

---

# Project-II by Group Rome

---

**Cimen Gokcen**

EPFL

`gokcen.cimen@epfl.ch`

**Angelopoulos Vasileios**

EPFL

`vasileios.angelopoulos@epfl.ch`

**Petrescu Viviana**

EPFL

`viviana.petrescu@epfl.ch`

## Abstract

This report presents experimental results and performance comparison of common machine learning methods on two different tasks. The first task is a computer vision problem, a people detection task. We implemented classifiers that are able to predict to a certain degree if an image contains a standing person or not. The performance was measured using ROC curves. The second task is a music recommendation challenge. For this problem, we need to predict how many times an old or a completely new user will listen to a certain artist, based on the available listening history of the users and their friendship information. The performance of the various methods was measured using the mean average error of the transformed data.

## 1 Music Recommendation

### 1.1 Music recommendation

Collaborative filtering is the part of recommender systems that predicts users' preferences for particular items. The major challenge in predicting users' listening counts, as in our task, is that the available user-artist entries are usually too few and sometimes a method's performance relies on a good initial estimation of the unknown entries. We therefor decided to implement besides the common KNN, Kmeans also ALS[cite here], which uses only the known listening counts and avoids dependency on initial estimations.

### 1.2 Data description

The training data consists in a matrix  $Y_{train}$  of size 1774x15082, corresponding to 1774 users and 15082 artists. Entry  $Y_{train}(i, j)$  expresses how many times user  $i$  has listened to artist  $j$ . An entry of 0 means we do not have information for that  $(user, artist, count)$  triple. We are also given the friendship graph of the 1774 users stored as an adjacency matrix.

### 1.3 Exploratory Data Analysis

The matrix  $Y_{train}$  is very sparse with a density of only 0.0026%, corresponding to 69617  $(user, artist, count)$  triples. The variance of the entries' values is very high, the maximum being 352698 and average listening count per user and per artist of 5.52 and 5.46 respectively. There were also 1262 artists for which no information was provided.

A histogram of all the listening counts, shown in Fig1 a) tells us that the known entries follow a heavy tail distribution. The long tail contains a small number of popular items, the well-known artists, and the rest are located in the heavy tail. One method to transform skewed data such that it becomes more gaussian distributed is to use the Box-Cox transform

$$\begin{aligned} data(\lambda) &= \log(data), \lambda = 0 \\ &= \frac{data^\lambda - 1}{\lambda}, \lambda \neq 0 \end{aligned}$$

In our case, we can choose  $\lambda = 0$  because our values are very high and positive. This transformation will make the distances between listening counts much smaller and will reduce the influence on error of the (user,artist,count) triples in the long tail.

The results after data transformation can be seen in Fig 2. The distribution of the user counts and of the artist counts are closer to a normal distribution. We notice there are some far away entries with values greater than 14. These will have a higher impact on the untransformed data. An off by 1 error here will have more impact than on the small entries.

