

# 1 Introduction

## 2 Theory

### 3 Waveguides

In order to understand cavities, we start off with the discussion on waveguides. An electromagnetic wave, when confined to the interior of a hollow pipe is called a waveguide. We shall closely follow the derivation from [1]. The generalized Maxwell equations in terms of E-field and H-field are given by:

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad (3.1)$$

$$\nabla \cdot \mathbf{D} = \rho \quad (3.2)$$

$$\nabla \times \mathbf{H} = \vec{j} + \frac{\partial \mathbf{D}}{\partial t} \quad (3.3)$$

$$\nabla \cdot \mathbf{B} = 0 \quad (3.4)$$

In vacuum, these equations become:

$$\nabla \times \mathbf{E} = \mu_0 \cdot \frac{\partial \mathbf{H}}{\partial t} \quad (3.5)$$

$$\nabla \cdot \mathbf{E} = 0 \quad (3.6)$$

$$\nabla \times \mathbf{H} = \epsilon_0 \cdot \frac{\partial \mathbf{E}}{\partial t} \quad (3.7)$$

$$\nabla \cdot \mathbf{H} = 0 \quad (3.8)$$

Upon solving these equations, we get plane wave solutions which looks like this:

$$\Delta \vec{E}(\vec{r}) + \frac{\omega^2}{c^2} \vec{E}(\vec{r}) = 0$$

$$\Delta \vec{B}(\vec{r}) + \frac{\omega^2}{c^2} \vec{B}(\vec{r}) = 0$$

Now, let us look at a waveguide which is aligned along the z-direction. Taking the ansatz  $\vec{E} = \vec{E}(x, y) \cdot e^{i(\omega t - kz)}$  and the separation  $\Delta = \Delta_{\perp} + \frac{\partial^2}{\partial z^2}$ , for the longitudinal fields yields:

$$\Delta_{\perp} E_z + k_c^2 E_z = 0 \quad (3.9)$$

$$\Delta_{\perp} H_z + k_c^2 H_z = 0 \quad (3.10)$$

where

$$k_c^2 = \frac{\omega^2}{c^2} - k^2$$

The quantity  $k_c$  is called the critical wave number and is a characteristic property of the cavity, as we shall see. Solving these equations further, we see that it is sufficient to know the longitudinal fields,  $E_z$  and  $B_z$  because we can easily determine the transverse components from them.

$$ik_c^2 \vec{E}_{\perp} = k \vec{\nabla}_{\perp} E_z + \omega \mu_0 \vec{\nabla}_{\perp} H_z \times \hat{e}_z \quad (3.11)$$

$$ik_c^2 \vec{H}_{\perp} = k \vec{\nabla}_{\perp} H_z - \omega \epsilon_0 \vec{\nabla}_{\perp} E_z \times \hat{e}_z \quad (3.12)$$

Now, there are two waves to classify these waves:

1.  $k_c^2 = 0$ 
  - (a)  $\vec{\Delta}_\perp E_z \neq 0$  and  $\vec{\Delta}_\perp H_z \neq 0$ : HE or EH hybrid waves.
  - (b)  $\vec{\Delta}_\perp E_z = 0$  and  $\vec{\Delta}_\perp H_z = 0$ : TEM waves.
2.  $k_c^2 \neq 0$  In this case we do not get any propagation if  $\omega \leq c \cdot k_c$ . These waves are called evanescent waves or the cut off condition. The possible propagation modes are:
  - (a)  $E_z = 0$ : TE (transversal electric) or H waves.
  - (b)  $H_z = 0$ : TM (transversal magnetic) or E waves.

Corresponding to this critical wave, we have a critical frequency, which is  $\omega_c = k_c \cdot c$ , below which there is no propagation. One interesting thing to note here is that for a hollow waveguide, only TE or TM modes are possible, TEM is not, because no wave would exist in this case []. But for a coaxial cable, which consists of straight wire surrounded by a conduction sheath, we can get TEM modes.

