# Softwaretechnik / Software-Engineering

# Lecture 16: Software Verification

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# Topic Area Code Quality Assurance: Content

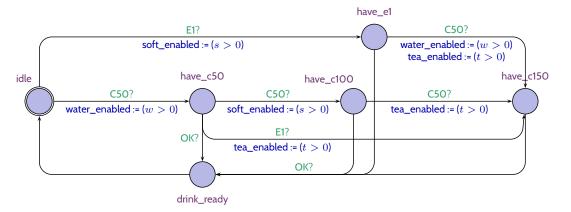
VL 14	<ul><li>Introduction and Vocabulary</li></ul>
÷	<ul><li>Test case, test suite, test execution.</li><li>Positive and negative outcomes.</li></ul>
VL 15	• Limits of Software Testing
•	<ul><li>Glass-Box Testing</li></ul>
	Statement-, branch-, term-coverage.
VL 16	• Testing: Rest
·	<ul> <li>When to stop testing?</li> <li>Model-based testing</li> <li>Testing in the development process</li> </ul>
	<ul><li>Program Verification</li></ul>
	<ul><li>partial and total correctness,</li><li>Proof System PD.</li></ul>
VL 17	<ul><li>Other Approaches</li></ul>
÷	Runtime verification. Review
	<ul> <li>Software quality assurance wrap-up</li> </ul>

### Content

- Testing: RestModel-Based Testing
  - → When To Stop Testing?
  - → Testing in the Development Process
- Formal Program Verification
  - → Deterministic Programs
    - **⊸** Syntax
    - → Semantics
    - Termination, Divergence
  - ← Correctness of deterministic programs
    - partial correctness,
      - → total correctness.
  - Proof System PD
- The Verifier for Concurrent C

Model-Based Testing

### Model-based Testing



- Does some software implement the given CFA model of the CoinValidator?
- One approach: Location Coverage.

Check whether for **each location** of the model there is a **corresponding configuration** reachable in the software (needs to be observable somehow).

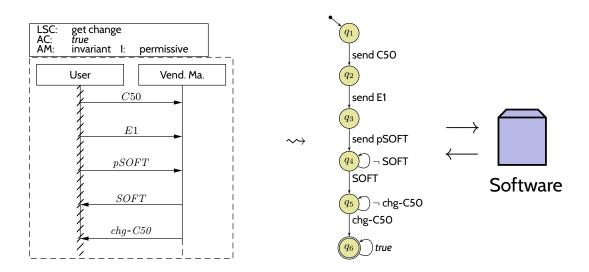
- Input sequences can **automatically be generated** from the model, e.g., using Uppaal's "drive-to" feature.
  - Check "can we reach 'idle, 'have\_c50', 'have\_c100', 'have\_c150'?" by

$$T_1 = (C50, C50, C50; \{\pi \mid \exists i < j < k < \ell \bullet \pi^i \sim \mathsf{idle}, \pi^j \sim \mathsf{h\_c50}, \pi^k \sim \mathsf{h\_c100}, \pi^\ell \sim \mathsf{h\_c150}\})$$

- Check for 'have\_e1' by  $T_2 = (C50, C50, C50; ...)$ .
- To check for 'drink\_ready', more interaction is necessary.
- Analogously: Edge Coverage.

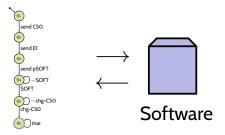
Check whether each edge of the model has corresponding behaviour in the software.

### Existential LSCs as Test Driver & Monitor (Lettrari and Klose, 2001)



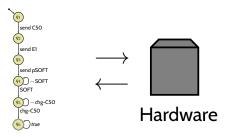
- If the LSC has designated environment instance lines, we can distinguish:
  - messages expected to originate from the environemnt (driver role),
  - messages expected adressed to the environemnt (monitor role).
- Adjust the TBA-construction algorithm to construct a test driver & monitor
  and let it (possibly with some glue logic in the middle) interact with the software.
- Test passed (i.e., test unsuccessful) if and only if TBA state  $q_6$  is reached. Note: We may need to refine the LSC by adding an activation condition; or communication which drives the system under test into the desired start state.
- For example the Rhapsody tool directly supports this approach.

# Vocabulary



#### Software-in-the-loop:

The final implementation is examined using a separate computer to simulate other system components.



### • Hardware-in-the-loop:

The final implementation is running on (prototype) hardware which is connected by its standard input/output interface (e.g. CAN-bus) to a separate computer which simulates other system components.

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When To Stop Testing?

### When To Stop Testing?

- There need to be defined criteria for when to stop testing;
   project planning should consider these criteria (and previous experience).
- Possible "testing completed" criteria:
  - all (previously) specified test cases
     have been executed with negative result,

(Special case: All test cases resulting from a certain strategy, like maximal statement coverage have been executed.)

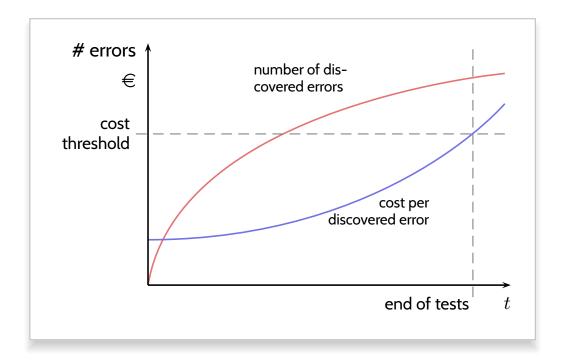
- testing effort time sums up to x (hours, days, weeks),
- testing effort sums up to y (any other useful unit),
- n errors have been discovered.
- no error has been discovered during the last z hours (days, weeks) of testing,

Values for x, y, n, z are fixed based on experience, estimation, budget, etc.

