

## Category

Able to unify two common math structures

- monoids
- partially ordered set

A monoid is a set  $M$  equipped with

- An element  $e \in M$  (in partic  $M \neq \emptyset$ )
- A binary operation  $\cdot : M \times M \rightarrow M$   
 $(m, n) \mapsto m \cdot n$

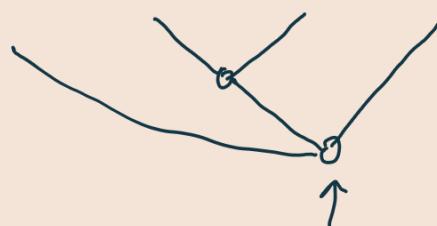
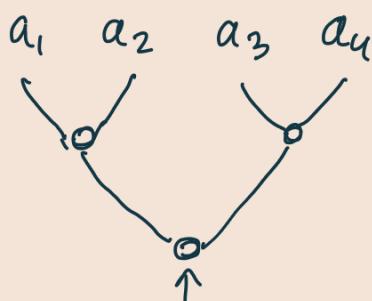
Such that

- $\cdot$  is associative:

$$a, b, c \in M \quad a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

(by induction one shows that all bracketings of  $a_1 a_2 \dots a_n$  are the same element:-

$$(a_1 \cdot a_2) \cdot (a_3 \cdot a_n) = a_1 \cdot ((a_2 \cdot a_3) \cdot a_n)$$



- $e \in M$  acts as neutral elem of multiplication

$$m \in M \quad m \cdot e = m = e \cdot m$$

$$(\mathbb{N}, +, 0)$$

$$(\mathbb{N}, \cdot, 1) \text{ group}$$

$$(\mathbb{Z}, +, 0), (\mathbb{Z}, \cdot, 1)$$

alphabet

$$\left\{ \begin{array}{l} \text{List}(\{a_1, \dots, z\}) \\ w = (a_1, \dots, a_n) \quad a_i \in \text{alphabet} \\ w, u \end{array} \right.$$

$$\begin{cases} w = azkttb \\ u = nstv \end{cases}$$

On the other hand, a partially ordered set ("poset") is a set  $P$  over which there is a relation  $\leq$  that axiomatizes the idea that some elements of  $P$  are smaller / bigger than others.

The relation is

- 1. REFLEXIVE : for every  $x \in P$   $x \leq x$
- 2. TRANSITIVE : for every  $x, y, z$  if  $x \leq y$  &  $y \leq z$  then  $x \leq z$
- 3. ANTSYMMETRIC

(1+2 define what is called a PREORDERED SET)

$\Rightarrow$  if  $x \leq y$  &  $y \leq x$  then  $x = y$

Over the set  $\{\text{people in this room}\}$

define " $a \leq b$ " to mean

"year of birth of  $a \leq$  y.o.b. of  $b$ "

REFL, TRANSITIVE but not antisymmetric

- |                      |       |   |
|----------------------|-------|---|
| $(\mathbb{N}, \leq)$ | where | $\{0 \leq 1 \leq 2 \leq \dots\}$                            |
| $(\mathbb{Z}, \leq)$ |       | $\{\dots \leq -2 \leq -1 \leq 0 \leq 1 \leq 2 \leq \dots\}$ |
| $(\mathbb{Q}, \leq)$ |       |   |
| $(\mathbb{R}, \leq)$ |       |   |
- all these orders are "total": Given any two elements  $x, y$  either  $x \leq y$  or  $y \leq x$

An partial order which is not total is :

A any set,  $P(A) = \{ U \subseteq A \}$  subsets of A

$P(A)$  is ordered by the inclusion relation

$U \leq V$  in  $P(A)$  if  $U \subseteq V$  ( $\subseteq$  both  $A$ )

Clearly this is a partial order

•  $U \subseteq U$  ( $U, V, W \subseteq A$ )

•  $U \subseteq V$  and  $V \subseteq W$  then  $U \subseteq W$



•  $U \subseteq V$  and  $V \subseteq U$   $U = V$

Not total

$U, V$  such that  $U \not\subseteq V$  and  $V \not\subseteq U$

Try if  $A = \{1, 2, 3, 4\}$

Different as they may seem, monoids and posets are both instances of categories.

