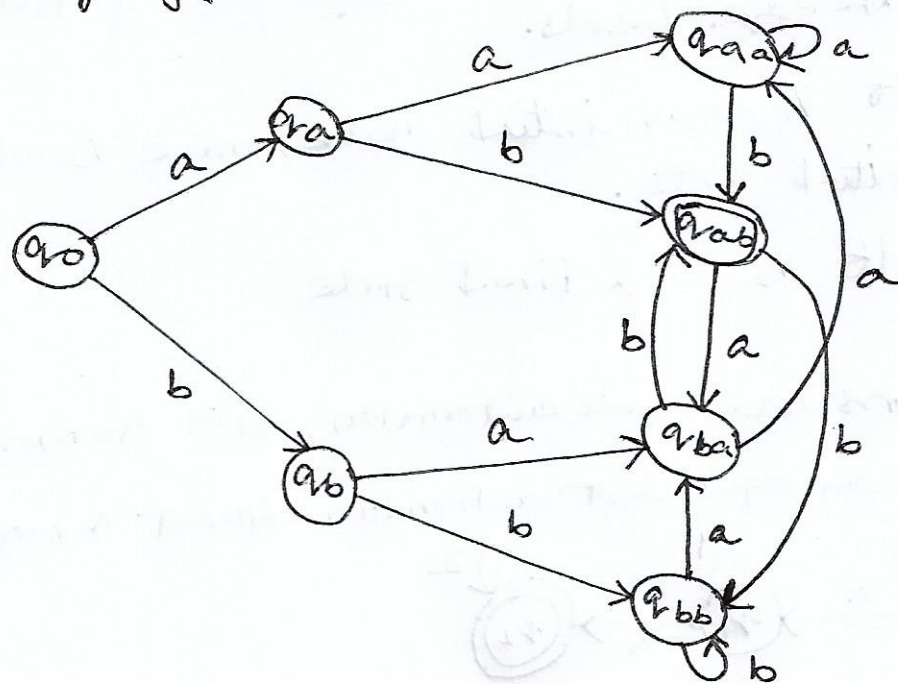


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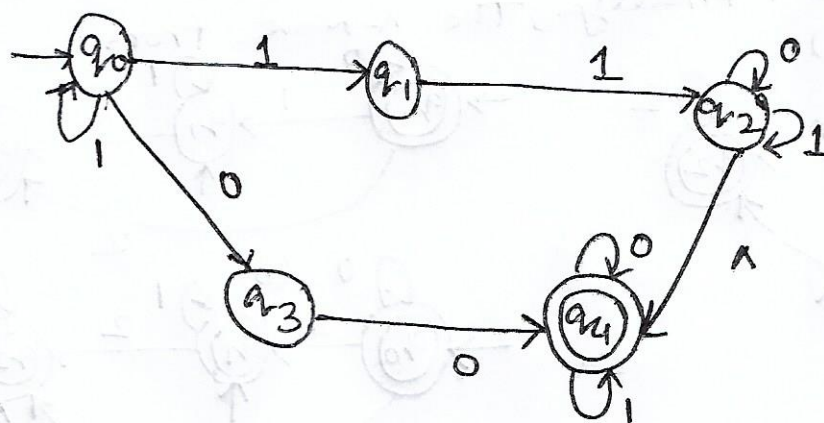
## Automata Theory

Ex:- Construct a DFA accepting all strings over  $\{a, b\}$  ending in  $ab$ .

Soln:- We require two transition for accepting the string  $ab$ . If the symbol  $b$  is processed after  $aa$  or  $ba$ , then also we end in  $ab$ . So, we can have states for remembering  $aa, ab, ba, bb$ . The state corresponding to  $ab$  can be final state in our DFA. Keeping these in mind, we construct the required DFA. Its Transition diagram is described by fig.



