

*Softwaretechnik / Software-Engineering*

*Lecture 14: UML State Machines  
& Software Quality Assurance*

*2017-07-10*

Prof. Dr. Andreas Podelski, **Dr. Bernd Westphal**

Albert-Ludwigs-Universität Freiburg, Germany

# Topic Area Architecture & Design: Content

---

VL 10

- **Introduction and Vocabulary**
- **Software Modelling I**

⋮

VL 11

⋮

VL 12

- (i) views and viewpoints, the 4+1 view
- (ii) model-driven/-based software engineering
- (iii) **Modelling structure**
  - a) (simplified) class diagrams
  - b) (simplified) object diagrams
  - c) (simplified) object constraint logic (OCL)
  - d) Unified Modelling Language (UML)

- **Principles of Design**

⋮

VL 13

⋮

VL 14

⋮

- **Software Modelling II**

- (i) **Modelling behaviour**
  - a) communicating finite automata
  - b) Uppaal query language
  - c) basic state-machines
  - d) an outlook on hierarchical state-machines

- **Testing: Introduction**

# Content I (Architecture & Design)

---

- **CFA vs. Software**

- └ (● a CFA model is software
- └ (● **implementing** CFA
- └ (● formal methods in the real world: case study

- **UML State Machines**

- └ (● **Core** State Machines
- └ (● steps and run-to-completion steps
- └ (● **Hierarchical State Machines**
- └ (● **Rhapsody**

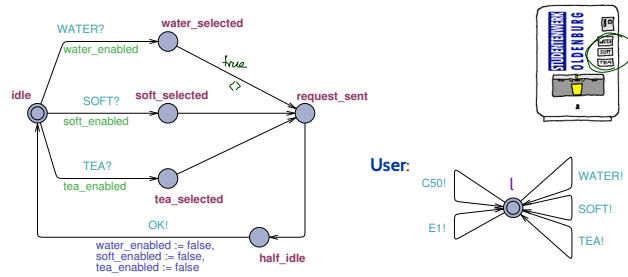
- **Unified Modelling Language**

- └ (● Brief History
- └ (● **Sub-Languages**
- └ (● UML Modes

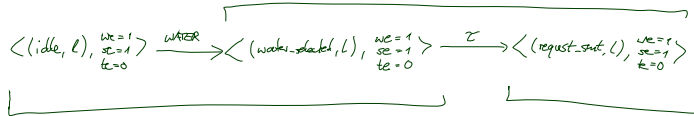
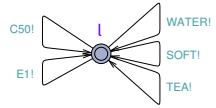
# Recall: CFA, Queries, Model-Checking

## Example

ChoicePanel:  
(simplified)



User:



- 13 - 2017-07-06 - S48 -

27/55

## Satisfaction of Uppaal Queries by Configurations

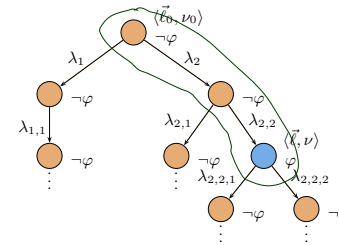
Exists finally:

$\langle \vec{\ell}_0, \nu_0 \rangle \models \exists \Diamond \text{ term}$

iff  $\exists \text{ path } \xi \text{ of } \mathcal{N} \text{ starting in } \langle \vec{\ell}_0, \nu_0 \rangle$   
 $\exists i \in \mathbb{N}_0 \bullet \xi^i \models \text{term}$

“some configuration satisfying *term* is reachable”

Example:  $\langle \vec{\ell}_0, \nu_0 \rangle \models \exists \Diamond \varphi$



- 13 - 2017-07-06 - S48 -

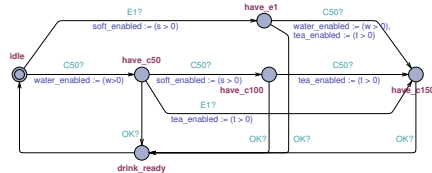
37/55

## Design Verification: Another Invariant

- **Question:** Is it the case that, if there is money in the machine and water in stock, that the “water” button is enabled?

- **Approach:** Check

$\mathcal{N}_{VM} \models \forall () (\text{CoinValidator.have\_c50} \vee \text{CoinValidator.have\_c100} \vee \text{CoinValidator.have\_c150})$   
imply  $\text{water\_enabled}$ . and  $w > 0$



- 13 - 2017-07-06 - S48 -

49/55

## *CFA vs. Software*

# A CFA Model Is Software

**Definition. Software** is a finite description  $S$  of a (possibly infinite) set  $\llbracket S \rrbracket$  of (finite or infinite) **computation paths** of the form

$$\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \cdots$$

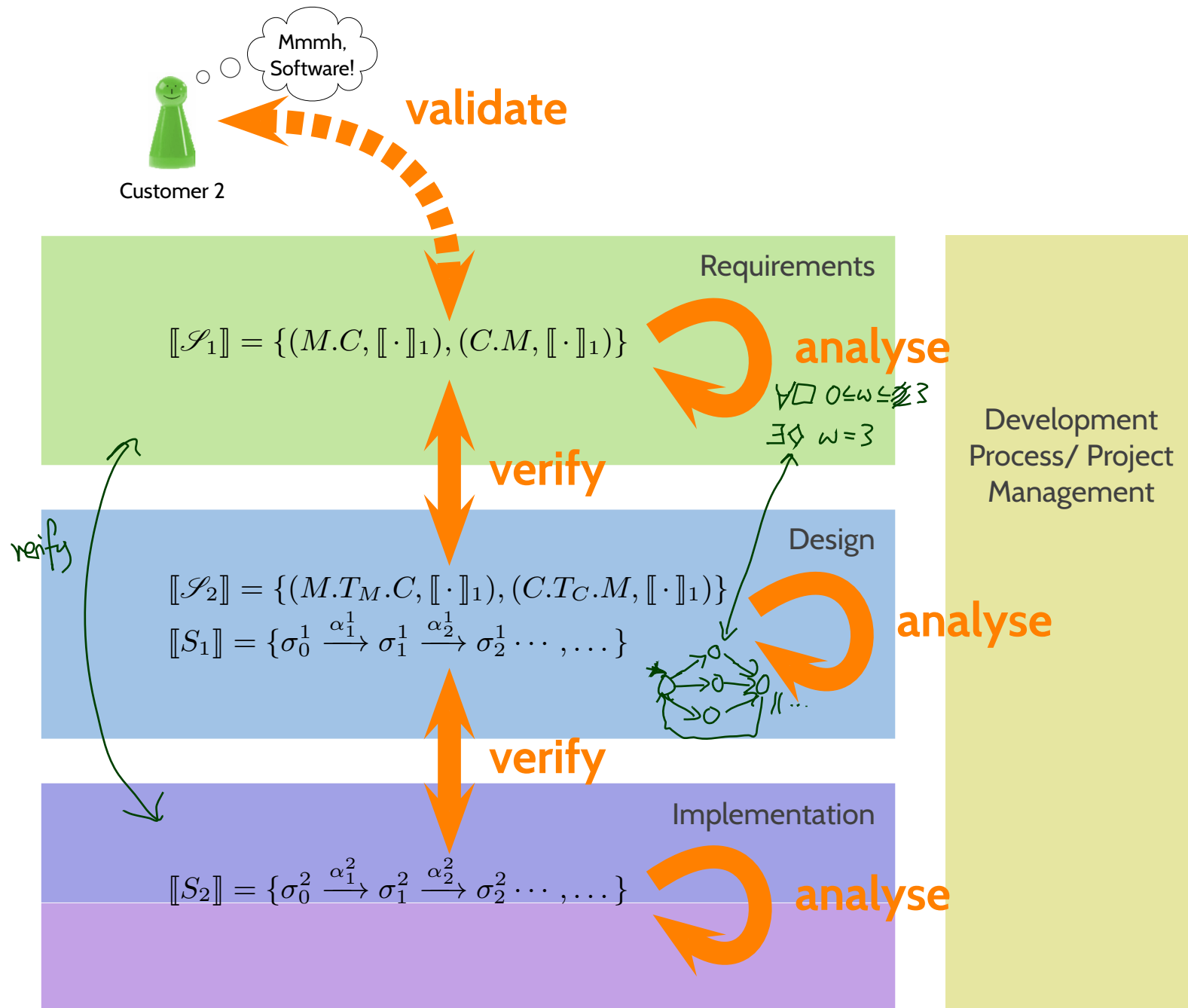
where

- $\sigma_i \in \Sigma, i \in \mathbb{N}_0$ , is called **state** (or **configuration**), and
- $\alpha_i \in A, i \in \mathbb{N}_0$ , is called **action** (or **event**).