Of course: not all criteria are equally reasonable or compatible with each testing approach.

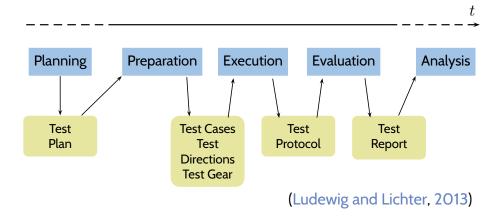
### Another Criterion

- Another possible "testing completed" criterion:
  - ullet The average cost per error discovery exceeds a defined threshold c.



Value for  $\boldsymbol{c}$  is again fixed based on experience, estimation, budget, etc..

# Test Conduction: Activities & Artefacts



Test Gear: (may need to be developed in the project!)

test driver – A software module used to invoke a module under test and, often, provide test inputs, control and monitor execution, and report test results.

Synonym: test harness.

IEEE 610.12 (1990)

#### stub-

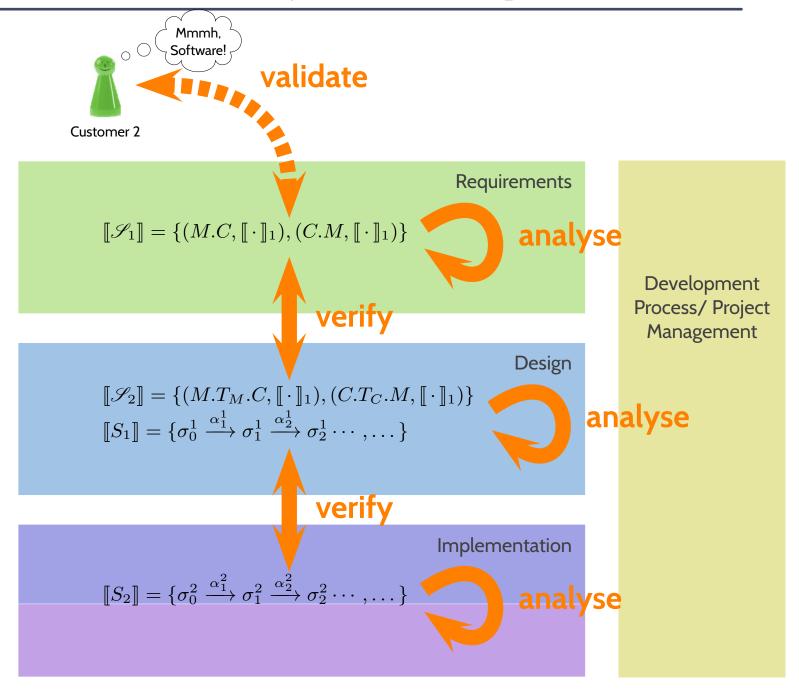
- (1) A skeletal or special-purpose implementation of a software module, used to develop or test a module that calls or is otherwise dependent on it.
- (2) A computer program statement substituting for the body of a software module that is or will be defined elsewhere.

  IEEE 610.12 (1990)
- Roles: tester and developer should be different persons!

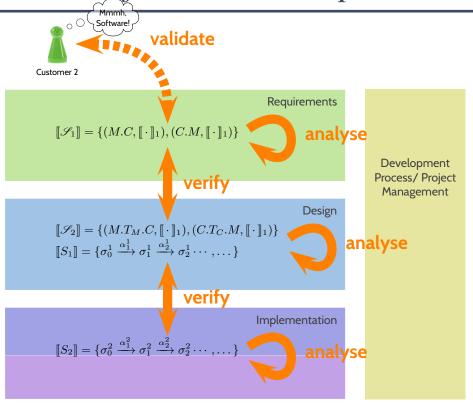
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# Formal Methods in the Software Development Process



### Formal Methods in the Software Development Process



#### validation-

The process of evaluating a system or component during or at the end of the development process to determine whether it satisfies specified requirements.

Contrast with: verification.

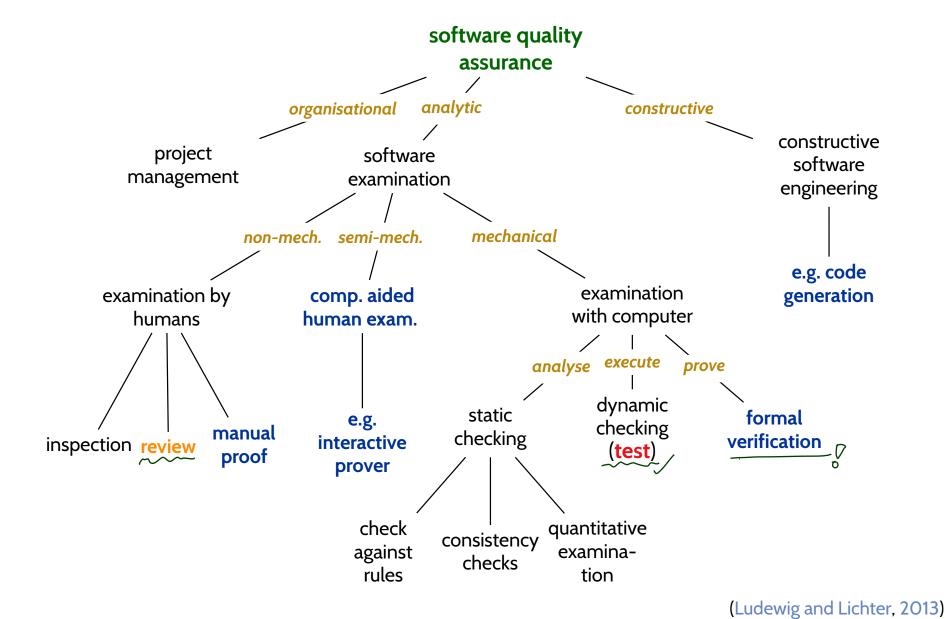
IEEE 610.12 (1990)

