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### Unsupervised Domain Adaptation for Real World Person Re-Identification

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#### **Examination Board:**

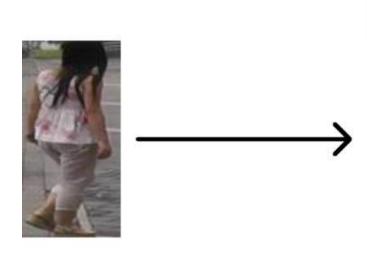
- Teófilo E. de Campos (Supervisor UnB)
- Krystian Mikolajczyk (Imperial College London)
  - Bruno L. Macchiavello Espinoza (UnB)
  - Flávio de Barros Vidal (substitute UnB)

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### Definition

Person Re-Identification is an image retrieval task, where the object in the images are people.





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### Motivation

The goal of person Re-ID is **Matching person images from different non-overlapping cameras views**. However, the addition of a new viewpoint usually impact the algorithm performance.



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### Objectives

In this work, we aim to create person Re-ID framework capable of learning robust representations from non-annotated data. To achieve that, we set 3 auxiliary goals:

- Implement a baseline domain adaptation method to start from; 1.
- Identify the flaws in our baseline domain adaptation method and propose techniques to undermine them;
- Improve our proposed methods and compare them with the state-of-3. the-art algorithms.

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### Contributions

While working towards our goals, we proposed some techniques that generated the following publications:

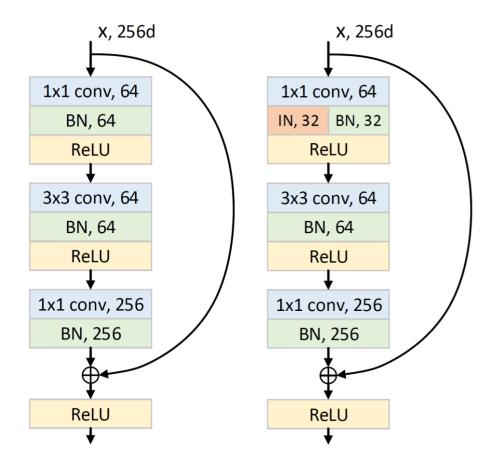
- Pereira, T. and de Campos, T. Domain Adaptation for Person Re-identification on New Unlabeled Data (best student paper award winner at VISAPP 2020) [1]
- Pereira, T. and de Campos, T. Domain adaptation for person re-identification on new unlabeled data using AlignedReID++ (IJPRAI) [2]
- Pereira, T. and de Campos, T. Learn by Guessing: Multi-Step Pseudo-Label Refinement for Person Re-Identification (VISAPP 2022) [3]

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### Model Architectures — Resnet 50 [4] and IBN Net-50 a [5]

For the person Re-ID challenge, we need a model architecture that can:

- Encode the person information into a feature vector
- Take advantage from information of multiple semantic levels
- Disregard background information
- Be robust against variations in illumination, angle, saturation, resolution, distance from people.

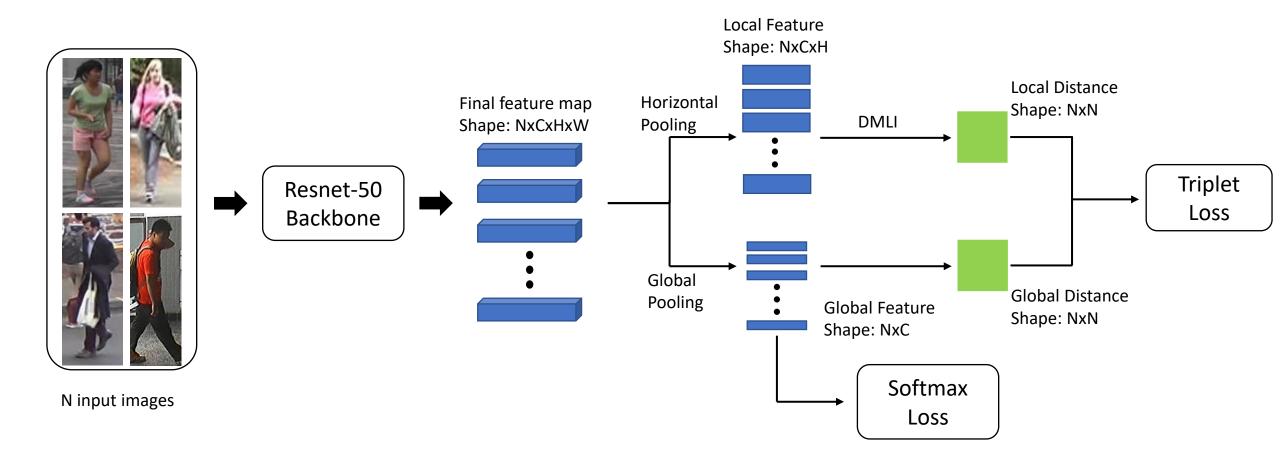


[4] He, K. et al.: Deep Residual Learning for Image Recognition. CVPR, 2016.

