

EE 441 – CH7

TREES

Instructor: UĞUR HALICI

TREES

A tree is a set of nodes which is either null or with one node designated as the root and the remaining nodes partitioned into smaller trees, called sub-trees.

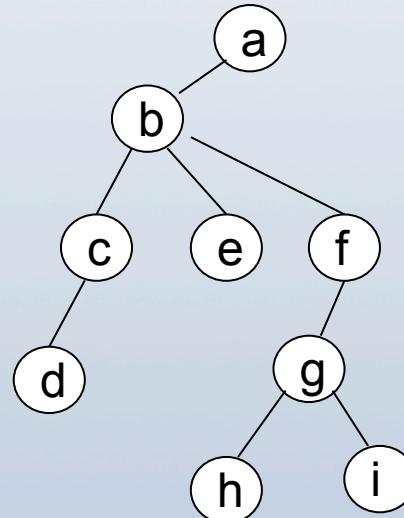
Example:

$T_1 = \{\}$ (NULL Tree)

$T_2 = \{a\}$ a is the root, the rest is T_1

$T_3 = \{a, \{b, \{c, \{d\}\}, \{e\}, \{f, \{g, \{h\}, \{i\}\}\}\}$

graphical representation of T_3 :



- The level of a node is the length of the path from the root to that node
- The depth of a tree is the maximum level of any node of any node in the tree
- the degree of a node is the number of partitions in the subtree which has that node as the root
- nodes with degree=0 are called leaves

Example:

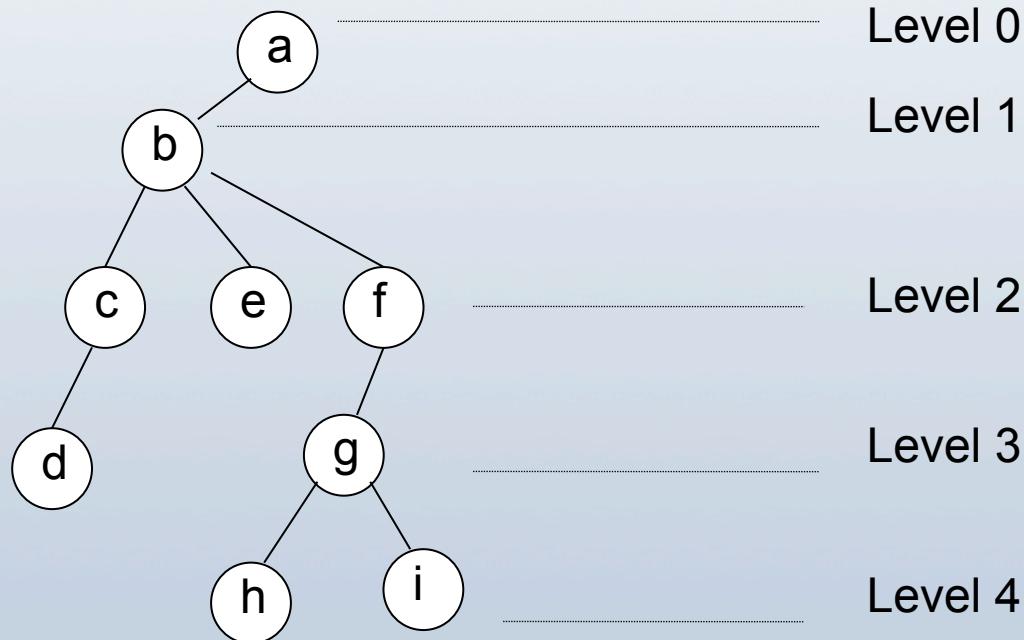
depth=4

degree(a)=1

degree(b)=3

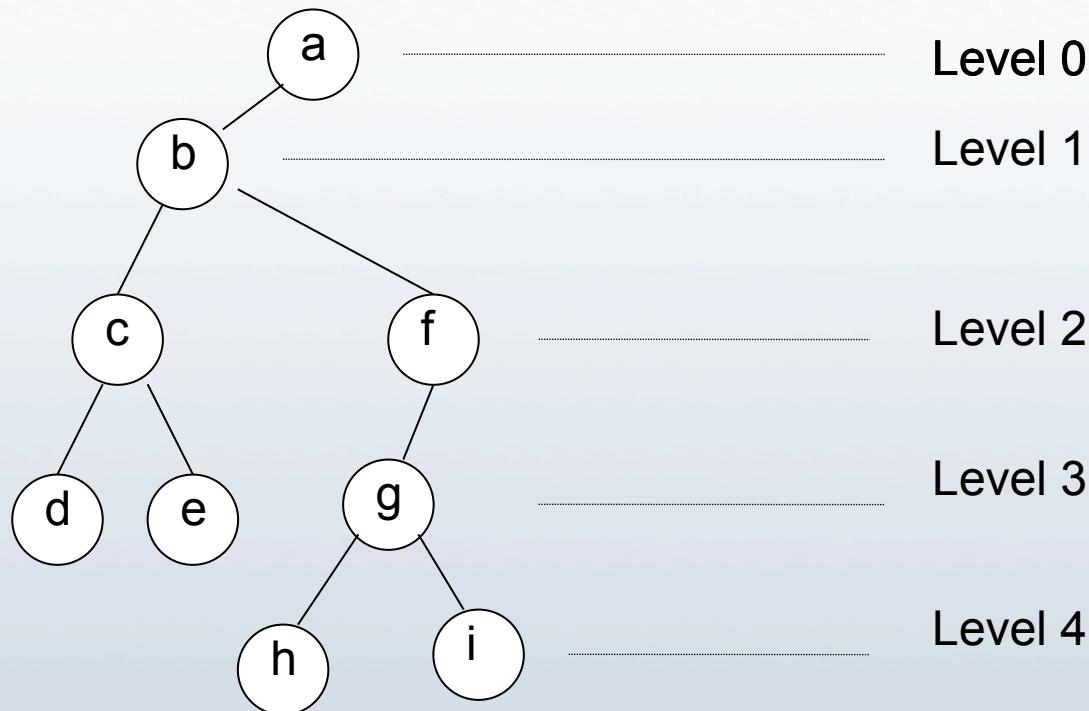
degree(e)=0

leaves: e,d,h,i



BINARY TREE

Binary tree is a tree in which the maximum degree of any node is 2.



