

# Context Free Grammars and Ambiguity

## Introduction to CFGs and Ambiguity

1. More to a language than just words
  - Examine the grammatical concerns of programming languages

## Parsing Definition Quiz

1. What is the role of the parser in compilers?
  - Lexical analysis of the program to generate tokens
  - Syntactic analysis of the program using the grammar of the programming language (true)
  - Breaking down an expression in the program into subexpressions as per operator precedences to put a structure on the same determining order of its evaluation (true)
  - Check if a variable is declared before it is used

## Context Free Grammars

1. Generative aspect of CFG
  - It is easy to derive strings  $w$  in  $L(G)$  from a CFG  $G$
2. Analytical aspect of CFG
  - Given a CFG  $G$  and string  $w$ , decide if  $w$  in  $L(G)$  and if so how do you determine the derivation tree or the sequence of rules that produce  $w$ ?
  - This is the problem of parsing

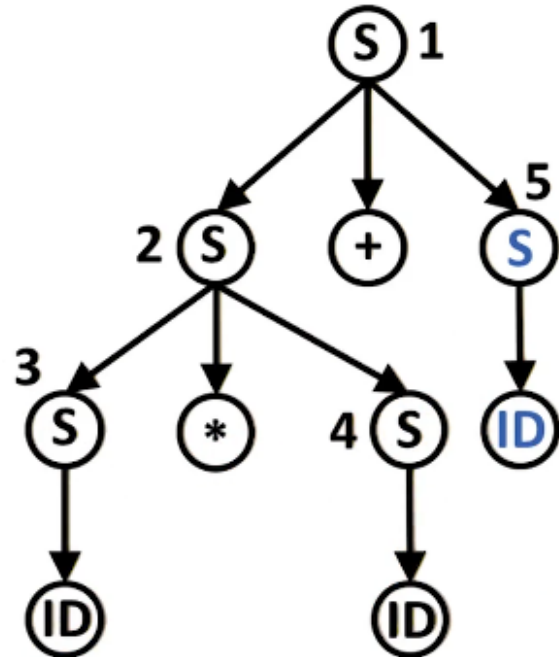
## Derivation Example Part 1

1. Grammar
  - $S \rightarrow S+S \mid S*S \mid (S) \mid ID$
2. String:
  - $ID * ID + ID$

## Derivation Example Part 2

1. Derivation:
  - $S \Rightarrow S + S \Rightarrow S * S + S \Rightarrow ID * S + S \Rightarrow ID * ID + S \Rightarrow ID * ID + ID$
  - Choose the leftmost symbol which is unexpanded and apply the rule of expansion to it

## Derivation:

$$\begin{aligned}
 S &\xRightarrow{1} S + S \xRightarrow{2} S * S + S \\
 &\xRightarrow{3} ID * S + S \xRightarrow{4} ID * \\
 ID + S &\xRightarrow{5} ID * ID + ID
 \end{aligned}$$


Derivation

## Derivation Example Left and Right

1. Left-most Derivations:
  - At each step, replace the leftmost non-terminal
2. Right-most Derivations:
  - At each step, replace the rightmost non-terminal
3. Both produce the same tree, but through two different processes

## Defining a Parse Tree

1. For a CFG  $G = (V, E, R, S)$  a derivation tree has the following properties:
  - $V, E, R, S$  = Terminals, non-terminals, rules, and a start symbol
  - The root is labeled  $S$
  - Each leaf is from  $E \cup \{e\}$
  - Each interior node is in  $V$
  - If node has label  $A$  in  $V$  and its children  $a_1 \dots a_n$  (from L to R), then  $P$  must have the rule  $A \rightarrow a_1 \dots a_n$  (with  $a_j$  in  $V \cup T \cup \{1\}$ ) A leaf labeled  $e$  is a single child (has no siblings)
  - Let  $G$  be a CFG. We have  $w$  in  $L(G)$  if and only if there exists a derivation tree of  $G$  that yields  $w$ .