The (possibly partial) function  $\llbracket \cdot \rrbracket : S \mapsto \llbracket S \rrbracket$  is called **interpretation** of  $S$ .

- Let  $\mathcal{C}(\mathcal{A}_1, \dots, \mathcal{A}_n)$  be a network of CFA.
- $\Sigma = Conf$
- $A = Act$
- $\llbracket \mathcal{C} \rrbracket = \{ \pi = \langle \vec{\ell}_0, \nu_0 \rangle \xrightarrow{\lambda_1} \langle \vec{\ell}_1, \nu_1 \rangle \xrightarrow{\lambda_2} \langle \vec{\ell}_2, \nu_2 \rangle \xrightarrow{\lambda_3} \dots \mid \pi \text{ is a computation path of } \mathcal{C} \}$ .
- **Note:** the structural model just consists of the set of variables and the locations of  $\mathcal{C}$ .

# Formal Methods in the Software Development Process



# Content I (Architecture & Design)

---

- **CFA vs. Software**

- └ (● a CFA model is software
- └ (● **implementing** CFA
- └ (● formal methods in the real world: case study

- **UML State Machines**

- └ (● **Core** State Machines
- └ (● steps and run-to-completion steps
- └ (● **Hierarchical State Machines**
- └ (● **Rhapsody**

- **Unified Modelling Language**

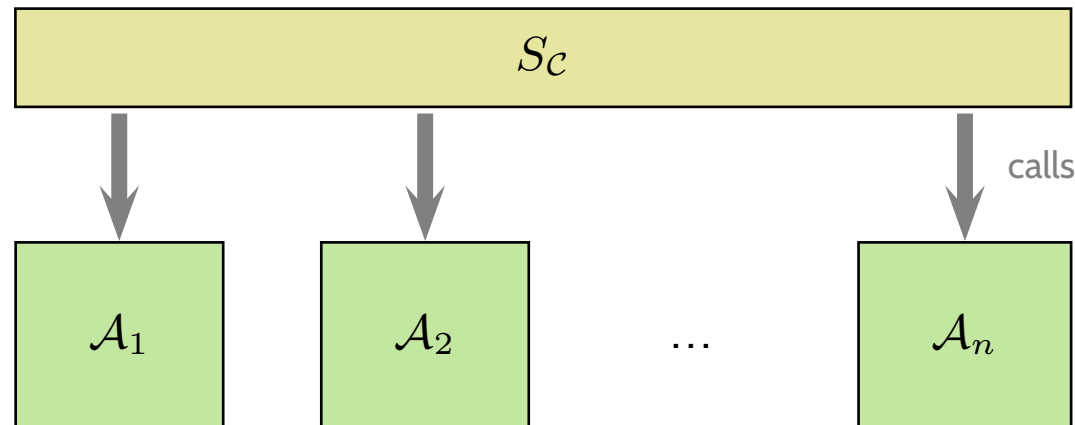
- └ (● Brief History
- └ (● **Sub-Languages**
- └ (● UML Modes



# *Implementing CFA*

# Implementing CFA

- Now that we have a CFA **model**  $\mathcal{C}(\mathcal{A}_1, \dots, \mathcal{A}_n)$  (**thoroughly checked** using Uppaal), we would like to have **executable software** – an implementation of the model.
- This task can be split into two sub-tasks:
  - (i) **implement** each **CFA**  $\mathcal{A}_i$  in the model by module  $S_{\mathcal{A}_i}$ ,
  - (ii) **implement** the **communication** in the network by module  $S_{\mathcal{C}}$ .(This has, by now, been provided **implicitly** by the Uppaal **simulator** and **verifier**.)



- **Fully distributed implementation** (without  $S_{\mathcal{C}}$ ): different story, possible for sub-class of CFA.

# Communication / Synchronisation

- Let  $\mathcal{N} = \mathcal{C}(\mathcal{A}_1, \dots, \mathcal{A}_n)$  with **pairwise disjoint variables**.
- Assume  $B = B_{input} \dot{\cup} B_{internal}$ , where  $B_{input}$  are **dedicated input channels**, i.e. there is no edge with action  $a!$  and  $a \in B_{input}$ .
- Then software  $S_{\mathcal{N}}$  consists of  $S_{\mathcal{A}_1}, \dots, S_{\mathcal{A}_n}$  and the following  $S_C$ .

```

Set<Act>  $R_1 := R_{1,ini}, \dots, R_n := R_{n,ini}$ ; // initially enabled actions
void main() {
  // snapshot
  do
     $\square true : (\alpha, snd, rcv) := select(R_1, \dots, R_n);$  // choose synchronisation
                                                    // (rcv = 0 if  $\alpha = \tau$ ,
                                                    // blocks on deadlock)

    for ( $k=1$  to  $n$ )
      if
        if  $snd = k : R_k := take\_action_k(\alpha)$  // sender
      if  $rcv = k : R_k := take\_action_k(\bar{\alpha})$  // receiver
      if
      // snapshot
    od
  }

```

# Example

*int*  $w := 3$ ;

**typedef**  $\{Wi, dispense, W0\}$   $st\_T$ ;  
 $st\_T$   $st := Wi$ ;

*Set* $\langle Act \rangle$  *take\_action*( *Act*  $\alpha$  ) {

*Set* $\langle Act \rangle$   $R := \emptyset$ ;

**if**

$\square st = Wi :$

**if**

$\square \alpha = DWATER? :$

$w := w - 1$ ;

$st := dispense$ ;

**if**  $(w = 0)$   $R := R \cup \{DOK!\}$ ;

**if**  $(w > 0)$   $R := R \cup \{DOK!\}$ ;

$\square \alpha = FILLUP? :$

$w := 3$ ;

$st := Wi$ ;

$R := R \cup \{FILLUP?, DWATER?\}$ ;

**fi**;

$\square st = dispense :$  **if**

$\square \alpha = DOK! \wedge \underline{w == 0} :$   $st := W0$ ;

$R := R \cup \{FILLUP?\}$ ;

$\square \alpha = DOK! \wedge w > 0 :$   $st := Wi$ ;

$R := R \cup \{FILLUP?\}$ ;

**fi**;

$\square st = W0 :$

**if**

$\square \alpha = FILLUP? :$

$w := 3$ ;

$st := Wi$ ;

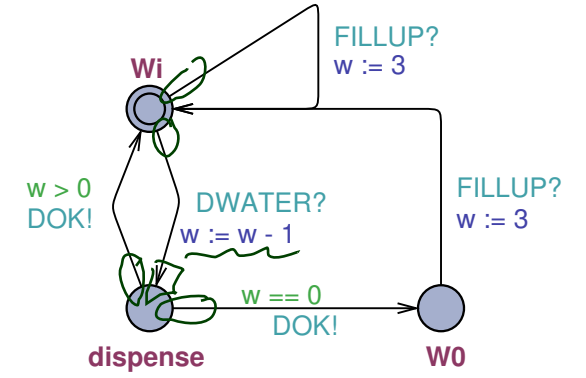
$R := R \cup \{FILLUP?, DWATER?\}$ ;

**fi**;

**fi**;

**return**  $R$ ;

}



# Translation Scheme...

... for  $\mathcal{A} = (\{\ell_1, \dots, \ell_m\}, B, \{v_1, \dots, v_k\}, E, \ell_{ini})$  with

$$E = \{(\ell_1, \alpha_{1,1}, \varphi_{1,1}, \vec{r}_{1,1}, \ell'_{1,1}), \dots, (\ell_1, \alpha_{1,n_1}, \varphi_{1,n_1}, \vec{r}_{1,n_1}, \ell'_{1,n_1}), \\ \ddots \\ (\ell_m, \alpha_{m,1}, \varphi_{m,1}, \vec{r}_{m,1}, \ell'_{m,1}), \dots, (\ell_m, \alpha_{m,n_m}, \varphi_{m,n_m}, \vec{r}_{m,n_m}, \ell'_{m,n_m})\} :$$

```

T1 v1 := v1,ini; ... Tk vk := vk,ini;

typedef {ℓ1, ..., ℓm} st_T;
st_T st := ℓini;

Set⟨Act⟩ take_action( Act α ) {
  Set⟨Act⟩ R := ∅;
  if
  ⋮
  □ st = ℓi : if
    ⋮
    {
      □ α = αi,j ∧ φi,j :  $\vec{r}_{i,j};$ 
      st := ℓ'i,j;
      if (ℓ'i,j = ℓ1 ∧ φ1,1) R := R ∪ {α1,1};
      ⋮
      if (ℓ'i,j = ℓm ∧ φm,nm) R := R ∪ {αm,nm};
    }
    ⋮
  fi;

  ⋮
  fi;
  return R;
}

