# A Closer Look At Lists

Principles of Functional Programming

### Lists Recap

Lists are the core data structure we will work with over the next weeks.

```
Type: List[Fruit]
Construction:
   val fruits = List("Apple", "Orange", "Banana")
   val nums = 1 :: 2 :: Nil
Decomposition:
   fruits.head // "Apple"
   nums.tail // 2 :: Nil
   nums.isEmpty // false
   nums match
     case x :: y :: _ => x + y // 3
```

# List Methods (1)

#### Sublists and element access:

xs.length	The number of elements of xs.
xs.last	The list's last element, exception if xs is empty.
xs.init	A list consisting of all elements of xs except the
	last one, exception if xs is empty.
xs.take(n)	A list consisting of the first n elements of xs, or xs
	itself if it is shorter than n.
xs.drop(n)	The rest of the collection after taking n elements.
xs(n)	(or, written out, xs.apply(n)). The element of xs
	at index n.

## List Methods (2)

#### Creating new lists:

xs ++ ys The list consisting of all elements of xs followed

by all elements of ys.

xs.reverse The list containing the elements of xs in reversed

order.

xs.updated(n, x) The list containing the same elements as xs, except

at index n where it contains x.

#### Finding elements:

xs.indexOf(x) The index of the first element in xs equal to x, or

-1 if x does not appear in xs.

xs.contains(x) same as xs.indexOf(x) >= 0

The complexity of head is (small) constant time.

What is the complexity of last?

To find out, let's write a possible implementation of last as a stand-alone function.

```
def last[T](xs: List[T]): T = xs match
  case List() => throw Error("last of empty list")
  case List(x) =>
  case y :: ys =>
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```

So, last takes steps proportional to the length of the list xs.

```
def init[T](xs: List[T]): List[T] = xs match
  case List() => throw Error("init of empty list")
  case List(x) => ???
  case y :: ys => ???
```

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Let's try by writing an extension method for ++:
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Answer: O(xs.length)
```

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   def reverse: List[T] = xs match
     case Nil => Nil
     case y :: ys => ys.reverse ++ List(y)
What is the complexity of reverse?
Answer: O(xs.length * xs.length)
Can we do better? (to be solved later).
```

Remove the n'th element of a list xs. If n is out of bounds, return xs itself.

```
def removeAt[T](n: Int, xs: List[T]) = ???
Usage example:
  removeAt(1, List('a', 'b', 'c', 'd')) > List(a, c, d)
```

# Exercise (Harder, Optional)

Flatten a list structure:

# Tuples and Generic Methods

Principles of Functional Programming

#### Sorting Lists Faster

As a non-trivial example, let's design a function to sort lists that is more efficient than insertion sort.

A good algorithm for this is *merge sort*. The idea is as follows:

If the list consists of zero or one elements, it is already sorted.

Otherwise,

- Separate the list into two sub-lists, each containing around half of the elements of the original list.
- Sort the two sub-lists.
- Merge the two sorted sub-lists into a single sorted list.

### First MergeSort Implementation

Here is the implementation of that algorithm in Scala:

```
def msort(xs: List[Int]): List[Int] =
  val n = xs.length / 2
  if n == 0 then xs
  else
    def merge(xs: List[Int], ys: List[Int]) = ???
  val (fst, snd) = xs.splitAt(n)
    merge(msort(fst), msort(snd))
```

### The SplitAt Function

The splitAt function on lists returns two sublists

- the elements up the the given index
- ▶ the elements from that index

The lists are returned in a pair.

### Detour: Pair and Tuples

The pair consisting of x and y is written (x, y) in Scala.

#### **Example**

```
val pair = ("answer", 42) > pair : (String, Int) = (answer, 42)
```

The type of pair above is (String, Int).

Pairs can also be used as patterns:

```
val (label, value) = pair > label: String = answer, value: Int = 42
```

This works analogously for tuples with more than two elements.

### Translation of Tuples

For small (\*) n, the tuple type  $(T_1, ..., T_n)$  is an abbreviation of the parameterized type

$$scala.Tuple n[T_1, ..., T_n]$$

A tuple expression  $(\boldsymbol{e}_1,...,\boldsymbol{e}_n)$  is equivalent to the function application

$$scala.Tuple n(e_1, ..., e_n)$$

A tuple pattern  $(p_1, ..., p_n)$  is equivalent to the constructor pattern

$$scala.Tuple n(p_1, ..., p_n)$$

(\*) Currently, "small" = up to 22. There's also a TupleXXL class that handles Tuples larger than that limit.

#### The Tuple class

Here, all Tuplen classes are modeled after the following pattern:

```
case class Tuple2[T1, T2](_1: +T1, _2: +T2):
  override def toString = "(" + _1 + "," + _2 +")"
```

The fields of a tuple can be accessed with names \_1, \_2, ...

So instead of the pattern binding

```
val (label, value) = pair
```

one could also have written:

```
val label = pair._1
val value = pair._2
```

But the pattern matching form is generally preferred.

### Definition of Merge

Here is a definition of the merge function:

```
def merge(xs: List[Int], ys: List[Int]) = (xs, ys) match
  case (Nil, ys) => ys
  case (xs, Nil) => xs
  case (x :: xs1, y :: ys1) =>
    if x < y then x :: merge(xs1, ys)
    else y :: merge(xs, ys1)</pre>
```

### Making Sort More General

Problem: How to parameterize msort so that it can also be used for lists with elements other than Int?