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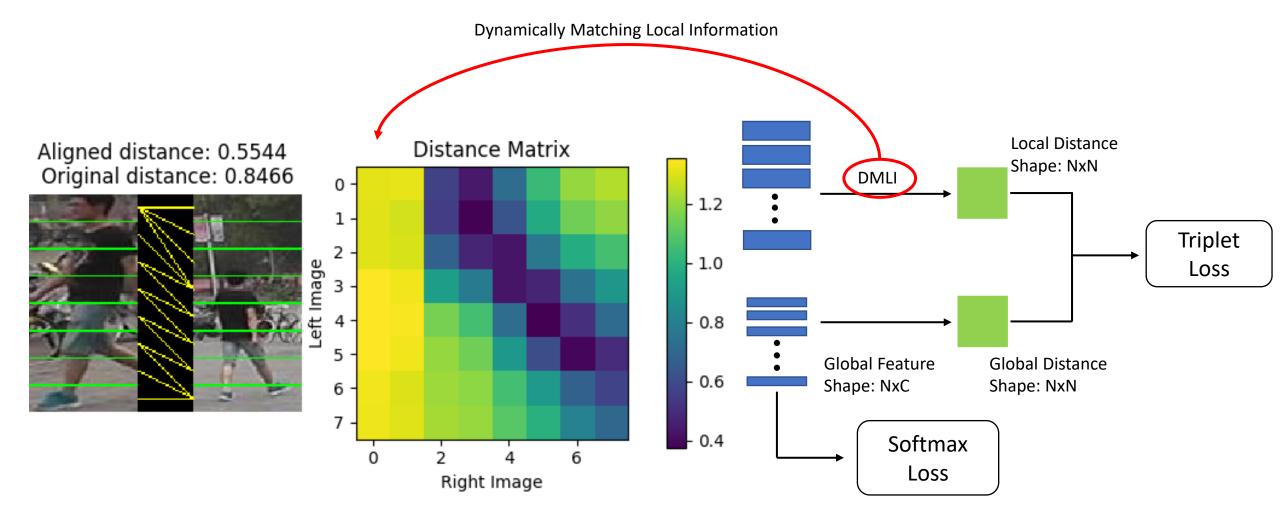
[5] Pan, X. et al.: Two at Once: Enhancing Learning and Generalization Capacities via IBN-Net. ECCV, 2018.

# Model Architectures – Aligned ReID++ [6]

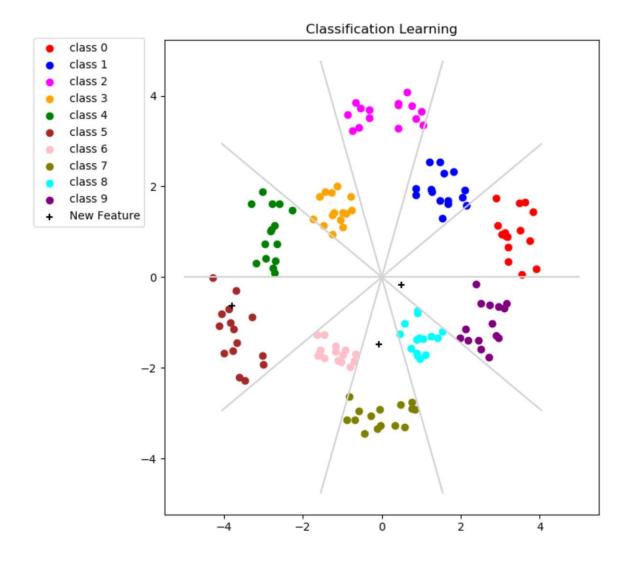


[6] Luo, H. et al.: AlignedReID++: Dynamically matching local information for person re-identification. Pattern Recognition, 2019.

## Model Architectures – Aligned ReID++

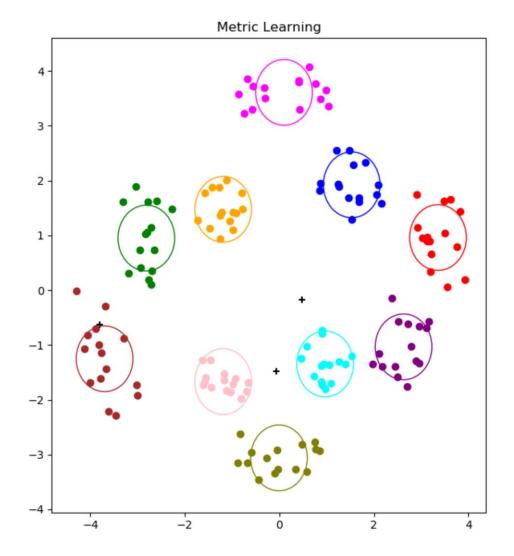


# Metric Learning vs. Classification Learning



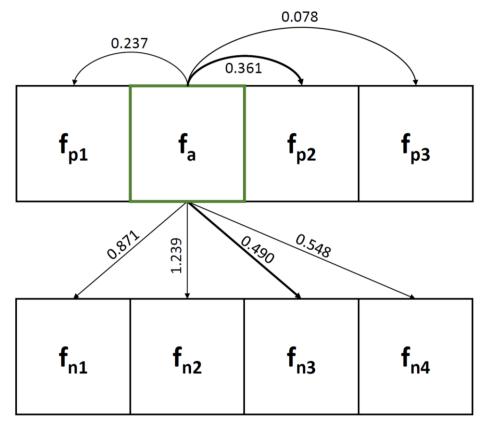
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### Triplet Loss & Batch hard

- The Triplet Loss is responsible for producing output vectors that belong to a Euclidean feature space;
- It is better than the contrastive loss, once it can push pairs from different people away while pulling feature pairs from same people together;
- **Challenge:** How to choose the best triplets? Based on Hermans et al. [7] work batch hard is the best approach.



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[7] Hermans, A., Beyer, L., and Leibe, B. In defense of the triplet loss for person re-identification. arXiv 2017.

# Viper Dataset [8]

|  | Viper   |
|--|---------|
| Release Year                                 | 2007    |
| Samples                                      | 1264    |
| Identities                                   | 632     |
| Cameras                                      | 2       |
| Avg Number of Cameras<br>Passed per Identity | 2       |
| Scene  | outdoor |

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[8] Gray, D. et al.: Evaluating appearance models for recognition, reacquisition, and tracking. In In IEEE International Workshop on Performance Evaluation for Tracking and Surveillance, 2007.