\*  $\Lambda$ -NFA : Transition System for a Nondeterministic automaton which contains  $\Lambda$ -Transition



## \* Conversion of $\Lambda$ -NFA into NFA.

It is possible to convert a transition system with  $\Lambda$ -moves into an equivalent transition system without  $\Lambda$ -moves. we shall give a simple method of doing it with the help of an example.

Suppose we want to replace a  $\Lambda$ -move from vertex  $V_1$  to vertex  $V_2$ . then we proceed as follows:

Step 1 find all the edges starting from  $V_2$

Step 2 Duplicate all these edges starting from  $V_1$ , without changing the edge labels.

Step 3:- If  $V_1$  is an initial state, make  $V_2$  also as initial state.

Step 4:- If  $V_2$  is a final state

Example:- Consider a finite automaton, with  $\Lambda$ -moves, given in figure. obtain an equivalent automaton without  $\Lambda$ -moves.



Sol<sup>n</sup>:- we first eliminate the  $\Lambda$ -move from  $q_0$  to  $q_1$  to get fig (a).  $q_1$  is made an initial state. Then we eliminate the  $\Lambda$ -move from  $q_0$  to  $q_2$  in fig (a) to get fig (b). As  $q_2$  is a final state,  $q_0$  is also made a final state. Finally, the  $\Lambda$ -move from  $q_1$  to  $q_2$  is eliminated in fig (b).

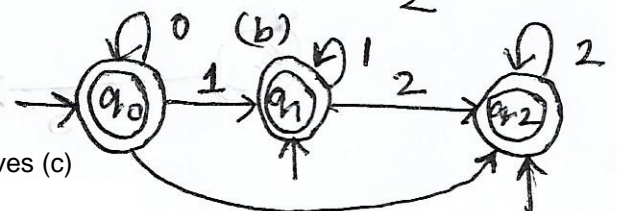
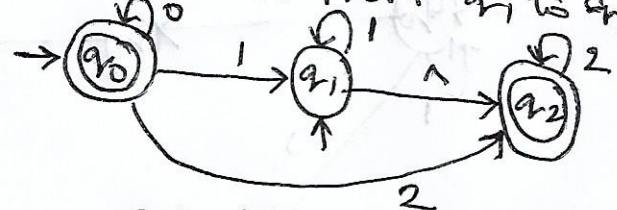
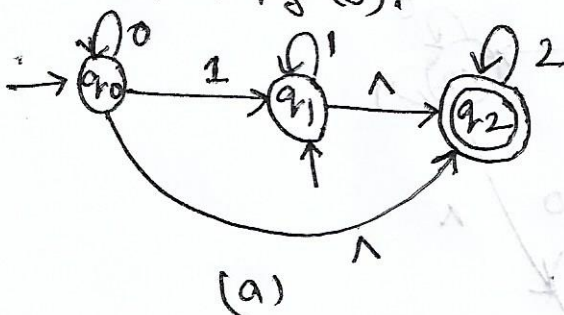


Figure:- Transition System for Example without Empty-Moves (c)



## Minimization of FINITE Automata: $\rightarrow$

Here, we construct an automaton with the minimum number of states equivalent to a given automaton  $M$ .

Definition :- Two states  $q_1$  and  $q_2$  are equivalent (denoted by  $q_1 \equiv q_2$ ) if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states, or both of them are non final states for all  $x \in \Sigma^*$

As it is difficult to construct  $\delta(q_1, x)$  and  $\delta(q_2, x)$  for all  $x \in \Sigma^*$  (there are an infinite number of strings in  $\Sigma^*$ ), we give one more definition.

Definition  $\rightarrow$  Two states  $q_1$  and  $q_2$  are  $k$ -equivalent ( $k > 0$ ) if both  $\delta(q_1, x)$  and  $\delta(q_2, x)$  are final states or both non final states for all strings  $x$  of length  $k$  or less. In particular, any two final states are 0-equivalent and any two non final states are also 0-equivalent.

We mention some of the properties of these relations.