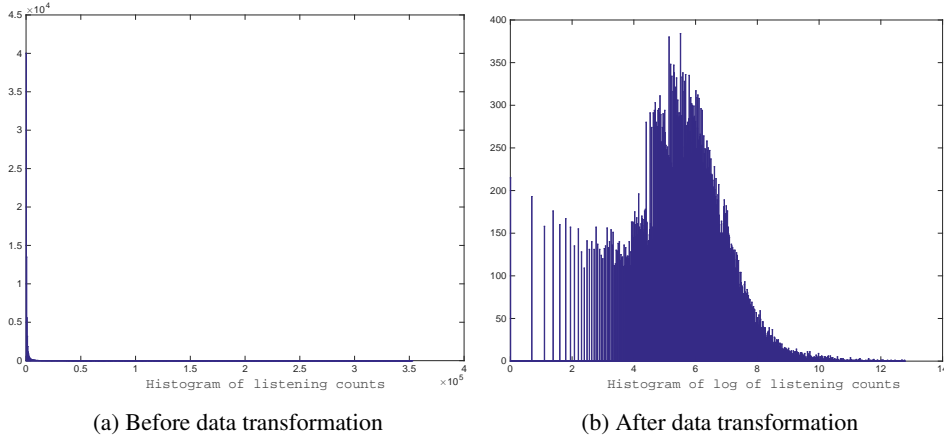


Figure 1: Distribution of all listening counts

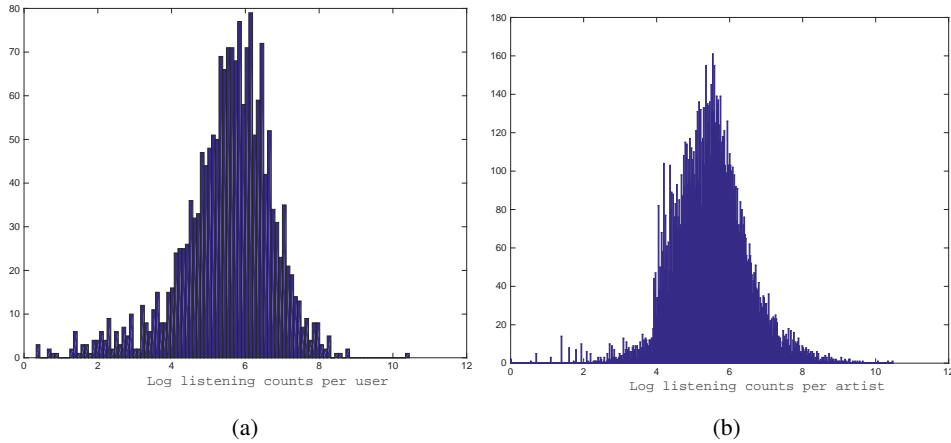


Figure 2: Distribution per user (a) and per artist (b) log of listening count distribution

#### 1.4 Task 1

In all our experiments we used 10-fold cross validation and repeated the experiments twice. For the first task, we randomly omit 10 entries for every artist. Splitting the data was more difficult since we needed to make sure we do not remove all the entries for an artist. If an artist has  $m$  entries with  $m < 10$ , then we keep  $m - 1$  entries for testing, to still have one element for training.

## 1.5 Baseline

There are three simple basic predictions one can try: the global average count, the average count per user and the average count per artist prediction. All of these methods give similar MAE results: 3356, 3293 and 3496 respectively. We note that the final MAE is composed of various values, small and large. In Fig we can see a plot of the MAE error terms in log format.

### 1.5.1 KNN

TODO

### 1.5.2 ALS

We do not review here the details of ALS algorithm, the reader can consult the paper[cite] beforehand, since this was not the goal of this report. TODO

Using 20 features and  $\lambda \in [0.01, 0.05, 0.1, 0.5, 1]$  we obtain the results in table 1.5.3 using 10-fold cross validation. The experiments were repeated twice with different seed. We note that we stopped the update steps in the algorithm only after 5 iterations because the update step was very computational intensive.

lambda	RMSE train	RMSE test
0.01	5075 ( $\pm 1.0793e+10$ )	1.13 ( $\pm 0.05$ )
0.05	3355 ( $\pm 895$ )	1.14 ( $\pm 0.02$ )
0.1	3350 ( $\pm 851$ )	1.58 ( $\pm 0.06$ )
0.5	3341 ( $\pm 851$ )	13.93 ( $\pm 0.86$ )
1	3387 ( $\pm 842$ )	25.30 ( $\pm 1.48$ )
1.5	3416 ( $\pm 837$ )	44.25 ( $\pm 2.93$ )

Table 1: Estimated Train and Test RMSE for the two blob models.

We can see that a value of lambda in the middle is a reasonable choice, so we selected lambda to be 0.1 for the next experiments. Our algorithm did a good job training with a RMSE less than 3 but a bad job on unseen data with RMSE  $\approx 3000$ , meaning it is overfitting the training data and increasing the value of lambda, the regularization parameter did not help.

We notice that the test error across the 10 different splits of the cross validation has values from 2000 to up to 6000. This is an artifact of the high listening counts present in the long tail and the randomness of the split.