```
def msort[T](xs: List[T]): List[T] = ???
```

does not work, because the comparison < in merge is not defined for arbitrary types  $\mathsf{T}.$ 

*Idea:* Parameterize merge with the necessary comparison function.

#### Parameterization of Sort

The most flexible design is to make the function sort polymorphic and to pass the comparison operation as an additional parameter:

```
def msort[T](xs: List[T])(lt: (T, T) => Boolean) =
    ...
    merge(msort(fst)(lt), msort(snd)(lt))
```

Merge then needs to be adapted as follows:

```
def merge[T](xs: List[T], ys: List[T]) = (xs, ys) match
    ...
    case (x :: xs1, y :: ys1) =>
        if lt(x, y) then ...
    else ...
```

### Calling Parameterized Sort

We can now call msort as follows:

```
val xs = List(-5, 6, 3, 2, 7)
val fruits = List("apple", "pear", "orange", "pineapple")

msort(xs)((x: Int, y: Int) => x < y)
msort(fruits)((x: String, y: String) => x.compareTo(y) < 0)

Or, since parameter types can be inferred from the call msort(xs):
msort(xs)((x, y) => x < y)</pre>
```



# Higher-Order List Functions

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### Recurring Patterns for Computations on Lists

The examples have shown that functions on lists often have similar structures.

We can identify several recurring patterns, like,

- transforming each element in a list in a certain way,
- retrieving a list of all elements satisfying a criterion,
- combining the elements of a list using an operator.

Functional languages allow programmers to write generic functions that implement patterns such as these using higher-order functions.

### Applying a Function to Elements of a List

A common operation is to transform each element of a list and then return the list of results.

For example, to multiply each element of a list by the same factor, you could write:

# Mapping

This scheme can be generalized to the method map of the List class. A simple way to define map is as follows:

```
extension [T](xs: List[T])
def map[U](f: T => U): List[U] = xs match
  case Nil => xs
  case x :: xs => f(x) :: xs.map(f)
```

(in fact, the actual definition of map is a bit more complicated, because it is tail-recursive, and also because it works for arbitrary collections, not just lists).

Using map, scaleList can be written more concisely.

```
def scaleList(xs: List[Double], factor: Double) =
    xs.map(x => x * factor)
```

Consider a function to square each element of a list, and return the result. Complete the two following equivalent definitions of squareList.

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### Filtering

Another common operation on lists is the selection of all elements satisfying a given condition. For example:

#### Filter

This pattern is generalized by the method filter of the List class:

```
extension [T](xs: List[T])
  def filter(p: T => Boolean): List[T] = this match
      case Nil => this
      case x :: xs => if p(x) then x :: xs.filter(p) else xs.filter(p)

Using filter, posElems can be written more concisely.

def posElems(xs: List[Int]): List[Int] =
      xs.filter(x => x > 0)
```

### Variations of Filter

Besides filter, there are also the following methods that extract sublists based on a predicate:

Same as xs.filter(x => $!p(x)$ ); The list consisting of those elements of xs that do not satisfy the
predicate p.
Same as (xs.filter(p), xs.filterNot(p)), but computed in a single traversal of the list xs.
The longest prefix of list xs consisting of elements that all satisfy the predicate p.
The remainder of the list xs after any leading elements satisfying p have been removed.
Same as (xs.takeWhile(p), xs.dropWhile(p)) but computed in a single traversal of the list xs.

Write a function pack that packs consecutive duplicates of list elements into sublists. For instance,

```
pack(List("a", "a", "a", "b", "c", "c", "a"))
should give
List(List("a", "a", "a"), List("b"), List("c", "c"), List("a")).
```

You can use the following template:

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```
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List(List("a", "a", "a"), List("b"), List("c", "c"), List("a")).
```

You can use the following template:

Using pack, write a function encode that produces the run-length encoding of a list.

The idea is to encode n consecutive duplicates of an element x as a pair (x, n). For instance,

```
encode(List("a", "a", "a", "b", "c", "c", "a"))
```

should give

```
List(("a", 3), ("b", 1), ("c", 2), ("a", 1)).
```

Using pack, write a function encode that produces the run-length encoding of a list.

```
def encode[T](xs: List[T]): List[(T, Int)] = ???
```

# Reduction of Lists

Principles of Functional Programming

#### Reduction of Lists

Another common operation on lists is to combine the elements of a list using a given operator.

