

Exercises ITI9200

February 21, 2025

1 Weeks 1-2

Exercise 1:

(*Light jumping jacks, but GySgt Hartman is behind you shouting “BOURBAKI!”*)

1. Prove or disprove that the following operations define monoid structures:
 - the set $\mathbf{R}^+ = \{x \in \mathbf{R} \mid x > 0\}$ of strictly positive real numbers, with respect to the operation of division, $(a, b) \mapsto a/b$;
 - the set of pairs of integers (m, n) , with the operation $(p, q) \star (r, s) := (pr - qs, ps + qr)$.
2. Let S be a *finite* set, consider the monoid S^S of all functions $f : S \rightarrow S$, with respect to function composition. Prove that the following conditions are equivalent:
 - f is an injective function;
 - f is a surjective function;
 - f is a bijective function.

This is *blatantly false* when S is infinite, say the set $\mathbb{N} = \{0, 1, 2, \dots\}$ of natural numbers. Build a counterexample.

Exercise 2:

(*Epimenides, Cantor, and Gödel enter a bar...*, [1])

An *applicative construct* (AC for short) (A, \circ) consists of a nonempty set A with a binary operation $\circ : A \times A \rightarrow A$. If $(f, a) \in A \times A$, we denote $\circ(f, a)$ as $f \circ a$ and read ‘ f applied to a ’.

If (A, \circ) is an AC we say that

- $f \in A$ has a *fixpoint* $\mu_f \in A$ if $f \circ \mu_f = \mu_f$;
- $f \in A$ has a *diagonalizer* $\delta_f \in A$ if for every $a \in A$ the identity

$$\delta_f \circ a = f(a \circ a)$$

holds (brackets position is important).

Prove *Smullyan’s mythological fixpoint theorem*:

■ If f has a diagonalizer δ_f , then it has a fixpoint μ_f .

Exercise 3:

(‘*I know what a category is...*’ —Show me.)

- Can a category with 7 objects and 5 morphisms exist?
- Count how many categories with 3 objects and (exactly) 5 morphisms there are.

2 Weeks 3-4

Exercise 4:

(*You never put your hand in the same stream twice.* —Heraclitus, probably debugging a recursive function)

The category **Stream** has

- as objects the sets A, B, C, \dots
- as arrows $f : A \rightsquigarrow B$ the functions of the form

$$f : \sum_{n \geq 1} A^n \longrightarrow B$$

where the domain $A^+ = \sum_{n \geq 1} A^n$ is the set of *non-empty lists* of elements of A , i.e., the set

$$A + (A \times A) + (A \times A \times A) + \dots$$

whose elements are ordered sequences of the form (a_1, \dots, a_n) for $n \geq 1$ and $a_i \in A$ for each $i = 1, \dots, n$.¹

You are invited to verify the category axioms for **Stream**:

- The identity morphisms are the functions $\sum_{n=1} A^n \rightarrow A$ defined by sending (a_1, \dots, a_n) to a_n ;
- composition is given, if $f : A \rightsquigarrow B$ and $g : B \rightsquigarrow C$, by the rule $g \circ f : A \rightsquigarrow C$

$$(a_1, \dots, a_n) \longmapsto g(f(a_1), f(a_1, a_2), \dots, f(a_1, \dots, a_{n-1}), f(a_1, \dots, a_n)).$$

In other words, the composition $g \circ f$ computes the output that the function g generates from the inputs $f(a_1), f(a_1, a_2), \dots, f(a_1, \dots, a_{n-1}), f(a_1, \dots, a_n)$.

The intuition to keep in mind is that an arrow $f \in \mathbf{Stream}(A, B)$ consists of an ‘algorithm’ that, given a non-empty list of inputs (a_1, \dots, a_n) , computes an output $f(a_1, \dots, a_n) \in B$ (which may clearly also depend on n), for every $n \geq 1$.