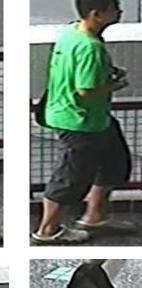
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# CUHK03 Dataset [9]

|  | CUHK03 |
|--|--------|
| Release Year                                 | 2014   |
| Samples                                      | 28192  |
| Identities                                   | 1467   |
| Cameras                                      | 2      |
| Avg Number of Cameras<br>Passed per Identity | 2      |
| Scene  | indoor |

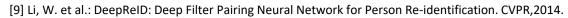








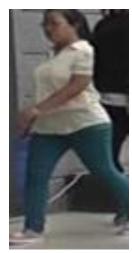




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# Market1501 Dataset [10]

|  | Market1501 |
|--|------------|
| Release Year                                 | 2015       |
| Samples                                      | 32668      |
| Identities                                   | 1501       |
| Cameras                                      | 6          |
| Avg Number of Cameras<br>Passed per Identity | 4.42       |
| Scene  | outdoor    |









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# DukeMTMC Dataset [11]

|  | ${\rm DukeMTMC}$ |
|--|------------------|
| Release Year                                 | 2016             |
| Samples                                      | 36411            |
| Identities                                   | 1812             |
| Cameras                                      | 8                |
| Avg Number of Cameras<br>Passed per Identity | 2.67             |
| Scene  | outdoor          |



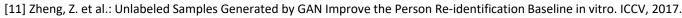












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### Overview

|  | Viper   | CUHK03 | Market 1501 | ${\rm DukeMTMC}$ |
|--|---------|--------|-------------|------------------|
| Release Year                                 | 2007    | 2014   | 2015        | 2016             |
| Samples                                      | 1264    | 28192  | 32668       | 36411            |
| Identities                                   | 632     | 1467   | 1501        | 1812             |
| Cameras                                      | 2       | 2      | 6           | 8                |
| Avg Number of Cameras<br>Passed per Identity | 2       | 2      | 4.42        | 2.67             |
| Scene  | outdoor | indoor | outdoor     | outdoor          |

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### Training Strategy

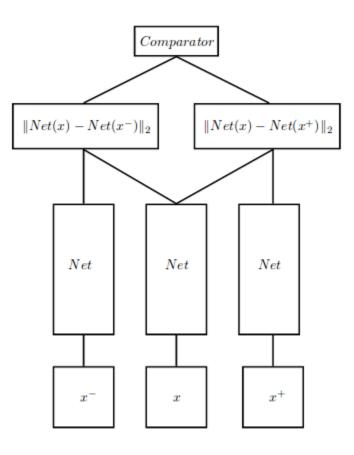
Our general training strategy had the following configurations:

- ResNet-50 or AlignedReID++
- Triplet loss with batch hard
- Adam optimizer
- Batch scheduler

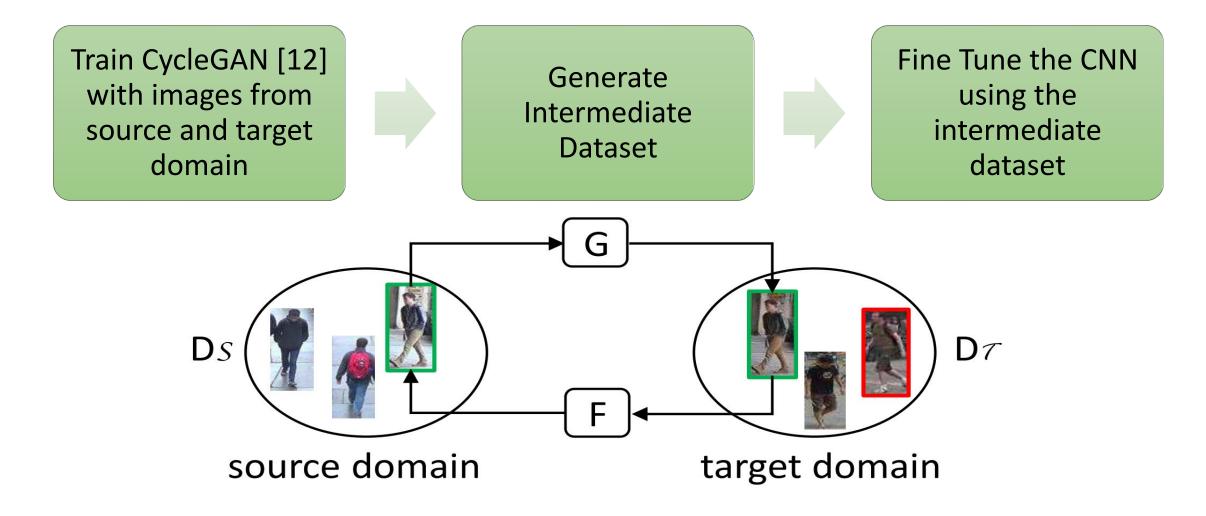
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#### Algorithm 1 Batch Scheduler

- 1:  $\gamma = 2 \times \tau$
- 2: for i = 0 to epochs do
- 3:  $loss = train(i, \gamma)$
- 4: if  $loss < (0.8 \times m)$  then
- 5:  $\gamma = \gamma \times 2$
- 6: end if
- 7: end for



### Domain Adaptation – Cycle GAN Step

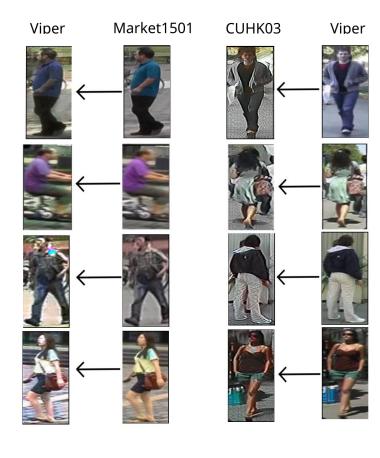


[12] Isola, P. et al.: Image-To-Image Translation With Conditional Adversarial Networks. CVPR, 2017.