Property 1:- The relations we have defined, i.e. equivalence and  $k$ -equivalence are equivalence relations, i.e. They are Reflexive, symmetric and Transitive.

Property 2:- we have two partitions of  $Q$  in two disjoint class/set. These partitions can be denoted by  $\pi$  and  $\pi_k$ , respectively. The elements of  $\pi_k$  are  $k$ -equivalence classes.

Property 3:- if  $q_1$  and  $q_2$  are  $k$ -equivalent for all  $k > 0$ , Then they are equivalent.

Property 4:- if  $q_1$  and  $q_2$  are  $(k+1)$ -equivalent, Then they are equivalent.

Property 5:-  $\pi_n = \pi_{n+1}$  for some  $n$ . ( $\pi_n$  denotes the set of equivalence classes under  $n$ -equivalence)

Construction of Minimum Automaton:  $\rightarrow$

Step 1! (Construction of  $\pi_0$ ). By definition of 0-equivalence,  $\pi_0 = \{Q_1^0, Q_2^0\}$  where  $Q_1^0$  is the set of all final states and  $Q_2^0 = Q - Q_1^0$ .

Step 2:- (Construction of  $\pi_{k+1}$  from  $\pi_k$ ). Let  $Q_i^k$  be any subset in  $\pi_k$ . If  $q_1$  and  $q_2$  are in  $Q_i^k$ , they are  $(k+1)$ -equivalent provided  $\delta(q_1, a)$  and  $\delta(q_2, a)$  are  $k$ -equivalent. Find out whether  $\delta(q_1, a)$  and  $\delta(q_2, a)$  are in the same equivalence class in  $\pi_k$  for every  $a \in \Sigma$ . If so,  $q_1$  and  $q_2$  are  $(k+1)$ -equivalent. In this way,  $Q_i^k$  is further divided into  $(k+1)$ -equivalence classes. Repeat this for every  $Q_i^k$  in  $\pi_k$  to get all the elements of  $\pi_{k+1}$ .

Step 3:  $\rightarrow$  Construct  $\pi_n$  for  $n=1, 2, \dots$  until  $\pi_n = \pi_{n+1}$ .

Step 4:  $\rightarrow$  (Construction of minimum automaton). For the required minimum state automaton, the states are the equivalence classes obtained in step 3, i.e., the elements of  $\pi_n$ . The state table is obtained by replacing a state  $q$  by the corresponding equivalence class  $[q]$ .



Ex:- construct a minimum state automaton equivalent to the finite automaton described by fig