A binary tree may contain up to 2^n nodes at level n.

A complete binary tree of depth N has 2^k nodes at levels $k=0, \dots, N-1$ and all leaf nodes at level N occupy leftmost positions.

If level N has 2^N nodes as well, then the complete binary tree is a full tree.

If all nodes have degree=1, the tree is a degenerate tree (or simply linked list)

e.g. a degenerate tree of depth 5 has 6 nodes

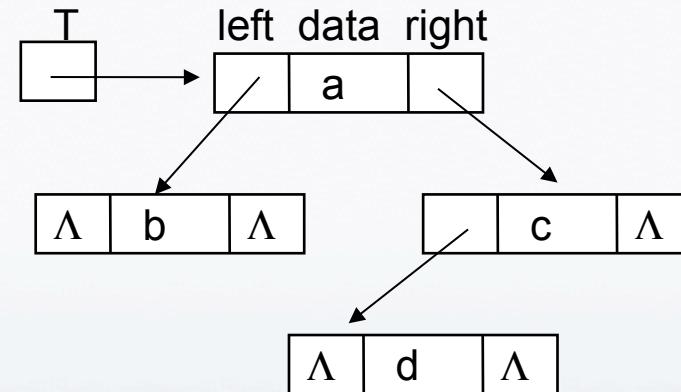
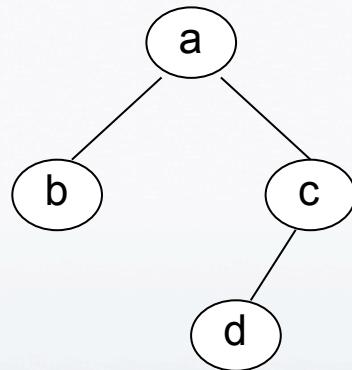
a full tree of depth 3 has $1+2+4+8=15$ nodes

a full tree of depth N has $2^{N+1}-1$ nodes

a complete tree of depth N has $2^N-1 < m \leq 2^{N+1}-1$ nodes

exercise: what is the depth of a complete tree with m nodes?

DATA STRUCTURES AND REPRESENTATIONS OF TREES



```

template <class T>
class TreeNode
{
private:
    TreeNode<T> *left;
    TreeNode<T> *right;
public:
    T data;
    //constructor
    TreeNode(const T &item, TreeNode<T> *lptr=NULL, TreeNode<T>
             *rptr=NULL);
    //access methods for the pointer fields
    TreeNode<T>* Left(void) const;
    TreeNode<T>* Right(void) const;
};
  
```

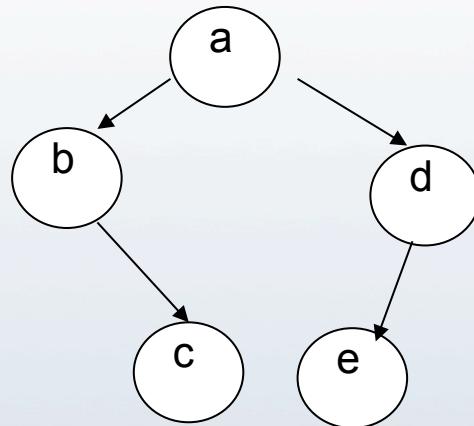
```
//constructor
template <class T>
TreeNode<T>:: TreeNode(const T &item, TreeNode<T> *lptr,
                      TreeNode<T> *rptr): data(item),
                      left(lptr), right(rptr)
{ }
```

```
//a function dynamically allocate memory for a new object
template <class T>
TreeNode<T> *GetTreeNode(T item, TreeNode<T> *lptr=NULL,
                         TreeNode<T> *rptr=NULL)
{TreeNode<T> *p;
p=new TreeNode<T> (item, lptr, rptr);
if (p==NULL) // if "new" was unsuccessful
{cerr<<"Memory allocation failure"<<endl;
exit(1);
}
return p;
}
```

Example

```
TreeNode<char> *t;  
t=GetTreeNode('a', GetTreeNode('b',NULL, GetTreeNode('c')),  
             GetTreeNode('d', GetTreeNode('e')));
```

results in:



```
// a function to deallocate memory template <class T>  
void FreeTreeNode(TreeNode <T> *p)  
{delete p;}
```

Tree Traversal Algorithms:

1: DEPTH-FIRST:

Inorder: 1. Traverse left subtree

2. Visit node (i.e. process node)

3. Traverse right subtree

Preorder: 1. Visit node

2. Traverse left

3. Traverse right

Post-order: 1. Traverse left

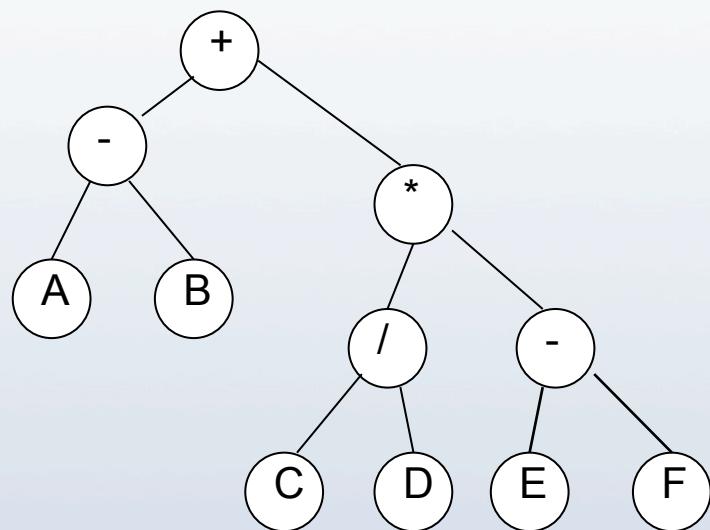
2. Traverse right

3. Visit node

```
template <class T>
void Inorder (TreeNode<T>* t>
{if (t!=NULL)
{Inorder(t->left)
Cout<< t->data
Inorder(t->right)
}
```