# Domain Adaptation – Cycle GAN Results







# Domain Adaptation – Pseudo-Labels Step

Extract Features from target domain images



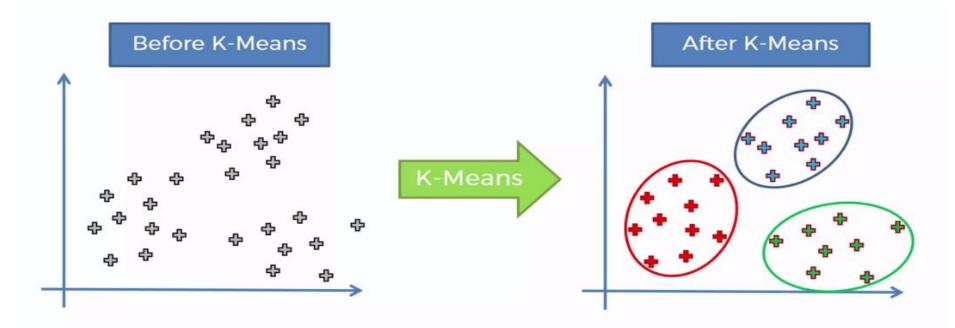
Group these features using k-means [13]



Generate a pseudo labelled dataset with the grouped features

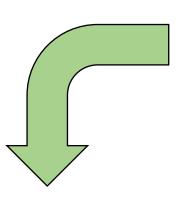


Fine Tune the CNN using the pseudo labelled dataset



[13] Hartigan, J. A. and Wong, M. A. A K-means clustering algorithm. In: Journal of the Royal Statistical Society 1979.

# Progressive Learning [14]



Train the model on source domain

Generate pseudo-labels on target domain



Fine-Tune the model on the pseudo-labels

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[14] Fan, H. et al.: Unsupervised Person Re-identification: Clustering and Fine-tuning. TOMM, 2018.

### Domain Adaptation – Pseudo-Labels Results

### Without Progressive learning























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### With Progressive learning

























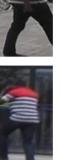












### Results - Baseline

|               |               |                 |          | Accuracy (CMC scores) |           |
|---------------|---------------|-----------------|----------|-----------------------|-----------|
| Source Domain | Target Domain | Method          | Rank – 1 | Rank - 5              | Rank - 10 |
|               |               | Direct Transfer | 12.5%    | 25.0%                 | 33.1%     |
|               | Viper         | CycleGAN        | 9.8%     | 26.9%                 | 36.4%     |
| Market 1501   |               | Ours            | 13.9%    | 29.0%                 | 40.7%     |
| Market 1501   |               | Direct Transfer | 19.9%    | 49.4%                 | 63.2%     |
|               | CUHK 03       | CycleGAN        | 34.8%    | 66.7%                 | 79.1%     |
|               |               | Ours            | 38.2%    | 69.7%                 | 81.6%     |
|               | Viper         | Direct Transfer | 10.1%    | 22.5%                 | 29.0%     |
|               |               | CycleGAN        | 11.6%    | 25.5%                 | 34.7%     |
| CUHK 03       |               | Ours            | 13.6%    | 33.9%                 | 46.0%     |
| COHK 05       | Market 1501   | Direct Transfer | 26.8%    | 45.9%                 | 55.1%     |
|               |               | CycleGAN        | 35.8%    | 56.5%                 | 65.7%     |
|               |               | Ours            | 37.3%    | 60.4%                 | 70.4%     |
|               |               | Direct Transfer | 5.9%     | 18.1%                 | 29.0%     |
| Viper         | CUHK 03       | CycleGAN        | 31.9%    | 64.4%                 | 77.5%     |
|               |               | Ours            | 36.1%    | 69.2%                 | 81.3%     |
|               |               | Direct Transfer | 5.7%     | 15.5%                 | 22.2%     |
|               | Market 1501   | CycleGAN        | 6.7%     | 17.0%                 | 23.7%     |
|               |               | Ours            | 8.6%     | 20.5%                 | 28.4%     |

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# Results – AlignedReID++

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|                    |   |  | Accuracy (CMC scores)   |   |
|--------------------|---|--|-------------------------|---|
| Target Domain      | Method                                      | Rank – 1   | Rank – 5                | Rank - 10   |
|                    | Direct Transfer                             | 22.9%  | 41.8%                   | 50.0%   |
| Viper              | CycleGAN                                    | 21.4%  | 40.2%                   | 50.3%   |
|                    | Ours  | 23.7%  | 41.5%                   | 50.8%   |
|                    | Direct Transfer                             | 22.5%  | 45.0%                   | 47.2%   |
| CUHK 03            | CycleGAN                                    | 37.0%  | 69.1%                   | 80.9%   |
|                    | Ours  | 42.9%  | 72.5%                   | 81.2%   |
|                    | Direct Transfer                             | 20.6%  | 38.0%                   | 47.2%   |
| Viper              | CycleGAN                                    | 21.8%  | 43.2%                   | 52.2%   |
|                    | Ours  | 22.5%  | 43.2%                   | 54.1%   |
| Market 1501        | Direct Transfer                             | 38.7%  | 55.1%                   | 62.6%   |
|                    | CycleGAN                                    | 42.7%  | 59.7%                   | 67.3%   |
|                    | Ours  | 46.8%  | 65.9%                   | 73.6%   |
|                    | Direct Transfer                             | 9.9%   | 27.9%                   | 40.1%   |
| <b>CUHK 03</b>     | CycleGAN                                    | 17.1%  | 41.6%                   | 55.8%   |
|                    | Ours  | 20.4%  | 43.9%                   | 58.5%   |
|                    | Direct Transfer                             | 15.9%  | 28.2%                   | 35.4%   |
| <b>Market 1501</b> | CycleGAN                                    | 23.1%  | 37.9%                   | 45.8%   |
|                    | Ours  | 28.4%  | 46.4%                   | 55.2%   |
|                    | Viper  CUHK 03  Viper  Market 1501  CUHK 03 | Direct Transfer  CycleGAN  Ours  Direct Transfer  CUHK 03  CycleGAN  Ours  Direct Transfer  CycleGAN  Ours | Direct Transfer   22.9% | Target Domain         Method         Rank – 1         Rank – 5           Direct Transfer         22.9%         41.8%           Viper         CycleGAN         21.4%         40.2%           Ours         23.7%         41.5%           Direct Transfer         22.5%         45.0%           CUHK 03         CycleGAN         37.0%         69.1%           Ours         42.9%         72.5%           Direct Transfer         20.6%         38.0%           Viper         CycleGAN         21.8%         43.2%           Ours         22.5%         43.2%           Market 1501         CycleGAN         42.7%         59.7%           Ours         46.8%         65.9%           CUHK 03         CycleGAN         17.1%         41.6%           Ours         20.4%         43.9%           Direct Transfer         15.9%         28.2%           Market 1501         CycleGAN         23.1%         37.9% |

