. (2006), they estimated an ejected  $^{56}\text{Ni}$  mass of  $0.06 \pm 0.03 M_{\odot}$ .

SN 2004et was detected at radio frequencies just 14 d post-explosion (Stockdale et al. 2004), and this early detection suggests the presence of appreciable circumstellar material (CSM) around the SN (Sahu et al. 2006). The SN was extensively monitored by Stockdale et al. (2004) using the Very Large Array (VLA) at 22.4 and 8.4 GHz and by Beswick et al. (2004) using Multi-Element Radio-Linked Interferometer Network (MERLIN) at 4.9 GHz. SN 2004et was also observed on 2005 January 02 using the Giant Metrewave Radio Telescope (GMRT) at 1.4 GHz (Misra et al. 2007) and an 8.4 GHz very long baseline interferometry (VLBI) observation was obtained by Martí-Vidal et al. (2007) on 2005 February 20 showing a clear asymmetry in the emission structure that can be explained if the SN ejecta expanded in a clumpy CSM. The radio luminosity of SN 2004et was found to be among the highest for IIP SNe (Chevalier, Fransson & Nymark 2006) with an estimated pre-SN mass-loss rate of  $1.5\text{--}3 \times 10^{-6} M_{\odot} \text{ yr}^{-1}$  (using a wind velocity of  $10 \text{ km s}^{-1}$ ), which suggests a progenitor mass of  $\sim 20 M_{\odot}$ . X-ray data of SN 2004et were also obtained using the *Chandra X-ray Observatory* at 30, 45 and 72 d post-explosion (Misra et al. 2007; Rho et al. 2007). The dimensionless wind density parameter,  $\omega$ , is given as  $\dot{M}_{-6}/u_{10}$ , where  $\dot{M}_{-6}$  is the mass-loss rate in units of  $10^{-6} M_{\odot} \text{ yr}^{-1}$  and  $u_{10}$  is the wind velocity in units of  $10 \text{ km s}^{-1}$  and  $\omega$  is suggested by the unabsorbed X-ray luminosity to have a value of 2.5 for SN 2004et, which is a factor of 2 larger than the wind densities calculated for SN 1999em and SN 2004dj (Chugai, Chevalier & Utrobin 2007). This gives a pre-SN mass-loss rate of  $2\text{--}2.5 \times 10^{-6} M_{\odot} \text{ yr}^{-1}$ , which is consistent with the estimate from the radio observations.

Kotak et al. (2009) presented mid-infrared (MIR) observations of SN 2004et obtained with the *Spitzer Space Telescope* ranging from 64 to 1240 d post-explosion, along with three very late-time optical spectra. They reported spectroscopic evidence for silicate dust formation in the ejecta of SN 2004et with a total mass for the dust of mass of a few times  $10^{-4} M_{\odot}$ , which would not make a major contribution to the total mass of cosmic dust. The most prominent spectral emission lines in the very late-time optical spectra are

observed to display boxy profiles, which was suggested to be a signature of ejecta–CSM interaction.

Li et al. (2005) reported that the progenitor of SN 2004et had been identified in a pre-explosion optical image of NGC 6946 as a yellow supergiant. However, Crockett et al. (2009) have shown that the source indicated as the progenitor by Li et al. (2005) is still visible in images taken after SN 2004et has faded and so cannot be the progenitor star. At the same time, Crockett et al. (2009) also identified an alternative progenitor star in a pre-explosion  $i'$ -band image taken at the Isaac Newton Telescope with the Wide Field Camera. This star was not detected in pre-explosion  $V$ - or  $R$ -band images suggesting that the progenitor of SN 2004et is either intrinsically red or surrounded by dust. The luminosity of the progenitor was estimated using the  $I$ -band photometry and  $R - I$  colour limits. Using the STARS stellar evolutionary model (Eldridge & Tout 2004), the progenitor star was found consistent with a late K to late M-type supergiant with an initial mass of  $8^{+5}_{-1} M_{\odot}$  (see Crockett et al. 2009 for more details).

In this paper, we present our own complete data set for SN 2004et making one of the most comprehensive sets of optical and NIR photometry and spectroscopy for a Type IIP SNe, with data coverage from soon after explosion through to the radioactive tail phase. The information obtained from analysis of the light curve and spectra is compared to the progenitor information obtained from the pre-explosion images to explore if the resulting progenitor properties are consistent. Extensive NIR spectroscopy is rare for Type IIP SNe and so we investigate what further results can be obtained from the analysis of NIR spectral features. SN 2004et is also included in a wider sample of nearby Type IIP SNe to determine how its properties fit in the overall picture for the core collapse of massive, hydrogen-rich stars.

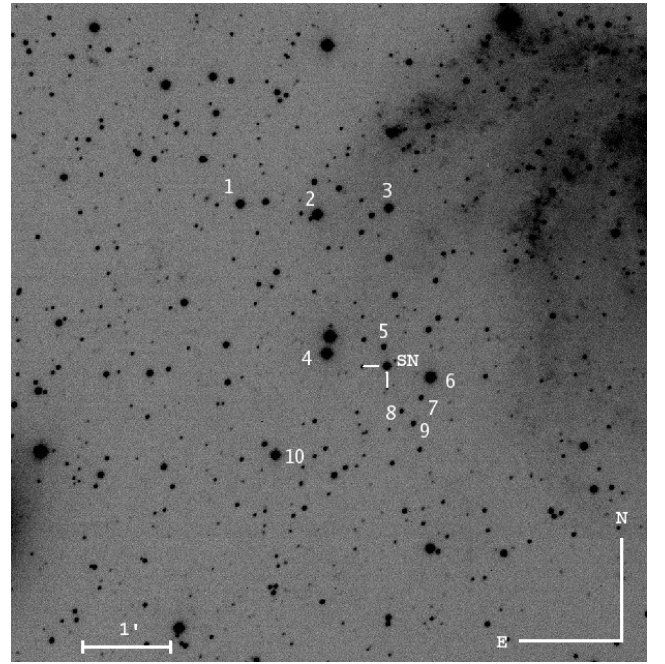
## 2 OBSERVATIONS AND DATA REDUCTION

### 2.1 Optical photometry

Optical photometric observations of SN 2004et were carried out using a range of telescopes, beginning soon after its discovery (2004 September 27) until over 3 yr post-explosion (2008 January 18). Observations at very early times were taken with a 0.41-m telescope equipped with an Apogee AP47p CCD in  $BVRi$  filters and the 1.82-m Copernico telescope equipped with AFOSC, located at Mt. Ekar-Asiago (Italy). Three epochs of data were taken with the 3.58-m Telescopio Nazionale Galileo (TNG) using DOLORES, located at Roque de Los Muchachos Observatory, La Palma, Canary Islands, along with data from the 0.72-m TNT at the Collurania Observatory (Teramo, Italy). Photometric observations were also obtained with the 0.7-m AZT2 telescope at the Sternberg Astronomical Institute, Moscow; the 0.6-m Z600 telescope at the Crimean Observatory of the Sternberg Astronomical Institute, Nauchny, Crimea; the 0.38-m KGB telescope at the Crimean Astrophysical Observatory, Nauchny, Crimea; and the 1-m Z1000 telescope at the Special Astrophysical Observatory of the Russian Academy of Sciences, Zelenchuk. Two late-time observations were also taken with the 2.56-m Nordic Optical Telescope (NOT) at Roque de Los Muchachos Observatory, La Palma, Canary Islands using ALFOSC.

All the images were trimmed, bias subtracted and flat-field corrected using the standard IRAF<sup>1</sup> tasks. A sequence of stars in the

<sup>1</sup> IRAF is distributed by the National Optical Astronomy Observatories, which are operated by the Association of Universities for Research in Astronomy, Inc., under the cooperative agreement with the National Science Foundation.



**Figure 1.** The field of SN 2004et with the SN and comparison stars marked. This image was taken with the 1.82-m telescope at Mt. Ekar, Italy,  $\sim 3$  weeks post-explosion in the  $V$  band.

field of view of SN 2004et was calibrated with respect to the sequence star magnitudes given in Misra et al. (2007). The sequence star magnitudes of Misra et al. (2007) were also confirmed independently using standard star observations obtained on some of the nights. The errors on the reported magnitudes for SN 2004et were determined by adding in quadrature the errors on the instrumental magnitudes and the errors due to the magnitude calibration. Fig. 1 shows a finding chart for SN 2004et, with the secondary standard stars marked.

Additional late-time *Hubble Space Telescope* (HST) Wide Field Planetary Camera 2 (WFPC2) observations were obtained as part of the proprietary programme GO-11229 (PI: Meixner) in 2007 July and 2008 January, which have since been made public. These images were downloaded from the Space Telescope Science Institute (STScI) archive using the on-the-fly reprocessing pipeline (OTFR). Observations were obtained in two filters  $F606W$  and  $F814W$  that are equivalent to the Johnson  $V$  and  $I$  bands, respectively. Point spread function (PSF)-fitting photometry was performed on the data using the HSTPHOT package (Dolphin 2000). HSTPHOT corrects for chip-to-chip variations and aperture corrections. No colour corrections have been applied to convert to  $V$  and  $I$  filters because the colour transformations to the Johnson–Cousins magnitude system are not well constrained for late-time SN spectra. The magnitude values quoted in Table 1 are for the HST filters in the Vegamag system. One epoch of very late-time  $BVRi$  data was also obtained with the William Herschel Telescope (WHT) at Roque de Los Muchachos Observatory, La Palma, Canary Islands, using the Auxiliary Port Imager (AUX).

### 2.2 Near-infrared photometry

SN 2004et was observed in the NIR  $JHK$  bands with SWIRCAM mounted at the focal plane of the 1.08-m AZT-24 telescope, at Campo Imperatore Observatory (Italy) on 27 epochs between 2004 September 30 and 2006 September 21. The AZT-24 telescope is

**Table 1.** Log of optical photometric observations of SN 2004et.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	<i>U</i>	<i>B</i>	<i>V</i>	<i>R</i>	<i>I</i>	Source
2004/09/29	53278.0	7.5		12.94 ± 0.01	12.67 ± 0.01	12.35 ± 0.02	12.13 ± 0.02	TNT 0.72 m
2004/10/01	53279.9	9.4		12.90 ± 0.04	12.60 ± 0.02	12.28 ± 0.02	12.01 ± 0.02	0.41 m+AP47p
2004/10/04	53283.0	12.5		12.98 ± 0.01	12.65 ± 0.02	12.27 ± 0.01	12.01 ± 0.01	TNT 0.72 m
2004/10/07	53286.0	15.5		13.02 ± 0.01	12.64 ± 0.01	12.26 ± 0.02	11.98 ± 0.02	TNT 0.72 m
2004/10/10	53288.9	18.4		13.00 ± 0.02	12.58 ± 0.02	12.23 ± 0.01	11.98 ± 0.02	0.41 m+AP47p
2004/10/15	53294.2	23.7	13.04 ± 0.04	13.16 ± 0.01	12.61 ± 0.01	12.16 ± 0.01	11.94 ± 0.01	AZT2 0.7 m
2004/10/17	53295.9	25.4		13.27 ± 0.02	12.63 ± 0.01	12.22 ± 0.01	11.92 ± 0.01	0.41 m+AP47p
2004/10/19	53297.1	26.5				12.24 ± 0.01	11.94 ± 0.01	Ekar 1.82 m+AFOSC
2004/10/19	53298.0	27.5		13.41 ± 0.02	12.67 ± 0.01	12.22 ± 0.01	11.86 ± 0.01	TNT 0.72 m
2004/10/28	53307.4	36.9	14.37 ± 0.04	13.79 ± 0.01	12.79 ± 0.01	12.26 ± 0.01	11.95 ± 0.01	AZT2 0.7 m
2004/10/30	53309.4	38.9	14.51 ± 0.03	13.86 ± 0.01	12.81 ± 0.01	12.29 ± 0.01	11.95 ± 0.01	Z600 0.6 m
2004/11/02	53312.4	41.9	14.70 ± 0.09	13.93 ± 0.01	12.83 ± 0.01	12.27 ± 0.01	11.95 ± 0.01	AZT2 0.7 m
2004/11/05	53315.4	54.9	14.85 ± 0.10	14.04 ± 0.01	12.87 ± 0.01	12.30 ± 0.01	11.97 ± 0.01	Z600 0.6 m
2004/11/06	53316.3	45.8	15.08 ± 0.03	14.10 ± 0.01	12.95 ± 0.01	12.40 ± 0.01	12.01 ± 0.01	Z600 0.6 m
2004/11/07	53317.2	46.7	15.07 ± 0.01	14.08 ± 0.01	12.88 ± 0.01	12.32 ± 0.01	11.95 ± 0.01	Z600 0.6 m
2004/11/08	53317.9	47.4		14.12 ± 0.01	12.89 ± 0.01	12.36 ± 0.01	11.93 ± 0.01	0.41 m+AP47p
2004/11/08	53318.5	48.0	15.09 ± 0.17	14.08 ± 0.01	12.89 ± 0.01	12.38 ± 0.01	11.97 ± 0.01	Z600 0.6 m
2004/11/09	53319.4	48.9	15.15 ± 0.07	14.13 ± 0.01	12.91 ± 0.01	12.34 ± 0.01	11.96 ± 0.01	Z600 0.6 m
2004/11/10	53320.0	49.5			12.95 ± 0.03	12.37 ± 0.03		TNT 0.72 m
2004/11/10	53320.4	49.9	15.25 ± 0.09	14.15 ± 0.01	12.90 ± 0.01	12.34 ± 0.01	11.95 ± 0.01	Z600 0.6 m
2004/11/14	53323.9	52.6	15.38 ± 0.02	14.25 ± 0.01	12.91 ± 0.02	12.36 ± 0.01	11.91 ± 0.01	TNG+LRS
2004/11/16	53325.8	55.3		14.30 ± 0.01	12.99 ± 0.01	12.39 ± 0.01	11.92 ± 0.01	Ekar 1.82m+AFOSC
2004/11/16	53326.0	55.5		14.22 ± 0.02	12.94 ± 0.03	12.36 ± 0.02	11.92 ± 0.03	TNT 0.72 m
2004/11/18	53328.0	57.5		14.30 ± 0.02	12.99 ± 0.02	12.38 ± 0.01	11.93 ± 0.01	TNT 0.72 m
2004/11/19	53329.0	58.5		14.36 ± 0.02	13.01 ± 0.01	12.40 ± 0.01	11.91 ± 0.01	Ekar 1.82 m+AFOSC
2004/11/19	53329.2	58.7	15.65 ± 0.02	14.32 ± 0.01	12.97 ± 0.01	12.36 ± 0.01	11.96 ± 0.01	Z600 0.6 m
2004/11/20	53329.8	59.3		14.39 ± 0.01	12.98 ± 0.01	12.38 ± 0.01	11.93 ± 0.01	0.41 m+AP47p
2004/11/21	53331.2	60.7	15.60 ± 0.07	14.37 ± 0.02	12.98 ± 0.01	12.37 ± 0.01	11.97 ± 0.01	Z600 0.6 m
2004/11/22	53332.0	61.5		14.40 ± 0.02	13.02 ± 0.03			TNT 0.72 m
2004/11/22	53332.2	61.7		14.45 ± 0.04	12.95 ± 0.03	12.31 ± 0.02	11.92 ± 0.03	KGB 0.38 m
2004/11/23	53333.0	62.5		14.38 ± 0.02	13.02 ± 0.03	12.42 ± 0.02	11.91 ± 0.02	TNT 0.72 m
2004/11/25	53335.3	64.8	15.98 ± 0.12	14.42 ± 0.02	13.01 ± 0.01	12.38 ± 0.01	11.97 ± 0.01	AZT2 0.7 m
2004/12/10	53349.8	79.3		14.73 ± 0.01	13.14 ± 0.01	12.45 ± 0.01	11.93 ± 0.01	Ekar 1.82 m+AFOSC
2004/12/13	53352.7	82.2		14.77 ± 0.01	13.14 ± 0.01	12.47 ± 0.01		Ekar 1.82 m+AFOSC
2004/12/13	53353.0	82.5		14.68 ± 0.01	13.16 ± 0.01	12.49 ± 0.01	11.97 ± 0.02	TNT 0.72 m
2004/12/14	53354.0	83.5		14.68 ± 0.01	13.15 ± 0.01	12.52 ± 0.02	11.99 ± 0.01	TNT 0.72 m
2004/12/15	53354.8	84.3		14.88 ± 0.04	13.17 ± 0.03	12.49 ± 0.03	11.93 ± 0.03	Ekar 1.82 m+AFOSC
2004/12/23	53363.0	92.5		14.86 ± 0.02	13.24 ± 0.02	12.57 ± 0.02	11.99 ± 0.02	TNT 0.72 m
2004/12/28	53368.0	97.5		14.93 ± 0.03	13.28 ± 0.01	12.61 ± 0.01	12.10 ± 0.01	TNT 0.72 m
2004/12/29	53369.3	98.8			13.32 ± 0.01	12.56 ± 0.01	12.12 ± 0.01	AZT2 0.7 m
2005/01/03	53373.8	103.3		15.14 ± 0.04	13.41 ± 0.01	12.69 ± 0.01	12.16 ± 0.01	Ekar 1.82 m+AFOSC
2005/01/05	53376.0	105.5		15.18 ± 0.04	13.46 ± 0.03	12.83 ± 0.04	12.10 ± 0.05	TNT 0.72 m
2005/01/07	53378.0	107.5		15.23 ± 0.02	13.54 ± 0.02	12.80 ± 0.02	12.27 ± 0.03	TNT 0.72 m
2005/01/11	53382.2	111.7		15.47 ± 0.01	13.70 ± 0.01	12.82 ± 0.01		AZT2 0.7 m
2005/01/14	53384.8	114.3		15.68 ± 0.01	13.81 ± 0.01	13.02 ± 0.01	12.37 ± 0.01	Ekar 1.82 m+AFOSC
2005/01/17	53388.0	117.5		15.74 ± 0.03	13.95 ± 0.03	13.19 ± 0.03	12.56 ± 0.03	TNT 0.72 m
2005/01/18	53389.3	118.8		15.79 ± 0.05	14.09 ± 0.03	13.11 ± 0.02	12.64 ± 0.02	AZT2 0.7 m
2005/01/20	53391.5	120.5		16.06 ± 0.04	14.21 ± 0.04	13.42 ± 0.04	12.77 ± 0.04	TNT 0.72 m
2005/02/04	53406.2	135.7		17.34 ± 0.05	15.64 ± 0.03	14.47 ± 0.03	13.86 ± 0.02	AZT2 0.7 m
2005/02/10	53412.0	141.5			15.66 ± 0.06			TNT 0.72 m
2005/02/10	53412.2	141.7		17.36 ± 0.09	15.75 ± 0.06	14.56 ± 0.02	13.97 ± 0.02	AZT2 0.7 m
2005/03/03	53432.5	162.0		17.63 ± 0.07	15.93 ± 0.04	14.67 ± 0.03	14.14 ± 0.02	AZT2 0.7 m
2005/03/09	53439.2	168.7		17.74 ± 0.02	15.97 ± 0.01	14.89 ± 0.01	14.06 ± 0.01	Ekar 1.82 m+AFOSC
2005/03/17	53446.6	176.1		17.55 ± 0.06	16.12 ± 0.04	14.81 ± 0.03	14.26 ± 0.03	AZT2 0.7 m
2005/03/18	53447.0	176.5		17.75 ± 0.01	16.04 ± 0.01	14.96 ± 0.01		Ekar 1.82 m+AFOSC
2005/03/27	53456.5	186.0		17.77 ± 0.05	16.14 ± 0.03	14.92 ± 0.03	14.39 ± 0.02	AZT2 0.7 m
2005/03/29	53458.5	188.0		17.77 ± 0.08	16.21 ± 0.05	14.92 ± 0.03	14.41 ± 0.02	AZT2 0.7 m
2005/04/08	53468.5	198.0		17.78 ± 0.17	16.24 ± 0.12	14.96 ± 0.05	14.47 ± 0.06	AZT2 0.7 m
2005/04/13	53473.5	203.0		17.87 ± 0.05	16.35 ± 0.03	15.06 ± 0.03	14.53 ± 0.03	AZT2 0.7 m
2005/05/18	53509.5	239.0		17.94 ± 0.07	16.69 ± 0.04	15.46 ± 0.03	14.99 ± 0.03	AZT2 0.7 m
2005/06/15	53537.0	266.5		18.35 ± 0.02	16.97 ± 0.02	15.76 ± 0.01	14.99 ± 0.03	Ekar 1.82 m+AFOSC
2005/07/02	53554.0	283.5		18.44 ± 0.03	17.13 ± 0.02	15.88 ± 0.01	15.24 ± 0.02	Ekar 1.82 m+AFOSC
2005/07/13	53565.4	294.9		18.48 ± 0.07	17.39 ± 0.04	16.11 ± 0.03	15.74 ± 0.03	AZT2 0.7 m