```

$(\ell_i, \alpha_{i,j}, \varphi_{i,j}, \vec{r}_{i,j}, \ell'_{i,j})$

**Definition.** A **network** of CFA  $\mathcal{C}$  with (joint) alphabet  $B$  is called **deterministic** if and only if each reachable configuration has at most one successor configuration, i.e. if

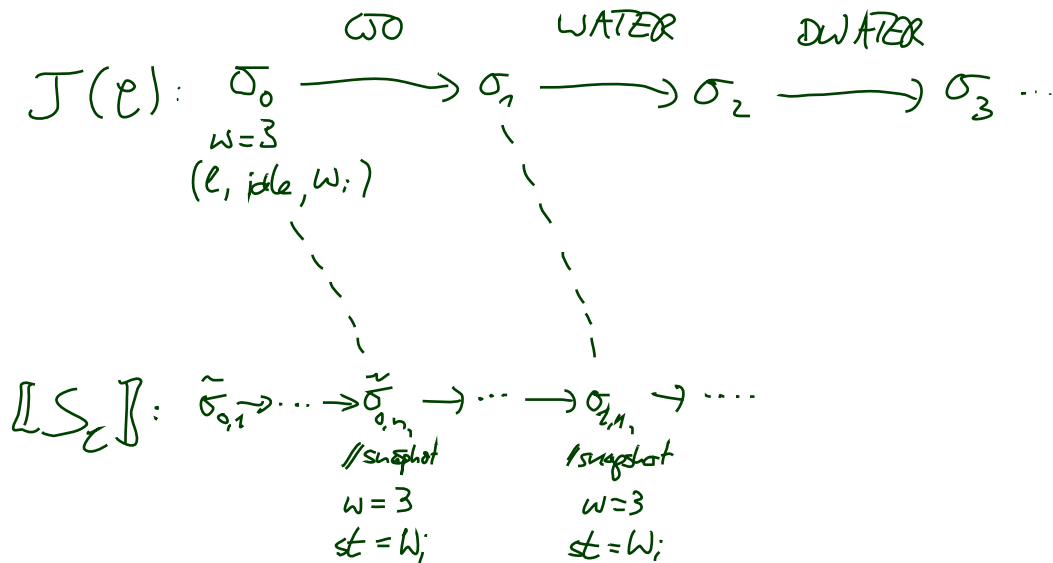
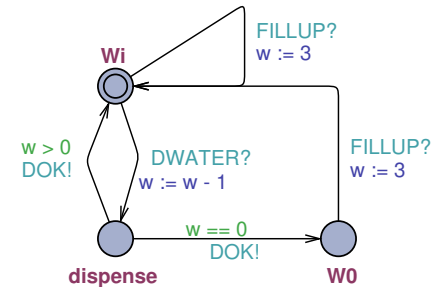
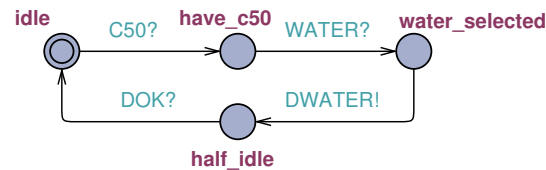
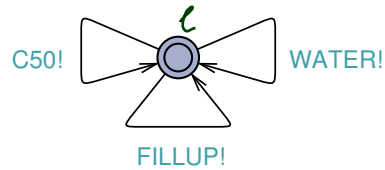
$$\forall c \in \text{Conf}(\mathcal{C}) \text{ reachable } \forall \lambda \in B_{!} \cup \{\tau\} \forall c_1, c_2 \in \text{Conf}(\mathcal{C}) \bullet \\ c \xrightarrow{\lambda} c_1 \wedge c \xrightarrow{\lambda} c_2 \implies c_1 = c_2.$$

**Proposition.** Whether  $\mathcal{C}$  is deterministic is **decidable**.

**Proposition.** If  $\mathcal{C}$  is deterministic, then the translation of  $\mathcal{C}$  is a **deterministic program**.

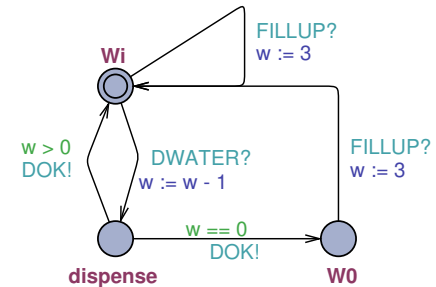
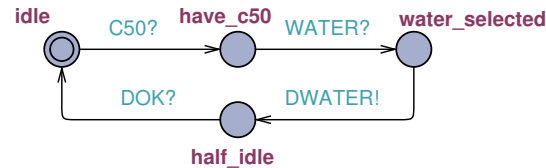
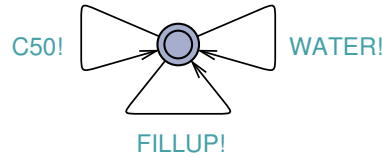
# Model vs. Implementation

- Define  $\llbracket S_{\mathcal{N}} \rrbracket$  to be the set of computation paths  $\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \dots$  such that  $\sigma_i$  has the values at 'snapshot' at the  $i$ -th iteration and  $\alpha_i$  is the  $i$ -th action.
- Then  $\llbracket S_{\mathcal{N}} \rrbracket$  **bisimulates** the behaviour  $\llbracket \mathcal{C} \rrbracket$  of model  $\mathcal{C}(\mathcal{A}_1, \dots, \mathcal{A}_n)$ .



# Model vs. Implementation

- Define  $\llbracket S_{\mathcal{N}} \rrbracket$  to be the set of computation paths  $\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \dots$  such that  $\sigma_i$  has the values at 'snapshot' at the  $i$ -th iteration and  $\alpha_i$  is the  $i$ -th action.
- Then  $\llbracket S_{\mathcal{N}} \rrbracket$  **bisimulates** the behaviour  $\llbracket \mathcal{C} \rrbracket$  of model  $\mathcal{C}(\mathcal{A}_1, \dots, \mathcal{A}_n)$ .

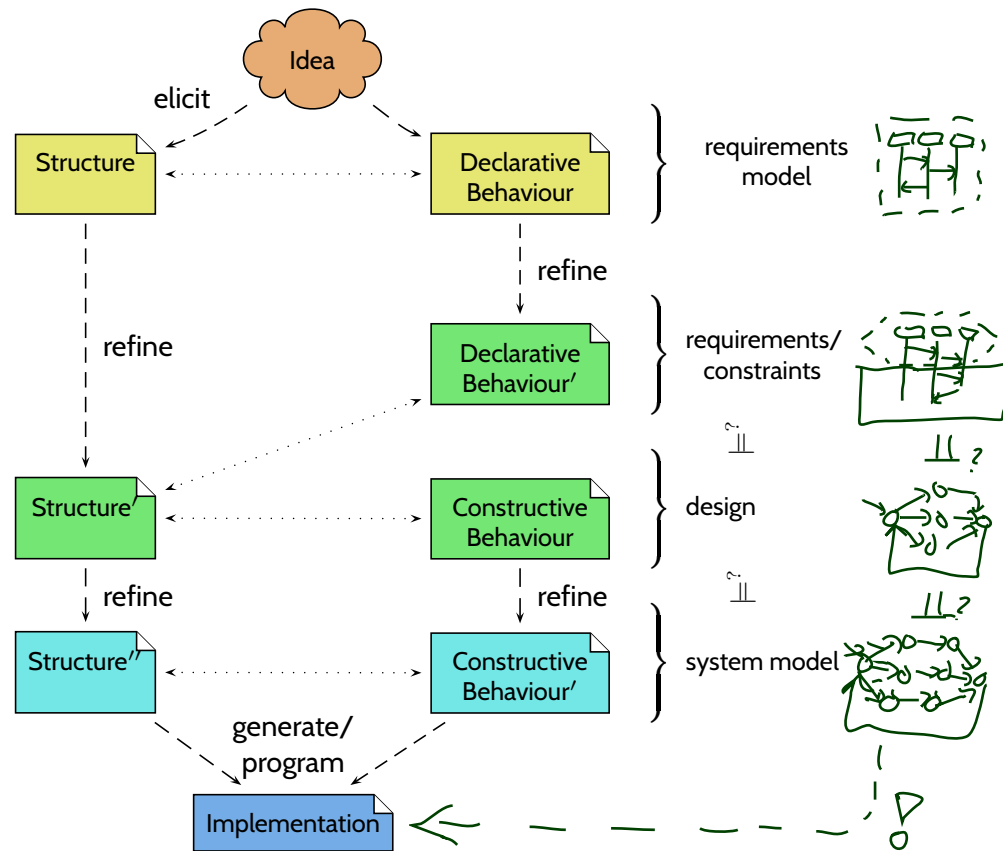


- Yes, and...?
  - If Uppaal reports that  $\mathcal{N}_{\text{VM}} \models \exists \Diamond w = 0$  holds, then  $w = 0$  **(should be) reachable** in  $\llbracket S_{\mathcal{N}_{\text{VM}}} \rrbracket$ .
  - If Uppaal reports that  $\mathcal{N}_{\text{VM}} \models \forall \Box \text{tea\_enabled} \implies \text{CoinValidator.have\_c150}$  holds, then  $\llbracket S_{\mathcal{N}_{\text{VM}}} \rrbracket$  **(should be) correspondingly safe**.
  - In General: If Uppaal reports that
    - a **desired configuration is not reachable** in the model, or
    - an **invariant does not hold** in the model,
 then **there is an issue** with the model, or the requirement (or the checking tool) **to be investigated**.