#### verification-

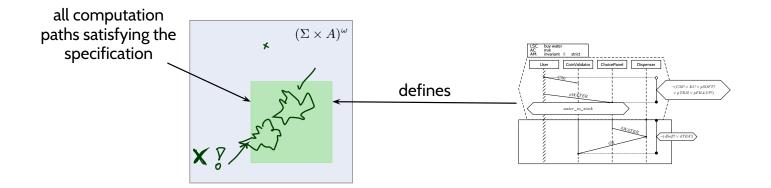
- (1) The process of evaluating a system or component to determine whether the products of a given development phase satisfy the conditions imposed at the start of that phase.
  - Contrast with: validation.
- (2) Formal proof of program correctness.

IEEE 610.12 (1990)

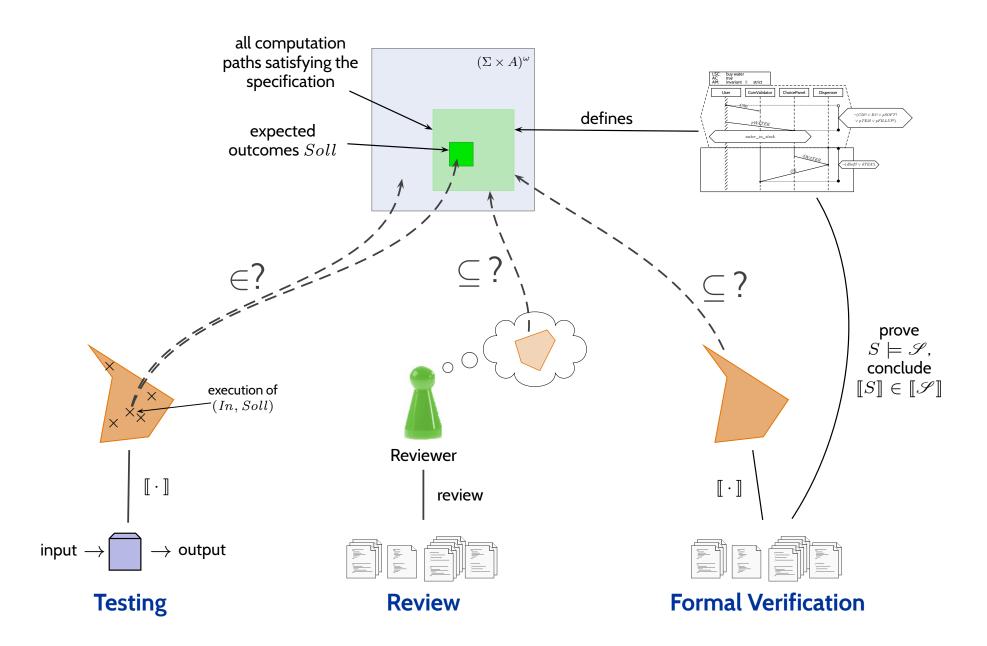
# Concepts of Software Quality Assurance



# Testing, Review, Verification Illustrated



# Testing, Review, Verification Illustrated





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# Deterministic Programs

### Syntax:

 $S := skip \mid u := t \mid S_1; S_2 \mid \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi} \mid \mathbf{while} \ B \ \mathbf{do} \ S_1 \ \mathbf{od}$ 

where  $u \in V$  is a variable, t is a type-compatible expression, B is a Boolean expression.

**Semantics**: (is induced by the following transition relation) –  $\sigma:V\to \mathcal{D}(V)$  (i)  $\langle skip,\,\sigma\rangle\to\langle E,\,\sigma\rangle$ 

(i) 
$$\langle skip,\,\sigma \rangle o \langle \widetilde{E},\,\sigma \rangle$$
 empty program

(ii) 
$$\langle u := \underline{t}, \sigma \rangle \to \langle E, \sigma[\underline{u} := \sigma(\underline{t})] \rangle$$

(ii) 
$$\langle u:=t,\sigma\rangle \to \langle E,\sigma[u:=\sigma(t)]\rangle$$
  
(iii)  $\frac{\langle \widehat{S}_1,\sigma\rangle \to \langle S_2,\tau\rangle}{\langle S_1;S,\sigma\rangle \to \langle S_2;S,\tau\rangle}$ 

(iv) 
$$\langle \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi}, \ \sigma \rangle \rightarrow \langle S_1, \ \sigma \rangle, \ \mathbf{if} \ \sigma \models B,$$

(v) 
$$\langle \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi}, \ \sigma \rangle \rightarrow \langle S_2, \ \sigma \rangle$$
, if  $\sigma \not\models B$ 

(v) 
$$\langle \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi}, \ \sigma \rangle \rightarrow \langle S_2, \ \sigma \rangle, \ \mathbf{if} \ \sigma \not\models B,$$
  
(vi)  $\langle \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle \rightarrow \langle S; \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle, \ \mathbf{if} \ \sigma \models B,$ 

(vii) 
$$\langle \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle \rightarrow \langle E, \ \sigma \rangle$$
, if  $\sigma \not\models B$ ,

E denotes the empty program; define  $E; S \equiv S; E \equiv S$ .