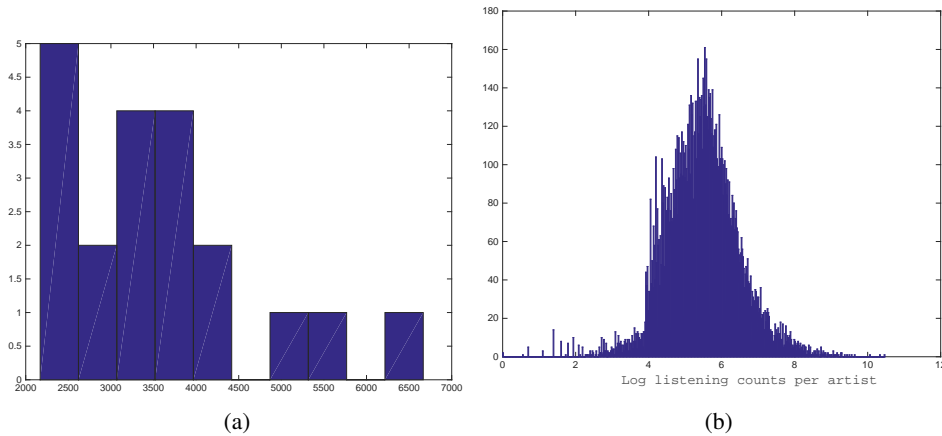


Figure 3: Distribution per user (a) and per artist(b) log of listening count distribution

We varied the number of features from 10,20,30,40,50,100 with  $\lambda = 0.1$  and repeated the experiments with different seed. Giving train between 1.24 increasing up to 2.4 for 19 features. The test RMSE was always in the interval [3569,3546]. None of this results results proved meaningful.

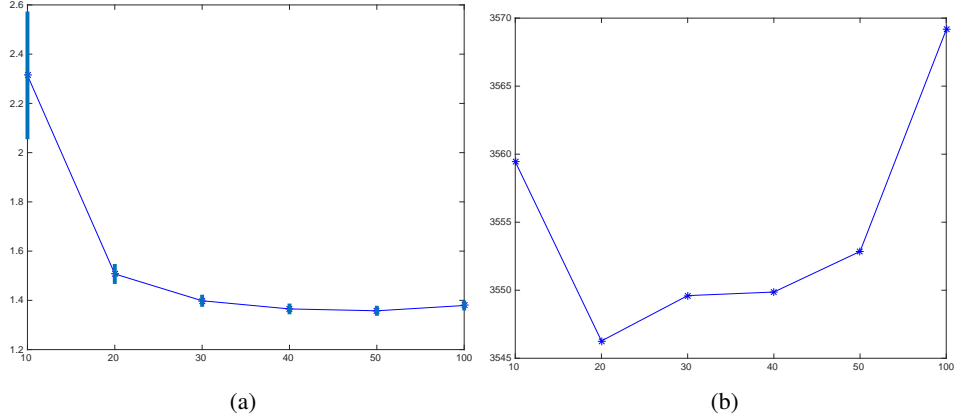


Figure 4: Train and Test RMSE for logALS with varying number of features

### 1.5.3 Kmeans

Although the number of artists is 10 times larger than the number of users, we chose to implement Kmeans with clustering of users instead of artists so that we can use the clusters obtained here also in Task 2.

The missing entries in the matrix were initialized with the user average, although that did not have a huge impact on our results. We then sorted the users according to their average score and initialized the clusters with equally spaced samples from the sorted users array. The goal of this cluster initialization was to have a more equilibrated assignment of users to clusters and to make sure we have clusters from both highly active users and also from the less active.

Inspired by the friendship graph information, we tried Kmeans with varying values from [3,10,20,30,40,50,100]. We plot the mean train and test error using 10 fold cross validation in Fig 5. Using only 3 clusters both the train and the test error was high, while for 100 clusters the difference between train and test error became larger, giving signs of overfitting.

We noticed also the fact that sometimes the training error of Kmeans (reported by taking exp of data and RMSE) had small fluctuations and it was not always decreasing as the algorithm convergence properties would expect. This is due to the fact that Kmeans minimizes squared error but our cost is a little different since we transform the data using exp.

In all the experiments with more than 20 clusters obtained a test MAE close to 0.64,. This was our best test MAE so far. For computational reasons, we picked 20 clusters for the next task.

We also tried KMeans where in the update step, only the entries for artists that were known were updated. We expected this to perform better, but the algorithm had problems with overfitting, always giving a train error less than 0.4 (much less than the normal Kmeans) but a test error a bit over 0.7 (higher than the normal KMeans). This happened for all the cluster sizes we experimented with.

