

Dynamic Programming 2

Knapsack Problem

1. Input: n objects with integer weights w_1, \dots, w_n and integer values v_1, \dots, v_n
 - Total capacity B
2. Goal: Find subset S of objects that:
 - Fit in backpack with total weight $\leq B$
 - Sum of weights in subset $\leq B$
 - Maximizes total value
 - Sum of values is maximal

Knapsack Problem Variants

1. Two versions:
 - One copy of each object - without repetition
 - Unlimited supply - with repetition
 - Subset is a multiset

Greedy Algorithm

1. Consider the following problem with a total capacity $B = 22$:

Object	Value	Weight
1	15	15
2	10	12
3	8	10
4	1	5

2. Optimal weight is 22 with a value of 18 using objects 2 and 3
3. Greedy: Sort objects by $r_i = v_i / w_i$ = value per unit of weight
 - $r_1 > r_2 > r_3 > r_4$
 - Greedy approach would use objects 1 and 4 for a total weight of 20 with a value of 16

Knapsack: No repetition

1. Define subproblem:
 - $K(i)$ = max value achievable using a subset of objects $1, \dots, i$
2. Express $K(i)$ in terms of $K(1), \dots, K(i-1)$

Knapsack: Recurrence 1

1. Example:
 - $B = 22$
 - Values = [15, 10, 8, 1]
 - Weights = [15, 12, 10, 5]
 - $K = [15, 15, 18, 18]$
 - We can't obtain $K = 3$ with our current subproblem because we're only tracking the optimal solution
 - * Need to limit capacity available
 - We need to take a suboptimal solution when $K = 2$
 - * Take optimal solution where capacity $\leq B - w_i$

Knapsack: Subproblem 2

1. To solve $K(i)$: Couldn't use $K(i-1)$
 - Needed $K(i-1)$ with the additional restriction total weight $\leq B - w_i$
2. Two parameters: i and b
 - i specifies the prefix of the object to consider
 - b specifies the total weight available
3. Subproblem definition:
 - For i and b where $0 \leq i \leq n$ and $0 \leq b \leq B$:
 - Let $K(i,b)$ = max value achievable using a subset of objects $1, \dots, i$ and total weight $\leq b$
 - Our goal: Compute $k(n,B)$

Knapsack: Recurrence 2

1. If $w_i \leq b$:
 - then $K(i,b) = \max\{v_i + K(i-1, b-w_i), K(i-1, b)\}$
 - else $K(i,b) = K(i-1, b)$
2. Base cases: $K(0,b) = 0$ and $K(i,0) = 0$
3. 2D table filled row by row

Knapsack: DP Pseudocode

KnapsackNoRepeat($w_1, \dots, w_n, v_1, \dots, v_n, B$):

```
for b = 0 -> B:
    K(0,b) = 0
for i = 1 -> n:
    K(i,0) = 0
for i = 1 -> n:
    for b = 1 -> B:
        if  $w_i \leq b$ :
             $K(i,b) = \max(v_i + K(i-1, b-w_i), K(i-1, b))$ 
        else:
             $K(i,b) = K(i-1, b)$ 
return K(n,B)
```

1. Time complexity:
 - $O(nB)$

Knapsack in Poly-time?

1. Is the algorithm efficient:
 - Efficient: Is the running time polynomial in the input size?
 - Answer: No
 - To represent the **number** B takes space $O(\log(B))$
 - Goal: Running time $\text{poly}(n, \log(B))$
 - Knapsack problem is NP-complete
 - If we design a polynomial-time algorithm for this problem, then every problem in NP will have a polynomial time algorithm

Knapsack Repetition

1. Unlimited supply: Can use an object as many times as we'd like
2. Define subproblem:
 - $K(i,b)$ = max value attainable from a multiset of objects $\{1, \dots, i\}$ with weight $\leq b$

Knapsack2: Recurrence

1. $K(i,b) = \max\{K(i-1,b), v_i + K(i,b-w_i)\}$
 - Two scenarios:
 - Include no more copies of object i
 - Include another copy of object i
 - We don't use $i-1$ in $v_i + K(i,b-w_i)$ to because we can reuse the object
2. This is a valid recurrence because the necessary previous solutions will already be computed if we go row by row
3. Time complexity: $O(nB)$

Knapsack2: Recap

1. When we get a solution with a 2D or 3D table, it's useful check if the solution can be simplified
 - Faster, less space, simpler, ...
2. Point of parameter i in the original problem is to track which objects are included or not
 - We don't need to track this for the repeated knapsack problem

Knapsack2: Simpler Subproblem

1. Define subproblem:
 - For b where $0 \leq b \leq B$:
 - $K(b) = \max$ value attainable using weight $\leq b$
2. Write recurrence:
 - Try all possibilities for last object to add
 - $K(b) = \max\{v_i + K(b-w_i) : 1 \leq i \leq n, w_i \leq b\}$

Knapsack2: Pseudocode

```
KnapsackRepeat(w1,...,wn, v1,...,vn, B):  
    for b = 0 -> B:  
        K(b) = 0  
        for i = 1 -> n:  
            if w_i <= b and K(b) < v_i + K(b-w_i):  
                K(b) = v_i + K(b-w_i)  
    return K(B)
```