**Note**: the first component of  $\langle S, \sigma \rangle$  is a program (structural operational semantics (SOS)).

### Example

(i) 
$$\langle skip, \, \sigma \rangle \to \langle E, \, \sigma \rangle$$
  $E; S \equiv S; E \equiv S$   
(ii)  $\langle u := t, \, \sigma \rangle \to \langle E, \, \sigma[u := \sigma(t)] \rangle$   
(iii)  $\frac{\langle S_1, \, \sigma \rangle \to \langle S_2, \, \tau \rangle}{\langle S_1; S, \, \sigma \rangle \to \langle S_2; S, \, \tau \rangle}$   
(iv)  $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \, \sigma \rangle \to \langle S_1, \, \sigma \rangle, \text{ if } \sigma \models B,$   
(v)  $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \, \sigma \rangle \to \langle S_2, \, \sigma \rangle, \text{ if } \sigma \not\models B,$   
(vi)  $\langle \text{while } B \text{ do } S \text{ od}, \, \sigma \rangle \to \langle S; \text{ while } B \text{ do } S \text{ od}, \, \sigma \rangle, \text{ if } \sigma \models B,$   
(vii)  $\langle \text{while } B \text{ do } S \text{ od}, \, \sigma \rangle \to \langle E, \, \sigma \rangle, \text{ if } \sigma \not\models B,$ 

### Consider program

$$S \equiv a[0] := 1; a[1] := 0;$$
 while  $a[x] \neq 0$  do  $x := x + 1$  od

and a state  $\sigma$  with  $\sigma \models x = 0$ .

$$\langle S, \sigma \rangle \qquad \frac{(ii),(iii)}{\langle a[1] := 0; \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma[a[0] := 1] \rangle}{\langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma' \rangle} \qquad \langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma' \rangle} \qquad \frac{(vi)}{\langle x := x + 1; \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma' \rangle}{\langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma'[x := 1] \rangle} \qquad \langle E, \ \sigma'[x := 1] \rangle$$

where  $\sigma' = \sigma[a[0] := 1][a[1] := 0]$ .

### Another Example

$$\begin{array}{ll} \text{(i)} \ \langle skip, \, \sigma \rangle \rightarrow \langle E, \, \sigma \rangle \\ \text{(ii)} \ \langle u := t, \, \sigma \rangle \rightarrow \langle E, \, \sigma[u := \sigma(t)] \rangle \end{array}$$

(iii) 
$$\frac{\langle S_1, \, \sigma \rangle \to \langle S_2, \, \tau \rangle}{\langle S_1; S, \, \sigma \rangle \to \langle S_2; S, \, \tau \rangle}$$

- (iv)  $\langle \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi}, \ \sigma \rangle \to \langle S_1, \ \sigma \rangle$ , if  $\sigma \models B$ ,
- (v)  $\langle \mathbf{if} \ B \ \mathbf{then} \ S_1 \ \mathbf{else} \ S_2 \ \mathbf{fi}, \ \sigma \rangle \rightarrow \langle S_2, \ \sigma \rangle$ , if  $\sigma \not\models B$ ,
- (vi)  $\langle \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle \rightarrow \langle S; \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle$ , if  $\sigma \models B$ ,
- (vii)  $\langle \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od}, \ \sigma \rangle \rightarrow \langle E, \ \sigma \rangle$ , if  $\sigma \not\models B$ ,

### Consider program

$$S_1 \equiv y := x; y := (x-1) \cdot x + y$$

and a state  $\sigma$  with  $\sigma \models x = 3$ .

$$\langle S_1, \sigma \rangle \xrightarrow{(ii),(iii)} \langle y := (x-1) \cdot x + y, \{x \mapsto 3, y \mapsto 3\} \rangle$$

$$\xrightarrow{(ii)} \langle E, \{x \mapsto 3, y \mapsto 9\} \rangle$$

Consider program  $S_3 \equiv y := x$ ;  $y := (x - 1) \cdot x + y$ ; while 1 do skip od.

$$\langle S_3, \sigma \rangle \xrightarrow{(ii),(iii)} \langle y := (x-1) \cdot x + y; \mathbf{while 1 do } skip \mathbf{od}, \{x \mapsto 3, y \mapsto 3\} \rangle$$

$$\xrightarrow{(ii),(iii)} \langle \mathbf{while 1 do } skip \mathbf{od}, \{x \mapsto 3, y \mapsto 9\} \rangle$$

$$\xrightarrow{(vi)} \langle skip; \mathbf{while 1 do } skip \mathbf{od}, \{x \mapsto 3, y \mapsto 9\} \rangle$$

$$\xrightarrow{(vi)} \langle \mathbf{while 1 do } skip \mathbf{od}, \{x \mapsto 3, y \mapsto 9\} \rangle$$

$$\xrightarrow{(vi)} \cdots$$

# Computations of Deterministic Programs

**Definition.** Let S be a deterministic program.

(i) A transition sequence of S (starting in  $\sigma$ ) is a finite or infinite sequence

$$\langle S, \sigma \rangle = \langle S_0, \sigma_0 \rangle \rightarrow \langle S_1, \sigma_1 \rangle \rightarrow \dots$$

(that is,  $\langle S_i, \sigma_i \rangle$  and  $\langle S_{i+1}, \sigma_{i+1} \rangle$  are in transition relation for all *i*).

