

# Graphs: 2-Satisfiability

## SAT Notation

1. Satisfiability: SAT problem
  - Boolean formula:  $n$  variables  $x_1, x_2, \dots, x_n$
  - $2n$  literals  $x_1, \neg x_1, x_2, \neg x_2, \dots, x_n, \neg x_n$
  - $\vee$  = AND,  $\wedge$  = OR
  - CNF = conjunctive normal form
  - Clause: OR of several literals ( $x_3 \vee \neg x_5 \vee x_1 \vee \neg x_2$ )
  - $f$  in CNF is AND of  $m$  clauses
    - $(x_2) \wedge (\neg x_3 \vee x_4) \wedge (x_3 \vee \neg x_5 \vee x_1 \vee x_2) \wedge (\neg x_2 \vee \neg x_1)$
    - $x_1 = F, x_2 = T, x_3 = F$
  - Any formula can be converted to CNF form, but the formula might be large

## SAT Problem

1. SAT:
  - Input: formula  $f$  in CNF with  $n$  variables and  $m$  clauses
  - Output: Assignment (T or F to each variable) satisfying if one exists
    - NO if none exists

## SAT Problem Quiz

1.  $f = (\neg x_1 \vee \neg x_2 \vee x_3) \wedge (x_2 \vee x_3) \wedge (\neg x_3 \vee \neg x_1) \wedge (\neg x_3)$ 
  - $x_1 = \text{False}, x_2 = \text{True}, x_3 = \text{False}$

## k-SAT

1. k-SAT:
  - Input: formula  $f$  in CNF with  $n$  variables and  $m$  clauses each of size  $\leq k$ 
    - Size of clause = number of literals
  - Output: Assignment (T or F to each variable) satisfying if one exists
    - NO if none exists
2. SAT is NP-complete
  - k-SAT is NP-complete for all  $k \geq 3$
  - Poly-time algorithm for 2-SAT

## Simplifying Input

1. Consider input  $f$  for 2-SAT
  - Simplify: Unit clause = clause with 1 literal
    - Take a unit clause, say literal  $a_i$
    - Satisfy it (set  $a_i = T$ )
    - Remove clauses containing  $a_i$
    - Let  $f'$  be the resulting formula
  - Observation:  $f$  is satisfiable  $\iff f'$  is satisfiable
    - Can assume all clauses of size = 2

## Graph of Implications

1. Take  $f$  with all clauses of size = 2,  $n$  variables  $m$  clauses
2. Create a directed graph:
  - $2n$  vertices corresponding to  $x_1, \neg x_1, \dots, x_n, \neg x_n$
  - $2m$  edges corresponding to 2 “implications” per clause

3. Example

- $f = (!x_1 \vee x_2) \wedge (x_2 \vee x_3) \wedge (x_3 \vee x_1)$ 
  - $x_1 = T \rightarrow x_2 = F$
  - $x_2 = T \rightarrow x_1 = F$
- $(A \vee B)$ 
  - $!A \rightarrow B$
  - $!B \rightarrow A$



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Graph of Implications

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Graph Properties



Graph of Implications

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1. Path  $x_1 \rightarrow !x_2 \rightarrow x_3 \rightarrow !x_1$ 
  - If  $x_1 = T$  then  $x_1 = F$  (contradiction)
  - If  $x_1 = F$ , so it might be okay?
2. If paths  $x_1 \rightarrow !x_1$  and  $!x_1 \rightarrow x_1$ , then  $f$  is not satisfiable
  - This means  $x_1$  and  $!x_1$  are in the same SCC

### SCC's

1. Lemma: If for some  $i$ ,  $x_i$  and  $!x_i$  are in the same SCC, then  $f$  is not satisfiable
2. Lemma: If for all  $i$ ,  $x_i$  and  $!x_i$  are in different SCC's, then  $f$  is satisfiable
  - Prove  $f$  is satisfiable by finding a satisfying assignment

### Algorithm Idea

1. Approach 1:
  - Take sink SCC  $S$
  - Set  $S = T$  (satisfy all literals in  $S$ )
  - Remove  $S$  and repeat
    - By satisfying the literals in  $S$ , we are not satisfying their complements
    - If the complement set is a source SCC, we set it to False

### Algorithm Idea 2

1. Approach 2:
  - Take source SCC  $S'$ 
    - Set  $S' = F$
  - Complement of  $S'$  is a sink SCC
    - By setting  $S' = F$ ,  $!S' = T$
2. Can take sink SCC and set it to true or a source SCC and set it to  $F$ 
  - These approaches are equivalent

## 2SAT Algorithm

1. Key fact: If for all  $i$ ,  $x_i$  and  $\neg x_i$  are in different SCC's
  - $S$  is a sink SCC  $\iff \neg S$  is a source SCC
2. Runtime:  $O(n+m)$

2SAT( $f$ )

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Construct graph  $G$  for  $f$ 
Take a sink SCC  $S$ 
  set  $S = T$  (and  $\neg S = F$ )
  remove  $S$  and  $\neg S$ 
  repeat until empty
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## Proof of Key Fact

1. Key fact: If for all  $i$ ,  $x_i$  and  $\neg x_i$  are in different SCC's
  - $S$  is a sink SCC  $\iff \neg S$  is a source SCC
2. Simpler claim:  $A \rightarrow B \iff \neg B \rightarrow \neg A$
3. Proof of key fact:
  - Take sink SCC  $S$
  - For  $A, B$  in  $S$ , we have paths  $A \rightarrow B$  and  $B \rightarrow A$ 
    - Also have paths  $\neg B \rightarrow \neg A$  and  $\neg A \rightarrow \neg B$
  - $S$  is a SCC  $\iff \neg S$  is a SCC

## Rest of Proof

1. For  $A$  in  $S$ , no edges  $A \rightarrow B \implies$  No edges  $\neg B \rightarrow \neg A$ 
  - No outgoing from  $A \implies$  no incoming to  $\neg A$
  - Therefore  $\neg S$  is a source
  - $S$  is a SCC  $\iff \neg S$  is a SCC

## Proof of Claim (Satisfiability)

1. Take path  $A \rightarrow B$ , say:
  - $g_0 \rightarrow g_1 \rightarrow g_2 \rightarrow \dots \rightarrow g_l$
  - $g_1 \rightarrow g_2$  comes from  $(\neg g_1 \vee g_2)$