Exercise 5:

(*The endofunction $f : C \rightarrow C$ on the class C of mathematical objects sending ‘X’ to ‘category theory and X’ admits a fixpoint*)

Let’s gather some definitions:

- A *ponoid* is a monoid $(M, \cdot, 1)$ equipped with a partial order \leq such that

$$a \leq b \text{ and } x \leq y \implies ax \leq by$$

for all $a, b, x, y \in M$.

- An ordered set (P, \leq) is called *directed complete* when all *directed* subsets (the nonempty subsets $S \subseteq P$ such that for every $x, y \in S$ there is an upper bound $u \in S$) admit a *least* upper bound.
- A monoid is said to have a *absorbing element* when there is an element z with $z = zx = xz$ for all $x \in M$.

Given this,

¹More formally, A^+ is the *free semigroup* generated by the set A , where a ‘semigroup’ is a set equipped with an associative binary operation.

- Prove that an absorbing element in a monoid is unique when it exists;
- prove that if $(M, \cdot, 1)$ is a directed complete ponoid with $1 \leq x$ for all $x \in M$, then M has an absorbing element.

Use the latter result to prove that every monotone function $f : P \rightarrow P$ over a directed complete order has a smallest fixpoint (this means: there exists an $x \in P$ such that $f(x) = x$, and $x \leq p$ for every p such that $f(p) = p$).

Exercise 6:

(*The most merciful thing in the world, I think, is the inability of the human mind to correlate all its contents.* —HPL)

Recall the definition of the category of discrete dynamical systems (dds for short):

- objects are triples $(X, x_0; f)$ where (X, x_0) is a pointed set, and $f : X \rightarrow X$ an endofunction.
- morphisms $u : (X, x_0; f) \rightarrow (Y, y_0; g)$ are basepoint-preserving functions $u : (X, x_0) \rightarrow (Y, y_0)$ such that $g(ux) = u(fx)$ for every $x \in X$.

To every discrete dynamical $\mathbf{X} = (X, x_0; f)$ system one can associate the *exploded-view* category $\mathbf{EW}(\mathbf{X})$ having

- objects the elements $x, y, z, \dots \in X$;
- there is an arrow $\langle n \rangle : x \rightarrow f^n(x)$ for every $x \in X$ and $n \in \mathbb{N}$.

The identity i_x is $\langle 0 \rangle : x \rightarrow f^0 x = x$. Composition of morphisms is defined as $\langle n + m \rangle$, if $\langle n \rangle : x \rightarrow f^n x$ and $\langle m \rangle : f^n x \rightarrow f^m(f^n x) = f^{n+m} x$.

- prove the category axioms for $\mathbf{EW}(\mathbf{X})$;
- consider a subset $S \subseteq X$ in a dds $\mathbf{X} = (X, x_0; f)$ and define, inductively,

$$S^{(0)} := S \quad S^{(k+1)} := \{f(s) \mid s \in S^{(k)}\}.$$

The *flow* $\Phi_{\mathbf{X}}(S)$ of S is defined as $\bigcup_{k \geq 0} S^{(k)}$.

Let $\mathbf{N} = (\mathbb{N}, 0, c)$ be the dynamical system defined by $c : \mathbb{N} \rightarrow \mathbb{N}$,

$$c(2k) = k \quad c(2k+1) = 3k+1$$

- Compute the flow $\Phi_{\mathbf{N}}(S)$ of $S = \{3, 9, 15, 39, 43\}$ (draw a picture); do you see a pattern?
- (very hard, do *not* attempt. Seriously, stay away from this problem.) can you find $S, T \subseteq \mathbf{N}$ such that $\Phi_{\mathbf{N}}(S) \cap \Phi_{\mathbf{N}}(T) = \emptyset$?

References

- [1] N. S. Yanofsky. A universal approach to self-referential paradoxes, incompleteness and fixed points. *Bulletin of Symbolic Logic*, 9(3):362–386, 2003.