# Model-Driven Software Engineering

- (Jacobson et al., 1992): “System development is model building.”
- Model **based** software engineering (MBSE): **some** (formal) models are used.
- Model **driven** software engineering (MDSE): **all artefacts** are (formal) models.



# Content I (Architecture & Design)

---

- **CFA vs. Software**

- └─● a CFA model is software
- └─● **implementing** CFA
- └─● formal methods in the real world: case study

- **UML State Machines**

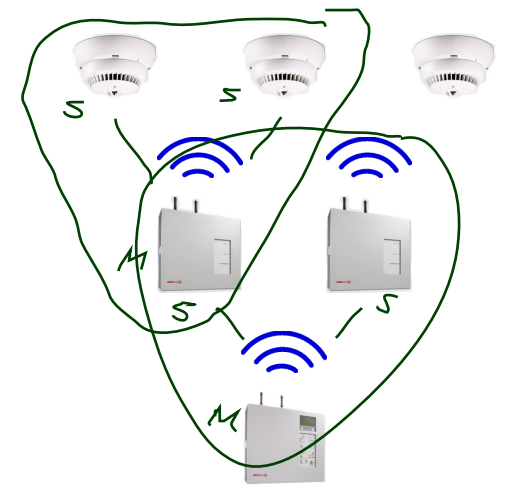
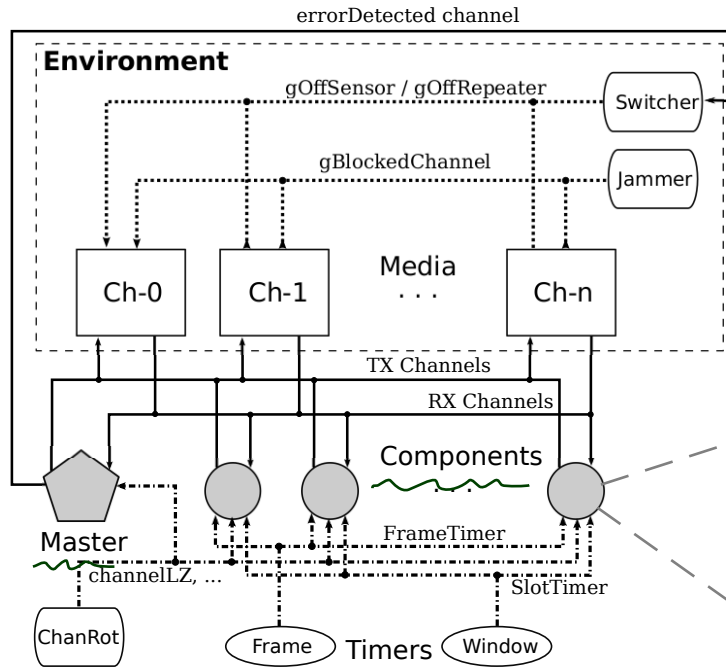
- └─● **Core** State Machines
- └─● steps and run-to-completion steps
- └─● **Hierarchical State Machines**
- └─● **Rhapsody**

- **Unified Modelling Language**

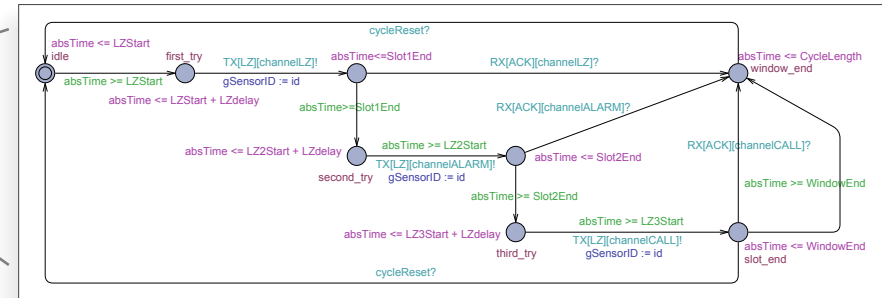
- └─● Brief History
- └─● **Sub-Languages**
- └─● UML Modes

## *Formal Methods in the Real World: Case Study*

# Case Study: Wireless Fire Alarm System



(Arenis et al., 2014)



(R1) The **loss of the ability** of the system to transmit a signal from a component to the central unit is **detected** in **less than 300 seconds** [...].

$$\bigwedge_{i \in C} \square ([FAIL = i \wedge \neg DET_i] \implies \ell \leq 300s)$$

(R2) A **single alarm event** is **displayed** at the central unit **within 10 seconds**.

$$\bigwedge_{i \in C} \square [\overline{ALARM_{\{i\}}} \implies \square ([ALARM_i \wedge \neg DISP_i] \implies \ell \leq 10s),$$

# Figures (Arenis et al., 2016)

Monitoring	Templates	Instances	Total Locations	Clocks
Sensors as slaves	9	137	1040	6
Repeaters as slaves	9	21	82	6

	Query	Sensors as slaves, $N = 126$ .			Repeaters as slaves, $N = 10$ .		
		seconds	MB	States explored	seconds	MB	States explored
Q1	Detection possible $E \langle \rangle \text{ switcher.DETECTION}$	10,205.13	557.00	26,445,788	38.21	55.67	1,250,596
Q2	No message collision $A \square \text{ not deadlock}$	12,895.17	2,343.00	68,022,052	368.58	250.91	9,600,062
Q3	Detect <sub>T</sub> $A \square (\text{switcher.DETECTION} \text{ imply } \text{switcher.timer} \leq 300 * \text{Second})$	36,070.78	3,419.00	190,582,600	231.84	230.59	6,009,120
Q4	NoSpur <sub>T</sub> $A \square \text{ !center.ERROR}$	97.44	44.29	640,943	3.94	10.14	144,613

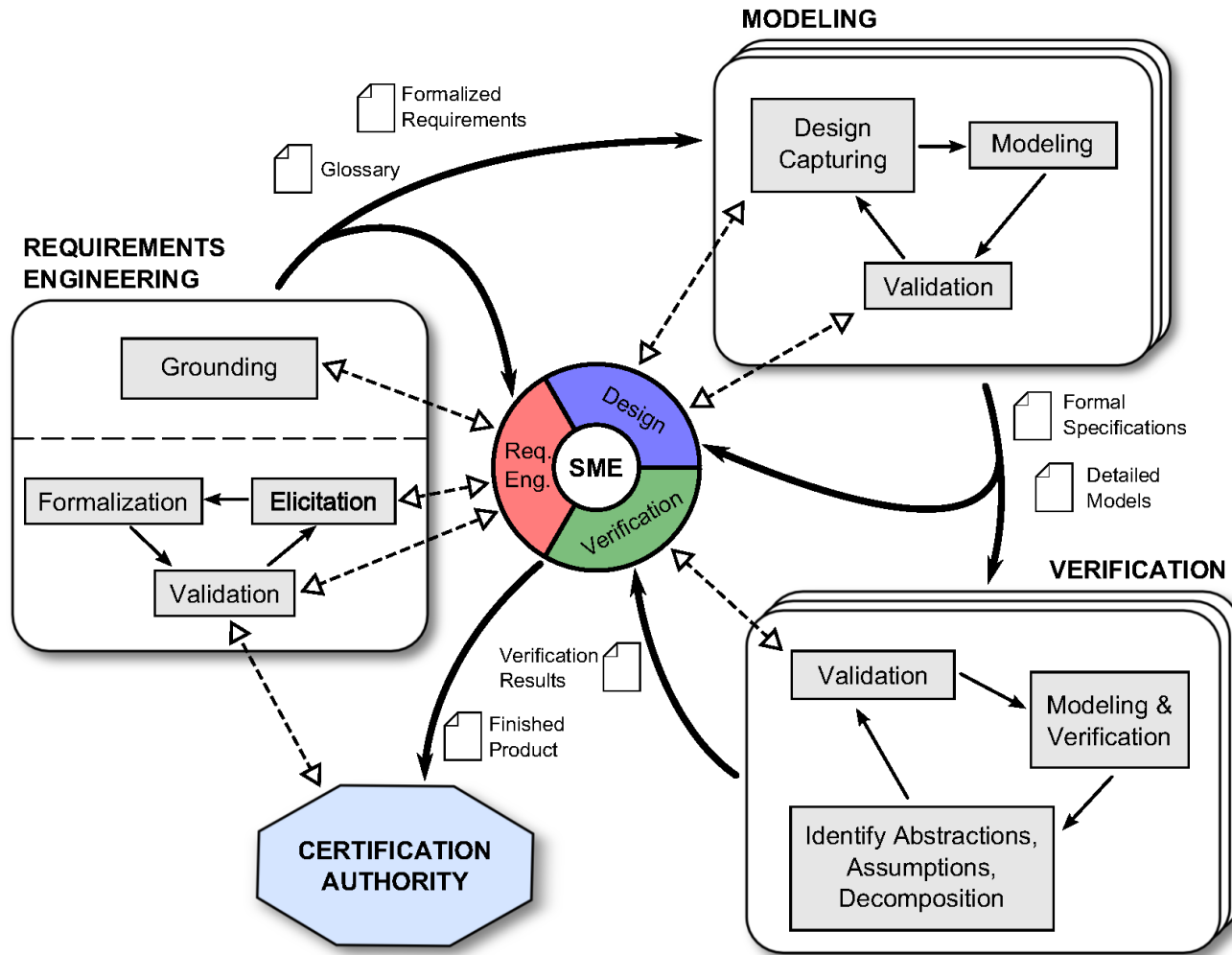
Verification of the final design (Opteron 6174 2.2Ghz, 64GB, Uppaal 4.1.3 (64-bit), options -s -t0 -u).



