

EE 441 – CH3

COMPLEXITY OF ALGORITHMS

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Search an n-element array for a match with a given key; return the array index of the matching element or -1 if no match is found

```
Int SeqSearch(DataType list[ ], int n, DataType key)
// Note dataType must be defined earlier
// e.g. typedef int DataType, or  typedef  float DataType etc.
{
    for (int i=0; i<n; i++)
        if (list[i]==key)
            return i;
    return -1;
}
```

In the worst case n comparisons are performed. Expected (average) number of comparisons is $n/2$ and expected computation time is proportional to n .

That is, if the algorithm takes 1 msec with 100 elements, it takes ~5msec with 500 elements, ~200 msec with 20000 elements, etc.

Example: Binary Search Algorithm (using a sorted array)

```
int BinarySearch(DataType list[ ], int low, int high, DataType key)
{
    int mid;
    DataType midvalue;
    while (low<=high)
    {
        mid=(low+high)/2; // note integer division, middle of array
        midvalue=list[mid];
        if (key==midvalue) return mid;
        else      if (key<midvalue) high=mid-1;
                    else low=mid+1;
    }
    return -1
}
```

HW:Write a recursive function for binary search

Example (continues)

```

int BinarySearch(DataType list[ ],
                  int low, int high, DataType key)
{
    int mid;
    DataType midvalue;
    while (low<=high)
    {
        mid=(low+high)/2;
        midvalue=list[mid];
        if (key==midvalue) return mid;
        else      if (key<midvalue) high=mid-1;
                    else low=mid+1;
    }
    return -1
}

```

int list[5]={5,17,36,37,45}

Binary Search(list, 0,4,44}

1
 $mid=(0+4)/2=2$
 midvalue=36
 key>midvalue
 low=3
 2
 $mid=(3+4)/2=3$
 midvalue=37
 key>midvalue
 low=4
 3
 $mid=(4+4)/2=4$
 midvalue=45
 key<midvalue
 high=3
 4
 since high=3<low=4, exit
 return -1 (not found)

Example (continues)

```
int BinarySearch(DataType list[ ],
                  int low, int high, DataType key)
{
    int mid;
    DataType midvalue;
    while (low<=high)
    {
        mid=(low+high)/2;
        midvalue=list[mid];
        if (key==midvalue) return mid;
        else      if (key<midvalue) high=mid-1;
                    else low=mid+1;
    }
    return -1
}
```

```
int list[5]={5,17,36,37,45}
```

Binary Search(list, 0,4,5}

1
mid=(0+4)/2=2
midvalue=36
key<midvalue
high=1
2
mid=(0+1)/2=0
midvalue=5
key=midvalue
return 0 (found)

In the worst case Binary search makes $\lceil \log_2 n \rceil$ comparisons

Example:

| | | | | | | | |
|------------------------|---|----|----|-----|-----|-------|--------|
| n | 8 | 20 | 32 | 100 | 128 | 1,024 | 65,536 |
| $\lceil \log_2 \rceil$ | 3 | 5 | 5 | 7 | 7 | 10 | 16 |

Assume Binary Search 1 msec with 100 elements, then it takes 7 msec with 12,800 elements, 16 msec with 6,553,600 elements

| n | Sequential Search $O(n)$ | Binary Search $O(\log n)$ |
|-----------|--|---|
| 100 | 1 msec | 1 msec |
| 12,800 | 128 msec | 7 msec |
| 6,553,600 | 6,553,600 msec | 16 msec |

Asymptotic upper bound: Big-Oh

Function $f(n)$ is $O(g(n))$ if there exists a constant K and some n_0 such that

$f(n) \leq K^*g(n)$ for any $n > n_0$. That is as $n \rightarrow \infty$, $f(n)$ is upper bounded by a constant times $g(n)$.