Example:

An arithmetic expression tree stores operands in leafs, operators in non-leaf nodes:



inorder traversal: (LNR)

$(A-B)+((C/D)*(E-F))$

$A-B+C/D*E-F$ (paranthesis assumed)

postorder traversal: (LRN)

$AB-CD/EF-*+$

preorder traversal: (NLR)

$+ - AB * / CD - EF$

Note: Postorder traversal, with the following implementation of visit :

if operand PUSH

if operator POP two operands, calculate, push result back

corresponds to arithmetic expression evaluation.

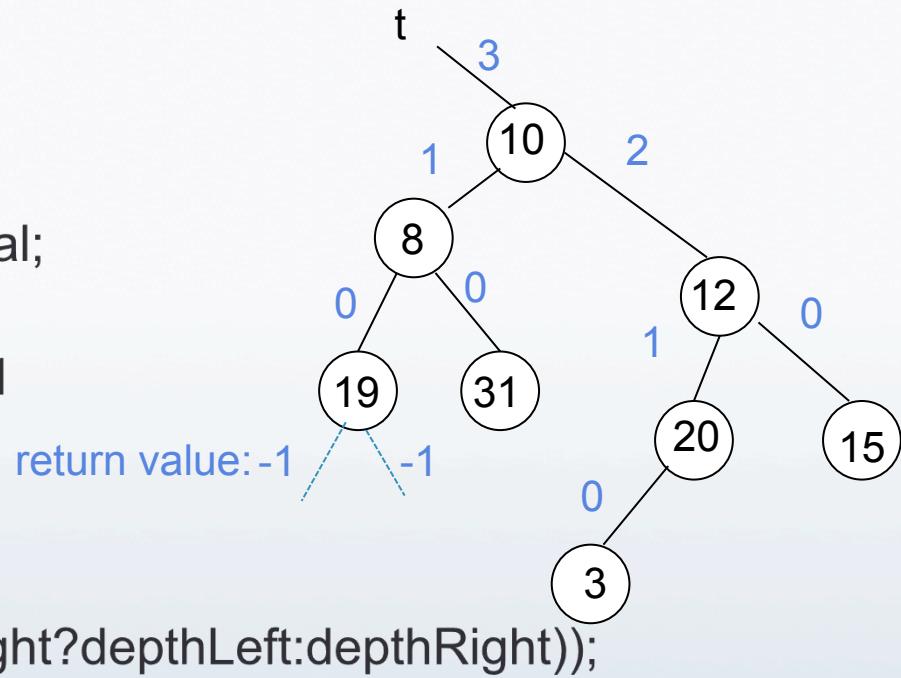
Example: Counting leaves in a tree

```
template <class T>
void CountLeafR(TreeNode<T> *t, int& count)
{
    if (t!=NULL)
        //using postorder traversal
        CountLeafR(t->Left(),count);
        CountLeafR(t->Right(),count);
        //visiting a node means incrementing if leaf
        if (t->Left()==NULL&&t->Right()==NULL)
            count++;
}
}
```

```
template <class T>
int CountLeaf ( TreeNode <T> *t)
{
    int countmytree=0;
    CountLeaffR(mytree, countmytree);
    return Countmytree;
}
```

Example: computing depth of a tree

```
template <class T>
int Depth(TreeNode<T> *t)
{int depthleft, depthRight, depthval;
if (t==NULL)
    depthval=-1; // if empty, depth=-1
else
{ depthLeft=Depth(t->left());
  depthRight=Depth(t->Right());
  depthval=1+(depthleft>depthRight?depthLeft:depthRight));
}
return depthval;
}
```



conditional expression syntax:

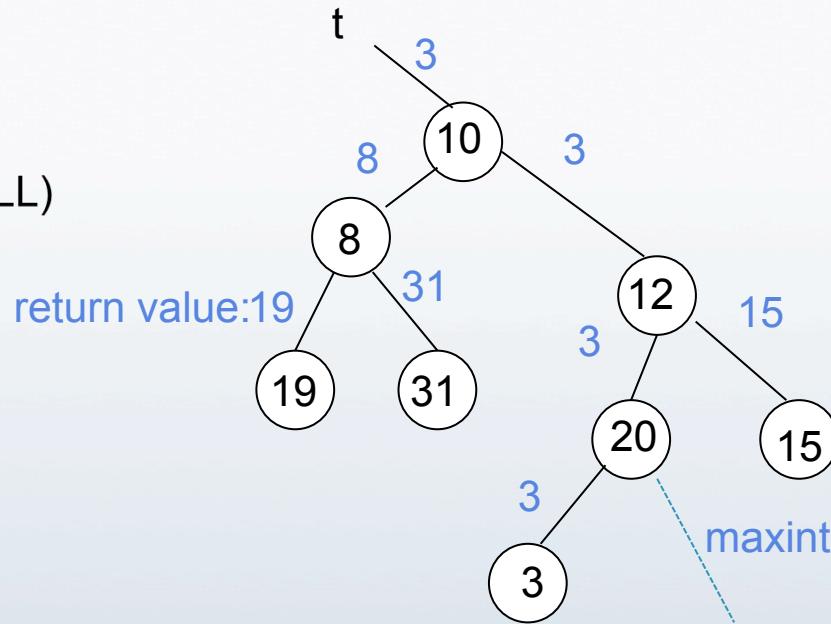
CONDITION? True-case-EXP:False-case-EXP

The preorder, postorder and inorder traversals are "depth-first"