Knapsack2: Running Time

1. Time complexity: $O(nB)$
 - Same runtime as original solution, but space is lesser and solution is simpler

Knapsack2: Traceback

1. What if we want to output the multiset?
 - Make a separate array S and initialize it to 0
 - When we update the solution, set $S(b) = i$
 - Can use S to backtrack and get the multiset
 - Backtrack is similar to LCS

```
KnapsackRepeat(w1,...,wn, v1,...,vn, B):  
    for b = 0 -> B:  
        K(b) = 0  
        S(b) = 0  
        for i = 1 -> n:  
            if w_i <= b and K(b) < v_i + K(b-w_i):
```

```

        K(b) = vi + K(b-wi)
        S(b) = i
    return K(B)

```

Chain Matrix Multiply

- Goal: Compute $A * B * C * D$ where A, B, C, D, are matrices most efficiently
 - A is 50 x 20
 - B is 20 x 1
 - C is 1 x 10
 - D is 10 x 100

Order of Operation

- Which parenthesization?
 - $((A \times B) \times C) \times D$
 - $(A \times B) \times (C \times D)$
 - $(A \times (B \times C)) \times D$
 - $A \times (B \times (C \times D))$

Cost for Matrix Multiply

- Take W of size a x b and Y of size b x c
 - $Z = W \times Y$ is of size a x c
 - acb multiplications
 - ac(b-1) additions
 - Cost is abc

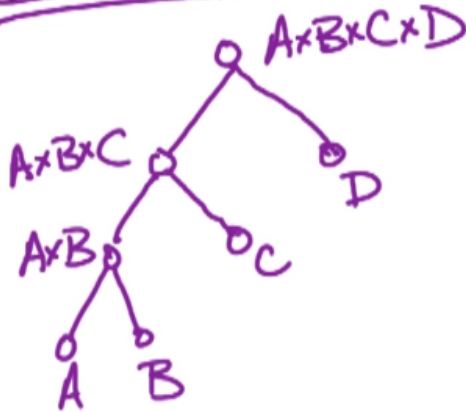
General Problem

- For n matrices A_1, A_2, \dots, A_n where A_i is $m_{i-1} \times m_i$
- Goal: What is the minimum cost for computing $A_1 \times A_2 \times \dots \times A_n$
- Input: m_0, m_1, \dots, m_n
- Goal: Find minimum cost for computing $A_1 \times A_2 \times \dots \times A_n$

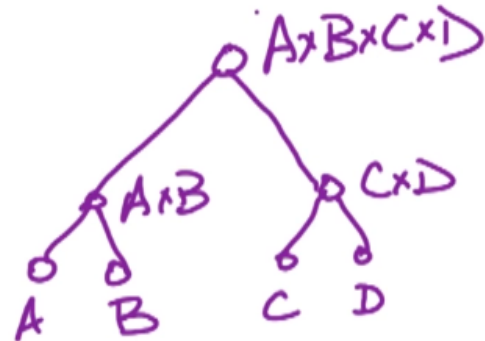
Graphical View

- Represent as binary tree

$$\underline{((A \times B) \times C) \times D}$$



$$(A \times B) \times (C \times D)$$



Binary Tree Representation

Chain Multiply: Prefixes

1. Define subproblem:
 - Let $C(i) = \text{min cost for computing } A_1 \times A_2 \times \dots \times A_i$
 - This will cause us to look at suffixes instead of prefixes
 - This means intermediate computations correspond to substrings

Chain Multiply: Substrings

1. For i and j where $1 \leq i \leq j \leq n$
 - Let $C(i,j) = \text{min cost for computing } A_1 \times A_2 \times \dots \times A_i$
2. Recurrence for $C(i,j)$:
 - $C(i,i) = 0$
 - Only computing where $j \geq i$ which is the upper diagonal of the matrix

Chain Multiply: Recurrence

1. To compute $C(i,j)$:
 - Total cost for split at index l :
 - Root: $m_{i-1} * m_l * m_j$
 - Left subtree: $C(i,l)$
 - Right subtree: $C(l+1,j)$

Chain Multiply: Summary

1. To compute $C(i,j)$:
 - $C(i,j) = \min\{C(i,l) + C(l+1,j) + m_{i-1} * m_l * m_j : i \leq l \leq j-1\}$

Filling the Table

1. $C(i,i+1)$ uses $C(i,i)$ and $C(i+1,i+1)$
 - $C(i,i)$ is the base case (0)
 - Width $S = j - i$
 - $S = 0$ to $n-1$

Chain Multiply: DP Pseudocode

ChainMultiply(m_0, m_1, \dots, m_n):

```
for i = 1 to n:
    C(i,i) = 0
for s = 1 to n-1:
    for i = 1 to n-s:
        j = i + s
        C(i,j) = Inf
        for l = i to j-1:
            cur =  $m_{i-1} * m_l * m_j$  + C(i,l) + C(l+1,j)
            if C(i,j) > cur:
                C(i,j) = cur
return C(1,n)
```

1. Time complexity: $O(n^3)$
 - Instead of using prefixes we had to use substrings
 - Have to start at diagonal and work our way up instead of going row by row

DP2: Practice Problems

1. Practice Problems:
 - DPV 6.17 (change making)
 - DPV 6.18 (change making)
 - DPV 6.19 (change making)
 - DPV 6.20 (optimal BST)
 - DPV 6.7 (palindrome subsequence)
 - Also try variant of palindrome substring
2. Try prefixes first, then substrings
 - If you use substrings, go back and look at whether using prefixes is possible