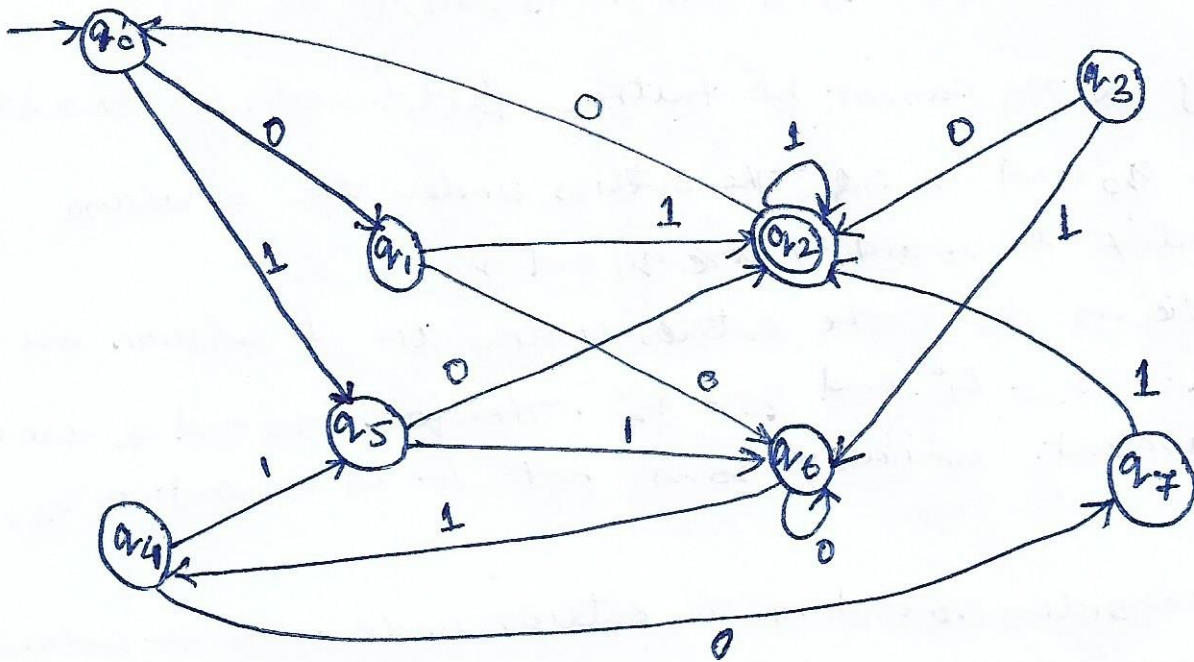


fig:- finite automaton

Solution:-

It will be easier if we construct the transition table as shown in fig table

Table:- transition table for example/above DFA

State/ $\Sigma$	0	1
$\rightarrow q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$
$\odot q_2$	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_5$
$q_5$	$q_2$	$q_6$
$q_6$	$q_6$	$q_4$
$q_7$	$q_6$	$q_2$

By applying step 1, we get

$$Q_1^0 = F = \{q_2\}, \quad Q_2^0 = Q - Q_1^0$$

so

$$\pi_0 = \{\{q_2\}, \{q_0, q_1, q_3, q_4, q_5, q_6, q_7\}\}$$

The  $\{q_2\}$  in  $\pi_0$  cannot be further partitioned. so  $Q_1' = \{q_2\}$ .

Consider  $q_0$  and  $q_1 \in Q_2^0$ . The entries under the 0-column corresponding to  $q_0$  and  $q_1$  are  $q_1$  and  $q_6$ ;

they lie in  $Q_2^0$ . The entries under the 1-column are  $q_5$  and  $q_2$ .  $q_2 \in Q_1^0$  and  $q_5 \in Q_2^0$ . Therefore,  $q_0$  and  $q_1$  are not 1-equivalent. Similarly,  $q_0$  is not 1-equivalent to  $q_3, q_5$  and  $q_7$ .

Now, consider  $q_0$  and  $q_4$ . The entries under the 0-column are  $q_1$  and  $q_7$ . Both are in  $Q_2^0$ . The entries under the 1-column are  $q_5, q_5$ . So  $q_4$  and  $q_0$  are 1-equivalent. Similarly  $q_0$  is 1-equivalent to  $q_6$ .  $\{q_0, q_4, q_6\}$  is a subset in  $\pi_1$ . so,

$$Q_2' = \{q_0, q_4, q_6\}.$$

Repeat The construction by considering  $q_1$  and any one of the states  $q_3, q_5, q_7$ . Now,  $q_1$  is not 1-equivalent to  $q_3$  or  $q_5$  but 1-equivalent to  $q_7$ .

Hence,  $Q_3' = \{q_1, q_7\}$ . The elements left over in  $Q_2^0$  are  $q_3$  and  $q_5$ .

By considering the entries under the 0-column and the 1-column, we see that  $q_3$  and  $q_5$  are 1-equivalent, so  $Q_4' = \{q_3, q_5\}$ . Therefore,

$$\pi = \{\{q_2\}, \{q_0, q_4, q_6\}, \{q_1, q_7\}, \{q_3, q_5\}\}.$$



The  $\{q_2\}$  is also in  $\pi_2$  as it cannot be further partitioned. The entries under the 0-column corresponding to  $q_0$  and  $q_4$  are  $q_1$  and  $q_7$ , and these lie in the same equivalence class in  $\pi_1$ . The entries under the 1-column are  $q_3$  and  $q_5$ .