For example:

```
sum(List(x1, ..., xn)) = 0 + x1 + ... + xnproduct(List(x1, ..., xn)) = 1 * x1 * ... * xn
```

We can implement this with the usual recursive schema:

### ReduceLeft

This pattern can be abstracted out using the generic method reduceLeft: reduceLeft inserts a given binary operator between adjacent elements of a list:

```
\label{eq:list} \textbf{List}(\texttt{x1}, \ \dots, \ \texttt{xn}). \texttt{reduceLeft}(\texttt{op}) \quad = \quad \texttt{x1}. \texttt{op}(\texttt{x2}). \ \dots \ . \texttt{op}(\texttt{xn})
```

Using reduceLeft, we can simplify:

### A Shorter Way to Write Functions

Instead of  $((x, y) \Rightarrow x * y)$ , one can also write shorter:

Every \_ represents a new parameter, going from left to right.

The parameters are defined at the next outer pair of parentheses (or the whole expression if there are no enclosing parentheses).

So, sum and product can also be expressed like this:

```
def sum(xs: List[Int]) = (0 :: xs).reduceLeft(_ + _)
def product(xs: List[Int]) = (1 :: xs).reduceLeft(_ * _)
```

#### FoldLeft

The function reduceLeft is defined in terms of a more general function, foldLeft.

foldLeft is like reduceLeft but takes an *accumulator*, z, as an additional parameter, which is returned when foldLeft is called on an empty list.

```
List(x1, ..., xn).foldLeft(z)(op) = z.op(x1).op ... .op(xn)
```

So, sum and product can also be defined as follows:

```
def sum(xs: List[Int]) = xs.foldLeft(0)(_ + _)
def product(xs: List[Int]) = xs.foldLeft(1)(_ * _)
```

### Implementations of ReduceLeft and FoldLeft

foldLeft and reduceLeft can be implemented in class List as follows.

### FoldRight and ReduceRight

Applications of foldLeft and reduceLeft unfold on trees that lean to the left.

They have two dual functions, foldRight and reduceRight, which produce trees which lean to the right, i.e.,

### Implementation of FoldRight and ReduceRight

#### They are defined as follows

### Difference between FoldLeft and FoldRight

For operators that are associative and commutative, foldLeft and foldRight are equivalent (even though there may be a difference in efficiency).

But sometimes, only one of the two operators is appropriate.

Here is another formulation of concat:

```
def concat[T](xs: List[T], ys: List[T]): List[T] =
    xs.foldRight(ys)(_ :: _)
```

Here, it isn't possible to replace foldRight by foldLeft. Why?

- O The types would not work out
- O The resulting function would not terminate
- O The result would be reversed

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- X The types would not work out
- O The resulting function would not terminate
- O The result would be reversed

### Back to Reversing Lists

We now develop a function for reversing lists which has a linear cost.

The idea is to use the operation foldLeft:

```
def reverse[T](xs: List[T]): List[T] = xs.foldLeft(z?)(op?)
```

All that remains is to replace the parts z? and op?.

Let's try to *compute* them from examples.

```
To start computing z?, let's consider reverse(Nil).
```

We know reverse(Nil) == Nil, so we can compute as follows:

Nil

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= reverse(Nil)
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To start computing z?, let's consider reverse(Nil).
We know reverse(Nil) == Nil, so we can compute as follows:
  Ni1
     reverse(Nil)
     Nil.foldLeft(z?)(op)
  = z?
Consequently, z? = Nil
```

We still need to compute op?. To do that let's plug in the next simplest list after Nil into our equation for reverse:

```
List(x)
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List(x)
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= List(x).foldLeft(Nil)(op?)
```

We still need to compute op?. To do that let's plug in the next simplest list after Nil into our equation for reverse:

```
List(x)
= reverse(List(x))
= List(x).foldLeft(Nil)(op?)
= op?(Nil, x)
Consequently, op?(Nil, x) = List(x) = x :: Nil.
```

This suggests to take for op? the operator :: but with its operands swapped.

We thus arrive at the following implementation of reverse.