Asymptotic lower bound: Omega

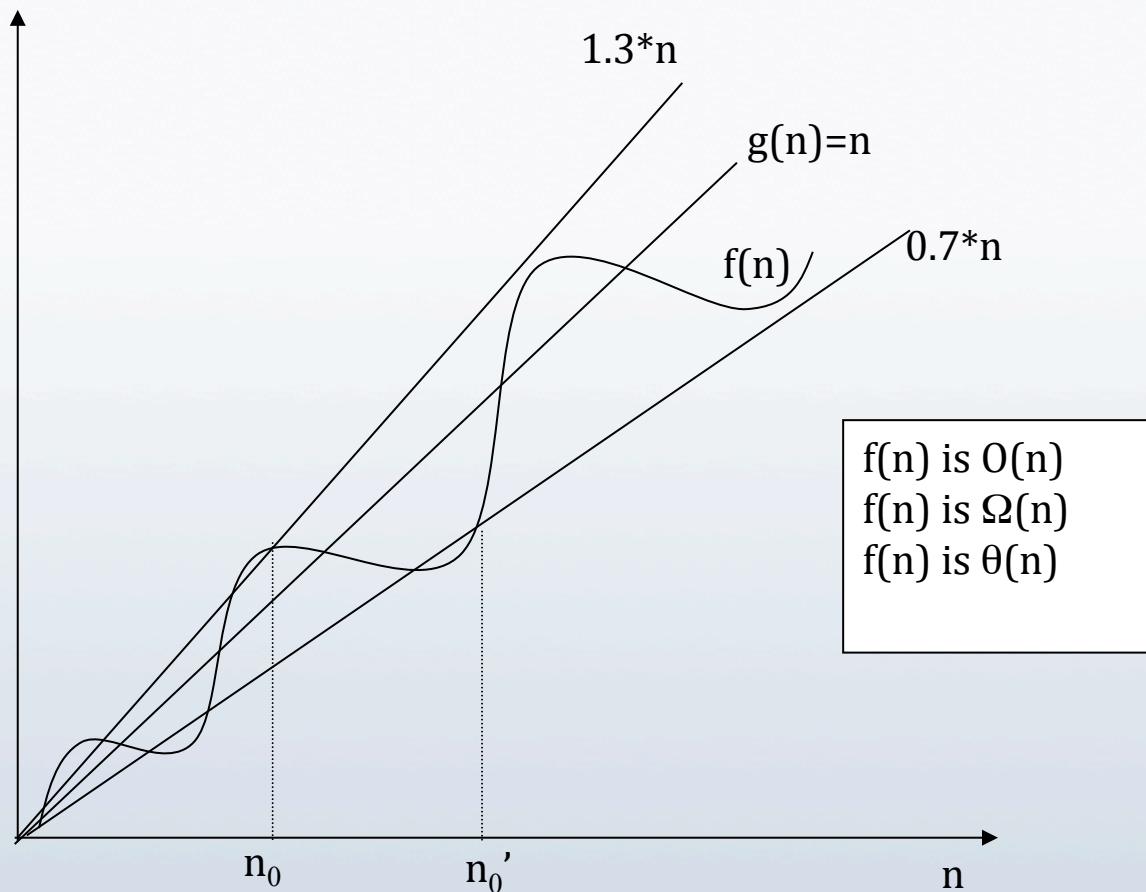
Function $f(n)$ is $\Omega(g(n))$ if there exists a constant K and some n_0 such that

$f(n) \geq K^*g(n)$ for any $n > n_0$. That is as $n \rightarrow \infty$, $f(n)$ is lower bounded by a constant times $g(n)$.