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### Results – Ablation Studies – Batch Scheduler

|               |                         |                          |  | Accuracy (CMC scores)      |   |
|---------------|-------------------------|--------------------------|--|----------------------------|---|
| Target Domain | Method                  | Batch Scheduler          | Rank – 1   | Rank – 5                   | Rank - 10   |
|               | CycleGAN                | ×                        | 37.0%  | 69.1%                      | 80.9%   |
| CHILIKOS      |                         | ✓                        | 38.9%  | 69.2%                      | 81.1%   |
| CUHKUS —      | Ours                    | ×                        | 42.9%  | 72.5%                      | 81.2%   |
|               |                         | ✓                        | 43.1%  | 72.7%                      | 84.2%   |
|               | CycleGAN                | ×                        | 42.7%  | 59.7%                      | 67.3%   |
| Morkot1E01    |                         | ✓                        | 38.4%  | 57.2%                      | 65.5%   |
| Market1201    | Oure                    | ×                        | 46.8%  | 65.9%                      | 73.6%   |
|               | Ours                    | ✓                        | 50.1%  | 68.2%                      | 75.6%   |
|               | Target Domain  CUHK03 - | CycleGAN  Ours  CycleGAN | CUHK03  Ours  CycleGAN  CycleGAN  CycleGAN  CycleGAN  CycleGAN  CycleGAN  CycleGAN  CycleGAN  CycleGAN | CUHK03  CycleGAN  CycleGAN | Target Domain         Method         Batch Scheduler         Rank − 1         Rank − 5           CycleGAN         ★         37.0%         69.1%           ✓         38.9%         69.2%           ✓         42.9%         72.5%           ✓         43.1%         72.7%           Example 1         ★         42.7%         59.7%           CycleGAN         ✓         38.4%         57.2%           Ours         ★         46.8%         65.9% |

## Results – Ablation Studies – CycleGAN

|               |   |          | Accuracy (CMC scores) |          |           |  |  |
|---------------|---|----------|-----------------------|----------|-----------|--|--|
| Source Domain | Target Domain                                 | CycleGAN | Rank – 1              | Rank – 5 | Rank - 10 |  |  |
|               | Vinor   | *        | 21.5%                 | 38.3%    | 80.9%     |  |  |
| Market1501    | Viper   | ✓        | 23.7%                 | 41.5%    | 81.1%     |  |  |
| Marketioni    | CUHK03  | ×        | 31.6%                 | 58.5%    | 81.2%     |  |  |
|               | COHROS  | ✓        | 43.1%                 | 72.7%    | 84.2%     |  |  |
|               | Viper<br>———————————————————————————————————— | ×        | 19.5%                 | 41.0%    | 67.3%     |  |  |
| СИНК03        |   | ✓        | 22.5%                 | 43.2%    | 65.5%     |  |  |
| COHKUS        |   | ×        | 45.7%                 | 61.5%    | 73.6%     |  |  |
|               |   | ✓        | 50.1%                 | 68.2%    | 75.6%     |  |  |
|               | CUHK03  | ×        | 18.0%                 | 40.8%    | 53.6%     |  |  |
| Viper         | COHROS  | ✓        | 20.4%                 | 43.9%    | 58.5%     |  |  |
|               | Market1501                                    | ×        | 23.0%                 | 37.6%    | 44.9%     |  |  |
|               | Ivial Ket1301                                 | ✓        | 28.4%                 | 46.4%    | 55.2%     |  |  |
|               |   |          |                       |          |           |  |  |

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# Results – Ablation Studies – Progressive Learning

|               |               |               |          | Accuracy (CMC scores) |           |
|---------------|---------------|---------------|----------|-----------------------|-----------|
| Source Domain | Target Domain | PL Iterations | Rank – 1 | Rank – 5              | Rank - 10 |
|               | Vinor         | 1             | 23.7%    | 41.5%                 | 50.8%     |
| Market1501    | Viper         | 2             | 18.2%    | 36.9%                 | 46.0%     |
| IVIAI KELISUI | CUHK03        | 1             | 43.1%    | 72.7%                 | 84.2%     |
|               | COHKUS        | 3             | 47.8%    | 75.9%                 | 84.2%     |
|               | Vinon         | 1             | 22.5%    | 43.2%                 | 54.1%     |
| CUHK03        | Viper         | 2             | 20.7%    | 40.8%                 | 50.6%     |
| COHROS        | Market1501    | 1             | 50.1%    | 68.2%                 | 75.6%     |
|               | Iviai ket1501 | 9             | 64.3%    | 81.5%                 | 87.5%     |
|               | CUHK03        | 1             | 20.4%    | 43.9%                 | 58.5%     |
| Viper         | СОПКОЗ        | 14            | 51.2%    | 76.2%                 | 83.8%     |
|               | Market1501    | 1             | 28.4%    | 46.4%                 | 55.2%     |
|               | IVIAI KELTOUT | 14            | 55.2%    | 73.9%                 | 81.0%     |

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### Multi-Step Pseudo-Label Refinement

We identified some problems the previous method that we aim to solve using our Multi-Step Pseudo-Label Refinement method.