## Derivation Example 2

1. Consider CFG  $S \rightarrow 0 \mid 1 \mid !S \mid (S) \mid (S) \& (S)$  for terminals “0”, “1”, “!”, “|”, “&”, “(”, and “)”
  - Derivations of “(0) | ((0) & (1))”
  - Leftmost:  $S \Rightarrow (S) \mid (S) \Rightarrow (0) \mid (S) \Rightarrow (0) \mid ((S) \& (S)) \Rightarrow (0) \mid ((0) \& (S)) \Rightarrow (0) \mid ((0) \& (1))$
  - Rightmost:  $S \Rightarrow (S) \mid (S) \Rightarrow (S) \mid ((S) \& (S)) \Rightarrow (S) \mid ((S) \& (1)) \Rightarrow (S) \mid ((0) \& (1)) \Rightarrow (0) \mid ((0) \& (1))$
  - Could also expand in a random order, but uncommon
    - Most compilers match leftmost because tokens are being matched from left to right

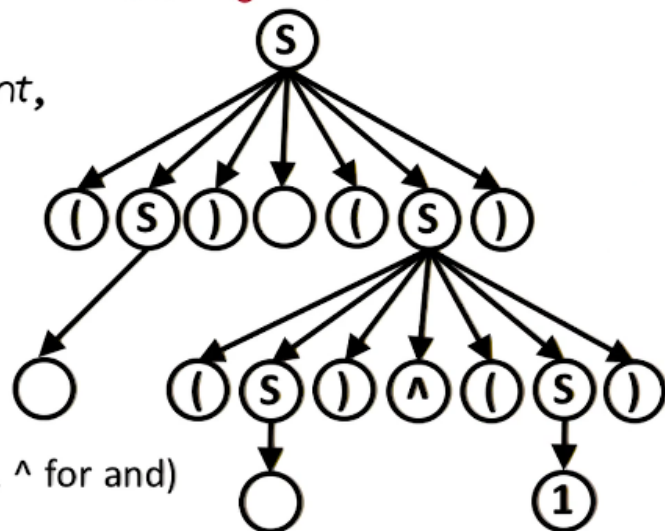
## Parse Tree Quiz

1. Given the following statement, fill in the parse tree.
2. The derivation  $S \Rightarrow * (0) \mid ((0) \ \& \ (1))$  can be expressed by the following parse tree:
  - Use  $\vee$  for or,  $\wedge$  for and

## Parse Tree Quiz

Given the following statement,  
fill in the parse tree.

The derivation  
 $S \Rightarrow * (0) \vee ((0) \wedge (1))$   
can be expressed by the  
following parse tree:



(Use  $\vee$  for or,  $\wedge$  for and)