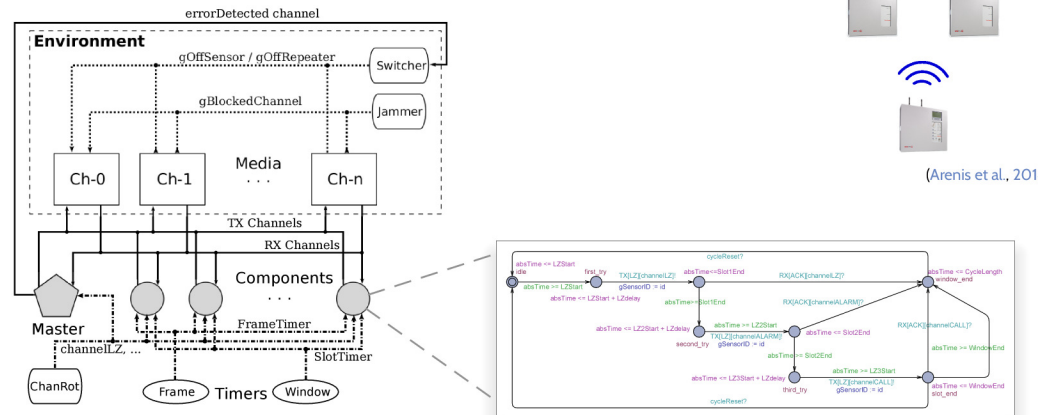
	Model sequential	Model optimized	Model test scenario	Measured Avg.
First Alarm	3.26s	2.14s	3.31s	$2.79s \pm 0.53s$
All 10 Alarms	29.03s	27.08s	29.81s	$29.65s \pm 3.26s$

Predicted alarm transmission times vs. Measurements on real hardware.

# Process Model



## Case Study: Wireless Fire Alarm System



- (R1) The **loss of the ability** of the system to transmit a signal from a component to the central unit is **detected** in **less than 300 seconds** [...].

$$\bigwedge_{i \in C} \square ([FAIL = i \wedge \neg DET_i] \Rightarrow \ell \leq 300s)$$

- (R2) A **single alarm event** is **displayed** at the central unit **within 10 seconds**.

$$\bigwedge_{i \in C} [\overline{ALARM_{\{i\}}} ] \Rightarrow \square ([ALARM_i \wedge \neg DISP_i] \Rightarrow \ell \leq 10s),$$

19/38

→ Lecture “**Real-Time Systems**” in Winter 2017/18.

# Content I (Architecture & Design)

---

- **CFA vs. Software**

- └ (● a CFA model is software
- └ (● **implementing** CFA
- └ (● formal methods in the real world: case study

- **UML State Machines**

- └ (● **Core** State Machines
- └ (● steps and run-to-completion steps
- └ (● **Hierarchical State Machines**
- └ (● **Rhapsody**

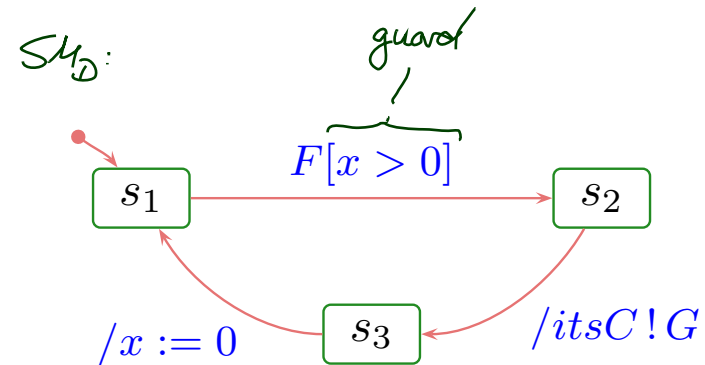
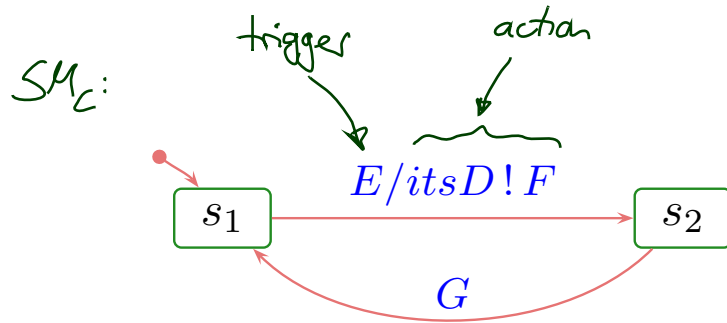
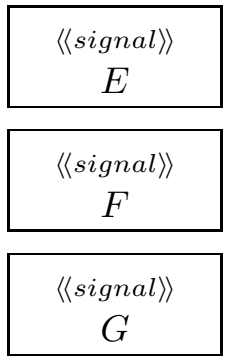
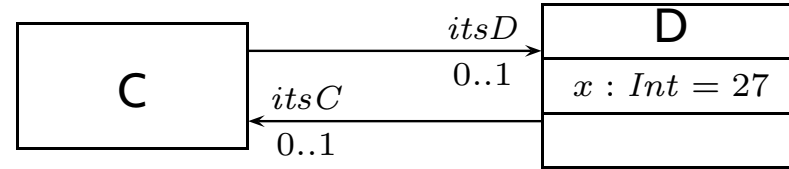
- **Unified Modelling Language**

- └ (● Brief History
- └ (● **Sub-Languages**
- └ (● UML Modes



# *UML State Machines*

# UML Core State Machines



$$annot ::= \underbrace{[\langle event \rangle [ \cdot \langle event \rangle ]^*]}_{trigger} \quad [ \mathbf{[} \langle guard \rangle \mathbf{]} ] \quad [ \mathbf{/} \langle action \rangle ] ]$$

with

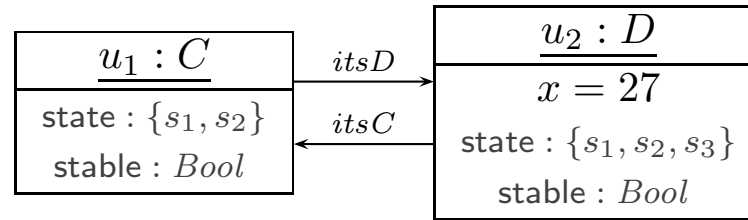
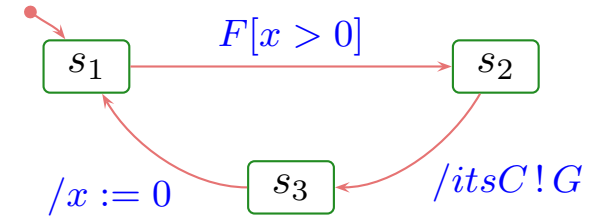
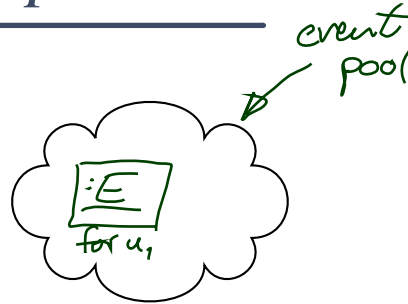
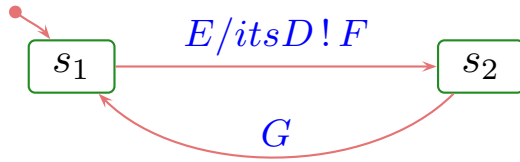
- $event \in \mathcal{E}$ ,
- $guard \in Expr_{\mathcal{J}}$
- $action \in Act_{\mathcal{J}}$