So  $q_0$  and  $q_4$  are 2-equivalent. But  $q_0$  and  $q_6$  are not 2-equivalent. Hence,  $\{q_0, q_4, q_6\}$  is partitioned into  $\{q_0, q_4\}$  and  $\{q_6\}$ .  $q_1$  and  $q_7$  are 2-equivalent,  $q_3$  and  $q_5$  are also 2-equivalent. Thus  $\pi_2 = \{\{q_2\}, \{q_0, q_4\}, \{q_6\}, \{q_1, q_7\}, \{q_3, q_5\}\}$ .  $q_0$  and  $q_4$  are 3-equivalent. The  $q_1$  and  $q_7$  are 3-equivalent. Also  $q_3$  and  $q_5$  are 3-equivalent. Therefore,

$$\pi_3 = \{\{q_2\}, \{q_0, q_4\}, \{q_6\}, \{q_1, q_7\}, \{q_3, q_5\}\}$$

As  $\pi_2 = \pi_3$ ,  $\pi_2$  gives us the equivalence classes, the minimum state automaton is

$$M' = (Q', \{0, 1\}, \delta', q_0', F')$$

where

$$Q' = \{[q_2], [q_0, q_4], [q_6], [q_1, q_7], [q_3, q_5]\}$$

$$q_0' = [q_0, q_4], \quad F' = [q_2]$$

and  $\delta'$  is defined by table below

State/ $\Sigma$	0	1
$[q_0, q_4]$	$[q_1, q_7]$	$[q_3, q_5]$
$[q_1, q_7]$	$[q_6]$	$[q_2]$
$[q_2]$	$[q_0, q_4]$	$[q_2]$
$[q_3, q_5]$	$[q_2]$	$[q_6]$
$[q_6]$	$[q_6]$	$[q_0, q_4]$