Table 1 – continued

Date	JD (2450000+)	Phase <sup>a</sup> (d)	<i>U</i>	<i>B</i>	<i>V</i>	<i>R</i>	<i>I</i>	Source
2005/07/14	53566.5	296.0		18.52 ± 0.03	17.20 ± 0.03	16.14 ± 0.03	15.83 ± 0.03	SAO Z1000 1m
2005/07/28	53580.4	309.9		18.62 ± 0.08	17.33 ± 0.03	16.27 ± 0.03	15.99 ± 0.12	AZT2 0.7 m
2005/08/04	53587.4	316.9		18.70 ± 0.06	17.53 ± 0.04	16.34 ± 0.03	16.08 ± 0.03	AZT2 0.7 m
2005/08/10	53593.4	322.9		18.75 ± 0.06	17.47 ± 0.05	16.40 ± 0.03	16.19 ± 0.03	AZT2 0.7 m
2005/08/16	53599.4	328.9		18.86 ± 0.07	17.59 ± 0.10	16.49 ± 0.03	16.23 ± 0.04	AZT2 0.7 m
2005/08/24	53607.4	336.9		18.84 ± 0.13	17.76 ± 0.08	16.65 ± 0.03	16.42 ± 0.03	AZT2 0.7 m
2005/08/28	53611.2	340.7	20.17 ± 0.03	18.91 ± 0.02	17.78 ± 0.02	16.71 ± 0.01	16.12 ± 0.01	TNG+LRS
2005/08/30	53613.4	342.9		18.84 ± 0.05	17.77 ± 0.03	16.68 ± 0.03	16.53 ± 0.05	Z600 0.6 m
2005/08/31	53614.5	344.0		18.67 ± 0.07	17.87 ± 0.05		16.43 ± 0.09	Z600 0.6 m
2005/09/07	53621.3	350.8		18.80 ± 0.08	17.95 ± 0.12	16.76 ± 0.04	16.66 ± 0.06	AZT2 0.7 m
2005/09/14	53628.1	357.6		19.08 ± 0.03	17.97 ± 0.02	17.03 ± 0.02	16.39 ± 0.02	NOT+ALFOSC
2005/09/14	53628.4	357.9		18.95 ± 0.06	17.84 ± 0.06	16.81 ± 0.04	16.61 ± 0.05	AZT2 0.7 m
2005/10/03	53647.4	376.9			18.32 ± 0.18	17.15 ± 0.05		AZT2 0.7 m
2005/10/10	53654.0	383.5		19.25 ± 0.02	18.24 ± 0.01	17.39 ± 0.02	16.71 ± 0.09	Ekar 1.82 m+AFOSC
2005/10/25	53669.0	398.5		19.40 ± 0.02	18.42 ± 0.01	17.48 ± 0.01	16.76 ± 0.02	Ekar 1.82 m+AFOSC
2005/11/01	53676.4	405.9		19.44 ± 0.06	18.54 ± 0.04	17.54 ± 0.03	17.32 ± 0.13	Z600 0.6 m
2005/11/03	53677.8	407.3		19.47 ± 0.03	18.56 ± 0.01	17.56 ± 0.01	16.86 ± 0.04	Ekar 1.82 m+AFOSC
2005/11/07	53682.3	411.8		19.45 ± 0.05	18.60 ± 0.04	17.63 ± 0.03	17.62 ± 0.14	Z600 0.6 m
2005/11/09	53684.3	413.8		19.27 ± 0.09	18.62 ± 0.10	17.71 ± 0.04		Z600 0.6 m
2006/05/21	53876.2	605.7			21.43 ± 0.04	20.81 ± 0.02		NOT+ALFOSC
2006/09/30	54008.0	737.5			22.45 ± 0.08			NOT+ALFOSC
2007/07/08	54289.5	1019.0			23.01 ± 0.01 <sup>b</sup>		22.85 ± 0.02 <sup>b</sup>	HST+WFPC2
2007/08/12	54324.5	1054.0		23.62 ± 0.06	23.06 ± 0.04	22.27 ± 0.05	21.99 ± 0.06	WHT+AUX
2008/01/18	54484.8	1214.3			23.24 ± 0.01 <sup>b</sup>		22.13 ± 0.02 <sup>b</sup>	HST+WFPC2

<sup>a</sup>Relative to the epoch of date of explosion (JD = 245 3270.5).

<sup>b</sup>HST (*F606W*  $\simeq$  *V* band, *F814W*  $\simeq$  *I* band).

operated jointly by the Pulkovo Observatory (St. Petersburg, Russia) and INAF Osservatorio Astronomico di Roma/Collurania (Campo Imperatore, Italy). SWIRCAM is equipped with a Rockwell PIC-NIC array with  $256 \times 256$  pixels with a pixel size of 1.04 arcsec. One epoch of *JHK* data was also obtained on 2005 July 24 at the 3.58-m TNG, using NICS with a  $1024 \times 1024$  pixel Rockwell array with a pixel size of 0.25 arcsec. At each epoch, multiple dithered images of the SN were acquired along with multiple dithered sky images. The sky images were median combined to eliminate stars. The resulting sky frames were subtracted from the SN frames for each band. The sky-subtracted SN images were then aligned and co-added. The data were processed using standard tasks in IRAF.

The photometric calibration was carried out using the magnitudes of nearby stars taken from the Two Micron All Sky Survey (2MASS) photometric catalogue. PSF-fitting magnitude measurements were performed using IRAF's DAOPHOT package. Differential photometry of the SN magnitude was then carried out by comparison with the calibration of the 2MASS standards. The 2MASS filters differ from those of SWIRCAM and NICS, particularly in the  $K_s$  bands but the colour terms derived from the reference stars were small, which implies only a negligible difference in photometric systems. The resulting SN magnitudes and associated errors are given in Table 2.

Late-time NIR data had been obtained with the *HST* using NICMOS in 2007 July and 2008 January as part of the proprietary programme GO-11229 (PI: Meixner). Multiple exposures were taken in each of the filters, *F110W*, *F160W* and *F205W*, that had been offset by sub-pixel amounts, which could then be ‘drizzled’ (Fruchter & Hook 2002) to improve the spatial sampling of the PSF. PSF-fitting photometry was performed on the NIR ‘drizzled’ data using IRAF's DAOPHOT package. The filters used, *F110W*, *F160W* and *F205W*, are roughly equivalent to the *J*, *H* and *K* filters, respectively. However, no conversion equations have been applied to convert to *J*, *H* and *K* filters since the colour transformations are not well constrained

for late-time SN spectra (in fact, no NIR spectra at this epoch exist for accurate *S*-correction). The values quoted in Table 2 are for the *HST* filters in the Vegamag system.

### 3 PHOTOMETRIC EVOLUTION

#### 3.1 Light curve

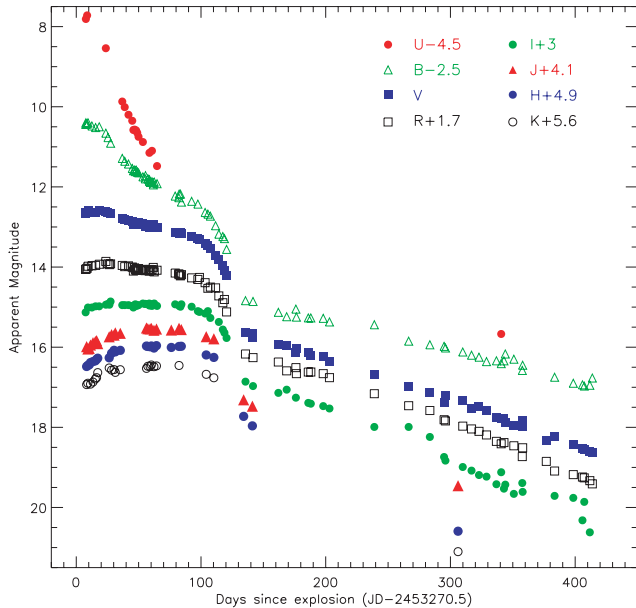
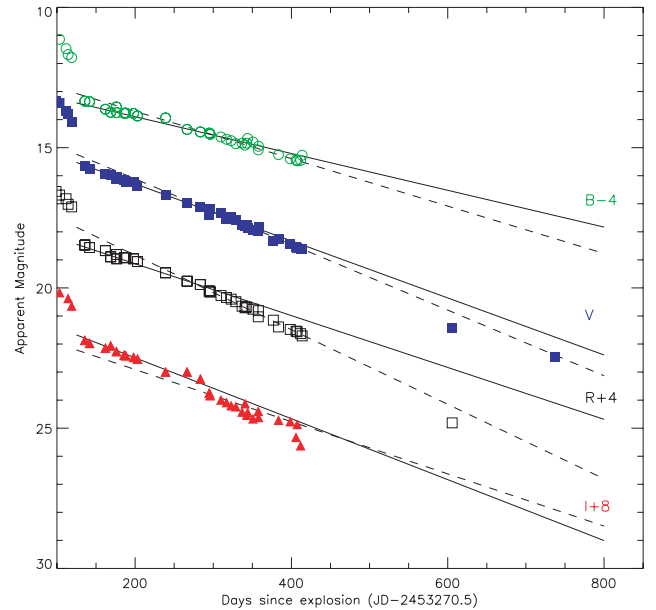
Fig. 2 shows the optical and NIR light curve (*UBVRIJHK*) of SN 2004et from just after explosion to +414 d post-explosion. Very early time *R*-band magnitudes were reported by Klotz and collaborators in Yamaoka et al. (2004). Nothing was visible to a limiting magnitude of  $19.4 \pm 1.2$  at the SN position on 2004 September 22.017 UT, when imaged by the robotic TAROT telescope. Pre-discovery detection of SN 2004et on September 22.983 UT exists, with the SN having a magnitude of  $15.17 \pm 0.16$  (Yamaoka et al. 2004). The explosion epoch of SN 2004et has therefore been well constrained and the explosion epoch is taken as 2004 September 22.0 (JD 245 3270.5), the same as in Li et al. (2005).

The characteristic plateau of Type IIP SNe is visible up to  $110 \pm 15$  d, before showing a sharp decline on to the radioactive tail, whose luminosity is powered by the decay of  $^{56}\text{Co}$  to  $^{56}\text{Fe}$ . The expected decline rate during the nebular phase is 0.98 mag per 100 d for complete  $\gamma$ -ray trapping (Patat et al. 1994). The decline rates per 100 d for the *BVR* bands were calculated using a least-squares fit, during the early nebular phase ( $\sim 136$ – $300$  d), and found to be  $\gamma_B = 0.66 \pm 0.02$ ,  $\gamma_V = 1.02 \pm 0.01$ ,  $\gamma_R = 0.92 \pm 0.01$  and  $\gamma_I = 1.09 \pm 0.01$ . These values are close to the expected decline rate and are consistent with the early nebular phase flux being dominated by radioactive decay.

After 300 d, steeper decline rates were seen in the *BVR* bands and a comparison of the decline rates is shown in Fig. 3. The decline

**Table 2.** NIR photometric observations of SN 2004et.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	<i>J</i>	<i>H</i>	<i>K<sub>s</sub></i>
2004/09/30	53278.8	8.3	11.89 ± 0.04	11.58 ± 0.05	11.33 ± 0.05
2004/10/01	53279.8	9.3	11.94 ± 0.04	11.55 ± 0.06	11.31 ± 0.05
2004/10/02	53280.9	10.4	11.93 ± 0.05	11.54 ± 0.06	
2004/10/03	53281.9	11.4	11.85 ± 0.04	11.48 ± 0.05	11.32 ± 0.05
2004/10/05	53283.9	13.4	11.79 ± 0.04	11.46 ± 0.05	11.27 ± 0.05
2004/10/07	53285.8	15.3	11.76 ± 0.04	11.44 ± 0.06	11.19 ± 0.06
2004/10/08	53286.8	16.3	11.74 ± 0.04	11.40 ± 0.06	11.16 ± 0.06
2004/10/09	53287.7	17.2	11.81 ± 0.04	11.37 ± 0.09	11.03 ± 0.08
2004/10/18	53296.9	26.4	11.64 ± 0.04	11.36 ± 0.08	10.92 ± 0.08
2004/10/20	53298.8	28.3	11.59 ± 0.05	11.25 ± 0.06	10.97 ± 0.07
2004/10/22	53300.8	30.3	11.60 ± 0.04	11.18 ± 0.08	10.96 ± 0.06
2004/10/23	53301.8	31.3	11.54 ± 0.05	11.22 ± 0.08	11.03 ± 0.06
2004/10/27	53305.8	35.3	11.55 ± 0.03	11.18 ± 0.05	10.96 ± 0.05
2004/11/17	53326.8	56.3	11.42 ± 0.05	11.07 ± 0.05	10.93 ± 0.05
2004/11/18	53327.9	57.4	11.44 ± 0.04	11.08 ± 0.05	10.88 ± 0.05
2004/11/20	53329.7	59.2	11.44 ± 0.04	11.09 ± 0.05	10.88 ± 0.05
2004/11/21	53330.7	60.2	11.43 ± 0.04	11.07 ± 0.05	10.84 ± 0.05
2004/11/23	53332.8	62.3	11.48 ± 0.04	11.12 ± 0.05	10.89 ± 0.05
2004/11/25	53334.8	64.3	11.45 ± 0.04	11.06 ± 0.04	10.87 ± 0.05
2004/12/07	53346.7	76.2	11.47 ± 0.05	11.11 ± 0.08	
2004/12/13	53352.9	82.4	11.43 ± 0.04	11.08 ± 0.05	10.86 ± 0.05
2004/12/15	53354.7	84.2	11.46 ± 0.04	11.08 ± 0.05	
2005/01/04	53374.7	104.2	11.64 ± 0.04	11.30 ± 0.05	11.08 ± 0.05
2005/01/10	53380.8	110.7	11.69 ± 0.05	11.36 ± 0.04	11.16 ± 0.05
2005/02/03	53404.7	134.2	13.21 ± 0.04	12.83 ± 0.06	
2005/02/10	53411.7	141.2	13.37 ± 0.04	13.06 ± 0.07	
2005/07/24	53576.6	306.1	15.36 ± 0.14 <sup>b</sup>	15.69 ± 0.12 <sup>b</sup>	15.50 ± 0.16 <sup>b</sup>
2006/09/21	54000.0	729.5	> 20.2		
2007/07/08	54289.5	1019.0	22.08 ± 0.02 <sup>c</sup>	22.57 ± 0.08 <sup>c</sup>	> 22.3 <sup>c</sup>
2008/01/19	54485.6	1215.1	22.30 ± 0.03 <sup>c</sup>	22.70 ± 0.10 <sup>c</sup>	21.18 ± 0.06 <sup>c</sup>

<sup>a</sup>Relative to the epoch of date of explosion (JD = 245 3270.5).<sup>b</sup>TNG+NICS.<sup>c</sup>*HST* (*F110W*  $\simeq$  *J* band, *F160W*  $\simeq$  *H* band, *F205W*  $\simeq$  *K* band).**Figure 2.** *UBVRIJHK* light curves of SN 2004et, from soon after core collapse to +414 d post-explosion. The light curves are constructed using the data in Tables 1 and 2 and have not been corrected for reddening.**Figure 3.** *BVR* light curves of SN 2004et during the tail phase. The solid lines indicate the decline rates calculated from  $\sim 136$ – $300$  d post-explosion, while the dashed lines indicate the decline rates calculated using the data from  $\sim 295$ – $415$  d. A steepening of the *BVR* light curves is seen while the slope of the *I*-band light curve becomes marginally less steep.