## 1.6 Task 2

### 1.6.1 Friendship information

The initial friendship graph  $G_{train}$  of 1776 nodes and 22904 edges contained 22 connected components, but the majority of the components contained just a few nodes. Using the Gephi<sup>1</sup> tool we were able to find some properties of the graph such as the number of communities, 32. Out of these 32 communities, only 8 communities had more than 150 members. These statistics were run so that

<sup>1</sup><http://gephi.github.io/>

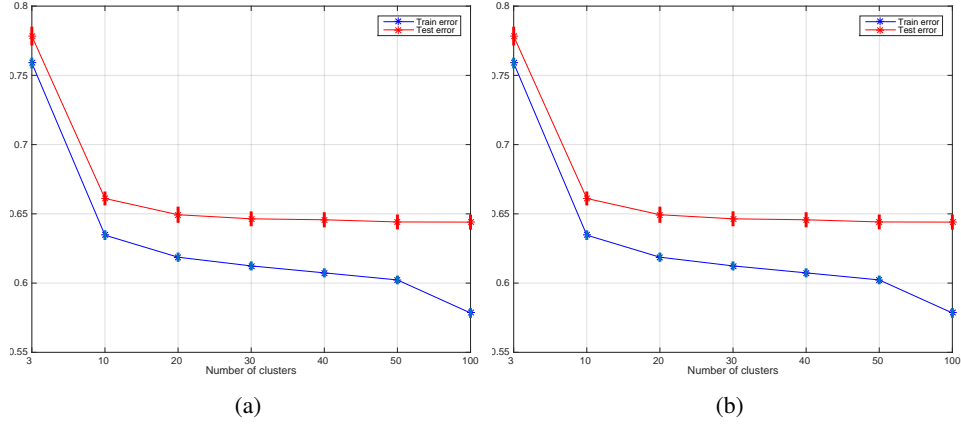


Figure 5: bla bla

we get an idea of the number of friendship clusters present. The number of connected components and of communities are similar with our choice of 20-30 clusters in KMeans .

In this task we are given a set of new users and their friendship information with a set of users for which some listening counts are available. The challenge is to predict the listening counts for the new users based on the information given. In other words, we try to see if there is a correlation between users' friendship and users' preference in music.

We have tried two approaches using the friendship information, one based on the mean average per user and one based on kmeans clustering per user.

### 1.6.2 Baseline Methods

As a baseline method, we predict for a missing value in the new users set the global average of all the available counts, which gave us a MAE of  $1.078(\pm 0.044)$ . Taking the average per artist gave us a MAE of  $1.767(\pm 0.09)$ , significantly worse than the above.

### 1.7 Using Friendship information

In the first approach, an entry of a new user  $Y_{strong}(u, a)$  is computed as the average count of its friends' listening counts  $(f, a)$  for that artist or global average count for an user without friends (sad).

$$Y_{strong}(u, a) = \frac{\sum_{f \in Friends(u), Y_{train}(f, a) \neq 0} Y_{train}(f, a)}{n_{fa}}, n_{fa} \neq 0$$

$$= global\_average, n_{fa} = 0$$

where  $n_{fa}$  is the cardinality of the set  $\{Y_{train}(f, a) \neq 0, f \in Friends(u)\}$

For this setup we obtain 1.52 and 0.2911 which is smaller than our global average baseline. If we also take into account the friends of the friends of user  $u$  we obtain a MAE of  $1.08(\pm 0.041)$ . This result is comparable with the global average result.

#### 1.7.1 KMeans

We cluster the users into 20 groups using the KMeans setup from Task 1 and repeat the experiments. For a new user, we predict the rating as the weighted mean of its friends' cluster. This gave us a MAE of 1.08 , 0.04. Another try where the mean was done over the unique clusters or using only the most common cluster, gave us MAE of 1.49. Another try with 100 friends 1.55.

Although KMeans with 20 features behaves similar with the baseline global average, we decided to use this on our newly predicted data.

## 1.8 Summary

Skwes the results, it is more important to have correct predictions on the users with hight counts. Looking back, we could have kep track of the max and median value of the test error to asses the accuracy of our results.

Using Vincent D Blondel, Jean-Loup Guillaume, Renaud Lambiotte, Etienne Lefebvre, Fast unfolding of communities in large networks, in Journal of Statistical Mechanics: Theory and Experiment 2008 (10), P1000 and the Gephi tool to find properties of the graph, it suggested it has about 32 communities, of which 8 had more than 150 members and the others being very small. (22 connected components, of which 20 are very small ; 50 users.) This was run to give us an idea of the number of clusters we could use in Kmeans.