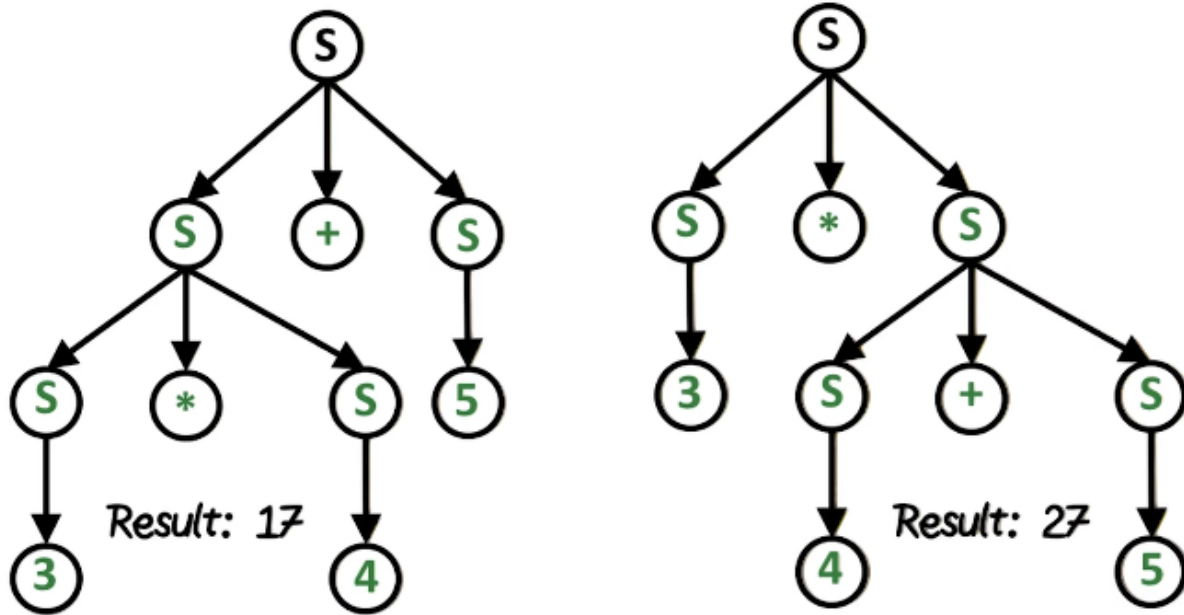
Quiz 1

## Ambiguity

1. A string  $w$  in  $L(G)$  is derived ambiguously if it has more than one derivation tree (or equivalently: if it has more than one leftmost derivation (or rightmost)).
  - Derivation tree must be unique, regardless of how we parse it
2. A grammar is ambiguous if some strings are derived ambiguously
  - Example: Rule  $S \rightarrow 0 \mid 1 \mid S+S \mid S*S$
  - These two derivations lead to the same string but are different
    - $S \Rightarrow S+S \Rightarrow S*S+S \mid 0*S+S \Rightarrow 0*1+S \Rightarrow 0*1+1$
    - $S \Rightarrow S*S \Rightarrow 0*S \mid 0*S+S \Rightarrow 0*1+S \Rightarrow 0*1+1$

## Ambiguity and Parse Trees Quiz

1. Fill in the two different parse trees for the expression:  $3*4+5$



## Quiz 2

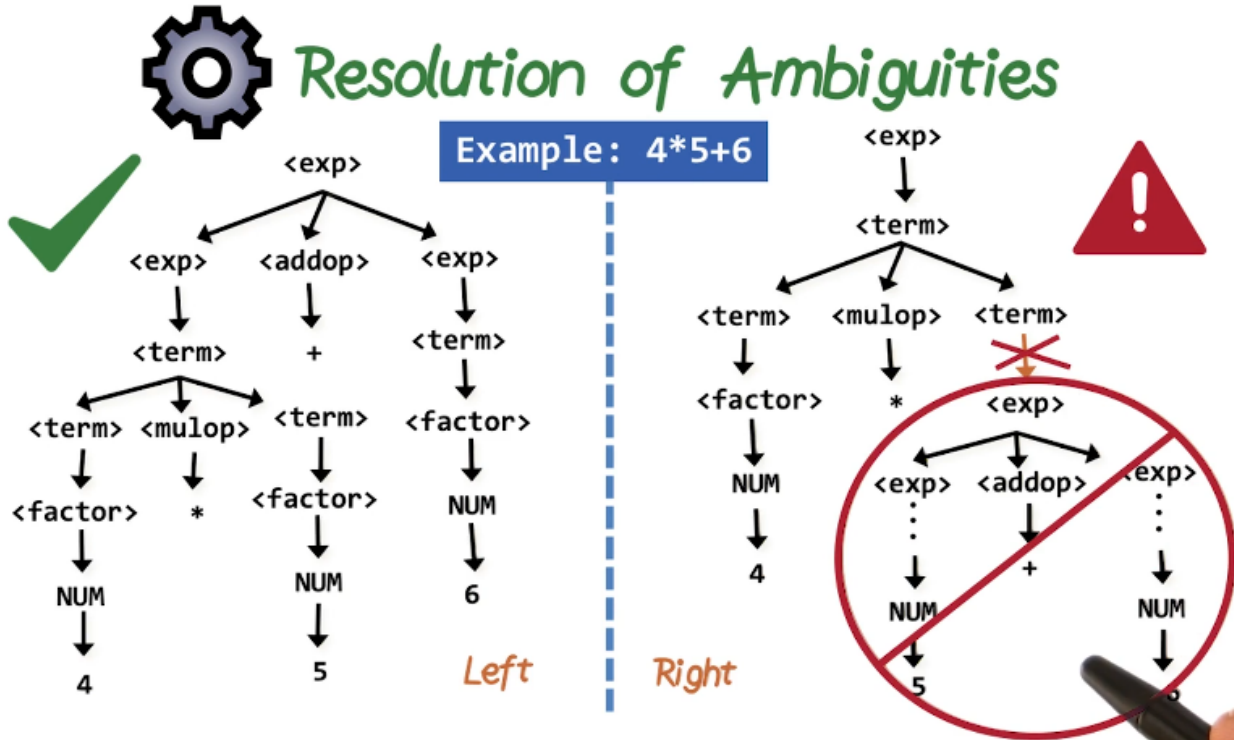
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### Resolution of Ambiguities: Introduction