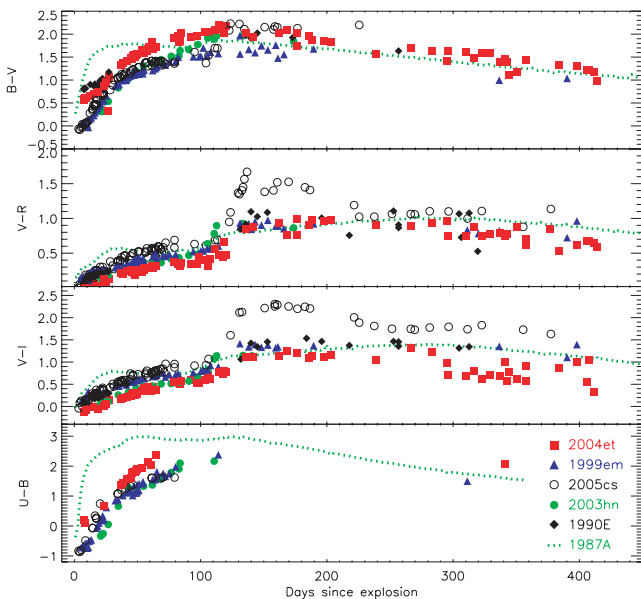


rates based on data from  $\sim 136$ – $296$  d only are shown as a solid line for each of the bands and are extrapolated out to  $800$  d. The decline rates using data from between  $\sim 296$  and  $414$  d are  $\gamma_B = 0.85 \pm 0.02$ ,  $\gamma_V = 1.17 \pm 0.02$ ,  $\gamma_R = 1.33 \pm 0.01$  and  $\gamma_I = 0.93 \pm 0.02$  and are shown as the dashed lines in Fig. 3. Note that the late-time  $I$ -band photometry shows a large scatter due to the intrinsic differences in the instrumental  $I$ -band filters, and this could affect our estimates of the slope of the  $I$ -band light curve. The points after  $600$  d shown in Fig. 3 were not included in the determination of the slopes of the  $V$  and  $R$  bands. The  $BVR$  bands became steeper during this period while the slope of the  $I$  band became slightly less steep. This steepening could imply either the leakage of  $\gamma$ -rays because the SN had become transparent to  $\gamma$ -rays at this stage or dust formation in the SN ejecta. To determine the cause of the steepening of the  $BVR$  bands, further analysis of the photometry and spectroscopy was carried out and is detailed in Section 5.

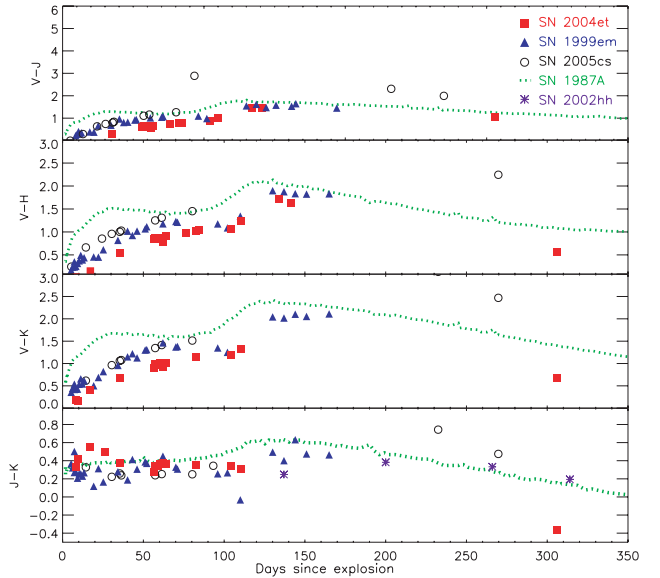
### 3.2 Colour curves

The extinction to SN 2004et,  $E(B - V)$ , was estimated to be  $0.41 \pm 0.07$  mag using Na ID equivalent widths (Zwitter et al. 2004). Reddening-corrected colour curves for SN 2004et using the optical and NIR data detailed above are shown in Figs 4 and 5, respectively. The optical colour curves are compared to those of other IIP SNe: SN 1999em (Leonard et al. 2002a), SN 2003hn (Olivares 2008; Krisciunas et al. 2009), SN 2005cs (Pastorello et al. 2009) and SN 1990E (Schmidt et al. 1993; Benetti et al. 1994). The explosion epoch, distance and extinction for each SN are detailed in Table 3. Few data are available in the NIR for Type IIP SNe, particularly at late times so in this case for comparison we can only use SN 1999em, SN 1987A, SN 2005cs and SN 2002hh. SN 2002hh was a highly reddened SN and Pozzo et al. (2006) adopted a two-component model for the extinction with a total  $A_V$  of 5 mag, which we use in Fig. 5.

As is expected, SN 2004et became monotonically redder after explosion for  $\sim 100$  d as it cooled. This can be seen in both the optical and NIR comparison colour curves. Later on when SN 2004et



**Figure 4.** Optical colour evolution of SN 2004et compared with those of other Type IIP SNe: SN 1999em, SN 2003hn, SN 2005cs, SN 1990E and SN 1987A.



**Figure 5.** NIR colour evolution of SN 2004et compared with those of SN 1999em, SN 2005cs and the peculiar SN 1987A.

enters the nebular phase, the colour curves become bluer. The colour evolution of SN 2004et is most similar to ‘normal’ Type IIP SNe, SN 1999em and SN 1990E, while SN 2005cs showed a red peak at  $\sim 100$  d, characteristic of low-luminosity SNe (Pastorello et al. 2004, 2009). The  $V - H$ ,  $V - K$  and  $J - K$  colours for SN 2004et are more blue at  $\sim 300$  d than for SN 1987A and SN 2002hh. The  $V - J$  colour evolution appears to be similar to that of SN 1987A and SN 2002hh, which suggests that the difference lies in the  $H$  and  $K$  bands of SN 2004et having less flux than the other SNe. This could be due to weaker features of the Brackett series of H in the spectra of SN 2004et, but we do not possess spectra of a high enough signal-to-noise ratio to quantitatively determine if this is the cause.

### 3.3 Bolometric light curve and $^{56}\text{Ni}$ mass

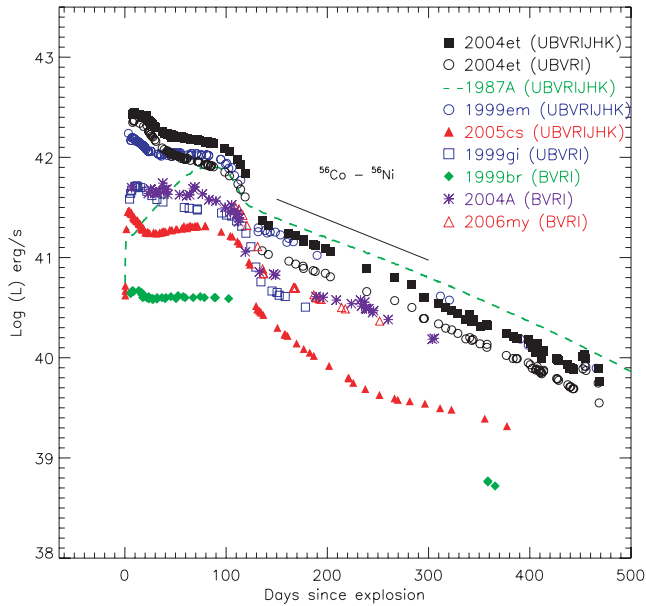
The bolometric light curve of SN 2004et was computed by correcting the observed magnitudes for reddening and converting to flux values before integrating the combined flux from the  $UBVRIJHK$  bands excluding the overlapping regions of the filters (see also Valenti et al. 2008). This integrated flux could then be converted to a luminosity using a distance of  $5.9$  Mpc (see Section 1) and the extinction detailed in the previous section. Our  $UBVRIJHK$  data are supplemented by optical data from Misra et al. (2007). The bolometric luminosity was only calculated for epochs where  $V$ -band data were obtained. If data were not obtained in the other bands at the same epoch, the contribution was calculated by interpolating the data from adjacent nights.

Fig. 6 shows a comparison with other Type IIP SNe, some of which also include contributions from NIR data. Two pseudo-bolometric light curves of SN 2004et are shown, one that includes the contribution from the NIR bands (filled squares) and one that does not (open circles). When the NIR is included, the luminosities of the plateau and the tail phase are significantly increased. The bolometric light curve of SN 2004et is one of few Type IIP SNe that includes the contribution of NIR flux which is seen to be significant (up to 50 per cent). The percentage flux contribution of the  $JHK$  bands compared to the total flux from the optical to the NIR

**Table 3.** Table of properties of our sample of Type IIP SNe.

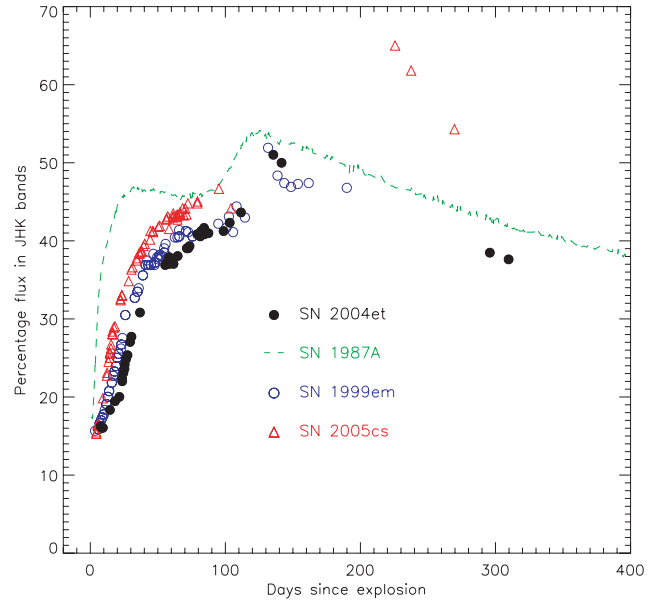
SN	Explosion epoch	$D$ (Mpc)	$E(B - V)$	References
1987A	244 6850.5	$49.9 \pm 1.2 \times 10^{-3}$	0.19	1
1990E	244 7932.0	$18.2 \pm 2.4$	0.48	2, 3
1999br	245 1278	$14.1 \pm 2.6$	0.02	4
1999em	245 1475.6	$11.7 \pm 1.0$	0.06	5
1999gi	245 1518.3	$10.0 \pm 0.8$	0.21	6
2002hh	245 2577.5	$5.9 \pm 0.4$	See text	7, 8
2003hn	245 2857.0	$17.8 \pm 1.0$	0.19	9, 10
2004A	245 3011.5	$20.3 \pm 3.4$	0.06	11, 12
2004et	245 3270.5	$5.9 \pm 0.4$	0.41	13
2005cs	245 3549.0	$7.1 \pm 1.2$	0.05	14
2006my	245 3943	$22.3 \pm 2.6$	0.03	15

*Note.* References: (1) Ashoka et al. (1987); (2) Schmidt et al. (1993); (3) Hamuy (2003); (4) Pastorello et al. (2004); (5) Leonard et al. (2002a); (6) Leonard et al. (2003); (7) Pozzo et al. (2006); (8) Tsvetkov et al. (2007); (9) Olivares (2008); (10) Krisciunas et al. (2009); (11) Hendry et al. (2006); (12) Tsvetkov (2008); (13) this work; (14) Pastorello et al. (2009); (15) Smartt et al. (2009).

**Figure 6.** Comparison of the bolometric light curve of SN 2004et with other Type IIP SNe.

bands for SN 2004et and three other Type IIP SNe, SN 1987A, SN 1999em and SN 2005cs, is shown in Fig. 7. The contribution of the NIR flux to the total flux of SN 2004et is most similar to the ‘normal’ Type IIP, SN 1999em. It can be seen that particularly at early times, the NIR flux contribution of SN 1987A is significantly greater than that of other Type IIP SNe due to the faster cooling of the ejecta of this SN. When no NIR data are available for a Type IIP SN, the NIR contribution of SN 1987A is sometimes used as a correction to the bolometric light curve of SNe due to its excellent coverage (e.g. Misra et al. 2007). Fig. 7 demonstrates, however, that using a correction based on SN 1987A could lead to an overestimate of the bolometric luminosity, especially at very early times.

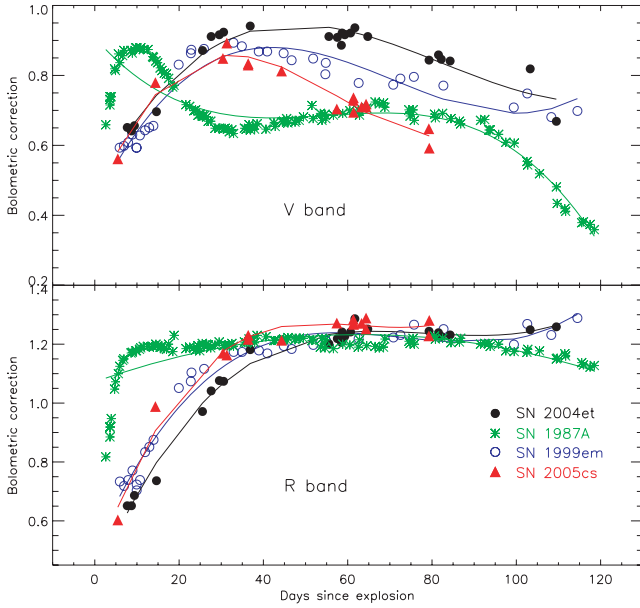
Sahu et al. (2006) computed a *UBVRI* bolometric light curve of SN 2004et and found it to have one of the highest luminosities among Type IIP SNe. They did not apply any correction for the NIR flux. Misra et al. (2007) constructed a *UVOIR* bolometric light curve using a correction for the lack of NIR data, which was obtained by a comparison with the bolometric light curve of SN 1987A. They used

**Figure 7.** Flux contribution from NIR bands as a percentage of the total flux from optical and NIR bands for a selection of Type IIP SNe with NIR data.

a smaller distance estimate to SN 2004et ( $5.5 \pm 1.0$  Mpc), which results in smaller luminosity values. Despite the smaller distance used, their bolometric light curve displayed higher luminosities at early times than the light curve of SN 2004et shown in Fig. 6. The peak luminosity of the *UBVRIJHK* bolometric light curve of SN 2004et given in Misra et al. (2007) was  $\log L \sim 42.55 \text{ erg s}^{-1}$  compared to  $42.44 \text{ erg s}^{-1}$  for our data in Fig. 6. This difference at early times is most likely due to their overestimate of the NIR luminosity from the comparison with SN 1987A.

Bersten & Hamuy (2009) calculated the bolometric correction (BC) to transform from *V*-band magnitudes of SN 1987A, SN 1999em and SN 2003hn to *UBVRIJHK* bolometric luminosities and provided parametrized corrections as a function of SN colour for the average of these three SNe. Here we present the BC for four Type IIP SNe with extensive optical and NIR coverage as a function of time. These SNe are SN 1987A (a peculiar Type IIP), SN 2005cs (a low-luminosity SN), and SN 1999em and SN 2004et,





**Figure 8.** BC from the V band (upper panel) and R band (lower panel) to the *UBVR IJHK* bolometric light curve as a function of time for SN 1987A, SN 1999em, SN 2004et and SN 2005cs during the photospheric phase. The BC of each SN is fitted with a lower order polynomial (solid lines).

both of which show a very similar bolometric light-curve shape. We have rearranged equation (1) of Hamuy (2003) to produce this equation for the BC at any given time  $t$ :

$$B(t) = -2.5 \log_{10} L(t) - V(t) + A_{\text{total}}(V) + 5 \log_{10} D - 8.14, \quad (1)$$

where  $L(t)$  is the bolometric luminosity in  $\text{erg s}^{-1}$ ,  $V(t)$  is the V-band magnitude,  $A_{\text{total}}$  is the total V-band extinction towards the SN,  $D$  is the distance to the SN in cm and the constant is to convert from Vega magnitudes to values of luminosity in cgs units. Using the bolometric light curve for each SN, which has been constructed using the method detailed above, the BC as a function of time can be parametrized by fitting a polynomial to the data. The polynomial coefficients for the V and R bands during the plateau phase are given in Tables A1 and A2, respectively.

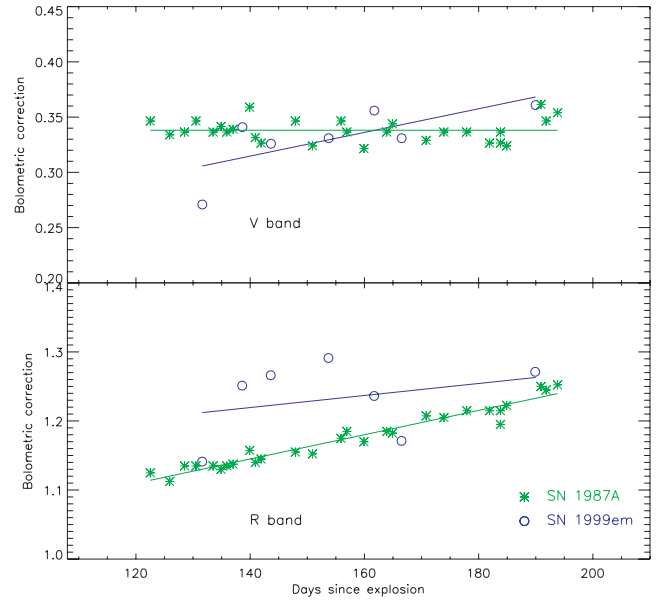
The BC relative to the V and R bands during the photospheric phase are shown in Fig. 8. For the V band, the scatter of the BC for each SN is relatively large with SN 1987A showing a significantly different evolution during the plateau phase. For the R band, however, the evolution of the BC is much more homogeneous for the SNe, particularly after  $\sim 50$  d, and we suggest that the R-band BC may be more suitable for computing the luminosities of an SN when there is ambiguity about the subtype of the Type IIP SN being studied.

The coefficients  $a_i$  of the polynomial for each SN and band can be used in equation (2) for each epoch of data to give the BC as a function of time:

$$BC(t) = \sum_{i=0}^n a_i t^i, \quad (2)$$

where  $n$  is the number of coefficients for the particular band and SN being studied. Equation (3) can then be used to give the bolometric luminosity of an SN with only single-band photometric data:

$$\log_{10} L(t) = -0.4[V(t) - A_{\text{total}}(V) + BC(t) - 5 \log_{10} D + 8.14], \quad (3)$$



**Figure 9.** BC from V band (upper panel) and R band (lower panel) to the *UBVR IJHK* bolometric light curve as a function of time for SN 1987A and SN 1999em during the early nebular phase. The BC of each SNe is fitted with a linear fit (solid lines).

where the parameters have the same meaning as detailed above. Equation (3) is independent of the choice of zero-point for the Vega magnitude system and is dependent only on the values of the magnitude, distance, extinction and time since explosion.

