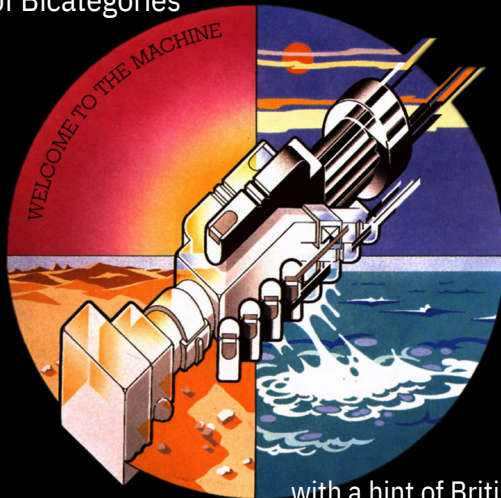




A Tale of Bicategories




with a hint of British prog

j/w G. Boccali, A. Laretto, S. Luneia; [arXiv:2303.03865](#) .


Prolegomena


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A theory of **abstract** automata

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Let \mathbf{K} be a strict 2-category with all finite weighted limits.

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$$\begin{array}{ccc} \mathbf{Mly}(f, b) & \longrightarrow & (f/b) \\ \downarrow & \lrcorner & \downarrow \\ I(f, 1_C) & \longrightarrow & C \end{array}$$

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As such, **Mly** and **Mre** are parametric functors of type

$$\mathbf{K}(C, C)^{\text{op}} \times \mathbf{K}/C \longrightarrow \mathbf{K}/C$$

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- the category of **Mealy automata**, where objects and morphisms are of the form

$$\begin{array}{ccccc} e & \xleftarrow{d} & Fe & \xrightarrow{s} & b \\ \varphi \downarrow \cdots & & \downarrow \cdots F\varphi & & \parallel \\ e' & \xleftarrow{d} & Fe & \xrightarrow{s} & b \end{array}$$

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In particular, if $F_A : \mathbf{K} \rightarrow \mathbf{K}$ is the functor depending on an object A (an ‘Alphabet’) Mealy and Moore automata are respectively diagrams of the form (E, d, s) :

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- $d : A \otimes E \rightarrow E$ is an action of A on E (a **dynamical system**);
- s is an **output function** (think of $B = \{0, 1\}$ or $B = [0, 1]$, etc.)

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If \mathbf{K} is **monoidal closed**, $F_A = A \otimes -$ is colimit-preserving and its algebras coincide with the coalgebras of its right adjoint $[A, -]$. This allows a number of deductions:

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- If \mathbf{K} has countable sums, $d : A \otimes E \rightarrow E$ is an action of $A^* := \sum_n A^n$, and s extends similarly:

A commutative diagram illustrating the canonical extension of an action. The diagram consists of three nodes arranged in a triangle. The top node is $A \otimes E$. The bottom-left node is E . The bottom-right node is B . A solid arrow labeled d points from $A \otimes E$ to E . A solid arrow labeled s points from $A \otimes E$ to B . A solid arrow labeled $\eta_{A \otimes E}$ points from $A \otimes E$ down to $A^* \otimes E$. A dotted arrow labeled d^* points from $A^* \otimes E$ to E . A dotted arrow labeled s^* points from $A^* \otimes E$ to B .

This is called the **canonical extension** of (E, d, s) .

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- If (\mathbf{K}, \otimes) is **monoidal** and $T : \mathbf{K} \rightarrow \mathbf{K}$ is a **commutative monad** over it, we can lift the monoidal structure of \mathbf{K} making the free functor $F : \mathbf{K} \rightarrow \mathbf{Kl}(T)$ strong monoidal.

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Machines in $\mathbf{Kl}(T)$ are **non-deterministic** versions of the ones in \mathbf{K} .

Take T the powerset monad on **Set**, or a distribution/probability monad like the one of finite distributions –whose algebras are convex sets, and free algebras affine simplices).

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- If \mathbf{K} is cocomplete (e.g., **locally presentable**), so are $\mathbf{Mly}(A, B)$, $\mathbf{Mre}(A, B)$ for every A, B –with colimits created by $\mathbf{Mly}(A, B)$, $\mathbf{Mre}(A, B) \rightarrow \mathcal{K}$ and connected limits created by the functor in the commæ.