### 3.1 Cylindrical waveguides

We now consider a cylindrical waveguide with an inner radius  $a$ . This imposes the following boundary conditions to the walls of the waveguide:

- $E_\phi = 0, E_z = 0$  for  $r = a$
- $H_r = 0$  for  $r = a$

The field distribution solution for a cylindrical waveguide can be separated into angular and radial parts, whose solutions are then given by the Bessel/Neumann functions. These can be then substituted in the eq.(3.11)-(3.12) and upon imposing the constraints from the boundary condition gives us the corresponding TE- and TM-modes.

### 3.2 Cylindrical waveguides resonator

The time has now come, to talk about cavities itself. If we now insert two conducting plates perpendicular to the  $z$ -axis, the incoming wave is reflected completely, giving us standing waves. Because of this, the  $z$ -dependence changes like:

$$a \cdot e^{ikz} \implies A \cdot \sin(kz + \phi_0)$$

The following condition is imposed so that the longitudinal boundary conditions are fulfilled:  $k = p \cdot \pi / l$ . The longitudinal field looks like:

$$\begin{aligned} \text{TE}_{\text{mnp}} - \text{Modes} : H_z &= H_{mn} \cdot J_m(k_c r) \cos(m\phi) \cdot \sin(p\pi/l \cdot z) \cdot e^{\omega_{mnp} t} \text{ where } k_c a = j'_{mn} \\ \text{TM}_{\text{mnp}} - \text{Modes} : E_z &= E_{mn} \cdot J'_m(k_c r) \cos(m\phi) \cdot \cos(p\pi/l \cdot z) \cdot e^{\omega_{mnp} t} \text{ where } k_c a = j_{mn} \end{aligned}$$

For resonant frequency, we have:

$$\omega_{mnp} = c \cdot \sqrt{(j_{mn}/a)^2 + (p\pi/l)^2}$$

Here,  $J_m$  is the  $m$ -th Bessel function,  $J'_m$  is its derivative. And  $j_{mn}$  and  $j'_{mn}$  are the  $n$ -th zeropoints of the  $m$ -th Bessel function and its derivative.

The resonant modes can be written in the linear form as:

$$(d\nu)^2 = \left( \frac{cj'_{mn}}{\pi} \right)^2 + \left( \frac{c}{2} \right)^2 p^2 \left( \frac{d}{l} \right)^2 \quad (3.13)$$

here  $d$  is the diameter of the cavity. When we plot different modes on a graph, we get a mode map (Fig.3.1). The mode map allows one to read off the resonant frequencies for different diameters and length of the cavity.

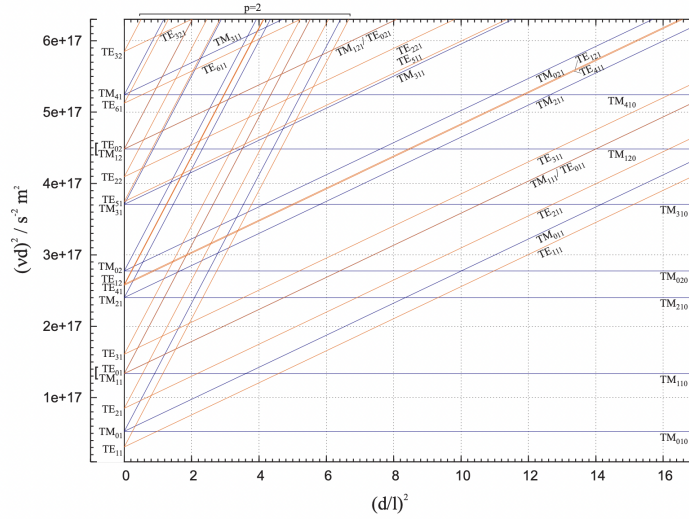


Figure 3.1: Mode map for  $p \leq 2$  ??

Since the derivative of the zeroth order Bessel function and the first order Bessel function,  $j'_{0n}$  and  $j_{1n}$ , are equal, the corresponding TE- and TM-modes have the same resonant frequencies. That is,  $TE_{0np}$ -modes and  $TM_{1np}$ -modes have the same resonant frequency.