Asymptotic upper- lower bound : Theta (Tight bound)

$f(n)$ is $\theta(g(n))$ iff $f(n)$ is $O(g(n))$ and $\Omega(g(n))$

Example



Properties

- Transitivity:
 - $f(n) = \theta(g(n))$ and $g(n) = \theta(h(n)) \Rightarrow f(n) = \theta(h(n))$
 - Same for O and Ω
- Additivity:
 - $f(n) = \theta(h(n))$ and $g(n) = \theta(h(n))$ then $f(n) + g(n) = \theta(h(n))$
 - Same for O and Ω

Properties

- Reflexivity:
 - $f(n) = \Theta(f(n))$
 - Same for O and Ω
- Symmetry:
 - $f(n) = \Theta(g(n))$ if and only if $g(n) = \Theta(f(n))$
- Transpose symmetry:
 - $f(n) = O(g(n))$ if and only if $g(n) = \Omega(f(n))$

Usually $g(n)$ selected among
 $\log n$ (note $\log_a n = k * \log_b n$ for any a and b)
 n, n^k (polynomial)
 k^n (exponential)

Use $O(\cdot)$ to show how good is an algorithm
For example $O(n^2)$, polynomial, feasible

Use $\Omega(\cdot)$ to show how bad it is
for example $\Omega(2^n)$, grows exponentially, not feasible

Example: $f(n) = n^3 + 70n^2 + 2$ is $O(n^3)$, and also $\Omega(n^3), \Theta(n^3)$

Example: $f(n) = 2^n + 10^{23}n + n^{1000}$ is $\Omega(2^n)$, and also $O(2^n), \Theta(2^n)$

Example: $\sum_{i=1}^n i$

| | | |
|--|--|---------------------------------|
| | int Sum (int n) { 1 int result = 0; 2 for (int i = 1; i <= n; ++i) 3 result += i; 4 return result; } | t1 t2a, t2b, t2c t3 t4 |
|--|--|---------------------------------|

$$f = t1 + t2a + (n+1)t2b + n*t2c + n*t3 + t4 = tA + n*tB$$

$$\Rightarrow O(n), \Omega(n), \Theta(n)$$

Example: $\sum_{i=0}^n a_i x^i$

| | | |
|---|----------------------------------|---------------|
| | int (int a [], int n, int x) | |
| | { | |
| 1 | int xpower=1 | t1 |
| 2 | result = a [0]*xpower; | t2 |
| 3 | for (int i = 1; i <= n; i++) | t3a, t3b, t3c |
| 4 | { xpower=x*xpower; | t4 |
| 5 | result = result+ a [i]*xpower; } | t5 |
| 6 | return result; | t6 |
| | } | |

$$f=t1+t2+t3a+(n+1)*t3b+n*(t3c+t4+t5)+t6=tA+n*tB \Rightarrow O(n), \Omega(n), \Theta(n)$$

Example: $\sum_{i=1}^n \sum_{j=1}^i i * j$

| | | |
|---|------------------------------|---------------|
| | int DSum (int n) | |
| | { | |
| 1 | int result = 0; | t1 |
| 2 | for (int i = 1; i <= n; ++i) | t2a, t2b, t2c |
| 3 | for (int j=1;j<=i;++j) | t3a, t3b, t3c |
| 4 | result += i*j; | t4 |
| 5 | return result; | t5 |
| | } | |

$$\begin{aligned}
 & t1 + t2a + (n+1) t2b + n*t2c + \sum_{i=1}^n (t3a + (i+1)* t3b + i * t3c + i * t4) + t5 \\
 &= t1 + t2a + t2b + t5 + n*(t2b + t2c + t3a + t3b) + (n*(n+1)/2)*(t3b + t3c + t4) \\
 &= tA + n*tB + n^2*tC \Rightarrow O(n^2), O(n^2), \Theta(n^2)
 \end{aligned}$$

Example:

assume myfunc1: $\theta(n)$
 assume myfunc2: $\theta(n^2)$

int RandomFunc(int n, int seed)

{

 int x=Rand(seed); //assume Rand function returns a random integer
 for (int i=1; i<=n; i++)
 if (x%2== 0) //if x is even
 x=Rand(x)+myfunc1(x,n)
 else
 x=Rand(x)+myfunc2(x,n)

 return x

}

$$\begin{aligned} \theta(1) + \theta(n) &= \theta(n) \\ \theta(1) + \theta(n^2) &= \theta(n^2) \end{aligned}$$