- Some ambiguities are inessential but some others must be resolved
  - The following grammar is ambiguous:
    - $\text{exp} \rightarrow \text{exp op exp} \mid (\text{exp}) \mid \text{number op} \rightarrow +|-|*$
    - Sample ambiguous strings:  $1+2*3$  and  $1-2-3$
  - Resolution of ambiguity:
    - Precedence:  $*$  has higher precedence than  $+$  and  $-$
    - Left-association: Performs ops from left to right
    - Full parenthesization
- Precedence: Group operators into different groups and make operations with lower precedence closer to the root
  - $\text{exp} \rightarrow \text{exp addop exp} \mid \text{term}$
  - $\text{addop} \rightarrow + \mid -$
  - $\text{term} \rightarrow \text{term mulop term} \mid \text{factor}$
  - $\text{mulop} \rightarrow *$
  - $\text{factor} \rightarrow (\text{exp}) \mid \text{number}$

### Resolution of Ambiguities: Example

- Assume the parser can make the right decision when first choosing  $\text{addop}$ 
  - Because the term operator is below  $\text{addop}$ , we can't turn a term into an  $\text{addop}$ , so the example on the right doesn't work
  - Only one legal parse tree shown on left
  - Parse tree on right not possible.  $\langle \text{term} \rangle$  can not derive  $\langle \text{exp} \rangle$  and therefore  $\langle \text{addop} \rangle$
  - Will study how the parser makes decisions in the future



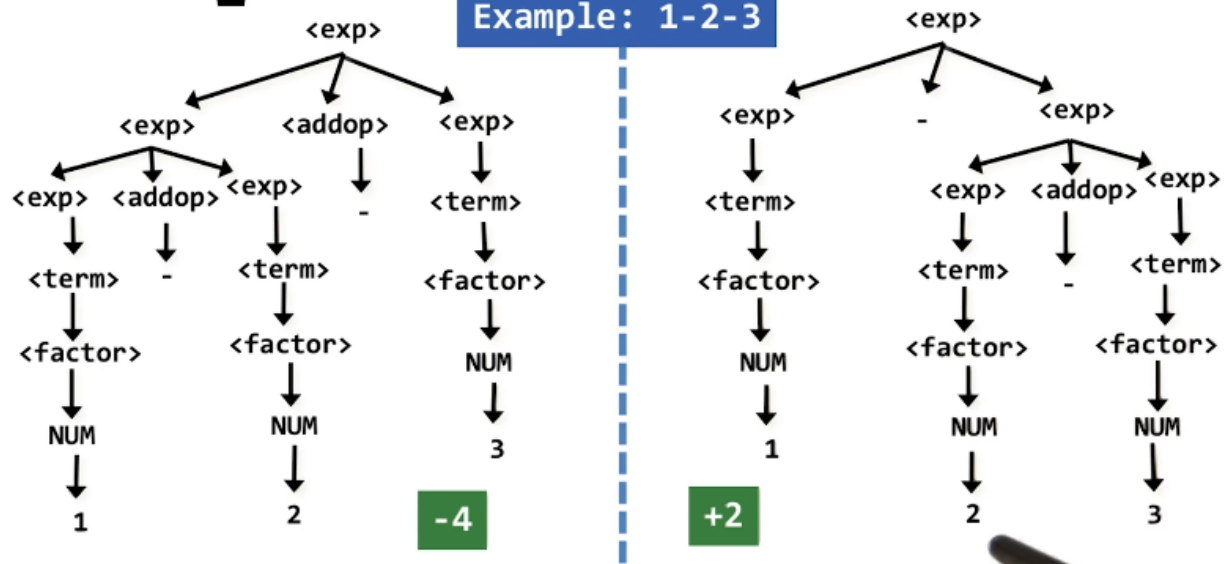
### Resolution of Ambiguities: Associativity

1. Associativity: Allow recursion only on left
  - $\text{exp} \rightarrow \text{exp addop term} \mid \text{term}$
  - $\text{term} \rightarrow \text{term mulop factor} \mid \text{factor}$
  - Only allows recursion on left implement associativity
  - $\text{exp}$  causes the problem
2. Ambiguity is still present, left associativity not enforced
  - Results are different