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In particular, the terminal objects of $\mathbf{Mly}(A, B)$, $\mathbf{Mre}(A, B)$ are respectively

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Observe that this can be deduced from the fact that when \mathbf{K} is closed, we can characterize automata coalgebraically, see some work of Jacobs.

(Semi)bicategories of automata

A tale of bicategories

When \mathbf{K} is **Cartesian**, $\mathbf{Mly}(A, B)$ is the hom-category of a bicategory.¹

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Evidently, if (\mathbf{K}, \otimes) is Cartesian, the universal property of products splits every x as $\langle s, d \rangle$ where (d, s) fit in the previous span.

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Clearly, $C(\mathbf{K})$ exists for every monoidal category!


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- There exists a semibicategory **Mre** of Moore-type automata, a functor

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- the 2-full sub-bicategory of **Mly** (over **Set**) whose 1-cells are Mealy automata between monoids such that the representation of A^* on E in $E \xleftarrow{d^*} A^* \otimes E \xrightarrow{s^*} B$ induces a functor $\Sigma : \mathcal{E}[d^*] \rightarrow B$, when B is a monoid.

Machines valued in a bicategory

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This idea is not *entirely* new; it resembles old (and obscure) work of Bainbridge, modeling the state space of abstract machines as a functor, of which one can take the left/right Kan extension along an 'input scheme'. See work of Petrişan et al.

A bimachine is a span in...

Definition

Let \mathbb{B} be a bicategory; a **bicategorical Moore** (biMoore) **machine** in \mathbb{B} is a diagram of 2-cells

$$e \Longleftarrow e \circ i, e \Longrightarrow o$$

between 1-cells e, i, o .²

²A 1-cell of states (états), of inputs, and of outputs.

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The fact that this span exists, *coherces the types* of i, o, e in such a way that i must be an endomorphism of an object A .

$$A \xrightarrow{i} A, \quad A \xrightarrow{i} A \xrightarrow{i} A, \quad A \xrightarrow{i} A \xrightarrow{i} A \xrightarrow{i} A, \dots$$

all make sense.

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In the monoidal case, the fact that an input 1-cell stands on a different level from an output was completely obscured by the fact that every 1-cell is an endomorphism.

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Everything will be made a Kan extension

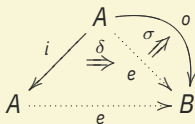
Recall that the terminal objects of $\mathbf{Mly}(A, B)$, $\mathbf{Mre}(A, B)$ are respectively $[A^+, B]$, $[A^*, B]$.

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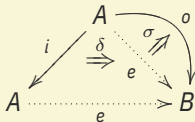
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The terminal object of the category of biMoore machines³ is the right extension of $o : A \rightarrow B$ along the free monad $i^\sharp : A \rightarrow A$.

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Examples

biMoore in Cat

Regarding **Cat** as a strict 2-category, a biMoore machine is a functor $E : \mathcal{C} \rightarrow \mathcal{D}$ closing a span $\mathcal{C} \xleftarrow{I} \mathcal{C} \xrightarrow{O} \mathcal{D}$ with suitable 2-cells.

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If $\mathcal{D} = \mathbf{Set}$, states and output are presheaves, and E is acted by an endofunctor; in this case, the behaviour of the terminal machine can be described as a known object: unpacking the end that defined $Ran_{I^\sharp} O$ we obtain the functor

$$A \longmapsto [\mathcal{C}, \mathbf{Set}](\mathcal{C}(A, I^\sharp _-), O)$$

sending an object A to the set of natural transformations $\alpha : \mathcal{C}(A, I^\sharp _-) \Rightarrow O$; to each generalised A -element of $I^\sharp \mathcal{C}$ corresponds an element of the output space $\Upsilon_{\mathcal{C}}(u) \in OC$.

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In the bicategory **Prof** of profunctors, a biMoore machine $E : I \rightarrow O$ consists of a digraph I of inputs, and parallel profunctors E, O of states and output.