Example: Find min key value stored in binary tree pointed by t.
(assume tree is not empty)

```
template <class T>
int minval ( TreeNode <T> *t)
{
    int minval;
    if( t-> left ==NULL)&& (t->right == NULL)
        return t->data;
    else
    {
        if ( t->left != NULL)
            minleft = minval(t->left)
        else
            minleft = maxint;
        if ( t->right != NULL)
            minright = minval(t->right)
        else
            minright = maxint;

        minval=(minleft < minright? minleft :minright);
        minval = (minval < t->data ? minval : t->data);
        return minval;
    }
}
```



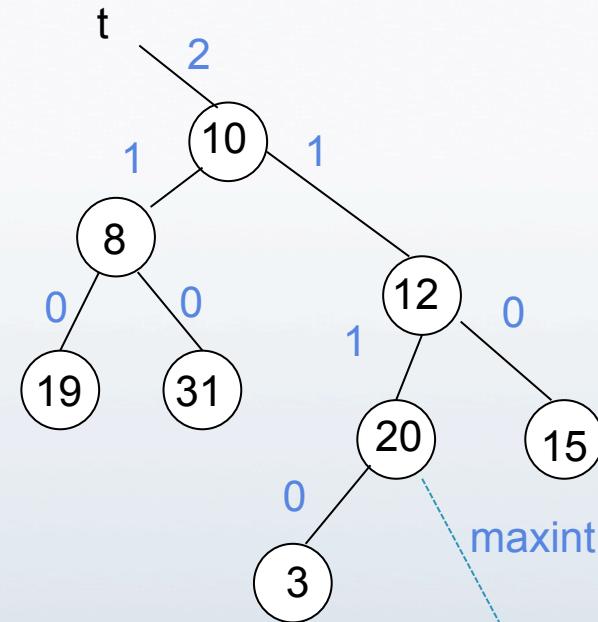
Example: Find the level of the leaf node at minimum level.

```
template <class T>
int minLeafDepth( TreeNode <T> *t)
{
    if (t==NULL)
        return -1

    int levelleft, levelright, level;

    if (t->left ==NULL && t->right == NULL)
        return 0
    else {
        if (t->left != NULL)
            levelleft = minLeafDepth(t->left)
        else
            levelleft = maxint;
        if (t->right != NULL)
            levelright = minLeafDepth(t->right)
        else
            levelright = maxint;

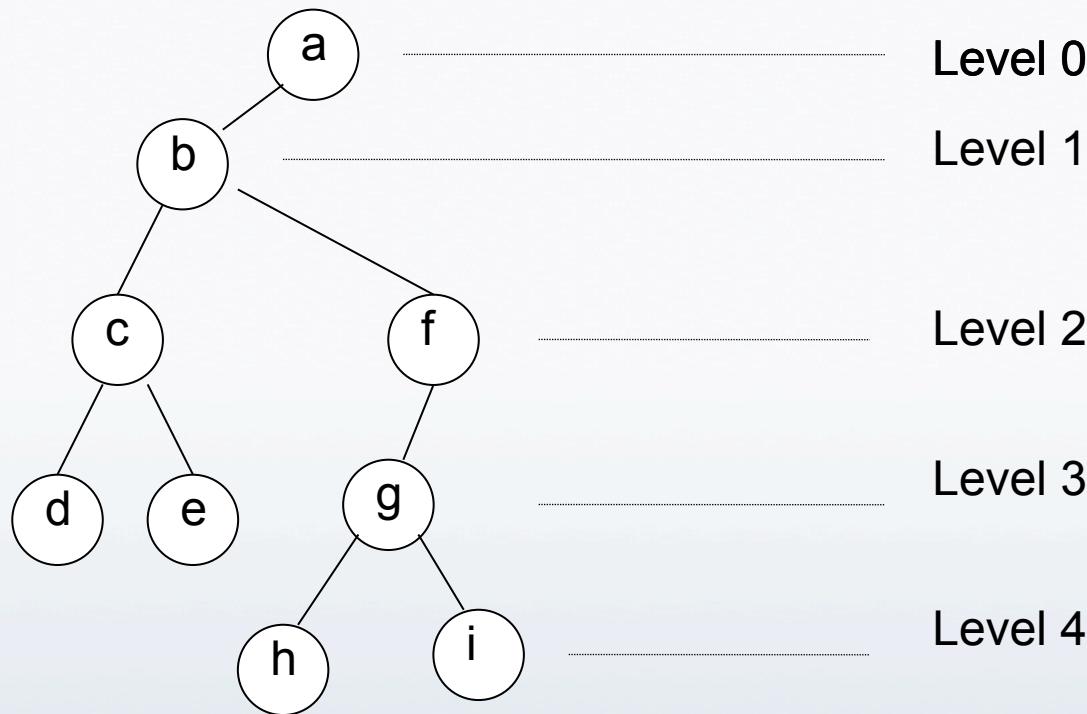
        level = (levelleft < levelright ? levelleft : levelright) + 1;
        return level;
    }
}
```



Algorithm Level-Traverse (Breath First)

1. Insert root node in queue
2. while queue is not empty
 - 2.1. Remove front node from queue and visit it
 - 2.2. Insert Left child
 - 2.3. Insert right child

```
template <class T>
void LevelScan(TreeNode<T> *t)
{
queue<TreeNode<T>*> Q; // queue of pointers
TreeNode<T> *p;
// insert root
Q.Qinsert(t);
while(!Q.Empty())
{P=Q.Qdelete();
visit(P->data); //for ex. Cout P->data
if (p->Left()!NULL) Q.Qinsert(p->Left());
if (p->Right()!NULL) Q.Qinser(p->Right());
}
}
```