Fig. 9 shows the BC for the V and R bands to the *UBVR IJHK* bolometric light curves of SN 1987A and SN 1999em during the early nebular phase ( $\sim 120$ – $200$  d). SN 2004et and SN 2005cs did not have sufficient data at NIR wavelengths at these epochs to be included in the plot. The BC is relatively similar for both SNe, which is consistent with the results of Hamuy et al. (2001) and Bersten & Hamuy (2009). By applying the parametrized BC given in Table A3 to the data of other SNe with sparser photometric coverage during the early nebular phase, an estimate of the *UBVR IJHK* luminosity can be calculated and hence an estimate of the ejected  $^{56}\text{Ni}$  mass of a less well-studied Type IIP SN can be determined. We find an average BC during an early nebular phase of  $0.33 \pm 0.06$  mag using SN 1987A and SN 1999em, which is systematically larger than the value of  $0.26 \pm 0.06$  mag obtained by Hamuy et al. (2001). The probable cause of this discrepancy is the different methods used to compute the bolometric light curve; we integrated the combined flux over the *UBVR IJHK* bands as detailed above, while Hamuy et al. (2001) fitted Planck functions at each epoch to the reddening-corrected *BVIJHK* magnitudes.

Information on the bolometric light curve is useful for constraining the amount of radioactive material ejected in the explosion. We estimated the  $^{56}\text{Ni}$  mass of SN 2004et using three methods: a comparison with the luminosity of SN 1987A, the steepness parameter method of Elmhamdi et al. (2003b) and the tail luminosity method of Hamuy (2003).

The  $^{56}\text{Ni}$  mass can be estimated by a comparison of the bolometric luminosities of SN 2004et and SN 1987A during the early nebular phase, before the possible formation of dust ( $\sim 120$ – $250$  d post-explosion). The  $^{56}\text{Ni}$  mass of SN 1987A was  $0.075 \pm 0.005 M_{\odot}$  (Arnett 1996) and based on a comparison of their *UBVR IJHK* luminosities, we find SN 2004et to have a mass of  $^{56}\text{Ni}$  of  $0.057 \pm 0.03 M_{\odot}$ . Using this method, Sahu et al. (2006) found a  $^{56}\text{Ni}$  mass

**Table 4.** Log of optical spectroscopic observations.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	Telescope + Instrument	Range (Å)	Resolution (Å)
2004/11/14	53324.5	54	TNG+LRS (LR-B)	3580–8000	16
2004/11/16	53326.3	55.8	Mt. Ekar 1.82 m+AFOSC	3500–7750	24
2004/12/10	53350.2	79.7	Mt. Ekar 1.82 m+AFOSC	3800–7750	24
2004/12/15	53355.3	84.8	Mt. Ekar 1.82 m+AFOSC	3600–7720	24
2005/01/03	53374.2	103.7	Mt. Ekar 1.82 m+AFOSC	3500–7750	25
2005/01/14	53385.3	114.8	Mt. Ekar 1.82 m+AFOSC	3500–7700	33
2005/03/09	53439.7	169.2	Mt. Ekar 1.82 m+AFOSC	3800–7700	24
2005/03/18	53447.6	177.1	Mt. Ekar 1.82 m+AFOSC	3800–7700	25
2005/06/05	53527.7	257.2	TNG+LRS (LR-B)	3700–8070	13
2005/07/02	53554.6	284.1	Mt. Ekar 1.82 m+AFOSC	4000–7820	36
2005/08/28	53611.6	341.1	TNG+LRS (LR-B)	3400–8020	13
2005/10/10	53654.5	384.0	Mt. Ekar 1.82 m+AFOSC	3500–7750	24
2005/10/27	53671.4	400.9	Mt. Ekar 1.82 m+AFOSC	3800–7730	25
2005/11/03	53678.3	407.8	Mt. Ekar 1.82 m+AFOSC	4000–7730	25

<sup>a</sup>Relative to the epoch of date of explosion (JD = 245 3270.5).

of  $0.048 \pm 0.01 M_{\odot}$ . Given that for SN 2004et they did not include the NIR contribution, their value is a lower limit for the  $^{56}\text{Ni}$  mass.

Despite the lack of good data coverage at the transition from the plateau to the tail phase, we used the equations of Elmhamdi, Chugai & Danziger (2003a) that correlate the steepness of the rate of the decline from plateau to tail phase of the bolometric light curve and the mass of  $^{56}\text{Ni}$ . The smaller the  $^{56}\text{Ni}$  mass produced in the explosion, the steeper the decline rate is. Using this method, we estimated the steepness parameter to have a value of 0.068, which gives a  $^{56}\text{Ni}$  mass of  $0.057 \pm 0.02 M_{\odot}$ . Sahu et al. (2006) found a  $^{56}\text{Ni}$  mass of  $0.062 \pm 0.02 M_{\odot}$  and Misra et al. (2007) a  $^{56}\text{Ni}$  mass of  $0.056 \pm 0.016 M_{\odot}$  using this steepness method.

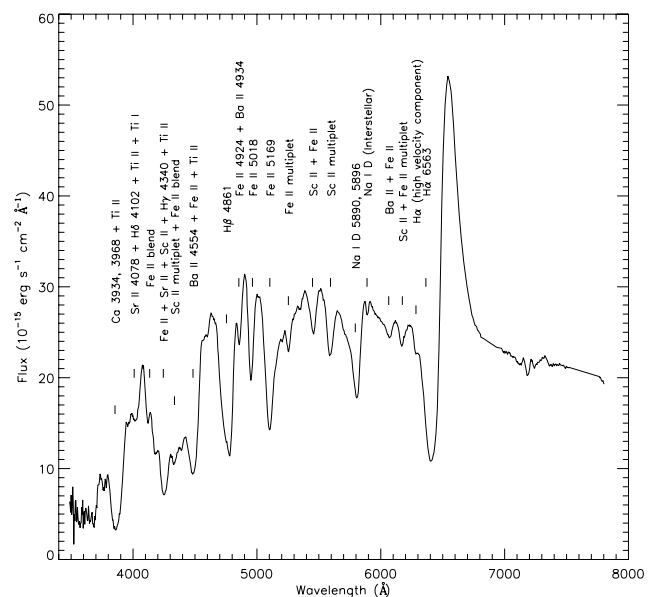
The  $^{56}\text{Ni}$  mass of SN 2004et can also be estimated using the assumption that the tail luminosity of SN 2004et is dominated by the radioactive decay of  $^{56}\text{Ni}$  to  $^{56}\text{Co}$  (Hamuy 2003) and is found to have a value of  $0.05 \pm 0.02 M_{\odot}$  using the BC of  $0.26 \pm 0.06$  mag of Hamuy et al. (2001). When our BC, calculated during the early nebular phase of  $0.33 \pm 0.06$  mag, is used, we obtain a  $^{56}\text{Ni}$  mass of  $0.06 \pm 0.02 M_{\odot}$ . The final assumed  $^{56}\text{Ni}$  mass for SN 2004et used in this paper is taken as the average of the three methods listed above, which gives a value of  $0.056 \pm 0.04 M_{\odot}$ .

## 4 SPECTROSCOPY

### 4.1 Optical spectroscopy

Optical spectroscopic observations of SN 2004et were carried out at the Mt. Ekar 1.82-m Copernico Telescope (Asiago, Italy) with AFOSC and at the TNG using DOLORES, as detailed in Table 4. The data were trimmed, bias corrected, and a normalized flat field was applied before the spectra were extracted using tasks in IRAF's CTIO SLIT package. Wavelength calibration was performed using arc lamp spectra. The instrumental response curves as a function of wavelength were determined using spectrophotometric standard stars. The spectra were then flux calibrated to the photometry taken at the closest epoch. The resolution of each spectra was estimated by measuring the full width at half-maximum (FWHM) of the night sky lines, and the values are given in Table 4.

An optical spectrum of SN 2004et taken at 56 d post-explosion is shown in Fig. 10. The key features of the plateau phase of the SN are marked. The identification of the lines was made by comparing the spectrum to the template plateau phase spectrum of SN 1999em (Leonard et al. 2002a) and SN 1998A (Pastorello et al. 2005), for



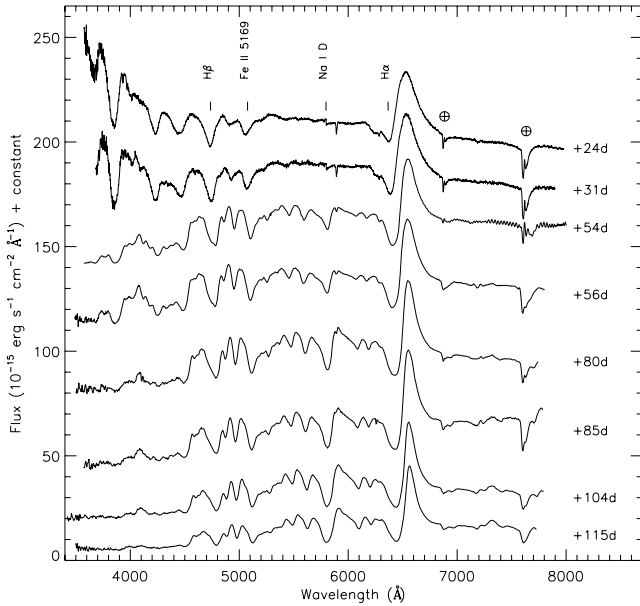
**Figure 10.** Optical spectrum of SN 2004et at 56 d post-explosion (JD 53326.0). The spectrum has been corrected for the recessional velocity of the host galaxy of  $48 \text{ km s}^{-1}$ . The prominent features are labelled.

which detailed line identifications were carried out. The P-Cygni profile that is characteristic of a fast expanding ejecta is most clearly visible in H $\alpha$ , but can also be seen in other elements marked in the spectrum.

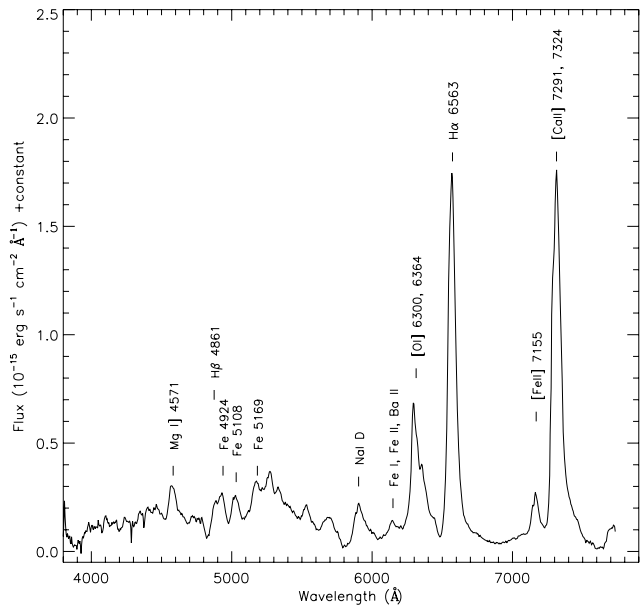
Fig. 11 shows the optical spectroscopic evolution of SN 2004et during the photospheric phase, from +24 to +115 d. The spectra at +24 and +31 d from Sahu et al. (2006) were retrieved from the SUSPECT<sup>2</sup> archive to show the early time evolution of SN 2004et. As the photospheric phase progresses, the blue region of the spectra becomes dominated by metal lines, which add to the increasing absorption troughs of the H Balmer series. The absorption troughs of the H lines become deeper as the photospheric phase progresses before decreasing as the SN enters the nebular phase.

An optical nebular phase spectrum, taken at +401 d post-explosion, is shown in Fig. 12. The most prominent emission lines

<sup>2</sup> <http://bruford.nhn.ou.edu/~suspect/index1.html>

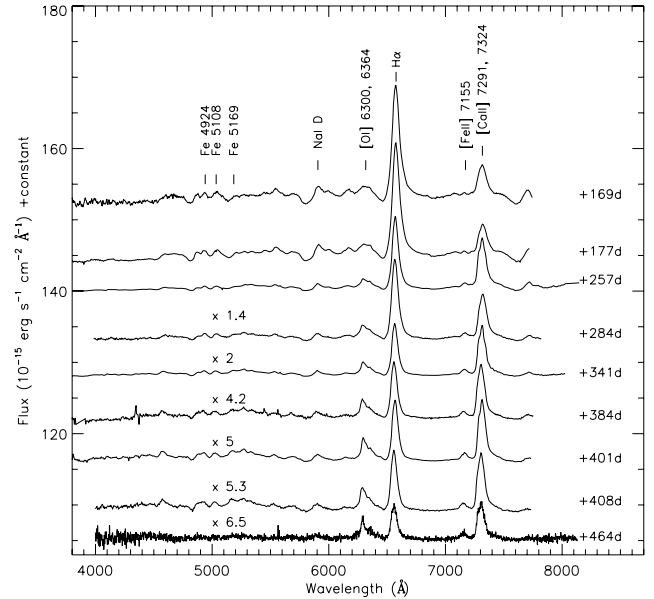


**Figure 11.** Optical spectroscopic evolution of SN 2004et during the photospheric phase with days marked since the explosion epoch (JD 245 3270.5). The spectra have been corrected for the recessional velocity of the host galaxy of  $48 \text{ km s}^{-1}$ . Some key emission and absorption features are labelled. The spectra of +24 and +31 d are taken from Sahu et al. (2006).



**Figure 12.** Optical spectrum of SN 2004et at 401 d post-explosion. The spectrum has been corrected for the recessional velocity of the host galaxy of  $48 \text{ km s}^{-1}$ . The prominent features are labelled.

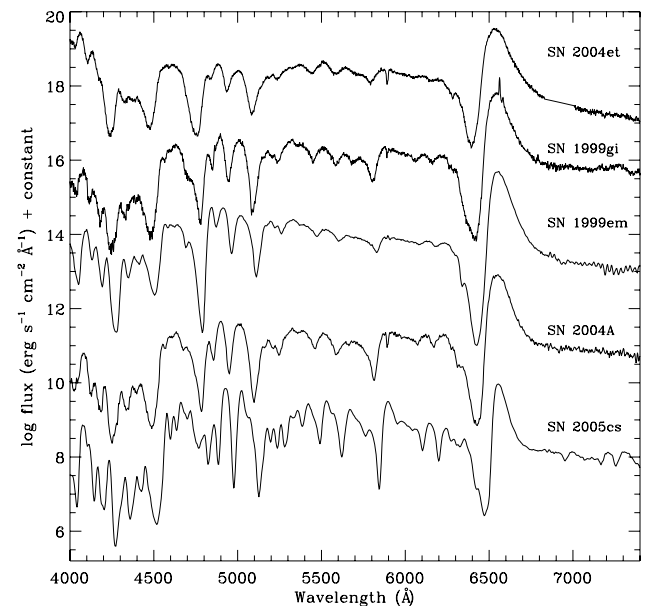
have been marked following the line identifications of Leonard et al. (2002a) for SN 1999em. The spectrum is seen to be dominated by forbidden emission lines such as [O I] 6300, 6364 Å, [Fe II] 7155 Å, [Ca II] 7291, 7324 Å and a strong H $\alpha$  emission line. The H $\alpha$  and [Ca II] 7291, 7324 Å doublet have comparable luminosities. The individual components of the [Ca II] doublet are not resolved. Fig. 13 shows the overall spectroscopic evolution of SN 2004et during the nebular phase. The spectrum of +464 d is taken from Sahu et al. (2006). At early nebular phases, it is seen that the most prominent feature is the H $\alpha$  emission line. The blue part of the nebular spectra



**Figure 13.** Optical spectroscopic evolution of SN 2004et during the nebular phase with days since the explosion epoch marked next to spectra. Emission lines are seen to be the dominant features during this phase.

is dominated by Fe II and [Fe II] lines. Utrobin & Chugai (2009) investigated possible asymmetry in the structure of SN 2004et by fitting three Gaussian components to each of the lines of the [O I] 6300, 6364 Å doublet in a +301 d spectrum. Their results showed a non-negligible contribution from asymmetric components and from this, they inferred a bipolar structure for SN 2004et, which has also been shown for SN 1987A, SN 1999em and SN 2004dj (Utrobin 2007).

A comparison between an optical photospheric spectrum of SN 2004et and other IIP SNe, SN 1999gi, SN 1999em, SN 2004A and SN 2005cs, all taken at  $\sim 40$  d post-explosion, is shown in Fig. 14.



**Figure 14.** Comparison of coeval optical photospheric spectra of Type IIP SNe at  $\sim 39$  d post-explosion. The spectrum of SN 2004et is taken from Sahu et al. (2006) and the spectrum of SN 2004A is from Appendix B. The other spectra are taken from the references given in Table 3.

**Table 5.** Log of NIR spectroscopic observations.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	Telescope + Instrument	Grism	Range ( $\mu\text{m}$ )	Resolution ( $\text{\AA}$ )
2004/09/29	53277.9	7.4	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/09/30	53278.8	8.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/01	53279.9	9.4	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/02	53280.8	10.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/03	53281.9	11.4	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/04	53282.8	12.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/05	53283.9	13.4	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/07	53285.8	15.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/08	53286.8	16.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/09	53287.8	17.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/18	53296.8	26.3	AZT-24+SWIRCAM	<i>I+J</i>	0.84–1.32	38
2004/10/20	53298.9	28.4	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/21	53299.8	29.3	AZT-24+SWIRCAM	<i>I+J</i>	0.84–1.32	38
2004/10/22	53300.8	30.3	AZT-24+SWIRCAM	<i>I+J</i>	0.84–1.32	38
2004/10/23	53301.7	31.2	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/25	53303.8	33.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/10/27	53305.8	35.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/11/17	53326.8	56.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/11/21	53330.8	60.3	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/11/24	53333.7	63.2	AZT-24+SWIRCAM	<i>I+J, H+K</i>	0.84–1.32, 1.45–2.38	38, 72
2004/12/06	53345.7	75.2	AZT-24+SWIRCAM	<i>I+J</i>	0.84–1.32	19
2005/07/25	53576.6	306.1	TNG+NICs	AMICI prism	0.8–2.5	See text

<sup>a</sup>Relative to the epoch of date of explosion (JD = 245 3270.5).