- The model lack of generalization;
- The noisy and low-quality pseudo-labels;
- The high influence of camera characteristics in the pseudolabels generation;
- The high computational cost to train GANs and generate the intermediate dataset.

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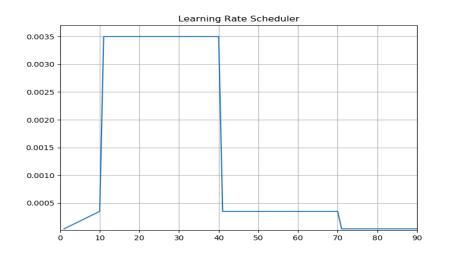
## Step 1 — Architecture + Training Strategy

Our general training strategy had the following configurations:

- IBN Net-50 a
- Adam optimizer

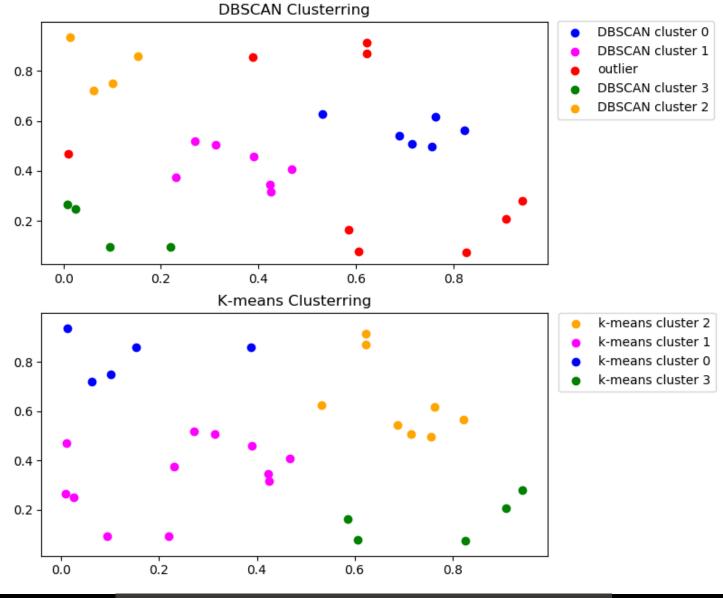
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- A three-factor loss function given by  $\mathcal{L} = \mathcal{L}_{triplet} + \mathcal{L}_{ID} + 0.005 * \mathcal{L}_{center}$  where:
  - $\mathcal{L}_{triplet}$  is the triplet Loss responsible for the metric leaning,
  - $\mathcal{L}_{ID}$  is a label smooth cross entropy loss for person ID classification
  - $\mathcal{L}_{center}$  is a center loss to guarantee cluster compactness
- A learning rate scheduler for the 90 training epochs defined by:

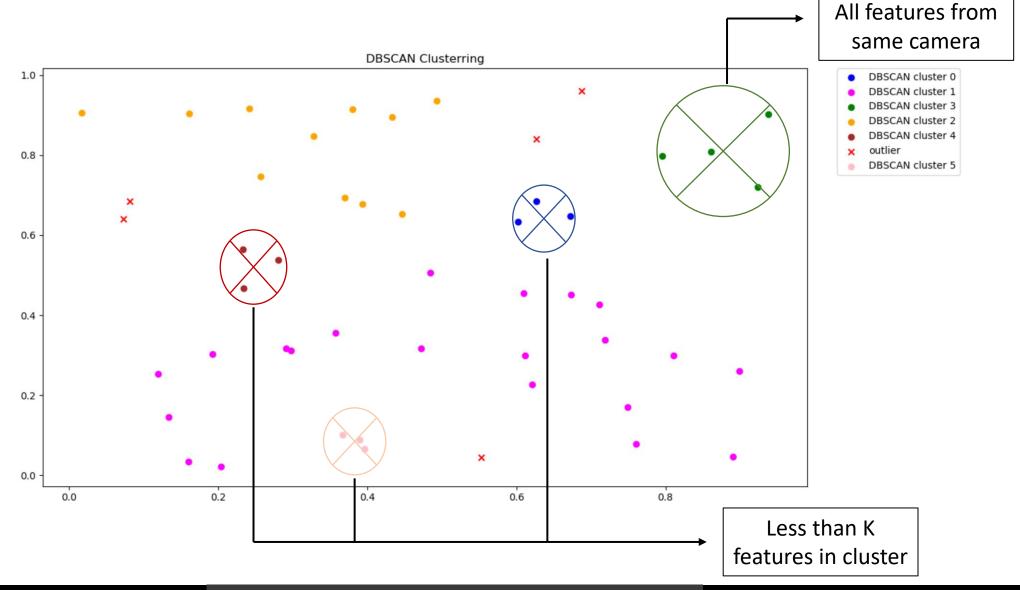


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# Step 2 – Clustering Technique



### Step 3 – Cluster Selection



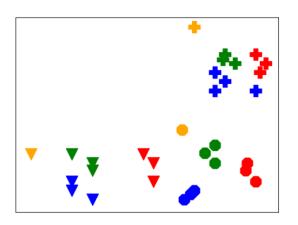
### Step 4 – Camera-Guided Feature Normalisation

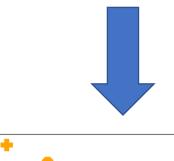
The high variance present in person Re-ID is mainly caused by different camera views, as each camera has its own characteristics.