## Resolution of Ambiguities

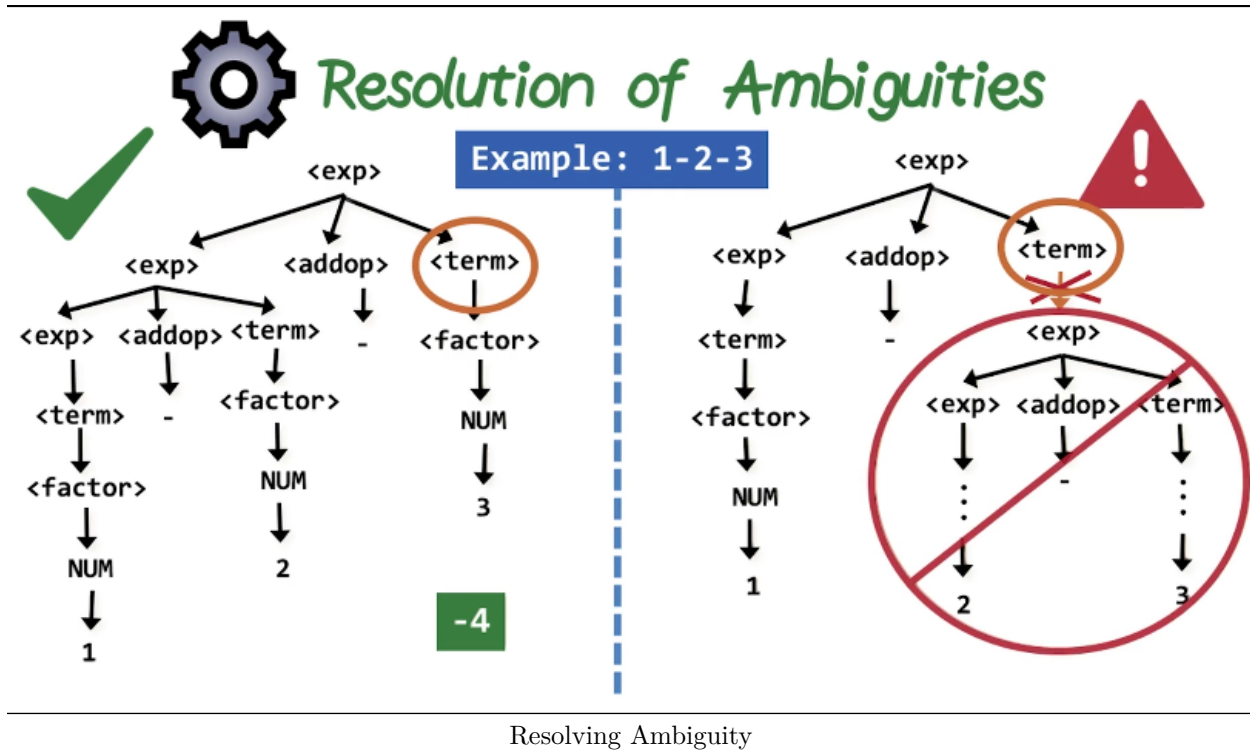
Example: 1-2-3



Associativity

### Resolution of Ambiguities: Example 2

- Left associative operator: minus
- Only legal parse tree on the left side



## Dangling Else Statement

1. Dangling else statement
  - To which if do we associate the else?
2. Ambiguous grammar:
  - `statement -> if-stmt | other`
  - `if-stmt -> if (exp) statement | if (exp) statement else statement`
3. Example:

```

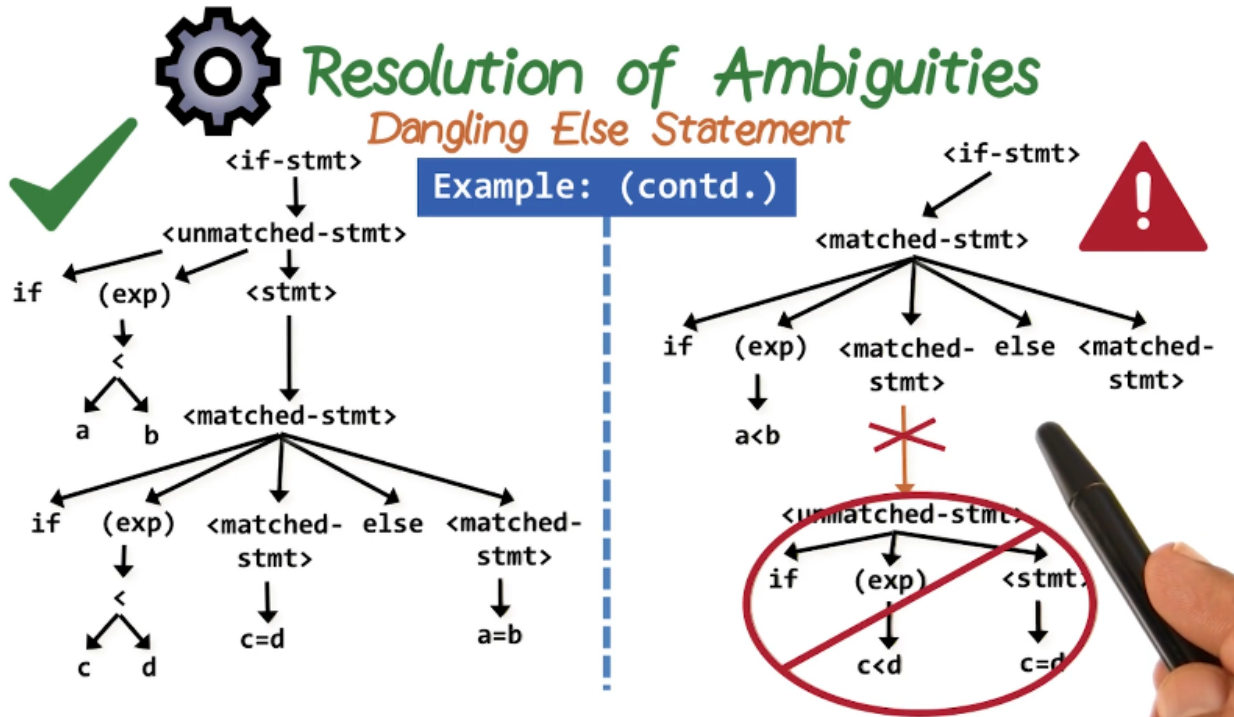
if (a < b)
  if (c < d)
    c = d;
  else
    a = b;
  
```

4. If we choose the if without else rule first, we match it correctly
  - However, if we choose with if with else rule first, we won't get the intended behavior
  - Else is associated with out if
    - `c = d` evaluates if both `(a<b)` and `(c<d)` are true
    - `a = b` evaluates if `(a<b)` is false regardless of `(c<d)`
    - Semantics of program changed