The spectrum of SN 2004et is taken from Sahu et al. (2006), while for SN 2004A see Appendix B. The other spectra are from the references given in Table 3. SN 2004et has the most blueshifted absorption minima of all the SNe of this sample, which suggests a higher expansion velocity for the outer ejecta. However, it will be seen in Section 4.3 that the photospheric expansion velocity of SN 2004et calculated from weak metal lines is similar to that of SN 1999em.

## 4.2 Near-infrared spectroscopy

NIR spectroscopic observations were obtained at 22 epochs from +7–65 d post-explosion at the 1.08-m AZT-24 telescope Campo Imperatore Observatory (Italy) with SWIRCAM, as detailed in Table 5. A late-time spectrum was obtained with the TNG+NICs on 2005 July 25 (+306 d post-explosion).

The reduction of the NIR spectra was carried out using IRAF's ONEDSPEC package. Pairs of spectra (labelled spectrum A, spectrum B) were obtained immediately after each other with the SN at two different positions along the slit. Each pair of images were subtracted from each other (A–B) so that the sky background was removed from the 2D spectrum of the SN. Three pairs of images were obtained for each epoch and for each grism. These subtracted pairs were then added together to produce a 2D spectrum of a higher signal-to-noise ratio. From these combined images, the SN spectrum was then extracted and any residual background that remained in the wings of the aperture was also subtracted off.

No arc lamp spectra were available, so the sky lines in the original non-subtracted images were used to perform the wavelength calibration for the early time spectra. This was carried out by extracting along the same line as the SN but in the other image of the pair so that only the background along that line remained. These sky spectra were then used as ‘arcs’ due to the well-known features of atmospheric OH<sup>+</sup> ions. A further check of the wavelength cali-

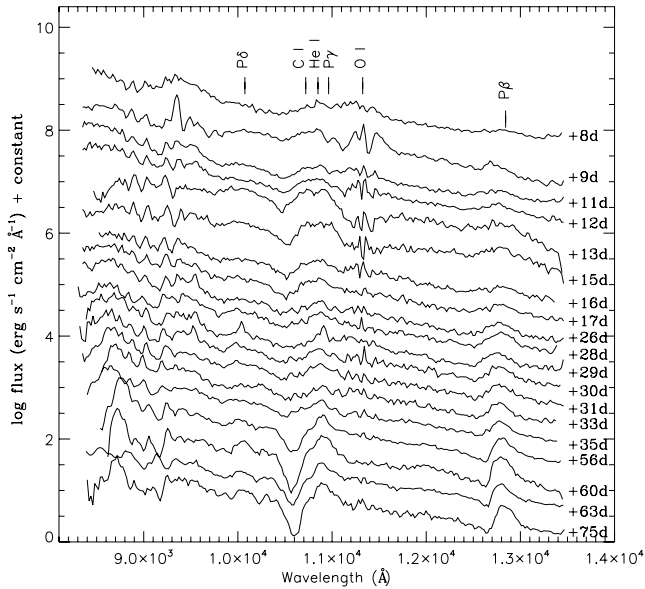
bration was carried out using the telluric features present in the SN spectra.

The late-time spectrum from the TNG was obtained using the low-resolution Amici prism with a wavelength range of 0.8–2.5  $\mu\text{m}$ . The resolution of this spectrum is not given in Table 5 because the Amici prism has a nearly constant resolving power and so the dispersion varies by more than a factor of 3 over the spectral range. Due to this very low resolution, the arc lamp lines are blended; so a look-up table<sup>3</sup> detailing pixel coordinate against wavelength is used to perform the wavelength calibration. The pixel coordinates are converted to wavelengths using this table and then a further correction is performed to the fit using prominent emission lines of the SN. The SN spectrum was divided by a G-type telluric standard star to remove the strong NIR telluric features. The instrumental response of the system was found by comparing the standard star observation to that of a solar-type spectrum. Then the SN spectrum was multiplied by this response function to obtain the flux-calibrated spectrum. Finally, the flux calibration of the SN spectra was checked against the NIR photometry from the same epoch and adjusted if necessary.

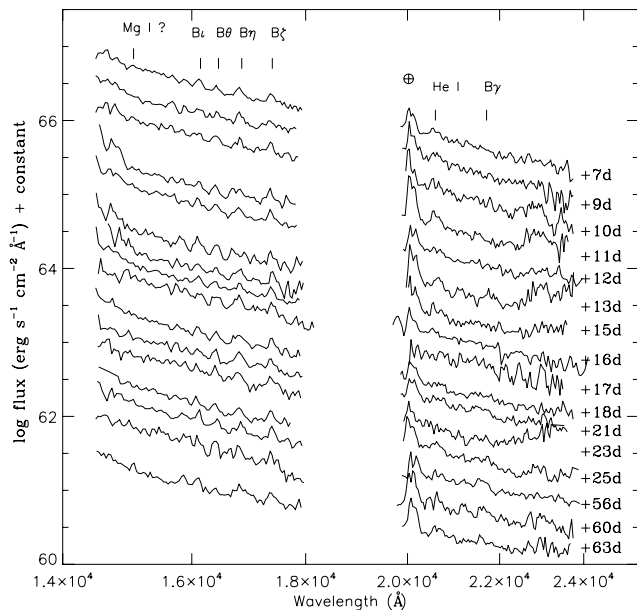
Fig. 15 shows the spectral evolution of the NIR *IJ* ( $\sim 0.85$ – $1.35 \mu\text{m}$ ) band during the photospheric phase. The spectral features show the characteristic P-Cygni profiles of a fast moving ejecta, which are also visible in the optical spectra during the photospheric phase. Some lines of the Paschen series of H are seen, along with C I, He I and O I lines. The wavelength region between  $\sim 1.8 \mu\text{m}$  and just before  $2 \mu\text{m}$  was lost due to strong atmospheric absorption in this region. The spectra are of low resolution, so not many spectral features can be determined unambiguously. The resolution of each spectra was calculated from the slit width and the dispersion, and these values are quoted in Table 5.

The spectral evolution of the NIR *HK* band ( $\sim 1.45$ – $2.2 \mu\text{m}$ ) is shown in Fig. 16. Five members of the Brackett series of H have

<sup>3</sup> <http://www.tng.iac.es/instruments/nics/spectroscopy.html>



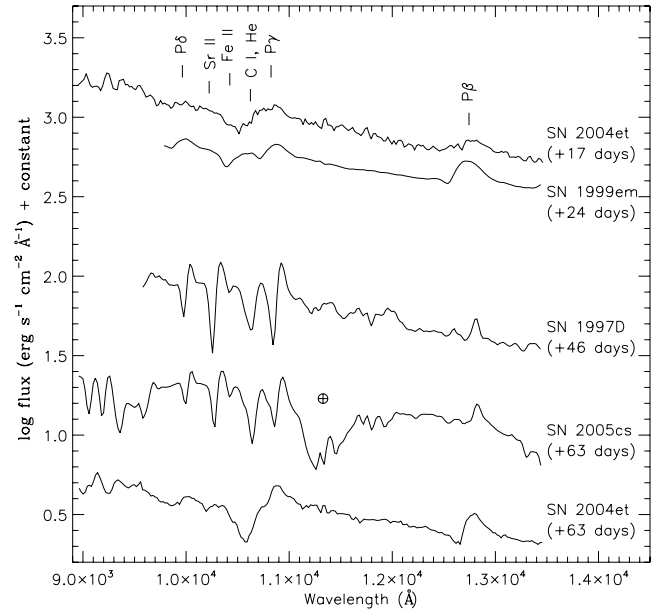
**Figure 15.** *IJ*-band spectral evolution of SN 2004et during the photospheric phase from +8 to +75 d post-explosion. The positions of the most prominent spectral features are marked.



**Figure 16.** *HK*-band spectroscopy during the photospheric phase from +7 to +63 d post-explosion. The positions of the most prominent spectral features are marked.

features that are visible in the *HK*-band spectra. The *HK* band does not show any major evolution between +7 and +63 d. Like the *IJ*-band spectra, the resolution of the spectra is low so not many spectral features other than the H Brackett series, He I and possibly Mg I can be identified. The feature identification of both the *IJ* and *HK* bands was carried out following Fassia et al. (2001) and Gerardy et al. (2000).

Fig. 17 shows a comparison of two photospheric phase *IJ*-band spectra of SN 2004et with other Type IIP SNe for which NIR spectra were available at roughly coeval epochs, SN 1997D (Benetti et al. 2001), SN 1999em (Hamuy et al. 2001) and SN 2005cs (Pastorello et al. 2009). To ensure a realistic comparison between spectra of dif-



**Figure 17.** Comparison of the *IJ*-band spectra of SN 1999em, SN 1997D and SN 2005cs with two spectra of SN 2004et at epochs roughly matching the comparison SNe. The positions of the most prominent spectral features are marked.

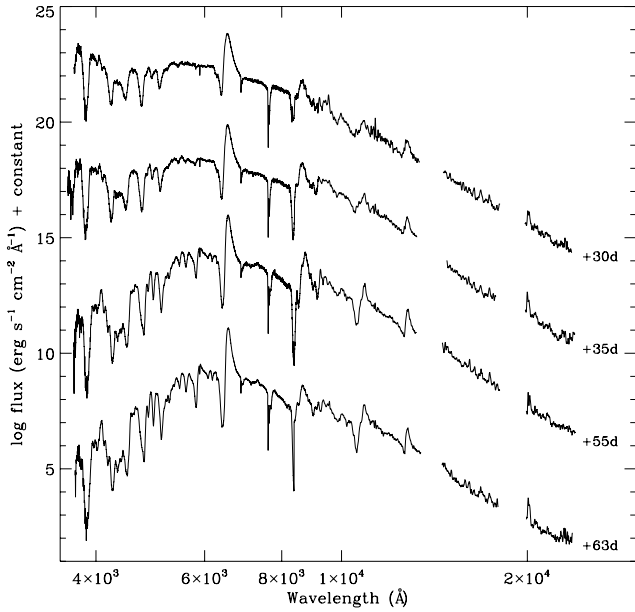
ferent resolutions, the spectra have been smoothed to the resolution of the worst spectrum. The lowest resolution spectra are those of SN 2004et with a resolution of 38 Å. The comparison spectra have been convolved with a Gaussian with an FWHM equal to this resolution and then the smoothed spectra have rebinned to a common dispersion relation. Note that the telluric features in the spectrum of SN 2005cs have not been well removed because the telluric standard spectrum was taken a few days after that of SN 2005cs (Pastorello et al. 2009).

At  $\sim 20$  d, the spectra of SN 2004et and SN 1999em show mainly the features of the Paschen series of H. For the later epoch, we note that SN 1997D and SN 2005cs had lower expansion velocities and so the separate components making up the feature at  $\sim 1.1 \mu\text{m}$  are identifiable, unlike in the spectra of SN 2004et. The NIR spectra of this selection of Type IIP SNe do show many similarities, with the H Paschen series being particularly prominent in all the spectra. Four combined optical and NIR spectra of SN 2004et are shown in Fig. 18. Some of the optical spectra used in this figure were taken from Sahu et al. (2006) due to their larger wavelength coverage and matching epochs with our NIR data.

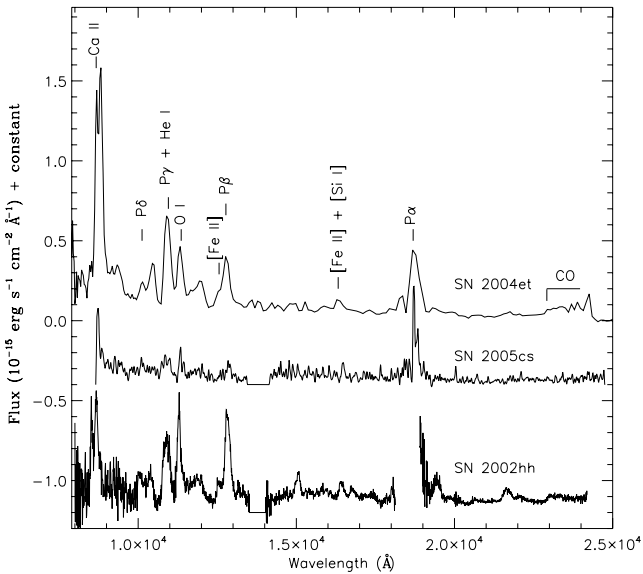
The late-time NIR spectrum of SN 2004et is compared to that of SN 2005cs (Pastorello et al. 2009) and SN 2002hh (Pozzo et al. 2006) in Fig. 19. The line identifications of Hamuy et al. (2001) and Pozzo et al. (2006) were adopted for the nebular spectrum. As in the photospheric phase spectra of SN 2004et the prominent features are those of the Paschen series of H, along with the other heavier elements marked in Fig. 19. Like in the spectrum of SN 2002hh, the first overtone band of CO is also seen in SN 2004et at wavelengths longer than  $2.3 \mu\text{m}$ . This is known as an indicator of dust formation and is discussed in more detail in Section 5.

### 4.3 Expansion velocity

The expansion velocities of H $\alpha$  (6563 Å), H $\beta$  (4861 Å), Sc II (6246 Å) and the average of the Fe II triplet at 4924, 5018, 5169 Å



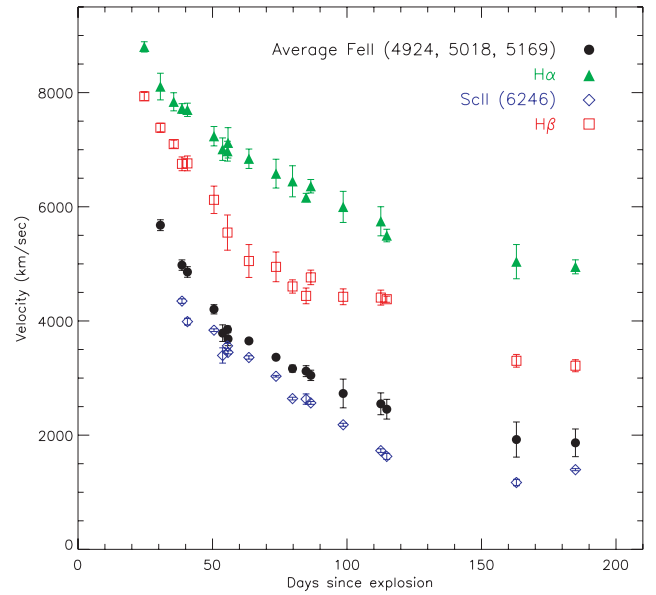
**Figure 18.** Combined optical and NIR spectra of SN 2004et. For the epochs at +55 and +30 d, the NIR spectra were obtained 1 d later than the optical observation. The NIR spectra were combined with optical spectra taken from Sahu et al. (2006) and this paper.



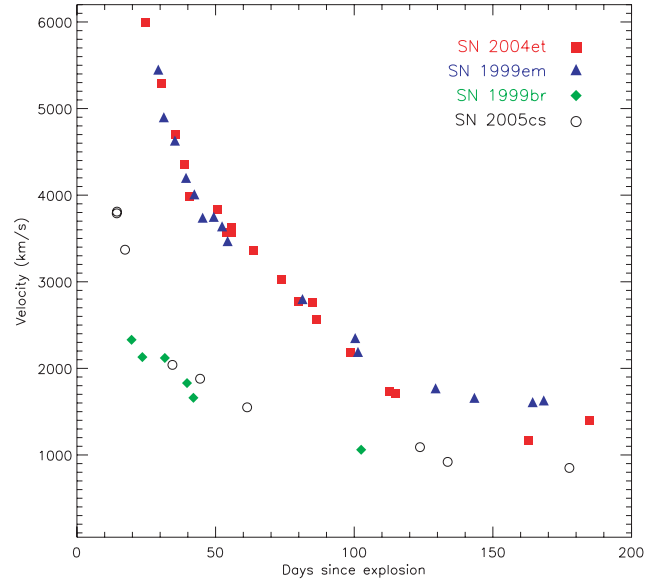
**Figure 19.** The late-time nebular ( $\sim 300$  d) NIR spectrum of SN 2004et is compared to that of SN 2005cs at  $\sim 281$  d (Pastorello et al. 2009) and SN 2002hh at +266 d (Pozzo et al. 2006).

are shown in Fig. 20 as determined by fitting a Gaussian to the absorption profile of each line and measuring the position of the minimum. As is typical for Type IIP SNe,  $H\alpha$  gives higher velocities, indicating line formation at larger radii. Lines with lower optical depths such as Fe II 4924, 5018, 5169 Å are better indicators of the photospheric velocity. Even better in this respect is the Sc II 6246 Å line, which has an even lower optical depth and hence is the most suitable line for determining the expansion velocity of the photosphere.

Fig. 21 shows the photospheric velocity estimated from the Sc II (6246 Å) line of SN 2004et compared with the velocities for three



**Figure 20.** Velocity evolution of some prominent lines of SN 2004et, measured from the minima of their absorption profiles.



**Figure 21.** Comparison of the expansion velocities of SN 2004et and three other Type IIP SNe: SN 1999br, SN 1999em and SN 2005cs, using the Sc II (6246 Å) line. The Sc II line was not visible in the three earliest spectra of SN 2004et and so the expansion velocity for these epochs has been estimated from 0.95 times the velocity of the average of the Fe II 4924, 5018, 5169 Å lines.

other Type IIP SNe, SN 1999br (Pastorello et al. 2004), SN 1999em (Leonard et al. 2002a) and SN 2005cs (Pastorello et al. 2009). The Sc II velocities of the comparison objects were taken from the literature. The photospheric velocity of SN 2004et is seen to have a similar evolution to that of SN 1999em. The velocities of SN 2004et and SN 1999em are, as expected, higher than the velocities of the low-luminosity Type IIP SNe 1999br and 2005cs.