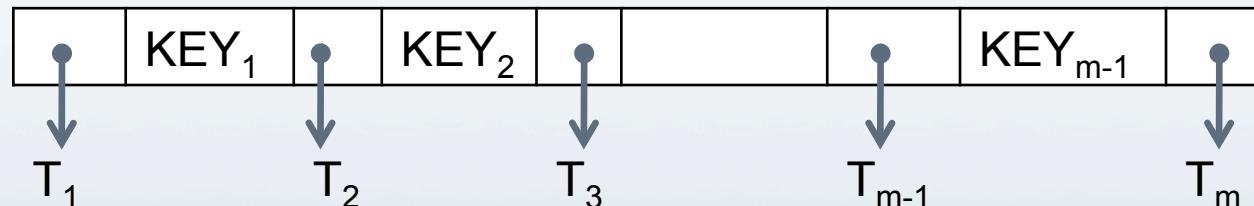
Breath first traversal result:

abcdefghi

M_WAY SEARCH TREE

Definition: An m_way search tree is a tree in which all nodes are of degree $\leq m$. (It may be empty). A non empty m_way search tree has the following properties:

- a) It has nodes of type:



- b) $\text{key}_1 < \text{key}_2 < \dots < \text{key}_{(m-1)}$

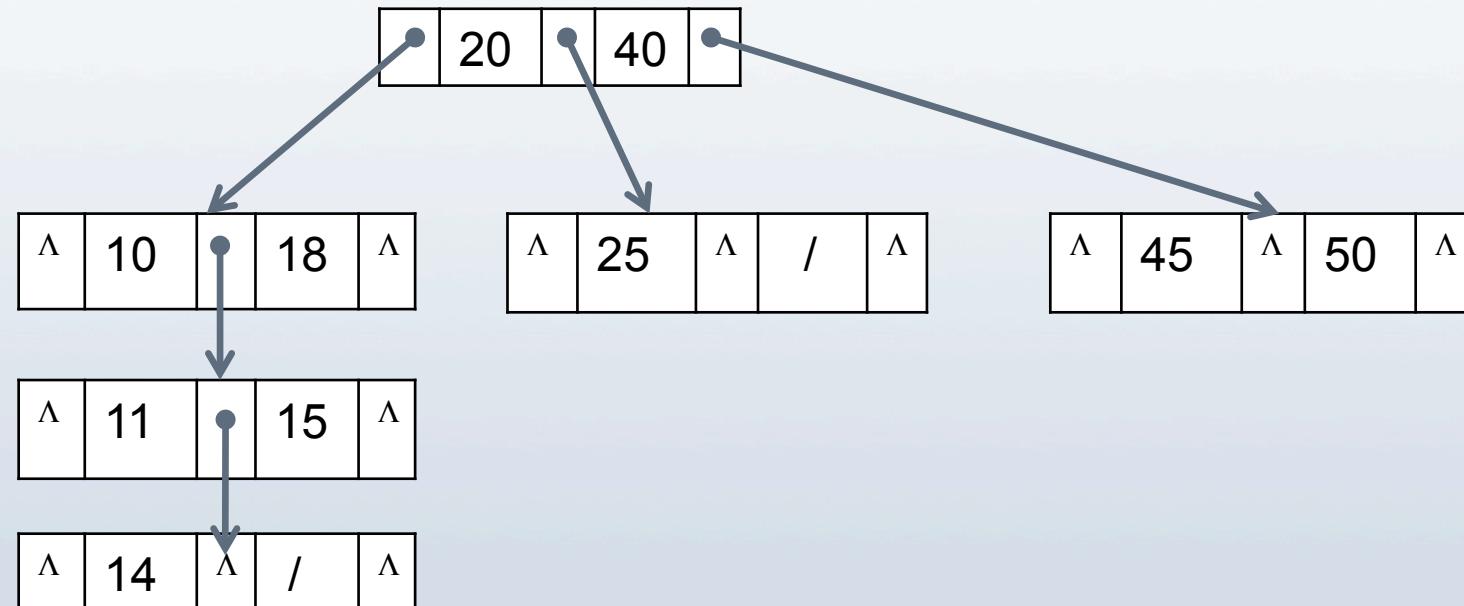
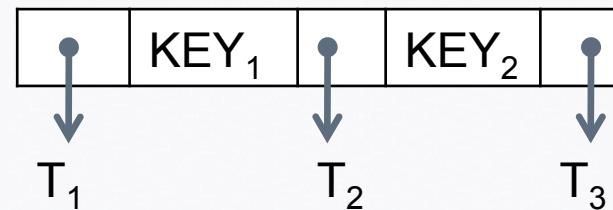
in other words, $\text{key}_i < \text{key}_{(i+1)}$, $1 \leq i < m-1$

- c) All Key values in subtree T_i are greater than Key_i and less than Key_{i+1}

Sometimes, we have an additional entry at the leftmost field of every node, indicating the number of nonempty key values in that node.

Example: 3_way search tree:

Nodes will be of type:

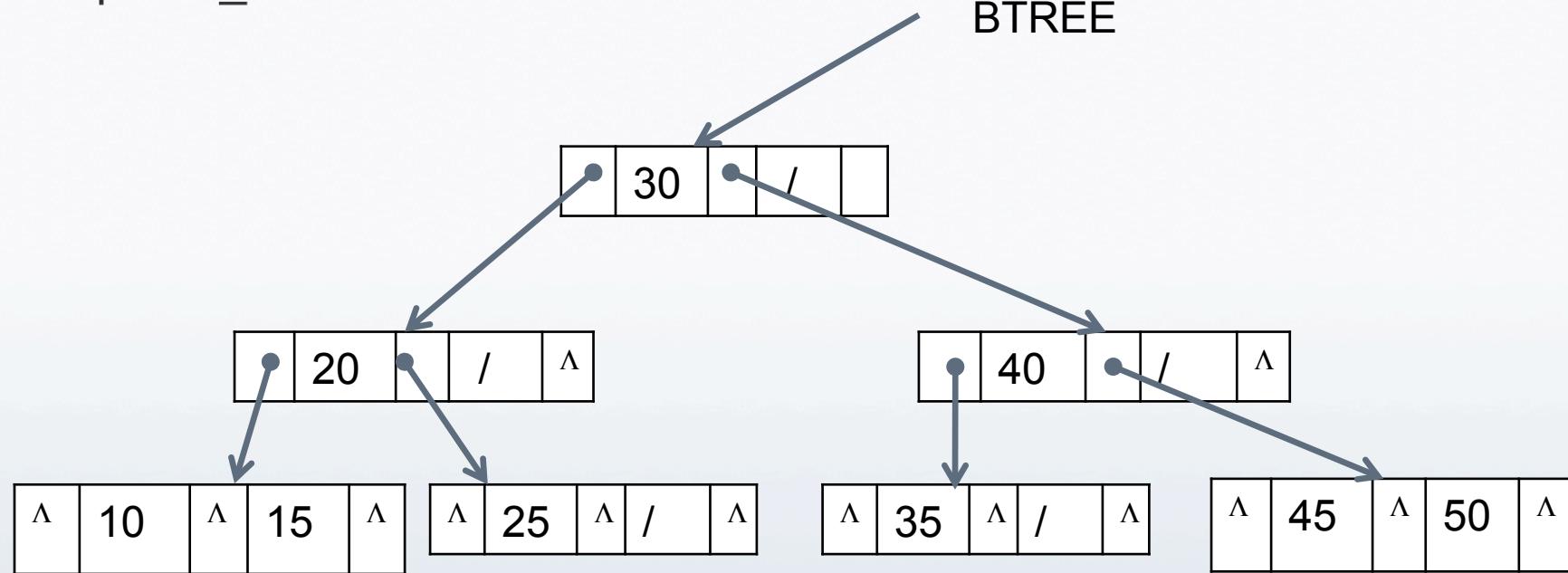


B_TREES

A B_tree of order m is an m_way search tree (possibly empty) satisfying the following properties (if it is not empty)

- a) All nodes other than the root node and leaf nodes have at least $\lceil m/2 \rceil$ children,
- a) The tree is balanced. (all the leaf nodes are at the same level)

Example: B-tree of order 3:



Inserting new key values to B-tree:

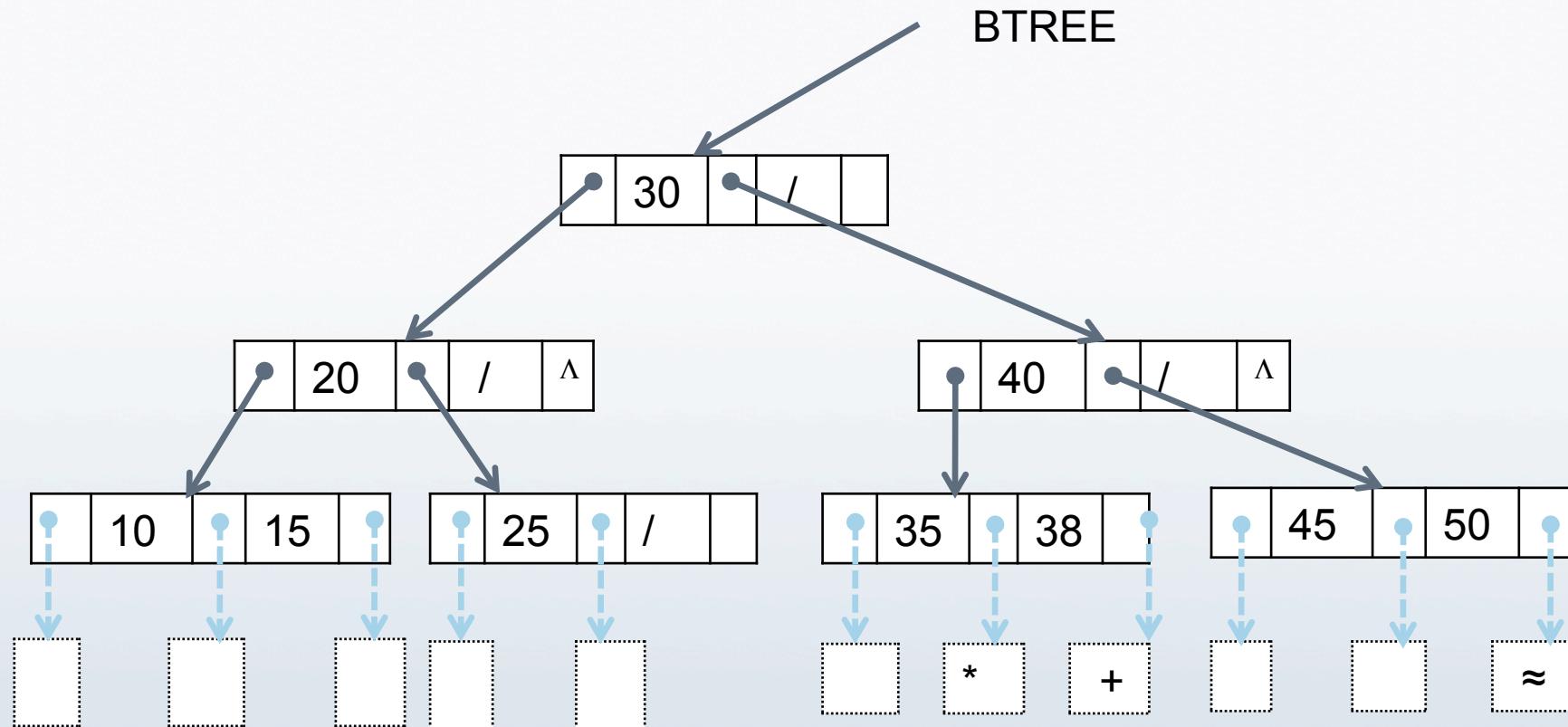
- We want to insert a new key value: x , into a B-tree
- The resulting tree must also be a B-tree. (It must be balanced.)
- We'll always insert at the leaf nodes.