- (ii) A computation (path) of S (starting in  $\sigma$ ) is a maximal transition sequence of S (starting in  $\sigma$ ), i.e. infinite or not extendible.
- (iii) A computation of S is said to
  - a) terminate in  $\tau$  if and only if it is finite and ends with  $\langle E, \tau \rangle$ ,
  - b) diverge if and only if it is infinite.

S can diverge from  $\sigma$  if and only if a diverging computation starts in  $\sigma$ .

(iv) We use  $\rightarrow^*$  to denote the transitive, reflexive closure of  $\rightarrow$ .

**Lemma.** For each deterministic program S and each state  $\sigma$ , there is exactly one computation of S which starts in  $\sigma$ .

# Input/Output Semantics of Deterministic Programs

#### Definition.

Let S be a deterministic program.

(i) The semantics of partial correctness is the function

$$\mathcal{M}[\![S]\!]: \Sigma \to 2^{\Sigma}$$
 with  $\mathcal{M}[\![S]\!](\sigma) = \{\tau \mid \langle S, \, \sigma \rangle \to^* \langle E, \, \tau \rangle \}.$ 

(ii) The semantics of total correctness is the function

$$\mathcal{M}_{tot}[\![S]\!]: \Sigma \to 2^{\Sigma} \dot{\cup} \{\infty\}$$

 $\mathsf{with} \big( \mathcal{M}_{tot} \llbracket S \big) (\sigma) = \mathcal{M} \llbracket S \rrbracket (\sigma) \cup \{ \infty \mid S \text{ can diverge from } \sigma \}.$ 

 $\infty$  is an error state representing divergence.

**Note**:  $\mathcal{M}_{tot}[S](\sigma)$  has exactly one element,  $\mathcal{M}[S](\sigma)$  at most one.

**Example**:  $\mathcal{M}[S_1](\sigma) = \mathcal{M}_{tot}[S_1](\sigma) = \{\tau \mid \tau(x) = \sigma(x) \land \tau(y) = \sigma(x)^2\}, \quad \sigma \in \Sigma.$ 

(Recall:  $S_1 \equiv y := x; y := (x - 1) \cdot x + y$ )

# Correctness of While-Programs

# Correctness of Deterministic Programs

pre-condition

post-condition

Definition.

Let S be a program over variables V, and p and q Boolean expressions over V.

(i) The correctness formula

$$\{p\} S \{q\}$$

("Hoare triple")

holds in the sense of partial correctness, denoted by  $\models \{p\} \ S \ \{q\}$ , if and only if

$$(\mathcal{M}[S])([p]) \subseteq [q].$$

We say S is partially correct wrt. p and q.

(ii) A correctness formula

$${p} S {q}$$

holds in the sense of total correctness, denoted by  $\models_{tot} \{p\} S \{q\}$ , if and only if

$$\mathcal{M}_{tot}[S]([p]) \subseteq [q].$$

We say S is **totally correct** wrt. p and q.



# Example: Computing squares (of numbers $0, \ldots, 27$ )

- Pre-condition:  $p \equiv 0 < x < 27$ ,
- Post-condition:  $q \equiv y = x^2$ .

### Program $S_1$ :

$$int y = x;$$
  
 $y = (x - 1) * x + y;$ 

$$\models^{?} \{p\} S_{1} \{q\} \checkmark$$
  
 $\models^{?}_{tot} \{p\} S_{1} \{q\} \checkmark$ 

### Program $S_3$ :

$$\models^{?} \{p\} S_{3} \{q\} \times \qquad \qquad \Im = \{ \times \mapsto \downarrow \times \times 0, \\ \models^{?}_{tot} \{p\} S_{3} \{q\} \times \qquad \qquad \qquad 0 \in \emptyset \subseteq 2 \ni \emptyset \}$$

### Program $S_2$ :

$$\models^{?} \{p\} S_{2} \{q\} \checkmark$$
  
 $\models^{?}_{tot} \{p\} S_{2} \{q\} \checkmark$ 

### Program $S_4$ :

# Example: Correctness

By the example, we have shown

$$\models \underbrace{\{x=0\}\,S\,\{x=1\}}_{\checkmark}$$

and

$$\models_{tot} \{x = 0\} S \{x = 1\}.$$

(because we only assumed  $\sigma \models x = 0$  for the example, which is exactly the precondition.)

#### Example

$$\begin{split} \text{(i)} \ \langle skip, \, \sigma \rangle &\to \langle E, \, \sigma \rangle \\ \text{(ii)} \ \langle u := t, \, \sigma \rangle &\to \langle E, \, \sigma[u := \sigma(t)] \rangle \\ \text{(iii)} \ \frac{\langle S_1, \, \sigma \rangle &\to \langle S_2, \, \tau \rangle}{\langle S_1; S, \, \sigma \rangle &\to \langle S_2; S, \, \tau \rangle} \\ \text{(iv)} \ \text{(if } B \text{ then } S_1 \text{ else } S_2 \text{ fi, } \, \sigma \rangle &\to \langle S_1, \, \sigma \rangle, \text{if } \, \sigma \models B, \\ \text{(v)} \ \langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi, } \, \sigma \rangle &\to \langle S_2, \, \sigma \rangle, \text{if } \, \sigma \not\models B, \\ \text{(vi)} \ \langle \text{while } B \text{ do } S \text{ od, } \sigma \rangle &\to \langle S; \text{ while } B \text{ do } S \text{ od, } \sigma \rangle, \text{if } \, \sigma \models B, \\ \text{(vii)} \ \langle \text{while } B \text{ do } S \text{ od, } \sigma \rangle &\to \langle E, \, \sigma \rangle, \text{if } \, \sigma \not\models B, \\ \text{(vii)} \ \langle \text{while } B \text{ do } S \text{ od, } \sigma \rangle &\to \langle E, \, \sigma \rangle, \text{if } \, \sigma \not\models B, \\ \end{split}$$