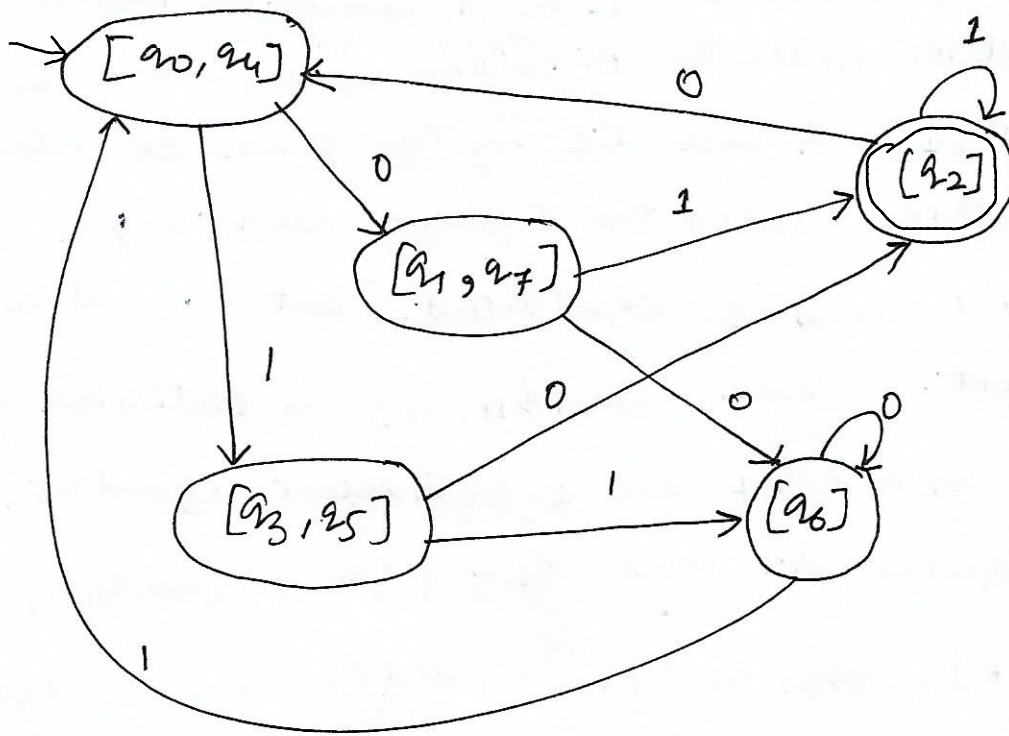


fig:- Minimum state automaton of Example.

Ex:- Construct the minimum state automaton equivalent to the Transition diagram given by fig.

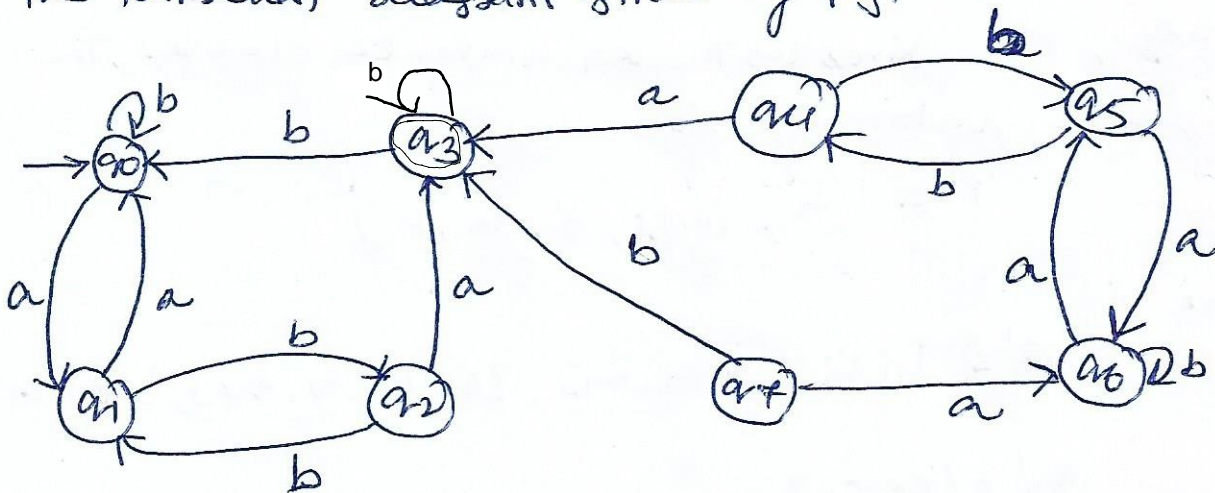


fig:- finite automaton of Ex.

Soln:- we construct the Transition table as given by following table.



Table: - Transition Table for Ex.

State / $\Sigma$	a	b
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$
$q_2$	$q_3$	$q_1$
$(q_3)$	$q_3$	$q_0$
$q_4$	$q_3$	$q_5$
$q_5$	$q_6$	$q_4$
$q_6$	$q_5$	$q_6$
$q_7$	$q_6$	$q_3$

Since there is only one final state  $q_3$ ,  $\mathcal{Q}_1^0 = \{q_3\}$ ,  $\mathcal{Q}_2^0 = \mathcal{Q} - \mathcal{Q}_1^0$ . Hence,  $\pi_0 = \{\{q_3\}, \{q_0, q_1, q_2, q_4, q_5, q_6, q_7\}\}$ . As  $\{q_3\}$  cannot be partitioned further,  $\mathcal{Q}_1^0 = \{q_3\}$ .