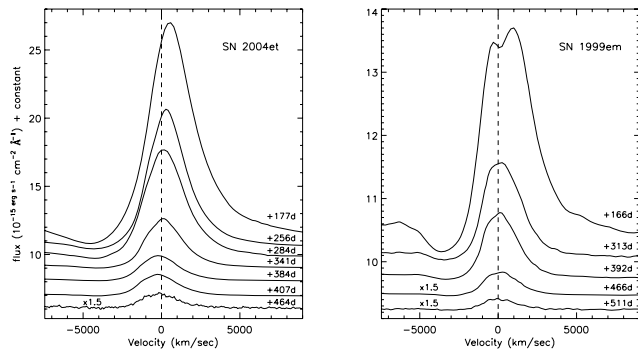
## 5 DUST FORMATION

Dust can form in SN ejecta as they cool during the nebular phase. One of the first signs of dust formation is the formation of molecules containing carbon and silicon, which are the precursors to dust formation (Gerardy et al. 2000; Spyromilio, Leibundgut & Gilmozzi 2001). The first overtone of CO emission is expected at NIR wavelengths longer than  $\sim 2.3 \mu\text{m}$  before the onset of dust. This signature of dust formation is seen in the spectrum of SN 2004et taken at  $\sim 300$  d post-explosion, which is shown in Fig. 19. SN 2002hh also shows a similar CO emission at these wavelengths, unlike SN 2005cs where no significant CO emission band is seen. No earlier NIR nebular spectra of SN 2004et are available to constrain the epoch of molecular formation and no attempt is made to estimate the quantity of CO formed due to the low resolution of the spectrum. The presence of the CO molecular band has been seen in a good fraction of Type IIP SNe with observations at NIR wavelengths such as SN 1987A (Spyromilio et al. 1988), SN 1995ad (Spyromilio & Leibundgut 1996), SN 1998dl (Spyromilio et al. 2001), SN 1999em (Spyromilio et al. 2001), SN 2002hh (Pozzo et al. 2006) and now SN 2004et. This suggests that Type IIP SNe must cool significantly within a few hundred days of explosion to allow first molecular formation and then dust formation.

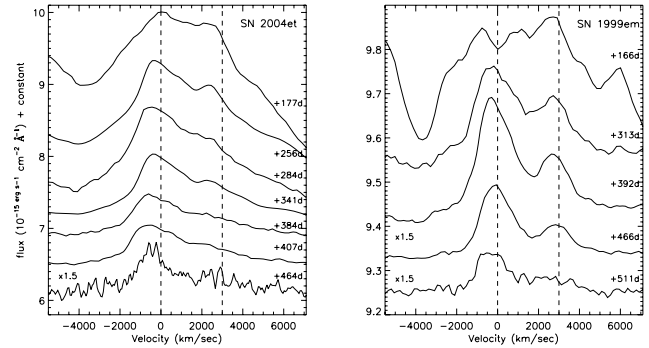
Other indicators of dust formation include a blueshift of the spectral emission lines of elements such as H $\alpha$  and [O II] 6300, 6364 Å, an optical luminosity decrease, an NIR excess at late times or thermal emission from newly formed dust grains at MIR wavelengths.

A blueshift of the peaks of emission lines is caused by residual opacity in the central part of the ejecta due to dust particles and results in an attenuation of the red wing of the line profile. Sahu et al. (2006) noted that this blueshift in the peaks of the H $\alpha$  and [O I] 6300, 6363 Å occurred after  $\sim 300$  d. Using the spectra of Sahu et al. (2006) and the additional spectra published in this work, the blueshift of the emission lines was quantified. The size of the shift can be determined by measuring the wavelength position of the centroid of the H $\alpha$  emission line. For the spectra between 163 and 300 d post-explosion, the peak of the H $\alpha$  emission line was found to be at  $+280 \pm 50 \text{ km s}^{-1}$ . The spectrum at 313 d and all subsequent spectra out to the last at 464 d show a constant blueshift to  $-137 \text{ km s}^{-1}$ .

Figs 22 and 23 show the temporal evolution of the H $\alpha$  and [O I] 6300, 6364 Å line profiles in SN 2004et compared with those of SN 1999em. Elmhamdi et al. (2003b) showed for SN 1999em that there was an observable blueshift of the emission lines at  $\sim 500$  d, which they suggest is caused by dust formation. We measured the rest-



**Figure 22.** Evolution of the line profiles of H $\alpha$  for SN 2004et (left-hand panel) and for SN 1999em (right-hand panel). The vertical line in the panels corresponds to the zero velocity of H $\alpha$  at 6563 Å.



**Figure 23.** Evolution of the line profiles of [O I] 6300, 6364 Å for SN 2004et (left-hand panel) and for SN 1999em (right-hand panel). The vertical lines in the panels correspond to the zero velocities of the [O I] doublet at 6300, 6364 Å, respectively.

frame position of the H $\alpha$  peak in SN 1999em to be  $+182 \text{ km s}^{-1}$  at 466 d shifting to  $-91 \text{ km s}^{-1}$  at 642 d using spectra taken from Elmhamdi et al. (2003b). Danziger et al. (1991) showed that for SN 1987A, an observable blueshift was seen in the emission peaks of the [O I] doublet, beyond approximately 400 d post-explosion. This can again be measured from the H $\alpha$  peak as  $+164 \text{ km s}^{-1}$  at  $+440$  d,  $-156 \text{ km s}^{-1}$  at  $+501$  d and then increasing to  $-320 \text{ km s}^{-1}$  at  $+628$  d. The larger blueshift in the emission lines of SN 1987A compared to SN 1999em can be attributed to either a larger mass of dust forming in the ejecta or a geometrical effect. The emission lines of SN 2004et experienced a blueshift at an earlier epoch than either SN 1987A or SN 1999em and had intermediate values to those of SN 1987A and SN 1999em.

A decrease in the luminosity of the optical bands at late time is also thought to be indicative of scattering of optical photons off dust particles formed in the inner or outer envelope. Fig. 3 showed a steepening in the slope of the optical *BVR* bands, as detailed in Section 3.1, and suggests that the steepening of the slope occurs at  $\sim 300$  d. This epoch of 300 d for SN 2004et is consistent with the dust formation range estimated from the blueshift of nebular emission lines. Finally, Kotak et al. (2009) observed thermal emission from newly formed dust grains at MIR wavelengths and presented evidence for an IR echo from the interstellar dust of the host galaxy that manifests itself as a cold component of the spectral energy distribution of SN 2004et.

## 6 ESTIMATE OF O MASS

The mass of O in Type IIP SNe can be estimated from an analysis of the [O I] 6300, 6364 Å lines (Uomoto 1986; Li & McCray 1992; Chugai 1994; Elmhamdi et al. 2003b). The luminosity of the [O I] doublet in SN 2004et can be compared to that of SN 1987A during the late nebular phase but before dust formation. Dust formation did not occur until at least 400 d post-explosion for 1987A (Danziger et al. 1991), but for SN 2004et dust formation appeared to occur at  $\sim 300$  d post-explosion. To make a consistent comparison, spectra from  $\sim 285$  d post-explosion were used. The luminosities of the [O I] 6300, 6364 Å doublet along with the luminosities of what is thought to be the [Ca II] 7291, 7324 Å doublet are given in Table 6 for a selection of Type IIP SNe. The feature at 7300 Å could also have a contribution from the [O II] 7319, 7330 Å doublet, particularly as SN 2004et is likely to be an O-rich SN (see below).

The spectrum of SN 1987A from 1987 December 09 was taken from Terndrup et al. (1988). Spectra of SN 1999em and SN 2005cs

**Table 6.** Spectral information used in equations (4) and (5). The spectra of SN 1987A and SN 2004et are both taken at  $\sim 285$  d post-explosion. The luminosities for SN 1999em and SN 2005cs were interpolated as detailed in Section 6.

SN	Luminosity [O I] 6300, 6364 Å ( $\times 10^{39}$ erg s $^{-1}$ )	Luminosity [Ca II] 7291, 7324 Å ( $\times 10^{39}$ erg s $^{-1}$ )	Min. O mass from equation (5) ( $M_{\odot}$ )	O mass from equation (4) ( $M_{\odot}$ )
1987A	$2.0 \pm 0.1$	$5.6 \pm 0.2$	0.3–1.1	1.2–1.5 <sup>4</sup>
1999em	$0.7 \pm 0.4$	$1.4 \pm 0.5$	0.1–0.4	0.6–0.8
2004et	$1.6 \pm 0.4$	$3.6 \pm 0.5$	0.2–0.9	1.2–1.5
2005cs	$0.05 \pm 0.02$	$0.15 \pm 0.02$	0.003–0.01	0.8–0.9

were not available at the epoch of  $\sim 285$  d post-explosion, so spectra before and after this epoch were used and an interpolated value was derived. The spectra of SN 1999em were taken from Leonard et al. (2002a) at +168 and +313 d post-explosion. The spectra of SN 2005cs were obtained from Pastorello et al. (2009) at +281 and +333 d post-explosion. Flux calibration of the spectra used has been carried out by comparing to photometry at the same epoch.

The luminosity of the [O I] doublet is lower for SN 2004et than for SN 1987A. The [O I] doublet luminosity at late epochs is powered by  $\gamma$ -rays being deposited in O-rich material, and a relation between the O mass and line luminosity can be written as (Elmhamdi et al. 2003b)

$$L_{[\text{O I}]} = \eta \frac{M_{\text{O}}}{M_{\text{exc}}} L_{\text{Co}}, \quad (4)$$

where  $M_{\text{exc}}$  is the ‘excited’ mass in which the  $\gamma$ -ray deposition takes place,  $\eta$  is the efficiency of the transformation of the energy deposited in the O mass into the luminosity of the [O I] doublet,  $L_{[\text{O I}]}$  is the O luminosity and  $L_{\text{Co}}$  is the luminosity of  $^{56}\text{Co}$ . The ratio of the mass of O in SN 2004et to that in SN 1987A can be estimated, making the assumptions that  $\eta$  and the ‘excited’ mass are similar for both SNe.

The  $L_{\text{Co}}$  is directly related to the mass of  $^{56}\text{Ni}$ , which was estimated from the nebular phase bolometric light curve in Section 3.3 to be  $0.056 \pm 0.04 M_{\odot}$ . The O mass for SN 1987A was found to be in the range of 1.2–1.5  $M_{\odot}$  (Li & McCray 1992; Chugai 1994; Kozma & Fransson 1998). In SN 2004et, the [O I] doublet luminosity is  $\sim 35$  per cent lower than in SN 1987A and at the same time the  $^{56}\text{Ni}$  mass is  $\sim 25$  per cent lower. The two differences almost match and following equation (4) a similar O mass is derived. The values obtained for the O mass of SN 1999em and SN 2005cs are also given in Table 6, where the  $^{56}\text{Ni}$  mass of SN 1999em was estimated to be 0.05  $M_{\odot}$  from a comparison of its bolometric luminosity with that of SN 1987A using the Cepheid distance of 11.7 Mpc taken from Leonard et al. (2003). The  $^{56}\text{Ni}$  mass of SN 2005cs was taken as 0.003  $M_{\odot}$  from Pastorello et al. (2009).

Uomoto (1986) estimated the minimum mass of O needed to produce the [O I] emission lines using the equation

$$M_{[\text{O I}]} = 10^8 F_{[\text{O I}]} D^2 e^{2.28/T_4}, \quad (5)$$

where  $F_{[\text{O I}]}$  is the [O I] doublet flux in units of erg s $^{-1}$ ,  $D$  is the distance to the SN in units of Mpc and  $T_4$  is the temperature in units of  $10^4$  K. From Liu & Dalgarno (1995), the O temperature of SN 1987A at 300 d was  $\sim 4200$  K. Assuming a similar O temperature for SN 2004et at a comparable epoch, the  $M_{[\text{O I}]}$  of SN 2004et was calculated for temperatures in the range of 3500–4500 K. The minimum O masses determined using equation (5) are given in Table 6. For SN 1999em and SN 2005cs, the O temperature was also assumed to be similar to that of SN 1987A and the lower limit of the mass of O needed to produce the [O I] emission lines is also estimated from equation (5).

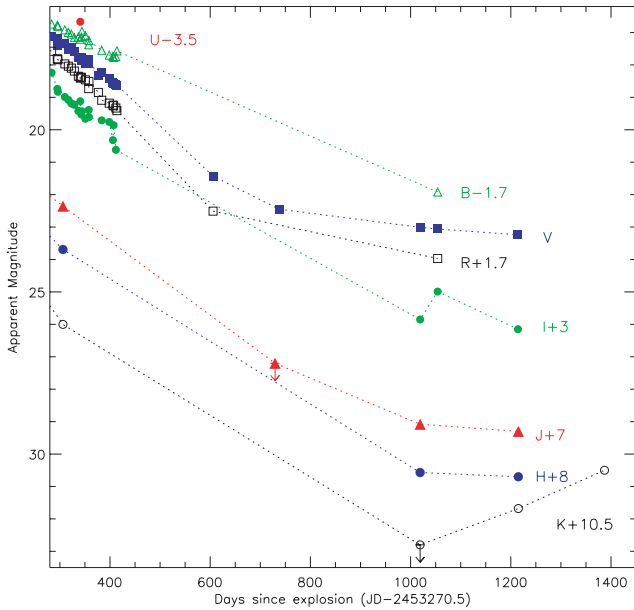
The lower limit of the O mass for SN 1987A is consistent with the O mass range set by Li & McCray (1992) and Chugai (1994). Equation (5) is most sensitive to the O temperature, and this is the main source of uncertainty in the calculation. The mass of O in SN 2004et is very similar to that of SN 1987A, while the O masses of the ‘normal’ Type IIP, SN 1999em and the low-luminosity SN 2005cs are found to be lower using both methods. Despite the low luminosity of the [O I] doublet seen for SN 2005cs, equation (4) is inversely proportional to the  $L_{\text{Co}}$ , which is also low for SN 2005cs, and this results in similar O masses for SN 1999em and SN 2005cs. The similar O masses of SN 2004et and SN 1987A could suggest that they have similar main-sequence progenitor masses, while SN 2005cs and SN 1999em have lower O masses and could be associated with lower mass progenitor stars. Woosley & Weaver (1995) suggested that a main-sequence star of a mass of 20  $M_{\odot}$  would produce an O mass of 1.5  $M_{\odot}$  and so points towards relatively high-mass stars as the progenitors of Type IIP SNe. The discrepancies between direct imaging of SN progenitors and other estimates of the mass will be discussed in Section 8 for SN 2004et and more generally for Type IIP SNe in Section 9.

## 7 VERY LATE-TIME PHOTOMETRY OF SN 2004et

The late-time optical and NIR *HST* data described in Section 2 and detailed in Tables 1 and 2 are discussed here in more detail. The two epochs of *HST* data were obtained at +1019 and +1214 d post-explosion in five filters (two optical and three NIR). Crockett et al. (2009) also obtained observations of SN 2004et with the WHT in four filters, *BVRI* on 2007 August 12 (+1054 d), along with a ground-based adaptive optics image with the Gemini North Telescope using Altair/NIRI on 2008 July 10 (+1387 d), which gave  $K \approx 20.0$  mag.

The late time evolution of SN 2004et at optical and NIR wavelengths is shown in Fig. 24. The *HST* magnitudes have not been converted to standard *VIJHK* filters because of the uncertainties in the transformation equations for SN spectra at such late phases. In this respect, the increase in the magnitude between the +1019 and +1054 d observations in the *I* band should not be regarded as significant.

Ignoring this small increase, the trend between +1019 and +1214 d in all the bands is a levelling off of the magnitude decrease. The light curves at early times are powered by the radioactive decay of  $^{56}\text{Co}$  to  $^{56}\text{Fe}$  but at around +1000 d other radioactive nuclides become important. In particular Fransson & Kozma (2002) showed for SN 1987A that the decay of  $^{57}\text{Co}$  became dominant after  $\sim 1100$  d, while at epochs greater than 2000 d,  $^{44}\text{Ti}$  is the dominant source of energy. This could account for some of the flattening in the light curves between +1019 and +1214 d but not for the clear increase in the magnitude that is seen in the Gemini *K*-band magnitude at +1387 d.



**Figure 24.** Late-time multiband photometry of SN 2004et from +300 d post-explosion to the latest Gemini North image taken on 2008 July 10 (+1387 d).

The late-time optical spectra (after  $\sim 800$  d) of Kotak et al. (2009) showed signs of an interaction between the ejecta and CSM in the wide boxy profiles of the  $H\alpha$ ,  $[\text{Ca II}]$  and  $[\text{O I}]$  emission lines. This may be the source for the additional luminosity at late times. Kotak et al. (2009) also suggested that there could be late-time contributions to the luminosity from dust condensation in the cool dense shell left behind the reverse shock wave. The presence of interaction between the SN ejecta and the progenitor CSM is further backed up by Kotak et al. (2009) by the discovery of a significant re-brightening in MIR *Spitzer* data. They also suggested a flux increase at optical wavelengths, which is however less convincing. They estimated the broad-band magnitudes of the *BVRI* bands by fitting a blackbody template to late-time Keck spectra. Their magnitudes estimated from a spectrum at +1146 d are  $\sim 0.5$  mag brighter in the V band and  $\sim 0.3$  mag brighter in the I band than the WHT images at +1054 d. In addition, their data set does not include the *HST* photometry from +1214 d, which does not show any increase and is actually slightly fainter than that taken with the *HST* at +1019 d. Therefore, Fig. 24 does not include the magnitudes estimated from the spectra presented in Kotak et al. (2009).

## 8 PROGENITOR MASS: HIGH MASS OR LOW MASS?

The focus of this work is to present and analyse the extensive data set of SN 2004et, and therefore detailed modelling of this SN is outside the purpose of this investigation. For most of the results on hydrodynamic modelling reported in the following sections, we will refer to work already published in the literature. Utrobin & Chugai (2009) used a detailed 1D hydrodynamical code to estimate the progenitor mass of SN 2004et. They modelled the bolometric light curve and the photospheric velocities of SN 2004et using hydrodynamical simulations to obtain an ejected mass of  $24.5 \pm 1 M_{\odot}$  and an estimated progenitor mass on the main sequence in the range of  $25\text{--}29 M_{\odot}$ . Utrobin & Chugai (2009) suggested that the mass for SN 2004et obtained from the hydrodynamical modelling

could be overestimated due to their use of a 1D approximation. This approximation only artificially includes the effects of mixing between the He core and the H envelope and clearly cannot take into account any asphericity in the explosion. We stress that evidence for asymmetries has been found from polarimetric measurements for five other IIP SNe (e.g. Leonard et al. 2006).

Young (2004) performed a hydrodynamical parameter study of Type II SNe and SN 2004et can be compared to the models in this study. SN 2004et is found to be most similar to model B, which is a  $20 M_{\odot}$  main-sequence mass star with a pre-explosion radius of  $431 R_{\odot}$ , explosion energy of  $10^{51}$  erg and an ejected nickel mass of  $0.07 M_{\odot}$ . The ejected mass taking into account mass loss and remnant mass is  $16 M_{\odot}$ . The resulting observational parameters of this model are a plateau duration of  $\sim 100$  d and an absolute V-band magnitude of  $\sim -16.7$  mag, both of which are similar to the values measured observationally for SN 2004et.