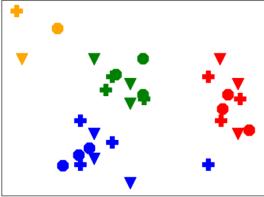
Therefore, the model tends to cluster images by cameras rather than clustering images from the same person in different views.

A camera guided normalisation step is then necessary to reduce this variance and allow the clustering step to create better clusters. The normalisation is done by:

$$\bar{f}_{v_j} = \frac{f_{v_j} - \mu_{v_j}}{\sigma_{v_j}}$$

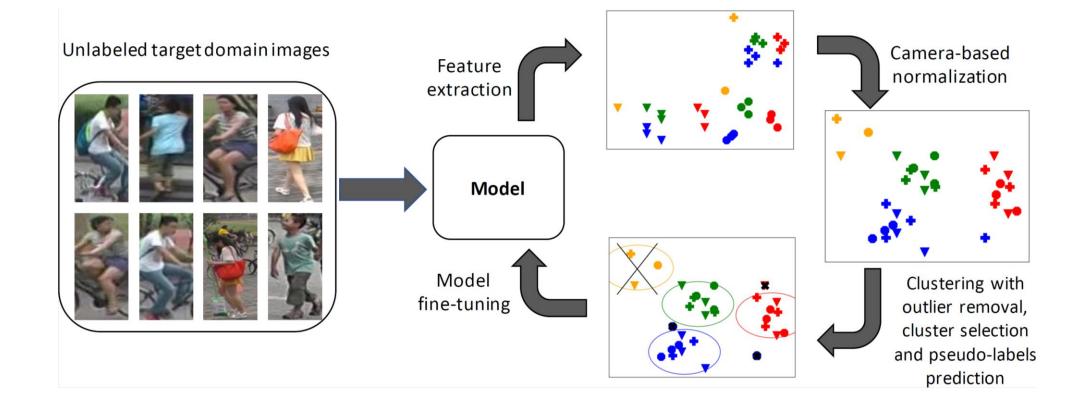






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### Step 5 – Unsupervised Domain Adaptation



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### Baseline Results

| Supervised Market1501 -> DukeMTMC |          |          |           |      | DukeMTMC -: | > Market1501 |           |      |
|-----------------------------------|----------|----------|-----------|------|-------------|--------------|-----------|------|
| Training                          | Rank – 1 | Rank – 5 | Rank - 10 | mAP  | Rank – 1    | Rank – 5     | Rank - 10 | mAP  |
| Source                            | 44.7     | 60.7     | 66.4      | 27.3 | 58.9        | 74.3         | 80.1      | 29.0 |
| Target                            | 82.7     | 92.1     | 94.6      | 68.6 | 92.5        | 97.6         | 98.7      | 81.5 |
| Source and Target                 | 83.9     | 92.5     | 94.8      | 71.1 | 92.6        | 97.7         | 98.6      | 81.2 |
| Source (Ours)                     | 82.7     | 90.5     | 93.5      | 69.3 | 89.1        | 95.8         | 97.2      | 73.6 |

### Results

| Mothodo    | Market1501 -> DukeMTMC |             |             |             | DukeMTMC -  | > Market1501 |             |             |
|------------|------------------------|-------------|-------------|-------------|-------------|--------------|-------------|-------------|
| Methods    | Rank – 1               | Rank – 5    | Rank - 10   | mAP         | Rank – 1    | Rank – 5     | Rank - 10   | mAP         |
| SPGAN      | 46.9                   | 62.6        | 68.5        | 26.4        | 58.1        | 76.0         | 82.7        | 26.9        |
| UCDA-CCE   | 55.4                   | -           | -           | 36.7        | 64.3        | -            | -           | 34.5        |
| ARN        | 60.2                   | 73.9        | 79.5        | 33.4        | 70.3        | 80.4         | 86.3        | 39.4        |
| MAR        | 67.1                   | 79.8        | -           | 48.0        | 67.7        | 81.9         | -           | 40.0        |
| ECN        | 63.3                   | 75.8        | 80.4        | 40.4        | 75.1        | 87.6         | 91.6        | 43.0        |
| PDA-Net    | 63.2                   | 77.0        | 82.5        | 45.1        | 75.2        | 86.3         | 90.2        | 47.6        |
| EANet      | 67.7                   | -           | -           | 48.0        | 78.0        | -            | -           | 51.6        |
| CBN + ECN  | 68.0                   | 80.0        | 83.9        | 44.9        | 81.7        | 91.9         | 94.7        | 52.0        |
| Theory     | 68.4                   | 80.1        | 83.5        | 49.0        | 75.8        | 89.5         | 93.2        | 53.7        |
| CR-GAN     | 68.9                   | 80.2        | 84.7        | 48.6        | 77.7        | 89.7         | 92.7        | 54.0        |
| PCB-PAST   | 72.4                   | -           | -           | 54.3        | 78.4        | -            | -           | 54.6        |
| AD Cluster | 72.6                   | 82.5        | 85.5        | 54.1        | 86.7        | 94.4         | 96.5        | 68.3        |
| SSG        | 76.0                   | 85.8        | 89.3        | 60.3        | 86.2        | 94.6         | 96.5        | 68.7        |
| DG-Net++   | 78.9                   | 87.8        | 90.4        | 63.8        | 82.1        | 90.2         | 92.7        | 61.7        |
| ММТ        | 79.3                   | 89.1        | 92.4        | 65.7        | <u>90.9</u> | 96.4         | 97.9        | <u>76.5</u> |
| Ours       | <u>82.7</u>            | <u>90.5</u> | 93.5        | <u>69.3</u> | 89.1        | <u>95.8</u>  | <u>97.2</u> | 73.6        |
| Ours + RR  | 84.8                   | 90.8        | <u>93.2</u> | 81.2        | 92.0        | 95.3         | 96.6        | 88.1        |