```
def reverse[a](xs: List[T]): List[T] =
    xs.foldLeft(List[T]())((xs, x) => x :: xs)
```

Remark: the type parameter in List[T]() is necessary for type inference.

Q: What is the complexity of this implementation of reverse ?

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Remark: the type parameter in List[T]() is necessary for type inference.

Q: What is the complexity of this implementation of reverse?

A: Linear in xs

Complete the following definitions of the basic functions map and length on lists, such that their implementation uses foldRight:

```
def mapFun[T, U](xs: List[T], f: T => U): List[U] =
    xs.foldRight(List[U]())( ??? )

def lengthFun[T](xs: List[T]): Int =
    xs.foldRight(0)( ??? )
```

#### Exercise

Complete the following definitions of the basic functions map and length on lists, such that their implementation uses foldRight:

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def mapFun[T, U](xs: List[T], f: T => U): List[U] =
    xs.foldRight(List[U]())((y, ys) => f(y) :: ys)

def lengthFun[T](xs: List[T]): Int =
    xs.foldRight(0)((y, n) => n + 1)
```



# Reasoning About Lists

Principles of Functional Programming

#### Laws of Concat

Recall the concatenation operation ++ on lists.

We would like to verify that concatenation is associative, and that it admits the empty list Nil as neutral element to the left and to the right:

```
(xs ++ ys) ++ zs = xs ++ (ys ++ zs)

xs ++ Nil = xs

Nil ++ xs = xs
```

Q: How can we prove properties like these?

#### Laws of Concat

Recall the concatenation operation ++ on lists.

We would like to verify that concatenation is associative, and that it admits the empty list Nil as neutral element to the left and to the right:

Q: How can we prove properties like these?

A: By structural induction on lists.

#### Reminder: Natural Induction

Recall the principle of proof by *natural induction*:

To show a property P(n) for all the integers  $n \ge b$ ,

- Show that we have P(b) (base case),
- ▶ for all integers  $n \ge b$  show the *induction step*: if one has P(n), then one also has P(n + 1).

# Example

#### Given:

#### Base case: 4

This case is established by simple calculations:

```
factorial(4) = 24 >= 16 = power(2, 4)
```

### **Induction step:** n+1

We have for  $n \ge 4$ :

```
factorial(n + 1)
```

```
Induction step: n+1
We have for n >= 4:
  factorial(n + 1)
```

>= (n + 1) \* factorial(n) // by 2nd clause in factorial

```
Induction step: n+1
We have for n >= 4:
  factorial(n + 1)
>= (n + 1) * factorial(n)  // by 2nd clause in factorial
> 2 * factorial(n)  // by calculating
```

```
Induction step: n+1
We have for n \ge 4
 factorial(n + 1)
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Induction step: n+1
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 factorial(n + 1)
 >= (n + 1) * factorial(n) // by 2nd clause in factorial
 > 2 * factorial(n) // by calculating
 >= 2 * power(2, n) // by induction hypothesis
 = power(2, n + 1) // by definition of power
```

### Referential Transparency

Note that a proof can freely apply reduction steps as equalities to some part of a term.

That works because pure functional programs don't have side effects; so that a term is equivalent to the term to which it reduces.

This principle is called *referential transparency*.

#### Structural Induction

The principle of structural induction is analogous to natural induction:

To prove a property P(xs) for all lists xs,

- ▶ show that P(Ni1) holds (base case),
- For a list xs and some element x, show the induction step: if P(xs) holds, then P(x :: xs) also holds.

### Example

Let's show that, for lists xs, ys, zs:

```
(xs ++ ys) ++ zs = xs ++ (ys ++ zs)
```

To do this, use structural induction on xs. From the previous implementation of ++,

```
extension [T](xs: List[T]
  def ++ (ys: List[T]) = xs match
     case Nil => ys
     case x :: xs1 => x :: (xs1 ++ ys)
```

distill two defining clauses of ++:

```
Nil ++ ys = ys // 1st clause (x :: xs1) ++ ys = x :: (xs1 ++ ys) // 2nd clause
```

#### Base case: Nil

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```
(Nil ++ ys) ++ zs
= ys ++ zs // by 1st clause of ++
```

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For the left-hand side we have:

```
(Nil ++ ys) ++ zs
= ys ++ zs  // by 1st clause of ++
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For the right-hand side, we have:

```
Nil ++ (ys ++ zs)
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For the right-hand side, we have:

```
Nil ++ (ys ++ zs)

= ys ++ zs // by 1st clause of ++
```

This case is therefore established.

```
Induction step: x :: xs
```

```
((x :: xs) ++ ys) ++ zs
```

```
Induction step: x :: xs
```

```
((x :: xs) ++ ys) ++ zs
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### **Induction step:** x :: xs

```
((x :: xs) ++ ys) ++ zs

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= x :: (xs ++ (ys ++ zs))  // by induction hypothesis
```

For the right hand side we have:

```
(x :: xs) ++ (ys ++ zs)
```

For the right hand side we have:

```
(x :: xs) ++ (ys ++ zs)
= x :: (xs ++ (ys ++ zs)) // by 2nd clause of ++
```

So this case (and with it, the property) is established.

### Exercise

Show by induction on xs that xs ++ Nil = xs.

How many equations do you need for the inductive step?

0 2

0 3

0 4

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X O O