Consider program

$$S \equiv a[0] := 1; a[1] := 0;$$
 while  $a[x] \neq 0$  do  $x := x + 1$  od

and a state  $\sigma$  with  $\sigma \models x = 0$ .

where  $\sigma' = \sigma[a[0] := 1][a[1] := 0]$ .

$$\langle S, \sigma \rangle \qquad \frac{\langle iii \rangle, \langle iii \rangle}{\langle iii \rangle} \qquad \langle a[1] := 0; \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma[a[0] := 1] \rangle$$

$$\qquad \frac{\langle iii \rangle, \langle iii \rangle}{\langle iii \rangle} \qquad \langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma' \rangle$$

$$\qquad \frac{\langle vii \rangle}{\langle iii \rangle, \langle iii \rangle} \qquad \langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma' \rangle$$

$$\qquad \frac{\langle iii \rangle, \langle iii \rangle}{\langle iii \rangle} \qquad \langle \mathbf{while} \ a[x] \neq 0 \ \mathbf{do} \ x := x + 1 \ \mathbf{od}, \ \sigma'[x := 1] \rangle$$

$$\qquad \langle E, \ \sigma'[x := 1] \rangle$$

5/18

We have also shown (= proved (!)):

$$\models \{x = 0\} \ S \ \{x = 1 \land a[x] = 0\}.$$

- The correctness formula  $\{x=2\}$  S  $\{true\}$  does not hold for S. (For example, if  $\sigma \models a[i] \neq 0$  for all i > 2.)
- In the sense of partial correctness,  $\{x=2 \land \forall i \geq 2 \bullet a[i]=1\}$  S  $\{\textit{false}\}$  also holds.

Proof-System PD

# $Proof ext{-}System~PD~(for~sequential,~deterministic~programs)$

### **Axiom 1: Skip-Statement**

$$\{p\}$$
  $skip$   $\{p\}$ 

### **Axiom 2: Assignment**

$${p[u := t]} u := t {p}$$

### **Rule 3: Sequential Composition**

$$\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$$

#### **Rule 4: Conditional Statement**

$$\frac{\{p \land B\} S_1 \{q\}, \{p \land \neg B\} S_2 \{q\},}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$$

### Rule 5: While-Loop

$$\frac{\{p \wedge B\} \ S \ \{p\}}{\{p\} \ \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od} \ \{p \wedge \neg B\}}$$

### Rule 6: Consequence

$$\frac{p \to p_1, \{p_1\} S \{q_1\}, q_1 \to q}{\{p\} S \{q\}}$$

**Theorem.** PD is correct ("sound") and (relative) complete for partial correctness of deterministic programs, i.e.  $\vdash_{PD} \{p\} S \{q\}$  if and only if  $\models \{p\} S \{q\}$ .

# Example Proof

$$DIV \equiv a := 0; \ b := x; \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od}$$

(The first (textually represented) program that has been formally verified (Hoare, 1969).

# Example Proof

$$DIV \equiv a := 0; \ b := x; \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od}$$

(The first (textually represented) program that has been formally verified (Hoare, 1969).

We can prove  $\models \{x \ge 0 \land y \ge 0\} \ DIV \ \{a \cdot y + b = x \land b < y\}$ 

by showing  $\vdash_{PD} \{x \geq 0 \land y \geq 0\} \ DIV \ \{a \cdot y + b = x \land b < y\},$  i.e., derivability in PD:

# 

$$=:S_0^D$$

$$=:S_1^D$$

$$DIV \equiv a := 0; b := x; \text{ while } b \ge y \text{ do } b := b - y; a := a + 1 \text{ od}$$

(The first (textually represented) program that has been formally verified (Hoare, 1969).

We can prove 
$$\models \{x \geq 0 \land y \geq 0\}$$
  $DIV$   $\{a \cdot y + b = x \land b < y\}$  by showing  $\vdash_{PD} \{\underbrace{x \geq 0 \land y \geq 0}\}$   $DIV$   $\{\underbrace{a \cdot y + b = x \land b < y}\}$ , i.e., derivability in PD:  $=:p^D$ 

# 

(The first (textually represented) program that has been formally verified (Hoare, 1969).