(optional)

(default: *true*, assumed to be in  $Expr_{\mathcal{J}}$ )

(default: *skip*, assumed to be in  $Act_{\mathcal{J}}$ )

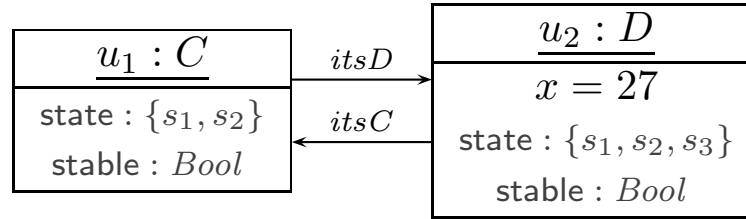
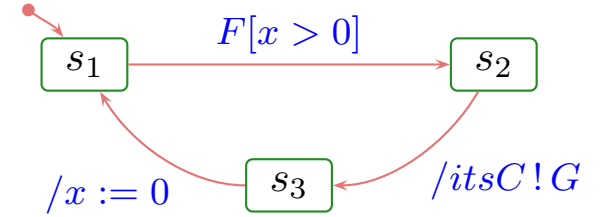
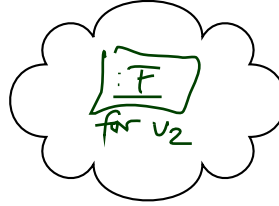
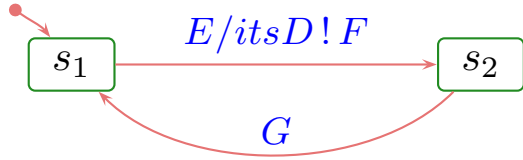
# Event Pool and Run-To-Completion



↓

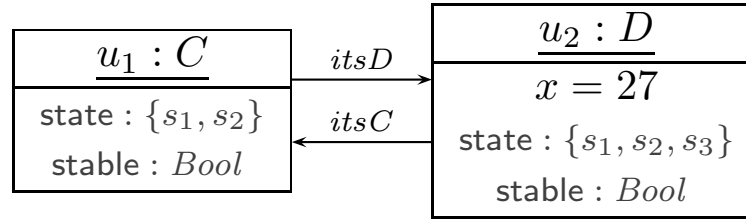
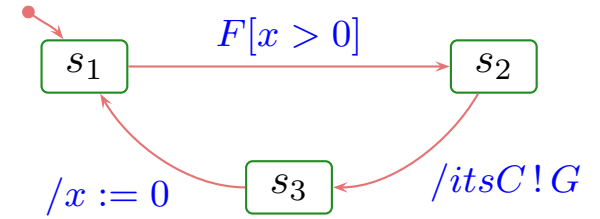
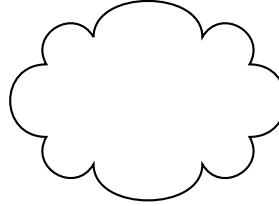
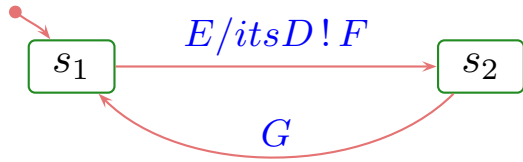
step	state	$u_1$ stable	$x$	$u_2$ state	stable	event pool
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$

# Event Pool and Run-To-Completion



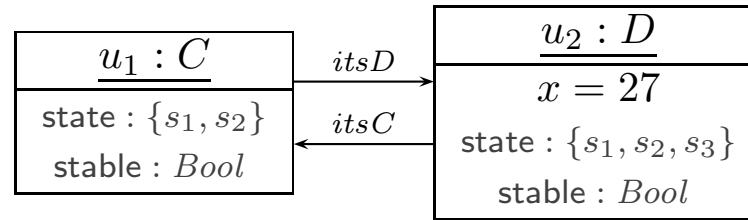
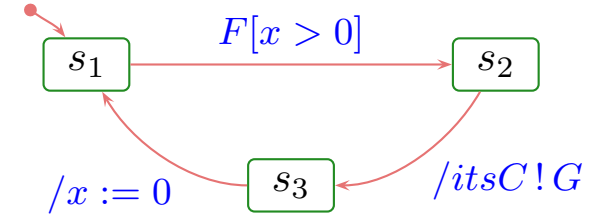
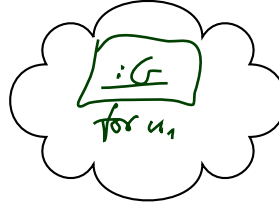
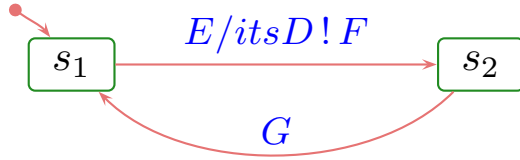
step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$

# Event Pool and Run-To-Completion



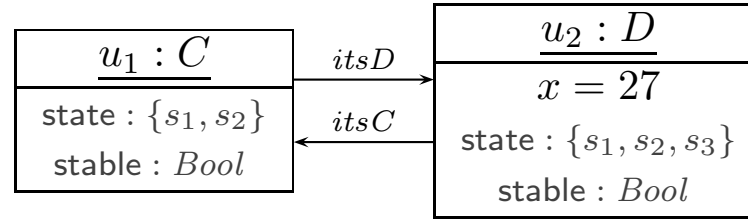
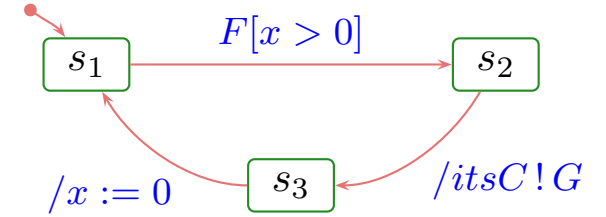
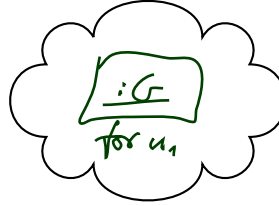
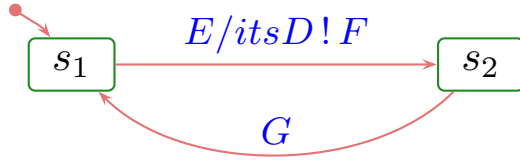
step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	

# Event Pool and Run-To-Completion



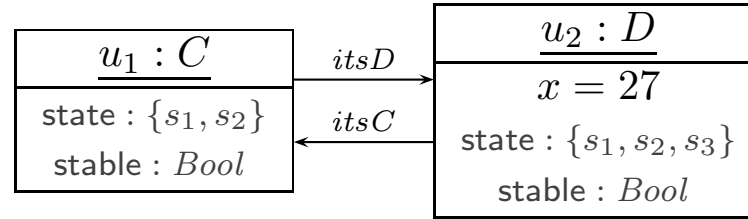
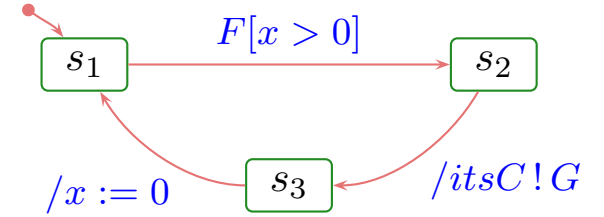
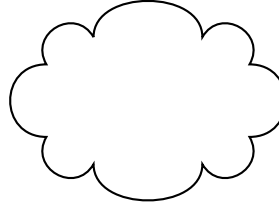
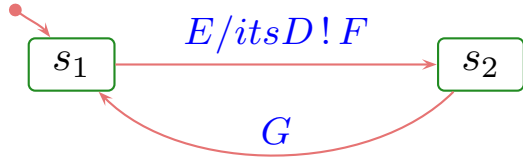
step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	
3	$s_2$	1	27	$s_3$	0	$G$ ready for $u_1$