Example:

1) Insert 38 to the B_tree of the above example:

(imagine that we modify all link fields of leaf nodes to point to special nodes called failure nodes)

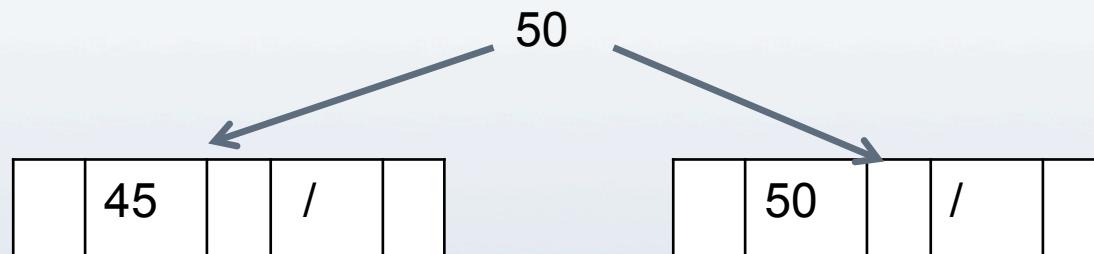
First of all, we do a search for 38 in the given B_tree. we hit the failure node marked with "*" . The parent of that failure node has only one key value so it has space for another one. Insert 38 there , add a new failure node, which is marked as "+" in the following figure, and return.



2) Now, insert 55 to this B_tree. We do the search and hit the failure node “≈”. However, it's parent node does not have any space for a key value. Now, assume we create a new node instead of