In Section 9, the ejected mass of SN 2004et is estimated using the hydrodynamical equations of Litvinova & Nadězhin (1985) and observational properties of SN 2004et during the plateau phase to be  $14 \pm 6 M_{\odot}$ . The mass of the neutron star remnant of  $\sim 1.4 M_{\odot}$  (Bogdanov, Rybicki & Grindlay 2007) and the mass loss due to stellar winds of  $\sim 1 M_{\odot}$ , can be added to the ejected mass to give a main-sequence mass of  $\sim 16.4 \pm 6 M_{\odot}$ . Chugai (1994) used the nucleosynthesis models of Woosley & Weaver (1995) to estimate the main-sequence mass of SN 1987A to be  $\sim 20 M_{\odot}$  from their calculated O mass. The O mass range obtained for SN 2004et from the analysis of the nebular spectra is seen to be of a comparable size to the O mass of SN 1987A and hence we may guess a similar main-sequence mass for SN 2004et of  $\sim 20 M_{\odot}$ .

Chevalier et al. (2006) showed that the radio and X-ray properties of SN 2004et were similar to those of other ‘normal’ Type IIP SNe. SN 2004et had the highest radio luminosity of the sample studied. It was also shown using radio properties that the mass-loss rate of  $\sim 2 \times 10^{-6} M_{\odot} \text{ yr}^{-1}$  before explosion for SN 2004et is consistent with the mass-loss rate expected for a progenitor star of  $\sim 20 M_{\odot}$ . These estimates of the progenitor mass of SN 2004et suggest that the main-sequence mass is towards the higher end of masses for Type IIP SNe. This seems consistent with the bolometric light curve, which has one of the highest luminosities of the sample of IIP SNe shown in Fig. 6. The  $^{56}\text{Ni}$  mass ejected by SN 2004et was found to have a value of  $0.056 \pm 0.04 M_{\odot}$ , which is relatively high for a Type IIP SN.

However, Crockett et al. (2009) have studied pre-explosion images of the site of SN 2004et and found a progenitor star with a main-sequence mass of  $8_{-1}^{+5} M_{\odot}$ . This value is lower than the estimates determined from studying the explosion parameters. The discrepancies between the progenitor mass estimates for Type IIP SNe obtained using these two approaches have already been noted by Smartt et al. (2009) and Utrobin & Chugai (2008, 2009). Section 9 discusses in more detail these discrepancies for a sample of 10 well-studied Type IIP SNe.

## 9 EXPLOSION PARAMETERS OF TYPE IIP SNe

The progenitor and explosion properties of Type IIP SNe can be studied in a number of ways. The observational properties of an SN such as the magnitude, expansion velocity and plateau length can be measured, and models can then be used to determine the explosion parameters such as the ejected mass, explosion energy and pre-explosion radius of the star (e.g. Zampieri et al. 2003). Litvinova & Nadězhin (1983, 1985) used these observational properties of SNe

at mid-plateau as inputs to hydrodynamical equations to estimate their explosion parameters. Hamuy (2003) determined the physical properties of 24 Type IIP SNe, using the equations of Litvinova & Nadëzhin (1985), but obtained much higher values than those obtained by pre-explosion imaging, particularly for the ejected mass with values in the range of 14–56  $M_{\odot}$ . Nadëzhin (2003) also derived the properties of a sample of Type IIP SNe, using a subset of the observational data presented by Hamuy (2003), and found values for the ejected mass of 10–30  $M_{\odot}$ , which are more consistent with those obtained using direct imaging of the pre-explosion stars.

More complex hydrodynamical models have also been developed for the analysis of the explosion parameters of Type IIP SNe. SNe with good photometric and spectroscopic coverage have been studied such as SN 1987A (Utrobin 1993; Blinnkov, Lundqvist & Bartunov 2000; Utrobin 2004), SN 1997D (Chugai & Utrobin 2000; Zampieri et al. 2003), SN 1999br (Zampieri et al. 2003; Pastorello et al. 2004), SN 1999em (Utrobin 2007), SN 2003Z (Utrobin, Chugai & Pastorello 2007), SN 2004et (Utrobin & Chugai 2009) and SN 2005cs (Utrobin & Chugai 2008; Pastorello et al. 2009). They have used models that include not only the explosion energy, pre-explosion radius and ejected mass as the input parameters but also the  $^{56}\text{Ni}$  mass. This leads to a different set of relations for determining the physical parameters of the explosion than those determined by Litvinova & Nadëzhin (1985). Zampieri (2005, 2007) presented a systematic analysis of Type IIP SNe using a 1D, Lagrangian radiation hydrodynamics code and a semi-analytic code. The SN parameters were estimated by performing a simultaneous comparison of the observed and simulated light curves, the evolution of line velocities and the continuum temperature. In some cases, these more complex models also find significantly higher masses than those obtained from direct imaging but for other SNe there is reasonably good agreement (cf. Table 7). SN 1987A shows excellent agreement between the mass of its progenitor and hydrodynamical modelling (e.g. Utrobin 1993; Blinnkov et al. 2000; Pastorello et al. 2005).

Smartt et al. (2009) presented estimates of the masses (and upper mass limits) of the progenitors of 20 of the nearest IIP SNe in the last 10 yr. These mass estimates came from deep high-resolution images of the SN field before explosion and gave an initial mass

from the progenitors in the range of 8–17  $M_{\odot}$ . If it is assumed that a typical red supergiant loses around 1  $M_{\odot}$  due to stellar winds and a neutron star remnant is left behind with an average mass of 1.4  $M_{\odot}$  (Bogdanov et al. 2007), then the ejected masses would be in the range of 6–15  $M_{\odot}$ , which are in general lower than those obtained from hydrodynamical modelling.

Although the models of Litvinova & Nadëzhin (1983, 1985) are based on simple hydrodynamics and use assumptions that do not completely describe the conditions of the explosion, they can be used to compare the properties of a sample of Type IIP SNe if they are used in a consistent manner. In this paper, we use the sample of Type IIP SNe from Smartt et al. (2009), which have either had their progenitor star identified or had a detection limit for the mass determined, to further investigate the apparent discrepancy between the low masses obtained from pre-explosion imaging and the high masses obtained with models. The models of Litvinova & Nadëzhin (1983, 1985) are used with the same host galaxy distances and extinctions that were applied in the analysis of Smartt et al. (2009). We have collected photometric and spectroscopic data for as many of these objects as possible and measured the relevant parameters to calculate the physical properties of the ejecta. Table 7 details the distances, extinctions, observational and estimated explosion parameters from modelling along with the direct mass estimates from pre-explosion images for the sample of SNe. Misra et al. (2007) also carried out a similar analysis for a compilation of IIP SNe using the equations of Litvinova & Nadëzhin (1985) to estimate the explosion parameters of the SNe. The advantage of our compilation is that the masses determined should be directly comparable with the progenitor masses and limits now available.

As well as using the same distances and values of extinction of Smartt et al. (2009), the input parameters ( $V$ -band magnitude mid-plateau, photospheric velocity mid-plateau and the length of the plateau) for the 10 SNe were reanalysed for consistency. The photospheric velocities of the 10 SNe were recalculated using the  $\text{Sc II}$  (6246 Å) line, since this line probes deep into the inner regions of the SNe and so is a good indicator of the photospheric velocity. These photospheric velocities were when possible remeasured from available spectra or the values were taken from the references listed in Table 7. The plateau lengths were also measured again along with the  $V$ -band magnitudes mid-plateau.

**Table 7.** Type IIP SNe parameters, calculated using the equations of Litvinova & Nadëzhin (1985).

SN	$D$ (Mpc)	$A_v$	$\Delta t$	$vel_{ph}$ ( $\text{km s}^{-1}$ )	$M_V$	Energy ( $\times 10^{51}$ erg)	Radius ( $R_{\odot}$ )	Mass <sub>ej</sub> <sup>a</sup> ( $M_{\odot}$ )	ZAMS <sub>mod</sub> <sup>b</sup> ( $M_{\odot}$ )	ZAMS <sub>img</sub> <sup>c</sup> ( $M_{\odot}$ )	Ref.
1999br	14.1 ± 2.6	0.06 ± 0.06	100 ± 15	1541 ± 150	−13.16 ± 0.45	0.20 ± 0.09	31 ± 19	20 ± 10	12 ± 2	< 15	1, 2
1999em	11.7 ± 1.0	0.31 ± 0.16	120 ± 10	3046 ± 150	−16.68 ± 0.27	0.84 ± 0.29	437 ± 173	18 ± 7	11, 21–29	< 15	2, 3, 4, 5
1999gi	10.0 ± 0.8	0.65 ± 0.16	115 ± 10	2717 ± 150	−15.67 ± 0.26	0.64 ± 0.25	183 ± 69	21 ± 9	–	< 14	6
2003gd	9.3 ± 1.8	0.43 ± 0.19	113 ± 20	3210 ± 200	−16.06 ± 0.47	1.04 ± 0.49	179 ± 122	24 ± 13	10 ± 1	$7^{+6}_{-2}$	2, 7
2004A	20.3 ± 3.4	0.19 ± 0.09	107 ± 20	3200 ± 200	−16.33 ± 0.39	0.68 ± 0.37	328 ± 197	15 ± 9	12 ± 2	$7^{+6}_{-2}$	2, 8, 9, 10
2004dj	3.3 ± 0.3	0.53 ± 0.06	105 ± 20	2957 ± 150	−16.16 ± 0.23	0.65 ± 0.30	277 ± 107	16 ± 9	–	15 ± 3	11, 12, 13
2004et	5.9 ± 0.4	1.3 ± 0.2	110 ± 15	3462 ± 150	−17.15 ± 0.27	0.88 ± 0.31	631 ± 251	14 ± 6	25–29	$8^{+5}_{-1}$	9, 14, 15
2005cs	7.1 ± 1.2	0.16 ± 0.1	118 ± 15	1500 ± 150	−14.66 ± 0.39	0.17 ± 0.08	208 ± 123	13 ± 6	11–18	8 ± 2	16, 17, 18, 19, 20
2006my	22.3 ± 2.6	0.08	120 ± 20	2953 ± 300	−16.26 ± 0.28	0.86 ± 0.44	274 ± 135	22 ± 12	–	< 13	9, 15
2006ov	12.6 ± 2.4	0.07	118 ± 30	1410 ± 200	−15.12 ± 0.47	0.12 ± 0.09	465 ± 363	9 ± 7	–	< 10	15, 21

<sup>a</sup>Ejected mass calculated from the equations of Litvinova & Nadëzhin (1985).

<sup>b</sup>Zero-age main sequence mass from the hydrodynamical modelling; see references for further information.

<sup>c</sup>Zero-age main sequence mass from direct imaging of the progenitor star.

References: (1) Pastorello et al. (2004); (2) Zampieri (2007) (3) Hamuy et al. (2001); (4) Leonard et al. (2002a); (5) Utrobin (2007); (6) Leonard et al. (2002b); (7) Hendry et al. (2005); (8) Hendry et al. (2006); (9) this paper; (10) Tsvetkov (2008); (11) Chugai (2006); (12) Tsvetkov, Goranskii & Pavlyuk (2008); (13) Vinko et al. (2006); (14) Utrobin & Chugai (2009); (15) Crockett et al. (2009); (16) Pastorello et al. (2009); (17) Tsvetkov et al. (2006); (18) Takáts & Vinkó (2006); (19) Li et al. (2006); (20) Utrobin & Chugai (2008); (21) Spiro et al. (in preparation)

**Table 8.** Comparison of explosion energies of well-studied Type IIP SNe. The kinetic energy is measured using an approximation of  $1/2mv^2$ , where  $v$  is the photospheric velocity and  $m$  is the ejected mass obtained from the analysis of pre-explosion images of the progenitor.

SN	Explosion energy <sup>a</sup> ( $\times 10^{51}$ erg)	Explosion energy <sup>b</sup> ( $\times 10^{51}$ erg)	Kinetic energy ( $\times 10^{51}$ erg)	Ref.
1999br	0.3	$0.1 \pm 0.09$	$< 0.3$	1
2005cs	0.4–0.8	$0.17 \pm 0.08$	0.05–0.16	1, 2
1999em	$1.3 \pm 0.3$	$0.84 \pm 0.29$	$< 1.2$	1, 3
2003gd	$1.6 \pm 0.2$	$1.04 \pm 0.49$	0.3–1	1
2004et	$2.3 \pm 0.3$	$0.88 \pm 0.31$	0.5–1.3	4

<sup>a</sup>Explosion energy from detailed hydrodynamical models (see references for more details).

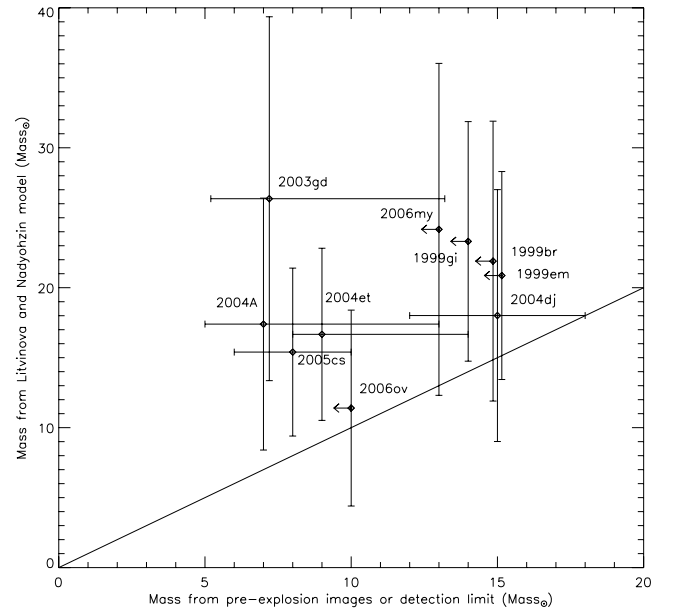
<sup>b</sup>Explosion energy from the equations of Litvinova & Nadězhin (1985).

References: (1) Zampieri (2007); (2) Utrobin & Chugai (2008); (3) Utrobin (2007); (4) Utrobin & Chugai (2009).

For 2004A, the photospheric velocity could not be calculated from the Sc II (6246 Å) and so was taken from the weak iron lines with a ratio of 0.95 to the Sc II line. This ratio is the average of those obtained when the velocities of the Sc II and weak iron lines are compared at epochs when both sets of lines are visible in the spectra. Both SN 2006my and SN 2006ov were only discovered at the end of the plateau and so to estimate the observational parameters, they were compared to other well-constrained Type IIP SNe. The light-curve properties of SN 2006my were seen to be similar to those of normal Type IIP SN, SN 1999em, and so its parameters were estimated by a direct comparison, while SN 2006ov was found to be most similar to the low-luminosity SN 2005cs.

It can be seen in Table 7 that the estimated explosion energies vary by a factor of 10 between the low-luminosity and ‘normal’ SNe, with the less luminous SNe having lower explosion energies. A comparison between the explosion energies calculated using the equations of Litvinova & Nadězhin (1985), the more complex models and the simple relation that the internal energy is assumed to be converted into kinetic energy is shown in Table 8. The kinetic energy ( $1/2mv^2$ ) is a rough approximation since it assumes that all the material ejected is moving at the same velocity,  $v$ . The mass,  $m$ , is the ejected mass obtained from the progenitor analysis of the pre-explosion images. Although the energies from the Litvinova & Nadězhin (1985) models are smaller than those obtained from the more complex models with references given in Table 8, they show a similar trend of energies with the low-luminous SNe having lower energies and the more luminous SNe having higher explosion energies. The inferred radii of the progenitors, apart from SN 1999br, span the range of  $\sim 200$ – $600 R_{\odot}$  in the majority of cases, which is the range expected for extended red supergiant atmospheres.

Kasen & Woosley (2009) used numerical simulations to determine how the light curves and spectra of Type IIP SNe vary with their mass, metallicity and explosion energy. They investigated the standard candle relationship of Type IIP SNe proposed by Hamuy & Pinto (2002) and showed that the correlation between the plateau luminosity and photospheric velocity holds for their set of model data. They also explored the relationship between the plateau length and the mid-plateau luminosity and found a linear relationship where the brighter SNe have shorter plateau lengths. However this correlation is not seen for our sample of 10 SNe, where the plateau length range is 100–120 d and the absolute V-band magnitude at +50 d has values between  $-13$  and  $-17$  and no correlation between these parameters is seen. Kasen & Woosley (2009) suggested that an SN of a plateau length of 110 d (the average plateau length we found) should have an absolute V-band magnitude of at least  $-18$ , which



**Figure 25.** The progenitor masses obtained from the hydrodynamical equations of Litvinova & Nadězhin (1983, 1985) are plotted against the progenitor masses obtained from the pre-explosion images. Upper mass limits are plotted where detection limits have been set (Smartt et al. 2009). The values are given in Table 7, along with the associated errors. A one-to-one correspondence line is shown.

is not even reached by the brightest SN in our sample, SN 2004et at  $-17.15$  in the V band.

A plot of the progenitor masses of a selection of recent IIP SNe obtained with the equations from Litvinova & Nadězhin (1983, 1985) against the progenitor masses obtained from analysing pre-explosion images of the SN sites is shown in Fig. 25. The data with upper mass limits were calculated using the detection limits of the images and the stellar evolution codes, STARS (Eldridge & Tout 2004), and are detailed in Smartt et al. (2009). To calculate the pre-explosion mass from the ejected mass,  $1.4 M_{\odot}$  was added to account for the neutron star remnant along with  $1 M_{\odot}$  to account for the mass lost from the star through stellar winds. It can be seen clearly in Fig. 25 that the hydrodynamical models give consistently higher main-sequence masses than the masses/mass limits measured from pre-explosion imaging. Using the equations of Litvinova & Nadězhin (1985), SN 2003gd shows a model mass over three

times greater than the value obtained from direct imaging, which is thought to be due to the uncertainty in the plateau length because of the late phase during the plateau at which this SN was discovered. A shorter plateau for this object would give a smaller progenitor mass and would be closer to the progenitor mass detected by Smartt et al. (2004).