# Event Pool and Run-To-Completion



step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	
3	$s_2$	1	27	$s_3$	0	$G$ ready for $u_1$
4.a	$s_2$	1	0	$s_1$	1	$G$ ready for $u_1$

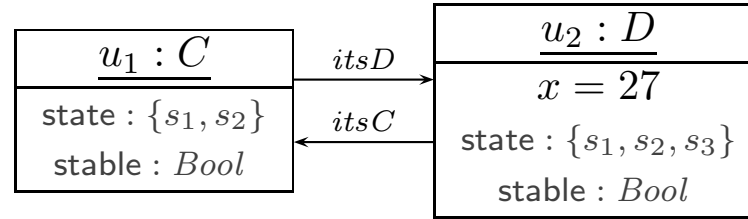
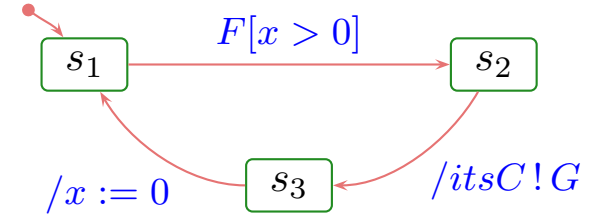
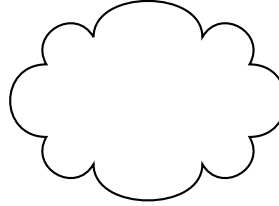
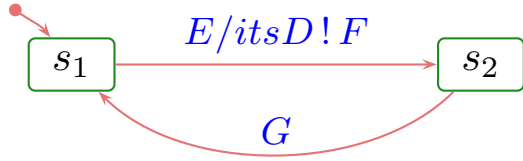
# Event Pool and Run-To-Completion



step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	
3	$s_2$	1	27	$s_3$	0	$G$ ready for $u_1$
4.a	$s_2$	1	0	$s_1$	1	$G$ ready for $u_1$
5.a	$s_1$	1	0	$s_1$	1	

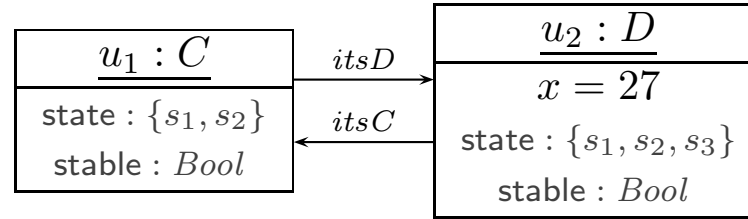
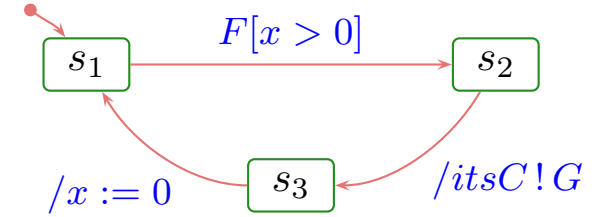
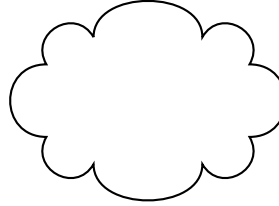
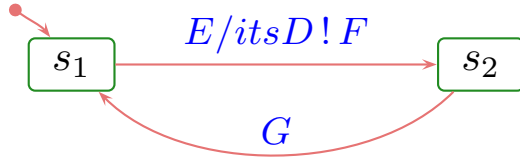


# Event Pool and Run-To-Completion



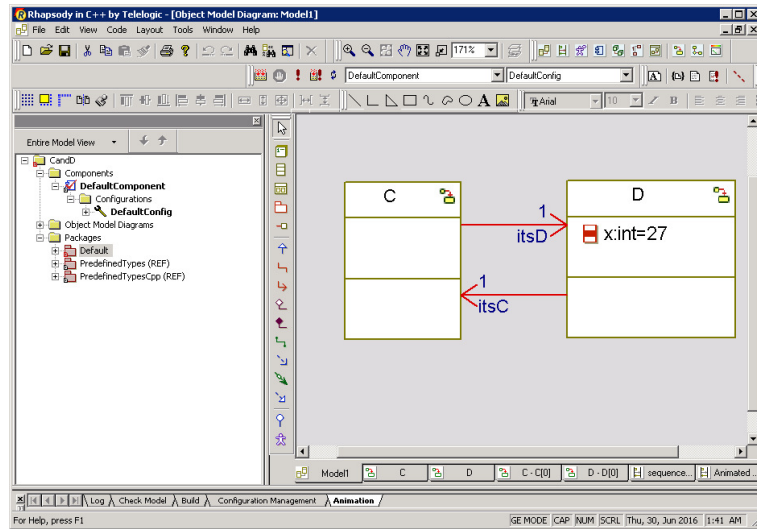
step	$u_1$		$x$	$u_2$		event pool
	state	stable		state	stable	
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	
3	$s_2$	1	27	$s_3$	0	$G$ ready for $u_1$
4.a	$s_2$	1	0	$s_1$	1	$G$ ready for $u_1$
5.a	$s_1$	1	0	$s_1$	1	
4.b	$s_1$	1	27	$s_3$	0	

# Event Pool and Run-To-Completion

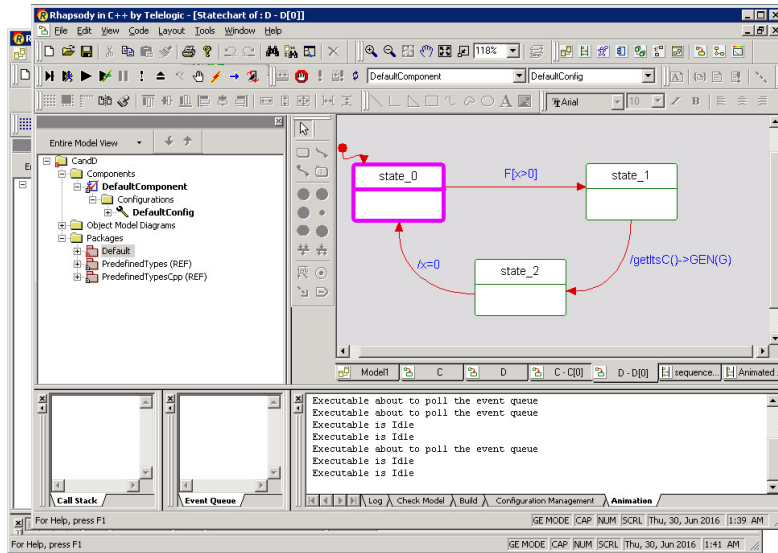


step	state	$u_1$ stable	$x$	state	$u_2$ stable	event pool
0	$s_1$	1	27	$s_1$	1	$E$ ready for $u_1$
1	$s_2$	1	27	$s_1$	1	$F$ ready for $u_2$
2	$s_2$	1	27	$s_2$	0	
3	$s_2$	1	27	$s_3$	0	$G$ ready for $u_1$
4.a	$s_2$	1	0	$s_1$	1	$G$ ready for $u_1$
5.a	$s_1$	1	0	$s_1$	1	
4.b	$s_1$	1	27	$s_3$	0	
5.b	$s_1$	1	0	$s_1$	1	

# Rhapsody Architecture



# Rhapsody Architecture

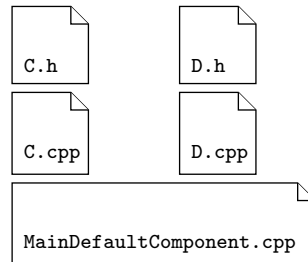


E!  
go

“D just  
stepped from  
 $s_1$  to  $s_2$  by  
transition  $t$ ”