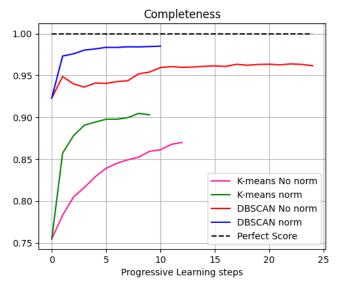
UnB

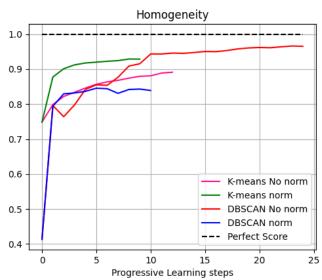
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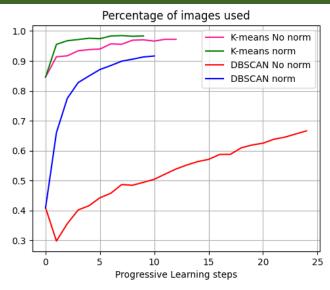
### Results – Ablation Studies

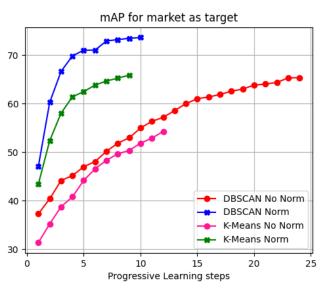
| Methods                | Market1501 -> DukeMTMC |      | DukeMTMC -> Market1501 |      |
|------------------------|------------------------|------|------------------------|------|
|                        | Rank – 1               | mAP  | Rank – 1               | mAP  |
| Resnet-50              | 41.4                   | 25.7 | 54.3                   | 25.5 |
| + IBN-Net50-a          | 44.7                   | 27.3 | 58.9                   | 29.0 |
| + Domain Adaptation    | 52.2                   | 37.1 | 60.1                   | 34.8 |
| + Progressive Learning | 52.2                   | 27.1 | 61.4                   | 35.5 |
| + Cluster Selection    | 77.2                   | 61.8 | 86.5                   | 66.0 |
| + Camera Normalisation | 82.7                   | 69.3 | 89.1                   | 73.6 |

### Results – Cluster Methods Comparison – Market1501

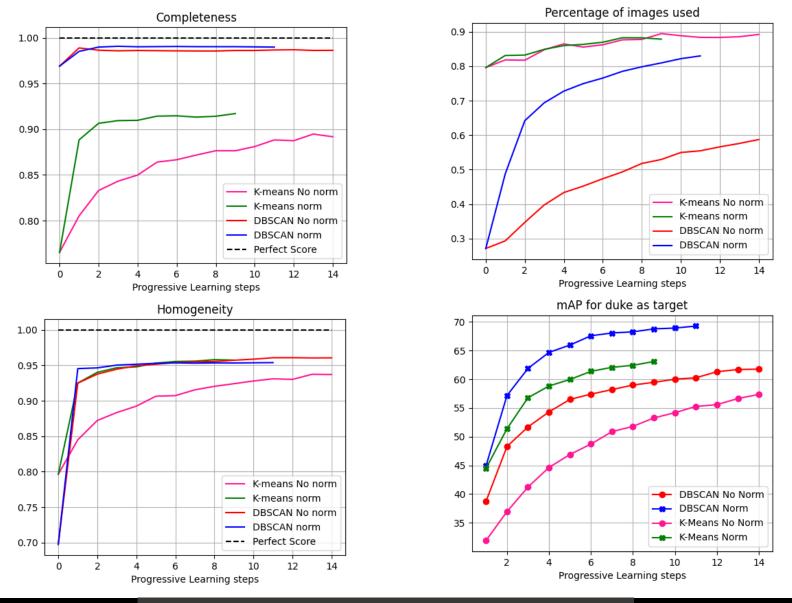








### Results – Cluster Methods Comparison – DukeMTMC



### Final Observations

In this work we proposed two methods for unsupervised domain adaptation in person Re-ID:

- The GAN + pseudo-labels method, which created a baseline for us with the resnet-50 and proved its efficiency with the addiction of the AlignedReID++
- The Multi-Step Pseudo-Labels Refinement that solved some flaws present in the previous method and achieved a state-of-the-art result

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### Future Work

Although our results are very satisfactory, there is still room for improvement in the UDA Person Re-ID area. We believe that the above ideas are promising:

- Using self-supervised methods (e.g. [15][16][17]) to warm up the initial model direct in the target domain instead of relying in a labeled source domain;
- Using newer neural networks architectures as backbone (e.g., Swin Transformers [18] and ConvNeXt [19]).

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<sup>[15]</sup> Chen, T., Kornblith, S., Norouzi, M., and Hinton, G.: A Simple Framework for Contrastive Learning of Visual Representations. ICML, 2020.

<sup>[16]</sup> Chen, X. and He, K.: Exploring Simple Siamese Representation Learning. CVPR, 2021.

<sup>[17]</sup> He, K., Fan, H., Wu, Y., Xie, S., and Girshick, R.: Momentum Contrast for Unsupervised Visual Representation Learning. CVPR 2020.

<sup>[18]</sup> Liu, Z., Lin, Y., Cao, Y., Hu, H., Wei, Y., Zhang, Z., Lin, S., and Guo, B.: Swin Transformer: Hierarchical Vision Transformer using Shifted Windows. ArXiv, 2021.

<sup>[19]</sup> Liu, Z., Mao, H., Wu, C.Y., Feichtenhofer, C., Darrell, T., and Xie, S.: A ConvNet for the 2020s ArXiv, 2022.

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Thank You!