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$$\frac{ (2) }{ \{P \wedge (B^D)\} \, S_1^D \, \{P\} } }$$
 (R5) 
$$\frac{ (3) }{P \rightarrow P, \quad \{P\} \, \text{while} \, B^D \, \text{do} \, S_1^D \, \text{od} \, \{P \wedge \neg (B^D)\}, \quad P \wedge \neg (B^D) \rightarrow q^D }$$
 (R6) 
$$\{p^D\} \, S_0^D \, \{P\}, \qquad \{P\} \, \text{while} \, B^D \, \text{do} \, S_1^D \, \text{od} \, \{q^D\} }$$
 (R3) 
$$\{p^D\} \, S_0^D; \, \text{while} \, B^D \, \text{do} \, S_1^D \, \text{od} \, \{q^D\}$$

$$\text{(A1)} \ \{p\} \ skip \ \{p\} \qquad \text{(R3)} \ \frac{\{p\} \ S_1 \ \{r\}, \ \{r\} \ S_2 \ \{q\} }{\{p\} \ S_1; \ S_2 \ \{q\}} \qquad \text{(R5)} \ \frac{\{p \land B\} \ S \ \{p\} }{\{p\} \ \text{while} \ B \ \text{do} \ S \ \text{od} \ \{p \land \neg B\} } \\ \text{(A2)} \ \{p[u:=t]\} \ u:=t \ \{p\} \qquad \text{(R4)} \ \frac{\{p \land B\} \ S_1 \ \{q\}, \ \{p \land \neg B\} \ S_2 \ \{q\} }{\{p\} \ \text{if} \ B \ \text{then} \ S_1 \ \text{else} \ S_2 \ \text{fi} \ \{q\} } \qquad \text{(R6)} \ \frac{p \rightarrow p_1, \ \{p_1\} \ S \ \{q_1\}, \ q_1 \rightarrow q}{\{p\} \ S \ \{q\}}$$

### Example Proof Cont'd

### In the following, we show

(1) 
$$\vdash_{PD} \{x \geq 0 \land y \geq 0\} \ a := 0; \ b := x \{P\},\$$

(2) 
$$\vdash_{PD} \{P \land b \ge y\} \ b := b - y; \ a := a + 1 \{P\},$$

(3) 
$$\models P \land \neg (b \ge y) \rightarrow a \cdot y + b = x \land b < y$$
.

As loop invariant, we choose (creative act!):

$$P \equiv a \cdot y + b = x \land b \ge 0$$

# Proof of (1)

#### • **(1)** claims:

$$\vdash_{PD} \{x \geq 0 \land y \geq 0\} \ a := 0; \ b := x \{P\}$$
  
where  $P \equiv a \cdot y + b = x \land b \geq 0$ .

- $\vdash_{PD} \{0 \cdot y + x = x \land x \ge 0\} \ a := 0 \ \{a \cdot y + x = x \land x \ge 0\}$  by (A2),
- $\bullet \vdash_{PD} \{a \cdot y + x = x \land x \ge 0\} \ b := x \ \{\underbrace{a \cdot y + b = x \land b \ge 0}_{\equiv P}\} \quad \text{by (A2)}$
- thus,  $\vdash_{PD} \{0 \cdot y + x = x \land x \ge 0\} \ a := 0; \ b := x \{P\}$  by (R3),
- using  $x \ge 0 \land y \ge 0 \to 0 \cdot y + x = x \land x \ge 0$  and  $P \to P$ , we obtain

$$\vdash_{PD} \{x \geq 0 \land y \geq 0\} \ a := 0; \ b := x \{P\}$$

by (R6).

### Substitution

The rule 'Assignment' uses (syntactical) substitution:  $\{p[u:=t]\}\ u:=t\ \{p\}$  (In formula p, replace all (free) occurences of (program or logical) variable u by term t.)

Defined as usual, only **indexed** and **bound** variables need to be treated specially:

#### **Expressions**:

- plain variable x:  $x[u:=t] \equiv \begin{cases} t & \text{, if } x=u \\ x & \text{, otherwise} \end{cases}$
- constant c:  $c[u := t] \equiv c$ .
- constant op, terms  $s_i$ :  $op(s_1, ..., s_n)[u := t]$   $\equiv op(s_1[u := t], ..., s_n[u := t]).$
- conditional expression:  $(B ? s_1 : s_2)[u := t]$  $\equiv (B[u := t] ? s_1[u := t] : s_2[u := t])$

#### Formulae:

- boolean expression  $p \equiv s$ :  $p[u := t] \equiv s[u := t]$
- negation:  $(\neg q)[u := t] \equiv \neg (q[u := t])$
- conjunction etc.:  $(q \wedge r)[u := t]$  $\equiv q[u := t] \wedge r[u := t]$
- quantifier:  $(\forall x:q)[u:=t] \equiv \forall y:q[x:=y][u:=t]$  y fresh (not in q,t,u), same type as x.
- indexed variable, u plain or  $u \equiv b[t_1, \dots, t_m]$  and  $a \neq b$ :

$$(a[s_1,\ldots,s_n])[u:=t] \equiv a[s_1[u:=t],\ldots,s_n[u:=t]]$$

• indexed variable,  $u \equiv a[t_1, \dots, t_m]$ :

$$(a[s_1,\ldots,s_n])[u:=t] \equiv (\bigwedge_{i=1}^n s_i[u:=t] = t_i ? t : a[s_1[u:=t],\ldots,s_n[u:=t]])$$

# Proof of (2)

#### • (2) claims:

$$\vdash_{PD} \{P \land b \ge y\} \ b := b - y; \ a := a + 1 \{P\}$$
  
where  $P \equiv a \cdot y + b = x \land b \ge 0$ .