Heger et al. (2003) showed that a median progenitor mass value of  $13 M_{\odot}$  for Type IIP SNe is obtained for a Salpeter initial mass distribution in the mass range of  $9\text{--}25 M_{\odot}$ . The masses obtained from direct imaging are in reasonable agreement with this median value, spanning a range of  $\sim 8\text{--}17 M_{\odot}$  (Smartt et al. 2009). It is predicted by theory that red supergiants between  $17$  and  $30 M_{\odot}$  also produce Type IIP SNe, but no progenitor in this mass range has been detected to date. This apparent contradiction has been termed the ‘red supergiant problem’ by Smartt et al. (2009) and was tentatively suggested that the higher mass stars could form black holes with no explosion [although Smartt (2009) gives alternative explanations for this issue]. The mass obtained from the hydrodynamical models of Litvinova & Nadezhin (1983, 1985) is not consistent with the median progenitor mass value, while the more complex models, in some cases such as SN 2004et with a mass of  $25\text{--}29 M_{\odot}$ , are nearly at the theoretical mass limit for red supergiants that produced Type IIP SNe.

Furthermore, the discovery of several progenitor stars with low luminosities and hence fairly low initial masses (inferred from stellar evolutionary tracks; Smartt et al. 2004; Li et al. 2005; Maund et al. 2005; Mattila et al. 2008) leads to a consistency question. Can a star with an initial mass of  $8 M_{\odot}$  produce a progenitor with enough envelope mass to sustain a light-curve plateau of 100 d duration given the relatively high observed expansion velocities (see Hendry et al. 2005, 2006; Smartt et al. 2009)? Also if the higher luminosity SNe with even higher expansion velocities are produced by stars at the low end of the mass scale, what happens during the explosion that cause the vastly varying events that are observed and how are the higher mass stars ending their lives? It appears that it is extremely difficult to reproduce a long plateau phase and high expansion velocities with low progenitor masses from any of the previously discussed hydrodynamical models. This could point to either a systematic error in the direct progenitor masses perhaps due to circumstellar dust dimming the progenitor luminosity (summarized in Smartt 2009) or deficiencies in the physics of the hydrodynamical calculations as discussed recently in Utrobin & Chugai (2009).

## 10 SUMMARY AND CONCLUSIONS

Extensive photometric and spectroscopic data of SN 2004et at both optical and NIR wavelengths have been presented to give one of the most comprehensive data sets of a normal Type IIP SN to date. Analysis of the bolometric light curve shows that SN 2004et has one of the highest luminosities of Type IIP SNe and consequently a relatively large ejected mass of  $^{56}\text{Ni}$  of  $0.056 \pm 0.04 M_{\odot}$ . The importance of including the flux from the NIR bands when calculating the bolometric light curve is demonstrated and shown to account for up to 50 per cent of the flux during the plateau phase. Parametrized BC including the NIR contributions were detailed, and these can be used as templates for future Type IIP SNe if their spectral range coverage is incomplete.

Excellent spectral coverage of SN 2004et at optical and NIR during the plateau and nebular phase are shown, with the optical spectra extending to +464 d and the NIR spectra to +306 d. The

final epoch NIR spectrum allowed a clear detection of the first overtone band of CO at  $\sim 2.3 \mu\text{m}$ , which is a signature of dust formation. Other signatures of dust formation were also observed such as a significant blueshift in the H $\alpha$  and [O I] emission lines and an increase in the rate of decline of the optical bands. The epoch of dust formation for SN 2004et (post-300 d) was significantly earlier than for SN 1999em and SN 1987A, while the observed blueshift of the emission lines of SN 2004et had an intermediate shift between that of SN 1987A and SN 1999em. Very late-time *HST* and WHT observations ( $> 1000$  d) showed a levelling off in the decline rate of the optical and NIR bands, which is thought to be mainly caused by the interaction of the ejecta with the CSM. Signatures of this late-time interaction were also seen in approximately coeval optical spectra and MIR *Spitzer* data of Kotak et al. (2009). A contribution from the decay of  $^{57}\text{Co}$  and  $^{44}\text{Ti}$  could also be present at these epochs along with contributions from dust condensation in a cool dense shell around the SN.

The physical parameters of SN 2004et were compared to those of other Type IIP SNe. By studying the strengths of the nebular phase [O II] 6300, 6364 Å lines, the ejected O mass of SN 2004et was estimated to be  $\sim 0.5\text{--}1.5 M_{\odot}$ , which is comparable to that of SN 1987A. The kinetic energies of a sample of well-studied Type IIP SNe were found to span a range of  $10^{50}\text{--}10^{51}$  erg, with SN 2004et having the highest kinetic energy of the sample. The explosion parameters of SN 2004et were also calculated using the hydrodynamic models of Litvinova & Nadezhin (1985) and compared to other Type IIP SNe, for which either the progenitor star had been identified in pre-explosion images or an upper mass limit had been set. In some cases, the masses determined from the previously discussed hydrodynamical modelling are seen to be consistently higher than those obtained from direct imaging of the progenitor. SN 2004et showed a particularly large discrepancy with a mass range determined from modelling of  $16\text{--}29 M_{\odot}$ , while the mass obtained by Crockett et al. (2009) from direct imaging had a value of  $8_{-1}^{+5} M_{\odot}$ . With the current models, it appears difficult in some cases to reconcile these high-luminosity and high-velocity events with the low progenitor masses of  $7\text{--}8 M_{\odot}$  obtained from pre-explosion imaging.

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## APPENDIX A: BOLOMETRIC CORRECTIONS

Here we detail the parametrized coefficients for the BC of four Type IIP SNe: SN 1987A, SN 1999em, SN 2004et and SN 2005cs. The BC as a function of days since explosion for the *V* and *R* bands during the plateau phases are shown in Fig. 8. The coefficients for the *V* and *R* bands during the photospheric phase are given in Tables A1 and A2, respectively. The nebular phase *V*- and *R*-band BC are shown in Fig. 9 and the parametrized coefficients are given in Table A3. The parametrized equations can be used in equations (2) and (3) to calculate the luminosity of an SN with limited photometric coverage.

**Table A1.** Parametrized coefficients for the BC for four well-studied Type IIP SNe during the plateau phase using the *V* band.

$a_i$	SN 1987A	SN 1999em	SN 2004et	SN 2005cs
$a_0$	0.908	0.432	0.477	0.439
$a_1$	−0.014	0.025	0.023	0.030
$a_2$	$2.62 \times 10^{-4}$	$-4.16 \times 10^{-4}$	$-3.44 \times 10^{-4}$	$-6.37 \times 10^{-4}$
$a_3$	$-1.58 \times 10^{-6}$	$1.95 \times 10^{-6}$	$1.44 \times 10^{-6}$	$3.70 \times 10^{-6}$

**Table A2.** Parametrized coefficients for the BC for four well-studied Type IIP SNe during the plateau phase using the *R* band.

$a_i$	SN 1987A	SN 1999em	SN 2004et	SN 2005cs
$a_0$	1.071	0.531	0.389	0.378
$a_1$	$5.10 \times 10^{-3}$	0.031	0.033	0.062
$a_2$	$-4.03 \times 10^{-5}$	$-4.49 \times 10^{-4}$	$-4.27 \times 10^{-4}$	$-1.62 \times 10^{-3}$
$a_3$	$3.81 \times 10^{-5}$	$2.07 \times 10^{-6}$	$1.80 \times 10^{-6}$	$1.79 \times 10^{-5}$

**Table A3.** Parametrized coefficients for the BC for SN 1987A and SN 1999em during the early nebular phase using the *V* and *R* bands.

$a_i$	SN 1987A		SN 1999em	
	<i>V</i>	<i>R</i>	<i>V</i>	<i>R</i>
$a_0$	0.338	0.899	0.165	1.097
$a_1$	$-5.46 \times 10^{-8}$	$1.76 \times 10^{-3}$	$1.07 \times 10^{-3}$	$8.71 \times 10^{-4}$

**Table B1.** Log of optical photometric observations of SN 2006my.

Date	JD(245 0000+)	Phase <sup>a</sup> (d)	<i>B</i>	<i>V</i>	<i>R</i>	<i>I</i>	Instrument
2006/06/28	53915	−28			19.00 (limit) <sup>b</sup>		6
2006/11/08	54048.3	105.3			$15.30 \pm 0.20^b$		6
2006/11/09	54049.3	106.3			$15.30 \pm 0.20^b$		6
2006/11/22	54062.6	119.6	$17.50 \pm 0.02$	$15.89 \pm 0.01$	$15.41 \pm 0.01$	$15.20 \pm 0.01$	2
2006/11/27	54066.7	123.7	$17.51 \pm 0.02$	$16.01 \pm 0.01$	$15.52 \pm 0.02$	$15.18 \pm 0.01$	1
2006/11/30	54070.7	127.7		$16.24 \pm 0.03$	$15.59 \pm 0.02$	$15.35 \pm 0.01$	2
2006/12/04	54074.7	131.7		$16.45 \pm 0.19$	$15.9 \pm 0.06$	$15.59 \pm 0.04$	2
2006/12/15	54084.8	141.8	$18.51 \pm 0.04$				2
2006/12/15	54085.1	142.1	$18.54 \pm 0.07$	$16.94 \pm 0.02$	$16.27 \pm 0.03$		4
2006/12/16	54085.8	142.8	$18.60 \pm 0.04$				2
2006/12/20	54090.1	147.1	$19.26 \pm 0.09$	$17.60 \pm 0.03$	$16.81 \pm 0.02$		4
2006/12/21	54090.7	147.7	$19.30 \pm 0.21$	$17.78 \pm 0.07$	$16.96 \pm 0.04$		1
2006/12/21	54091.1	148.1	$19.17 \pm 0.04$	$17.79 \pm 0.02$	$16.96 \pm 0.02$		4
2007/01/20	54120.6	177.6		$18.26 \pm 0.03$	$17.30 \pm 0.02$	$17.17 \pm 0.02$	2
2007/01/21	54121.6	178.6		$18.31 \pm 0.18$	$17.25 \pm 0.06$	$17.14 \pm 0.06$	2
2007/01/22	54122.6	179.6		$18.28 \pm 0.03$	$17.30 \pm 0.02$	$17.15 \pm 0.01$	2
2007/02/08	54140.6	197.6		$18.43 \pm 0.03$	$17.50 \pm 0.02$	$17.29 \pm 0.01$	2
2007/02/11	54142.7	199.7	$19.61 \pm 0.23$	$18.56 \pm 0.21$	$17.64 \pm 0.13$	$17.27 \pm 0.08$	1
2007/02/11	54142.8	199.8		$18.48 \pm 0.03$	$17.60 \pm 0.02$	$17.30 \pm 0.01$	3
2007/02/13	54146.0	203.0	$19.74 \pm 0.13$	$18.62 \pm 0.05$	$17.58 \pm 0.03$		4
2007/02/15	54147.9	204.9	$19.72 \pm 0.12$	$18.60 \pm 0.04$	$17.61 \pm 0.03$		4
2007/03/07	54168.0	225.0	$20.01 \pm 0.04$	$18.82 \pm 0.05$	$17.79 \pm 0.03$		4
2007/03/11	54171.8	228.8		$18.85 \pm 0.03$	$17.84 \pm 0.01$	$17.56 \pm 0.02$	5
2007/04/15	54205.6	262.6	$20.22 \pm 0.23$	$19.15 \pm 0.06$	$18.22 \pm 0.07$	$17.81 \pm 0.05$	1

<sup>a</sup>Since explosion (JD 245 3943.0).

<sup>b</sup>Unfiltered.

1 = Ekar 1.82 m+AFOSC.

2 = Liverpool Telescope+RATCam.

3 = NOT+ALFOSC.

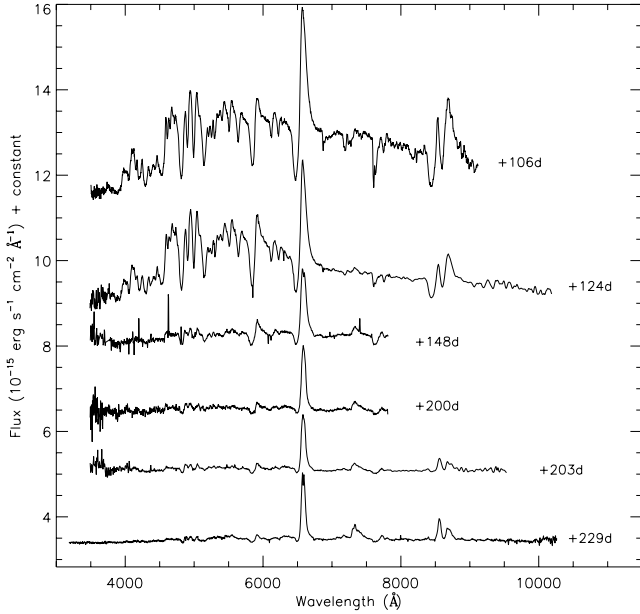
4 = Faulkes Telescope North 2 m+HawkCam1.

5 = WHT+AUXPort.

6 = IAU Circular No. 8773 by K. Itagaki (Teppo-cho, Yamagata, Japan, 0.60-m *f*/5.7 reflector)

**Table B2.** Log of optical spectroscopic observations of SN 2006my.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	Telescope + Instrument	Grism	Range (Å)	Dispersion (Å pixel <sup>-1</sup> )
2006/11/10	54049.7	106.7	NOT+ALFOSC	gm4	3200–9100	3.0
2006/11/27	54066.7	123.7	Mt. Ekar 1.82 m+AFOSC	gm2, gm4	3720–10200, 3480–8450	15.67, 4.99
2006/12/21	54090.7	147.7	Mt. Ekar 1.82 m+AFOSC	gm4	3480–8450	4.99
2007/02/11	54142.7	199.7	Mt. Ekar 1.82 m+AFOSC	gm4	3480–8450	4.99
2007/02/14	54145.7	202.7	Mt. Ekar 1.82 m+AFOSC	gm2, gm4	3720–10200, 3480–8450	15.67, 4.99
2007/03/12	54171.8	228.8	WHT+ISIS	R158R, R300B	3200–5400, 5060–10200	1.81, 0.86

<sup>a</sup>Since explosion (JD 245 3943.0).**Figure B1.** Optical spectral evolution of SN 2006my.**APPENDIX B: SN 2006my DATA**

We present here previously unpublished optical photometry and spectroscopy of SN 2006my. SN 2006my was a Type IIP SN, which exploded in NGC 4651 at a distance of  $22.3 \pm 2.6$  Mpc (Smartt et al. 2009). Table B1 details the optical photometric observations of SN 206my, while the spectroscopic observations are detailed in Table B2. Fig. B1 shows the optical spectral evolution of the SN. The bolometric light curve of SN 2006my is included in Fig. 6 as comparison to SN 2004et. The date of explosion is not well constrained by observations, but an estimate of the explosion epoch is made by shifting the end of the plateau phase of SN 2006my to match that of SN 1999em and then assuming the same plateau length. This value of JD 2455 3943.0 is used in Fig. 6 as the explosion date.

**APPENDIX C: SN 2004A DATA**

SN 2004A was a Type IIP SN discovered in NGC 6207 about 2 weeks post-explosion (Hendry et al. 2006). Four new epochs of optical photometry and spectroscopy during the plateau phase are detailed in Tables C1 and C2, respectively. These photometry data are combined with those of Hendry et al. (2006) and a bolometric light curve is formed. It is compared to the light curve of other IIP SNe in Fig. 6. Fig. C1 shows the spectral evolution in the optical during the plateau phase.

**Table C1.** Log of previously unpublished optical photometric observations of SN 2004A.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	<i>B</i>	<i>V</i>	<i>R</i>	<i>I</i>	Instrument
2004/01/13	53018.2	7	$15.71 \pm 0.05$	$15.38 \pm 0.02$	$15.14 \pm 0.04$	$15.03 \pm 0.07$	1
2004/02/01	53037.2	26	$16.12 \pm 0.02$	$15.45 \pm 0.02$	$15.06 \pm 0.12$	$14.63 \pm 0.02$	1
2004/02/14	53050.0	39	$16.33 \pm 0.04$	$15.44 \pm 0.03$	$15.00 \pm 0.10$	$14.73 \pm 0.02$	2
2004/03/16	53080.6	70			$15.14 \pm 0.11$		1

<sup>a</sup>Since explosion (JD 245 3011).

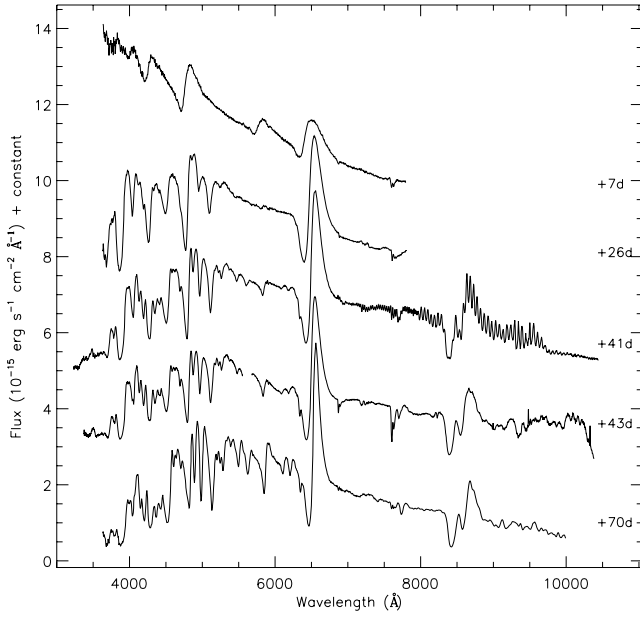
1 = Ekar 1.82 m+AFOSC.

2 = TNG 3.58 m+LRS.

**Table C2.** Log of optical spectroscopic observations of SN 2004A.

Date	JD (245 0000+)	Phase <sup>a</sup> (d)	Telescope + Instrument	Grism	Range (Å)	Dispersion (Å pixel <sup>-1</sup> )
2004/01/13	53018.4	7	Mt. Ekar 1.82 m+AFOSC	gm4	3480–8450	4.99
2004/02/01	53037.4	26	Mt. Ekar 1.82 m+AFOSC	gm4	3480–8450	4.99
2004/02/15	53051.7	41	TNG 3.58 m+LRS	LR-R, LR-B	4470–10073, 3000–8430	2.61, 2.52
2004/03/01	53080.6	70	Mt. Ekar 1.82 m+AFOSC	gm2, gm4	3720–10200, 3480–8450	15.67, 4.99

<sup>a</sup>Since explosion (JD 245 3011).



**Figure C1.** Optical spectral evolution of SN 2004A during the photospheric phase from +7 to +70 d post-explosion. The spectrum from +43 d is taken from Hendry et al. (2006).

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