## Dangling Else Statement Resolution

1. Resolution
  - Bracketing with `endif` (e.g., shell script)
  - Revise the grammar
    - `statement -> matched-stmt | unmatched-stmt`
    - `matched-stmt -> if (exp) matched-stmt else matched-stmt | other`
    - `unmatched-stmt -> if (exp) statement | if (exp) matched-stmt else unmatched-stmt`

- Second derivation is not possible
  - Unambiguous grammar



Resolving Ambiguity

## Abstract Syntax Trees

1. (Abstract) syntax trees are simplified representations of parse trees
  - Example:  $a + b * c$

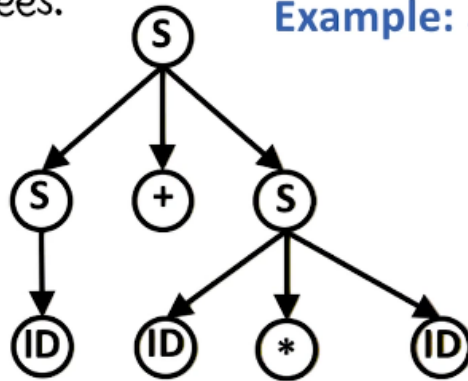




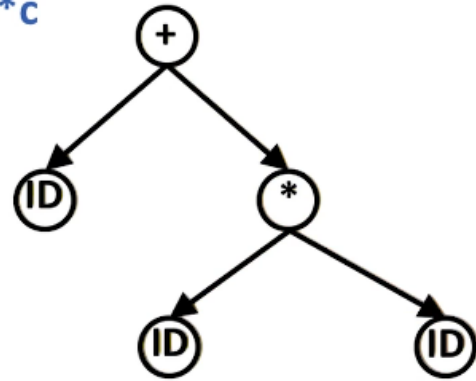
# Abstract Syntax Trees

(Abstract) syntax trees are simplified representations of parse trees.

Example:  $a+b*c$



Parse tree



Abstract syntax tree

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Abstract Syntax Tree

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