Definition A "category" consist of

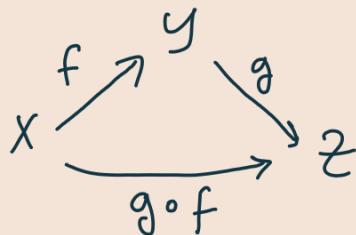
Data:

- A collection of objects  $C_0 = \{ X, Y, Z, \dots \}$
- A collection of arrows morphisms  $C_1$

Depicted as " $f: X \rightarrow Y$ "  
↑      ↑  
arrow    domain of f      codomain of f

- To every object  $X \in C_0$  is associated an arrow called the identity of  $X$   $1_X: X \rightarrow X$  ( $\text{id}_X \dots$ )

- Every pair of arrows  $f: X \rightarrow Y$ ,  $g: Y \rightarrow Z$   
 (every pair of arrows  $f, g$  such that  
 codomain of  $f$  = domain of  $g$ )  
 (target) (source)  
 can be "composed" into an arrow  $X \longrightarrow Z$

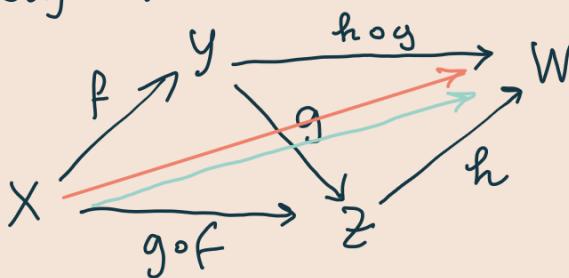


$$x \mapsto f(x) \mapsto g(f(x)) \\ (g \circ f)(x)$$

All this data is such that:

- Composition is associative  
 Every time it is possible

$$\underline{h \circ (g \circ f)} = \underline{(h \circ g)} \circ f$$



- $\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \text{---} \nearrow & & \searrow \\ X & \xrightarrow{f \circ 1_X} & Y \end{array}$   $f \circ 1_X = f$  and for every  $f: X \longrightarrow Y$

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \text{---} \nearrow & \searrow \\ & 1_Y \circ f = f & \end{array}$$

We will only be interested in "locally small" categories:

for every two objects  $X, Y \in \mathcal{C}_0$

$$\mathcal{C}(X, Y) = \left\{ f: \underset{\text{dom}}{X} \longrightarrow \underset{\text{codom}}{Y} \right\} \text{ is a set.}$$

Clearly this def is engineered to capture  
 Set = sets as objects =  $\text{Set}_0$   
 functions as arrows =  $\text{Set}_1$   
 id funct as  $1_X$   
 function composition as the comp operation  
 as an example.

the category of sets

Tweak the definition to talk about partial functions  
 $\text{pSet}(\text{Par})$  is the category having

- sets as objects  $X, Y, Z \dots$
- partial functions as arrows

partial function :=  $(D_f \subseteq X, \text{ function } f : D_f \rightarrow Y)$   
 $f : X \rightarrow Y$

$(\text{head} : \text{List } N \rightarrow N \quad D_{\text{head}} = \text{List } N \setminus \{[]\})$   
 empty list

functions are precisely the partial functions where  $D_f = X$



if  $x \in D_f$  is in  $D_f$ , and  $f(x) \in D_g$   compose the function

if  $x \in D_f$  but  $f(x)$  not in  $D_g$  then not defined

if  $x \notin D_f$  then not defined.

Identity partial function is the totally defined function  $x \mapsto x$ .

So defined, Par is a category.

- All algebraic structures (e.g. vector spaces in linear algebra)
- sets functions
  - sets partial functions
  - graphs in discrete mathematics
  - Monoids
  - topological spaces, continuous functions
  - probability spaces, measurable functions

constitute examples of categories as "universes". Because they are "big".

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Often a category can also be (very) small -

- $\emptyset$  empty category
- $\{\star\}$  has a single object  $\star$   
has a single arrow  $1_\star : \star \rightarrow \star$   
composition is  $1_\star \circ 1_\star = 1_\star$
- $\{\begin{smallmatrix} 1_0 \\ 0 \end{smallmatrix} \xrightarrow{u} \begin{smallmatrix} 1_1 \\ 1 \end{smallmatrix}\}$  two objects  
three arrows (2 identities +  $u: 0 \rightarrow 1$ )  
composition is also boring  
 $u \circ 1_0 = u = 1_1 \circ u$
- $\{\begin{smallmatrix} 1_0 \\ 0 \end{smallmatrix} \xrightarrow{u} \begin{smallmatrix} 1_1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1_0 \\ 0 \end{smallmatrix} \xrightarrow{v} \begin{smallmatrix} 1_1 \\ 1 \end{smallmatrix} \mid u \neq v\}$  two objects  
4 arrows (2 non-identity)  
 $u, v$  are not composable