## 4 Oscillating circuit

A cavity has a lot of characteristic quantities, which can be described by an equivalent circuit (Fig.4.1).

Coupling is a process through which electromagnetic waves can be coupled to a waveguide, or in this case, to a cavity. There are several ways to couple and in this experiment, we use loop coupling, which enables coupling to the magnetic field inside the cavity. In the figure 4.1, the LCR-circuit represents the cavity. The step-down transformer represents the loop coupling,  $Z_0$  is the characteristic impedance and  $R_s$  is the Shunt impedance.

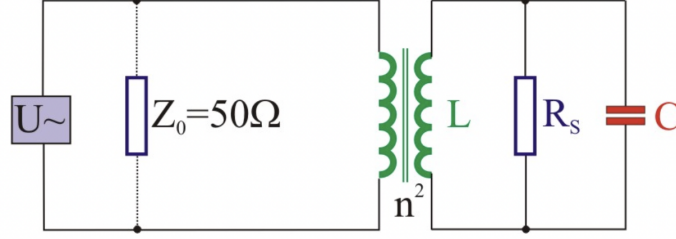


Figure 4.1: Equivalent circuit of a cavity with loop coupling

The characteristic quantities associated with the cavity are:

- Quality factor
- Coupling coefficient
- Reflection coefficient
- Shunt impedance

Let us look at these quantities in a bit more detail.

#### 4.1 Quality factor

Quality factor is a dimensionless quantity which describes how underdamped an oscillator or resonator is. It is defined as:

$$Q_0 = \frac{2 \cdot \pi \text{stored energy}}{\text{losses per period}} = \frac{2\pi \cdot W}{T \cdot P} = \frac{\omega_0 \cdot W}{P} \quad (4.1)$$

where  $\omega_0$  is the angular resonant frequency. Looking at the case of driven oscillations, the unloaded quality factor can be determined from the Full Width Half Maximum (FWHM),  $\Delta\omega_H$

$$Q_0 = \frac{\omega_0}{\Delta\omega_H} \quad (4.2)$$

#### 4.2 Coupling coefficient

The coupling coefficient is defined as:

$$\kappa = \frac{Z_a}{Z_0} = \frac{R_s}{n^2 Z_0} = \frac{Q_0}{Q_{ext}} \quad (4.3)$$

where  $n$  is the transformer turn ratio,  $Q_0$  is the unloaded quality factor and  $Q_{ext}$  is the external quality factor. If we know the coupling coefficient, the unloaded quality factor can be calculated using:

$$Q_0 = (1 + \kappa) \cdot Q \quad (4.4)$$

We also get 3 cases for the coupling coefficient, which are:

- $\kappa < 1$ : undercritical coupling,  $Q > Q_0/2$
- $\kappa = 1$ : critical coupling,  $Q = Q_0/2$  (no reflection)
- $\kappa > 1$ : overcritical coupling,  $Q < Q_0/2$

### 4.3 Reflection coefficient

In the conductor, we have an incoming wave  $(\hat{U}_+, \hat{I}_+)$  and reflected wave  $(\hat{U}_-, \hat{I}_-)$ . Hence we define the complex reflection coefficient as:

$$\rho = \frac{\hat{U}_-}{\hat{U}_+} \quad (4.5)$$

The coupling coefficient and the reflection coefficient are related at resonance by:

$$\kappa = \left| \frac{1 + \rho}{1 - \rho} \right| \quad (4.6)$$

We shall discuss more about this in the next section.

### 4.4 Shunt impedance

Shunt impedance tells us how much energy is gained by a charged particle when it crosses the cavity. It is defined by:

$$R_s = \frac{U^2}{2P_V} = \frac{1}{P_V} \left| \int_{L/2}^{-L/2} E_0(s) \cdot e^{i\omega_0 s/c} \cdot ds \right|^2 \quad (4.7)$$