} repeated n times

Upperbound

$$O(1) + n * (\max(O(n), O(n^2)) + O(1)) = O(n^3)$$

Lowerbound: take the minimum

$$\Omega(1) + n * (\min(\Omega(n), \Omega(n^2)) + \Omega(1)) = \Omega(n^2)$$

Example: Recursive program to compute n!

```
int Factorial (int n)
{
    if (n == 0)
        return 1;
    else
        return n * Factorial (n - 1);
}
```

So the corresponding **recurrence relation** for time complexity is

$$T(n) = \begin{cases} \theta(1) & n=0 \\ T(n-1) + \theta(1) & n \geq 1 \end{cases}$$

$$\begin{aligned} T(n) &= T(n-1) + \theta(1) \\ &= T(n-2) + 2\theta(1) = \dots \\ &= T(0) + n * \theta(1) \Rightarrow \theta(n) \end{aligned}$$

Fibonacci numbers:

$$F_n = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ F_{n-1} + F_{n-2} & n \geq 2 \end{cases}$$

i.e. 0,1,1,2,3,4,8,13,21,34...

Example: nonrecursive program to compute Fibonacci numbers

```
int Fibonacci (int n)
{
    int prev2= -1;
    int prev1= 1;
    int sum;
    for (int i = 0; i <= n; ++i)
    {
        sum = prev2+ prev1;
        prev2 = prev1;
        prev1= sum;
    }
    return sum;
}
```

Complexity O(n), $\Omega(n)$, $\theta(n)$

Example: Recursive program to compute Fibonacci numbers

```
int Fibonacci (int n)
{
    if (n == 0 || n == 1)
        return n;
    else
        return Fibonacci (n - 1) + Fibonacci (n - 2);
}
```

Therefore, **recurrence relation** for time complexity is

$$T(n) = \begin{cases} \theta(1) & n < 2 \\ T(n-1) + T(n-2) + \theta(1) & n \geq 2 \end{cases}$$

$$T(n) = \begin{cases} \theta(1) & n < 2 \\ T(n-1) + T(n-2) + \theta(1) & n \geq 2 \end{cases}$$

UPPER BOUND: $O(\cdot)$

$$\text{Notice that } T(n) = T(n-1) + T(n-2) + \theta(1)$$

$$\leq 2T(n-1) + \theta(1)$$

$$\leq 2(2T(n-2)) + 3\theta(1) = 2^2 T(n-2) + (2^2 - 1)\theta(1)$$

...

$$\leq 2^{n-2}T(2) + (2^{(n-2)} - 1)\theta(1)$$

$$\leq 2^{n-1}T(1) + (2^{(n-1)} - 1)\theta(1) = 2^{n-1}\theta(1) + (2^{(n-2)} - 1)\theta(1)$$

$$\Rightarrow T(n) \text{ is } O(2^n)$$

This time it is better to indicate a lower bound rather than an upper bound, i.e. $\Omega(\cdot)$

$$T(n) = \begin{cases} \theta(1) & n < 2 \\ T(n-1) + T(n-2) + \theta(1) & n \geq 2 \end{cases}$$

LOWER BOUND: $\Omega(\cdot)$

Case: n is even

$$T(n) = T(n-1) + T(n-2) + \theta(1) \geq 2(T(n-2)) \geq 2^2 T(n-4) \dots \geq 2^{(n-2)/2} T(2) \Rightarrow \Omega(2^{n/2})$$

Case: n is odd

$$T(n) = T(n-1) + T(n-2) + \theta(1) \geq 2(T(n-2)) \geq 2^2 T(n-4) \dots \geq 2^{(n-1)/2} T(1) \Rightarrow \Omega(2^{n/2})$$

So $T(n)$ is $\Omega(2^{n/2})$

i.e. Exponential, so infeasible

In fact $\text{Fib}(n)$ is $\Omega((3/2)^n)$, however showing it requires more elaborate analysis.