## 2 People Detection

### 2.1 Data description and visualization

The original training data *imgs* contains 8545 color images with size 105x43. From every image a HOG descriptor with size 26x10x36 was extracted. All of these descriptors are saved at *feats* and form the training data that we will work on. To process this HOG features we have converted them into a vector of total length 9360.

We notice that there are 7308 images without presence of people (negative images) and 1237 with (positive images). Figure 6 presents a typical example from each category accompanied with the relevant feature descriptor.

Our task is to train various classifiers, so that we will be able to detect the presence of people in unknown cases. For these purpose we evaluate these classifiers, measuring the accuracy of their estimations using Receiver Operating Characteristics (ROC) curves.

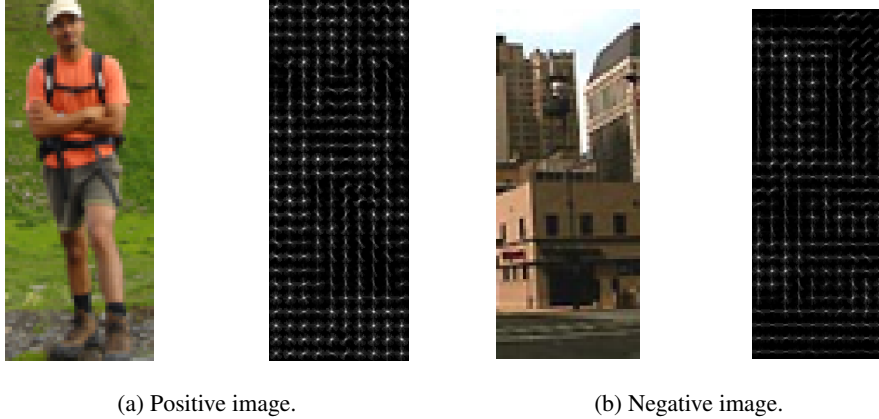


Figure 6: Examples of positive and negative images accompanied with their feature descriptors.

### 2.2 Data preprocessing and used methods

Working on the extracted features, we split the data in order to perform our experiments. In our case we used K-fold validation with  $K = 5$  to evaluate the performance of each classifier. Next step is to normalize the data so they will be centered with 0 mean and standard deviation 1. Here, we have to mention that we assume that there are no outliers, since the images are manually annotated and they have only two possible values for the classes.

The methods that were tested throughout this experiment are the naive Bayes classifier, the logistic regression and its penalized version, the Support Vector Machine (SVM), the K-NN classifier, and the Neural Network classifiers. All of them are compared also with the random guess of the class in the last subsection of this task.

Moreover, we have to say that at the beginning of the experiments we developed and tried PC Analysis, but, except the huge computational time that was necessary to perform it, it did not give almost any improvements to the dimensionality of our data.

### 2.3 Naive Bayes classifier

In this part we tried to fit a simple Bayes classifier to our data. The assumptions that we made were that we have data that follow the normal distribution and the estimates of the prior probabilities are empirical and were made from the relative frequencies of the classes in training.

The results from the K-fold validation were not very satisfying, since the average TPR was very low (0.031). The reason that may be hidden behind that are the strong assumptions that we made in order to fit this model. Naive Bayes model assumes that the data that will process every time follow a specific distribution (in our case Gaussian), but also that the value of a particular feature is unrelated to the presence or absence of any other feature, given the variable of the class. In our case, this is possibly wrong, so the classifier cannot fit a appropriate model.

### 2.4 Logistic and Penalized Logistic Regression

Our purpose in this part was to fit the logistic regression and its penalized version to our data. The value for the parameter  $\alpha$  that we used was  $10^{-2}$ , since all of the values close to this performed well enough for our experiments. Moreover, regarding the value for  $\lambda$  in case of the penalized logistic regression, we have to mention that all of the values that were used performed the same. This is the reason that both of the method give the same results, with average TPR equal to 0.754.

During penalization the idea is to avoid the overfitting by imposing penalty on large fluctuations of the model parameters and reduce the influence of the outliers to our model. This is possibly the reason that both models perform equivalently. The data, as we mentioned before, do not have outliers and the fluctuations at the model parameters are avoided by the nature of our data.