- $\vdash_{PD} \{(a+1) \cdot y + (b-y) = x \land (b-y) \ge 0\} \ b := b-y \ \{(a+1) \cdot y + b = x \land b \ge 0\}$  by (A2),
- $\vdash_{PD} \{(a+1) \cdot y + b = x \land b \ge 0\} \ a := a+1 \{\underbrace{a \cdot y + b = x \land b \ge 0}_{=P} \}$  by (A2),
- $\vdash_{PD} \{(a+1) \cdot y + (b-y) = x \land (b-y) \ge 0\} \ b := b-y; \ a := a+1 \ \{P\}$  by (R3),
- using  $P \wedge b \geq y \rightarrow (a+1) \cdot y + (b-y) = x \wedge (b-y) \geq 0$  and  $P \rightarrow P$  we obtain,

$$\vdash_{PD} \{P \land b \ge y\} \ b := b - y; \ a := a + 1 \{P\}$$

by (R6).

# Proof of (3)

(3) claims

$$\models P \land \neg (b \ge y) \rightarrow a \cdot y + b = x \land b < y.$$

where  $P \equiv a \cdot y + b = x \wedge b \ge 0$ .

Proof: easy.

### Back to the Example Proof

#### We have shown:

- (1)  $\vdash_{PD} \{x \geq 0 \land y \geq 0\} \ a := 0; \ b := x \{P\},$
- (2)  $\vdash_{PD} \{P \land b \ge y\} \ b := b y; \ a := a + 1 \{P\},$
- (3)  $\models P \land \neg (b \ge y) \rightarrow a \cdot y + b = x \land b < y$ .

and

$$\frac{(2)}{\{P \land (b \ge y)\} \ b := b - y; \ a := a + 1 \ \{P\}\}} }{\{P \land (b \ge y)\} \ b := b - y; \ a := a + 1 \ \mathbf{od} \ \{P \land \neg (b \ge y)\}, \qquad P \land \neg (b \ge y) \rightarrow a \cdot y + b = x \land b < y} }$$

$$\frac{\{x \ge 0 \land y \ge 0\} \ a := 0; \ b := x \ \{P\}, \qquad \{P\} \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od} \ \{a \cdot y + b = x \land b < y\}} }{\{x \ge 0 \land y \ge 0\} \ a := 0; \ b := x; \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od} \ \{a \cdot y + b = x \land b < y\}}$$

$$\{x \ge 0 \land y \ge 0\} \ a := 0; \ b := x; \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od} \ \{a \cdot y + b = x \land b < y\} }$$

#### thus

$$\vdash_{PD} \{x \ge 0 \land y \ge 0\} \underbrace{a := 0; \ b := x; \ \mathbf{while} \ b \ge y \ \mathbf{do} \ b := b - y; \ a := a + 1 \ \mathbf{od}}_{\equiv DIV} \{a \cdot y + b = x \land b < y\}$$

and thus (since PD is sound) DIV is partially correct wrt.

- pre-condition:  $x \ge 0 \land y \ge 0$ ,
- post-condition:  $a \cdot y + b = x \wedge b < y$ .

IOW: whenever DIV is called with x and y such that  $x \ge 0 \land y \ge 0$ , then (if DIV terminates)  $a \cdot y + b = x \land b < y$  will hold.

### Once Again

•  $P \equiv a \cdot y + b = x \wedge b \ge 0$ 

$$\{x \ge 0 \land y \ge 0\}$$
$$\{0 \cdot y + x = x \land x \ge 0\}$$

• a := 0;  $\{a \cdot y + x = x \land x \ge 0\}$ 

- b := x;  $\{a \cdot y + b = x \land b \ge 0\}$  $\{P\}$
- while  $b \ge y \operatorname{do}$

$${P \land b \ge y}$$
  
 ${(a+1) \cdot y + (b-y) = x \land (b-y) \ge 0}$ 

- b := b y; $\{(a+1) \cdot y + b = x \land b \ge 0\}$
- a := a + 1  $\{a \cdot y + b = x \land b \ge 0\}$   $\{P\}$
- od

$$\{P \land \neg (b \ge y)\}$$
$$\{a \cdot y + b = x \land b < y\}$$

(A1) 
$$\{p\}$$
  $skip$   $\{p\}$ 

(A2) 
$$\{p[u:=t]\}\ u:=t\ \{p\}$$

(R3) 
$$\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$$

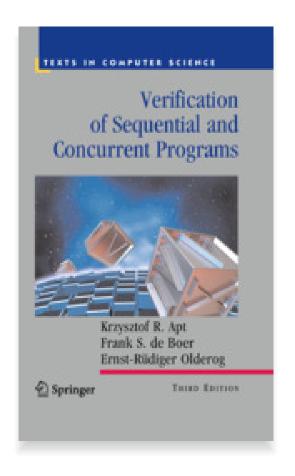
(R4) 
$$\frac{\{p \land B\} S_1 \{q\}, \{p \land \neg B\} S_2 \{q\}\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$$

(R5) 
$$\frac{\{p \wedge B\}\ S\ \{p\}}{\{p\}\ \mathbf{while}\ B\ \mathbf{do}\ S\ \mathbf{od}\ \{p \wedge \neg B\}}$$

(R6) 
$$\frac{p \to p_1, \{p_1\} S \{q_1\}, q_1 \to q}{\{p\} S \{q\}}$$

### Literature Recommendation





### Tell Them What You've Told Them...

### Testing:

- Define criteria for "testing done" (like coverage, or cost per error).
- Process: tester and developer should be different persons.

#### Formal Verification:

- There are more approaches to software quality assurance than just testing.
- For example, program verification.
- Proof System PD can be used
  - to prove
  - that a given program is
  - correct wrt. its specification.

This approach considers all inputs inside the specification!

Tools like VCC implement this approach.

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