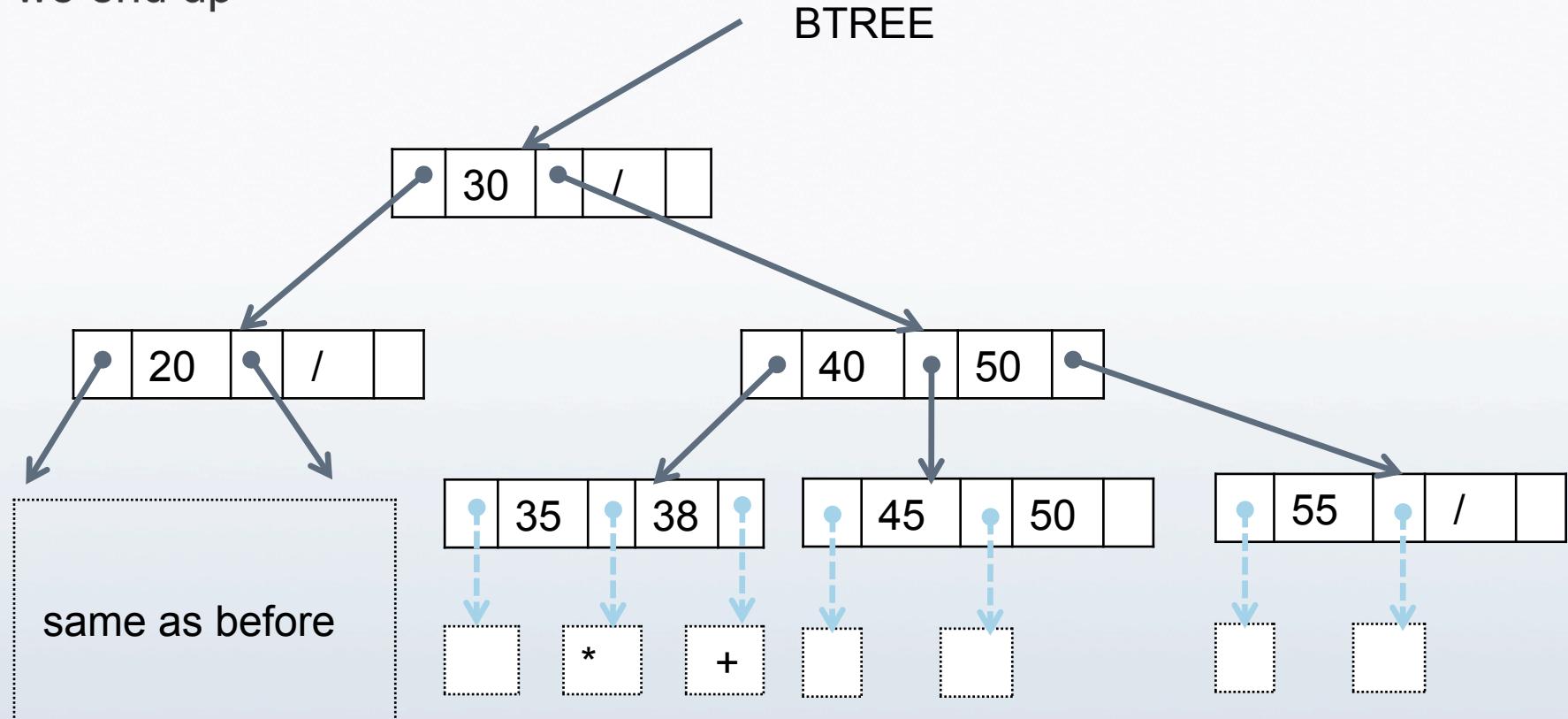


and split into two nodes

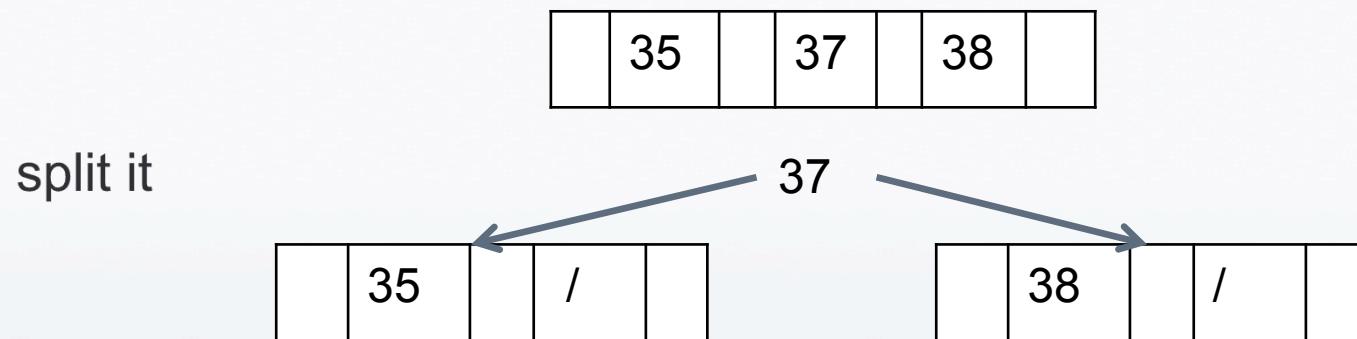


and inset 50 to parent node

so we end up



3) Now, let us insert 37 to this B_tree: We search for 37, and hit a failure node between 35 and 38. So, we have to create:



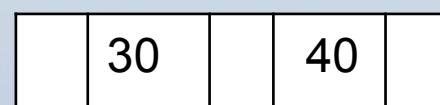
and inset 37 to its parent



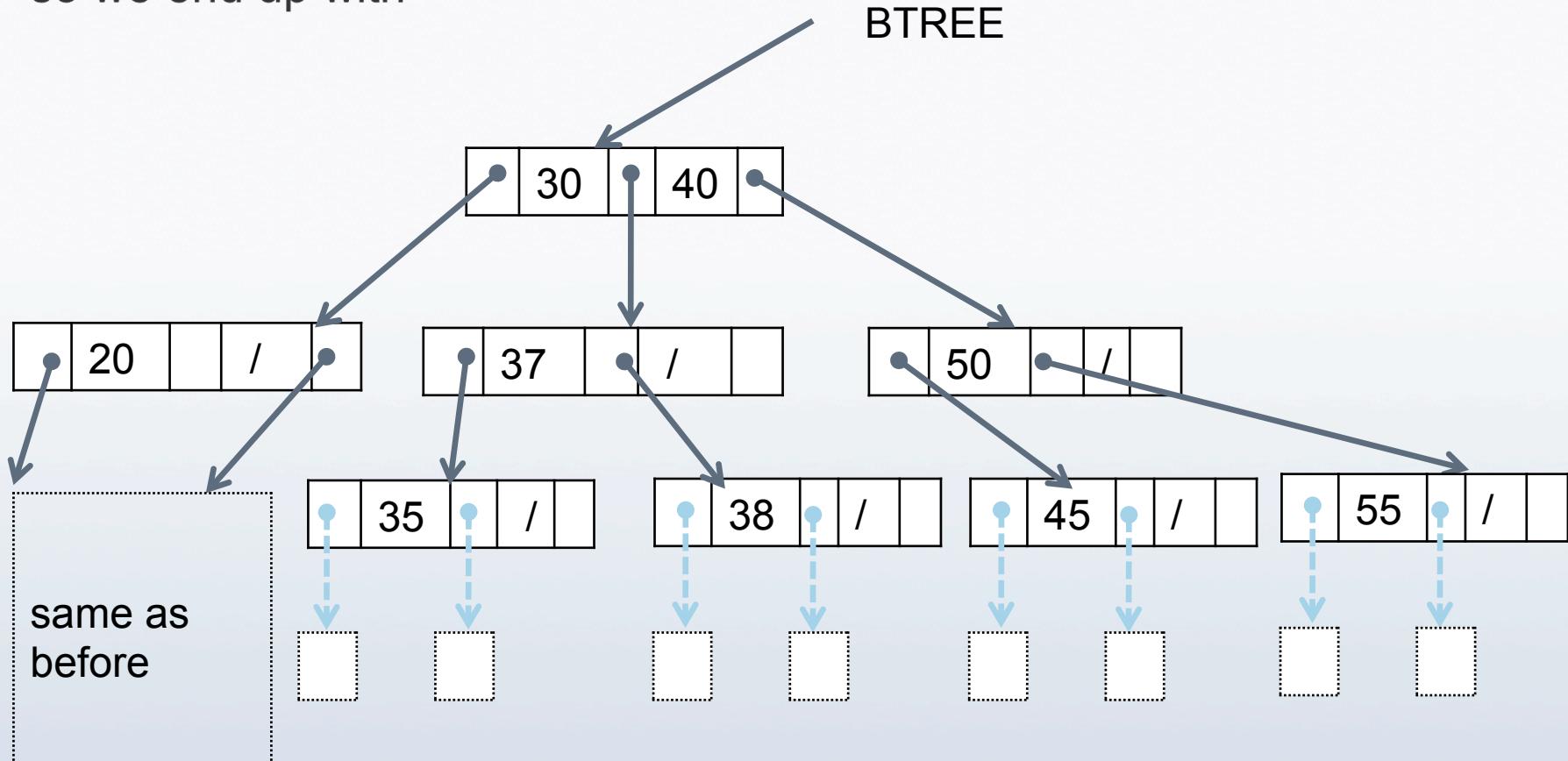
since there is no space for a new key, split it again



and this time inset 40 into its parent



so we end up with



- If we can not insert to the root node, we split and create a new root node. For example try to insert 34, 32, and 33. Thus, the height of the B-tree increases by 1 in such a case.
- Deletion algorithm is much more complicated! It will not be considered here.