Now  $q_0$  is 1-equivalent to  $q_1, q_5, q_6$ , but not to  $q_2, q_4, q_7$  and ~~more~~ so

$\mathcal{Q}_2^1 = \{q_0, q_1, q_5, q_6\}$ ,  $q_2$  is 1-equivalent to  $q_4$ .

Hence,  $\mathcal{Q}_3^1 = \{q_2, q_4\}$ . The only element remaining in

$\mathcal{Q}_2^0$  is  $q_7$ . Therefore  $\mathcal{Q}_4^1 = \{q_7\}$ . Thus,

$$\pi_1 = \{\{q_3\}, \{q_0, q_1, q_5, q_6\}, \{q_2, q_4\}, \{q_7\}\}$$

$$\mathcal{Q}_1^2 = \{q_3\}$$

$q_0$  is 2-equivalent to  $q_6$  but not to  $q_1$  or  $q_5$ . so,

$$\mathcal{Q}_2^2 = \{q_0, q_6\}$$

As  $q_1$  is 2-equivalent to  $q_5$ ,

$$\mathcal{Q}_3^2 = \{q_1, q_5\}$$

As  $q_2$  is 2-equivalent to  $q_4$ ,

$$Q_4^2 = \{q_2, q_4\}, Q_5^2 = \{q_7\}$$

Thus,

$$\pi_2 = \{\{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\}\}$$

$$Q_1^3 = \{q_3\}$$

As  $q_0$  is 3-equivalent to  $q_6$ ,

$$Q_2^3 = \{q_0, q_6\}$$

As  $q_1$  is 3-equivalent to  $q_5$

$$Q_3^3 = \{q_1, q_5\}$$

As  $q_2$  is 3-equivalent to  $q_4$ ,

$$Q_4^3 = \{q_2, q_4\}, Q_5^3 = \{q_7\}$$

Therefore,  $\pi_3 = \{\{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\}\}$

As  $\pi_3 = \pi_2$ ,  $\pi_2$  gives us the equivalent classes, The minimum

state automaton is  $M' = (Q', \{a, b\}, \delta', q_0', F')$

Where  $Q' = \{[q_3], [q_0, q_6], [q_1, q_5], [q_2, q_4], [q_7]\}$

$q_0' = [q_0, q_6]$ ,  $F' = [q_3]$  and  $\delta'$  is defined in table

State / $\epsilon$	a	b
$[q_0, q_6]$	$[q_1, q_5]$	$[q_0, q_6]$
$[q_4, q_5]$	$[q_0, q_6]$	$[q_2, q_4]$
$[q_2, q_4]$	$[q_3]$	$[q_1, q_5]$
$[q_3]$	$[q_3]$	$[q_0, q_6]$
$[q_7]$	$[q_0, q_6]$	$[q_3]$

Fig:- transition table of minimum state automaton

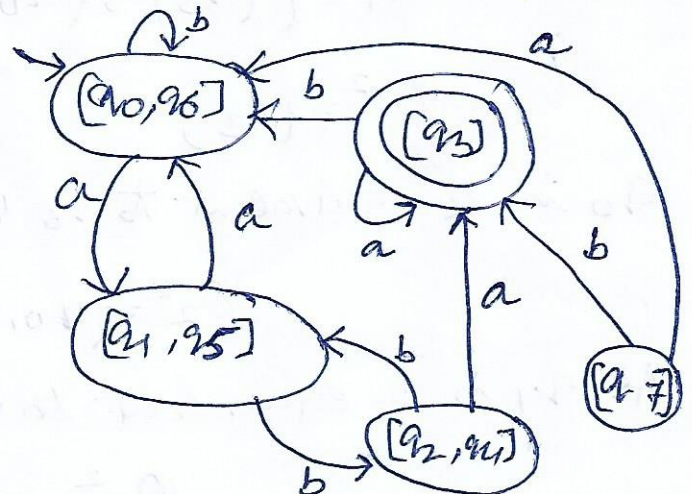


Fig:-

Minimum state Automaton of Example