### 2.5 Support Vector Machines

For the certain part of the experiment we used the LIBSVM 3.20 toolbox that includes SVM using various kernels. In our case, we performed analysis and K-fold validation on linear-kernel SVM, polynomial-kernel SVM with degrees 2 and 3, real-basis-kernel SVM and sigmoid-kernel SVM. In all of the cases we used the scores that were given by the program to compute the TPR and the FPR. A comparison of the various cases is given in Figure 7a.

As we can observe radial-basis-kernel SVM have significantly good performance. A fact that can be seen not only by the average TPR, which is equal to 0.893, but also observing the whole range of the FPR, where the classifier gives better results than all of the other SVM cases. The second best in performance is the polynomial SVM with degree 2, which has very similar results with the RBF case for FPR greater than  $210e-3$ . The rest of the classifier perform well for FPR greater than  $210e-2$ , with the exception of the linear case, which has quite good results for lower rates, too.

Moreover, in Table 2 we present the average percentage of the successful classifications that were made during the K-fold validation, as they are given by the program.

SVM kernel	Linear	Quadratic	Cubic	RBF	Sigmoid
Average success rate (%)	94.81	97.22	91.45	98.43	84.68

Table 2: Average percentage for the success rate for the various kernels of the SVM classifier, as they are given by the program of the LIBSVM 3.20 toolbox.

### 2.6 K-NN classifier

Using the K-NN classifier the most important parameter that we have to specify is the number of the neighbouring samples that will be used as a reference for the method so it will decide for any given sample the class that it belongs to. For our experiments we used a ready function, which we modified a lot in order to compute and give in output the scores of the prediction, except of the

class labels. We varied the number of neighbours used among 5, 9, 15, 19 and 25. In addition, the euclidean distances were used as the way to specify the distances among the neighbouring samples.

Figure 7b presents the resulting ROC curves that were created using the scores got from the K-fold validation process. There we observe that all of the classifiers show very good performance, especially, for FPR greater than  $10^{-3}$ . Only the 9-NN classifier seems to perform a bit worse for FPR between  $10^{-4}$  and  $10^{-3}$ . These observations can be explained by the distribution of the data, where most probably they are distributed in the N-dimensional ( $N = 9360$ ) space with such a way that their neighbours can specify, with high probability, the class that they belong to.

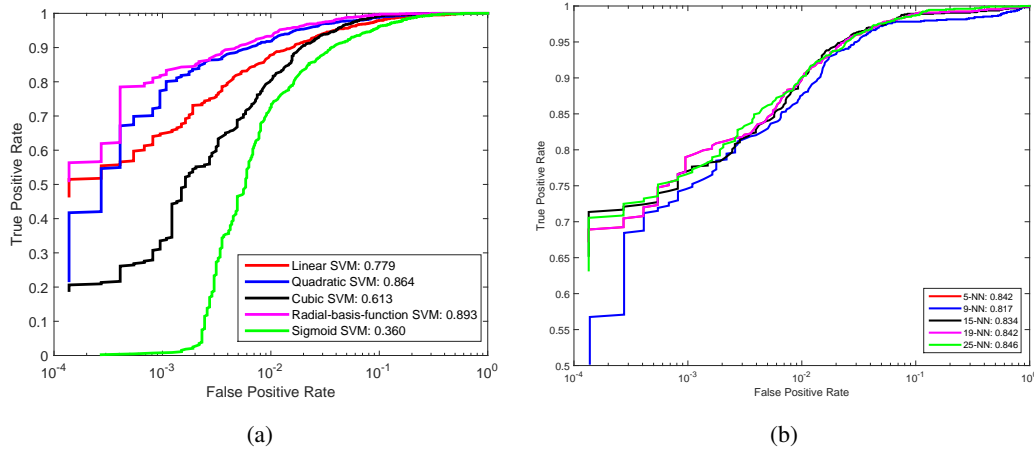


Figure 7: ROC curves created using: (a) SVM with various kernel types (linear, quadratic, cubic, real-basis-function, sigmoid), (b) K-NN classifier for various number of neighbours for the computations (5, 9, 15, 19, 25).

## 2.7 Neural Network

## 2.8 Convolutional Neural Network

## 2.9 Comparison

## Acknowledgments

We would like to thank the course teaching assistants that helped us a lot during this project preparation, not only during the exercise session, but also at their office hours. Furthermore, the help that our teacher Mohammad Emtiyaz Khan offered us was very important, and we would want to thank him, too. All of the code used and submitted along with this report was written by equally by all of our group members.