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In the special case of $\{0, 1\}$ -enriched profunctors (i.e., relations), the Kan extension of behaviour reduces to the maximal E such that $E \subseteq O$ and $E \circ I^\flat \subseteq E$ (here \circ is the relational composition).

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This relation expresses *reachability* of b from a :

$$a R b \iff \left((a' = a) \vee (a' \xrightarrow{I} a_1 \xrightarrow{I} \dots \xrightarrow{I} a_n \xrightarrow{I} a) \Rightarrow a' O b \right)$$

New maps

Intertwiners

Definition (Intertwiner between bicategorical machines)

Consider two bicategorical Mealy machines $(e, \delta, \sigma)_{A,B}$, $(e', \delta', \sigma')_{A',B'}$ on different bases.

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An *intertwiner* $(u, v) : (e, \delta, \sigma) \multimap (e', \delta', \sigma')$ consists of a pair of 1-cells $u : A \rightarrow A'$, $v : B \rightarrow B'$ and a triple of 2-cells ι, ϵ, ω disposed as

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Intertwiners

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$$\begin{array}{c} \delta \\ \epsilon \end{array} \begin{array}{c} \iota \\ \epsilon \end{array} = \begin{array}{c} \epsilon \\ \delta' \end{array} \quad \text{and} \quad \begin{array}{c} \sigma \\ \epsilon \end{array} \begin{array}{c} \iota \\ \epsilon \end{array} = \begin{array}{c} \omega \\ \sigma' \end{array} ;$$

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2. the following two identities hold:

$$\epsilon \circ (d' \otimes U) = (V \otimes d) \circ (\epsilon \otimes I) \circ (E' \otimes \iota)$$

$$\omega \circ (s' \otimes U) = (V \otimes s) \circ (\epsilon \otimes I) \circ (E' \otimes \iota)$$

Intertwiner 2-cells

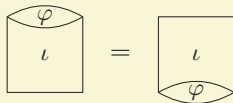
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Let $(u, v), (u', v') : (e, \delta, \sigma) \multimap (e', \delta', \sigma')$ be two parallel intertwiners; a 2-cell $(\varphi, \psi) : (u, v) \Rightarrow (u', v')$ consists of a pair of 2-cells $\varphi : u \Rightarrow u', \psi : v \Rightarrow v'$ such that



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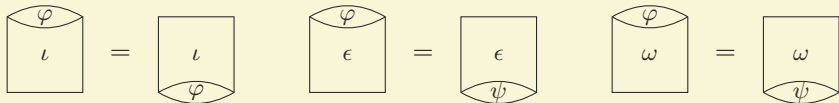
The diagram shows two equations. The first equation is $\begin{array}{c} \varphi \\ \text{---} \\ \downarrow \iota \end{array} = \begin{array}{c} \downarrow \iota \\ \text{---} \\ \varphi \end{array}$. The second equation is $\begin{array}{c} \varphi \\ \text{---} \\ \downarrow \epsilon \end{array} = \begin{array}{c} \downarrow \epsilon \\ \text{---} \\ \psi \end{array}$. In these diagrams, the top and bottom horizontal lines represent the objects of the machines, and the vertical lines represent the intertwiners ι and ϵ . The curved lines at the top and bottom represent the 2-cells φ and ψ .

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Vistas

Monoidal topology and automata

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BiMoore and biMealy machines, when instantiated in (T, \mathcal{V}) -**Prof**, a 2-categorical way to look at topological, (ultra)metric ways to study behaviour of a state machine –the reachability relation becomes topological, (ultra)metric, probabilistic, sequential... according to suitable choices of T and \mathcal{V} .

Rabin-Scott, and profunctors

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Conjecture

One can address **nondeterministic** biMoore automata in \mathbb{B} as **deterministic** bicategorical automata in a proarrow equipment, porting all the paraphernalia (minimisation, behaviour, and bisimulation) into a bigger conceptual framework.

The En(i)d



The En*i*d is a symphonic prog rock band from Southampton;
suggested listening: *Ærie Færie Nonsense* and *Trippin the Light Fantastic*.