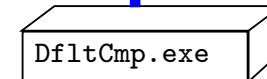
generate



build / make

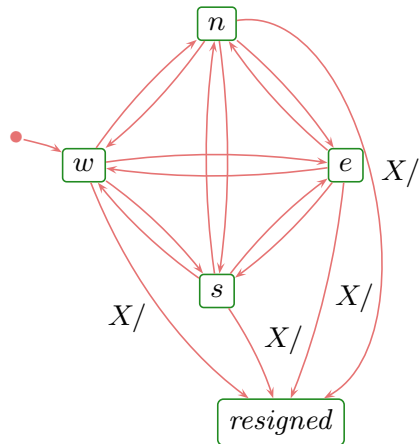
(compiler)

run

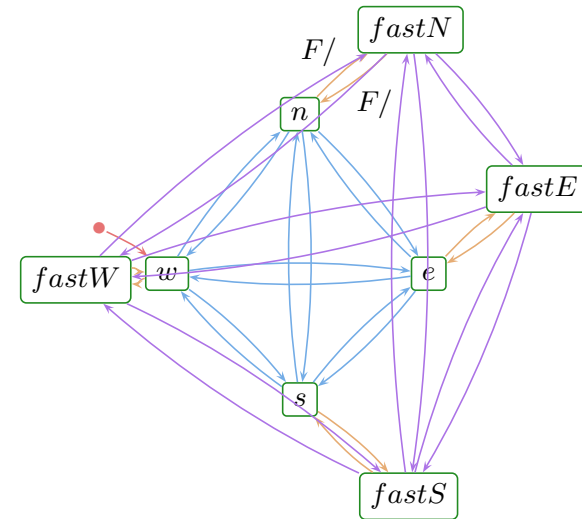
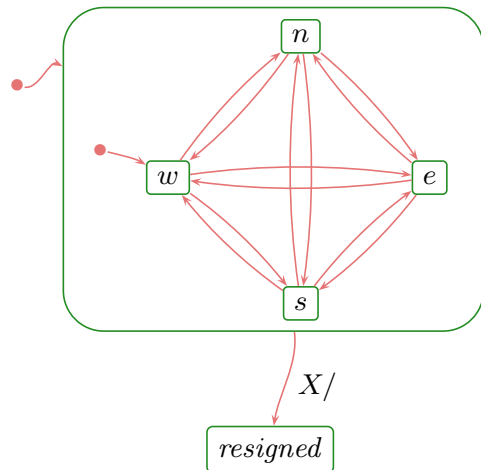


# Composite (or Hierarchical) States

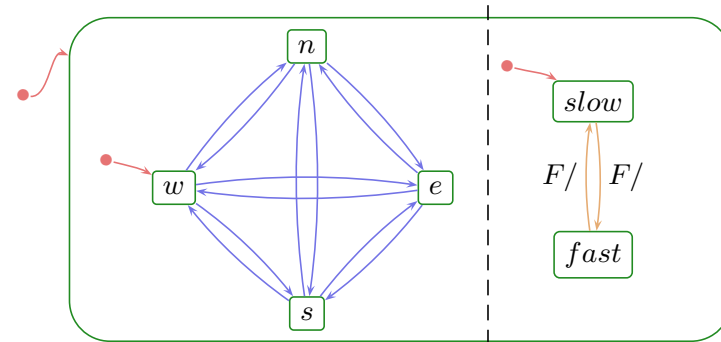
- OR-states, AND-states [Harel \(1987\)](#).
- Composite states are about **abbreviation**, **structuring**, and **avoiding redundancy**.



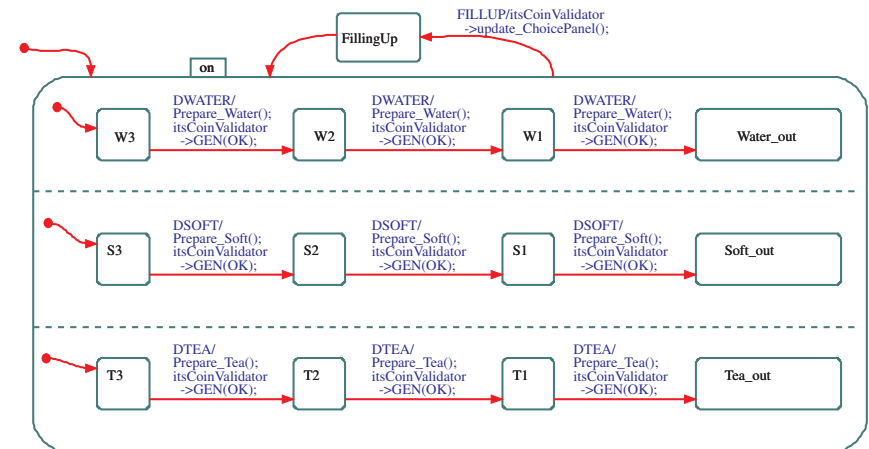
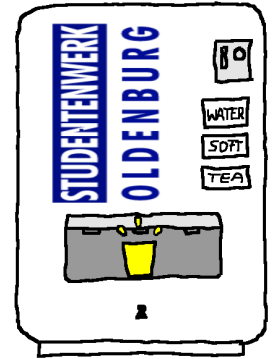
OR:



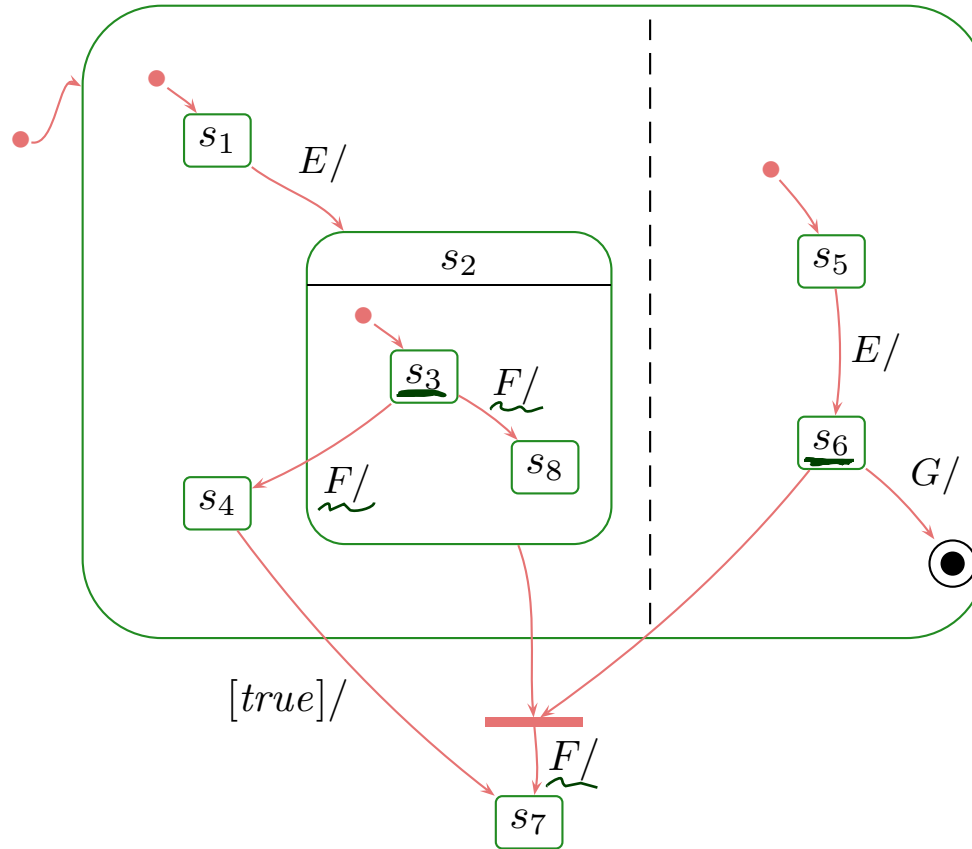
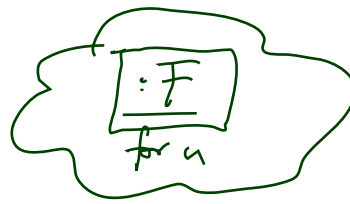
AND:



## -14 - 2017-07-10 - Sumlstm -



# Would be Too Easy...



→ “Software Design, Modelling, and Analysis with UML” in some winter semesters.

# Content I (Architecture & Design)

---

- **CFA vs. Software**

- └ (● a CFA model is software
- └ (● **implementing** CFA
- └ (● formal methods in the real world: case study

- **UML State Machines**

- └ (● **Core** State Machines
- └ (● steps and run-to-completion steps
- └ (● **Hierarchical State Machines**
- └ (● **Rhapsody**

- **Unified Modelling Language**

- └ (● Brief History
- └ (● **Sub-Languages**
- └ (● UML Modes



# *A Brief History of the Unified Modelling Language (UML)*

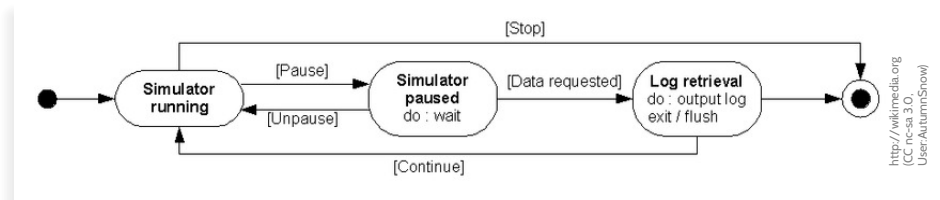
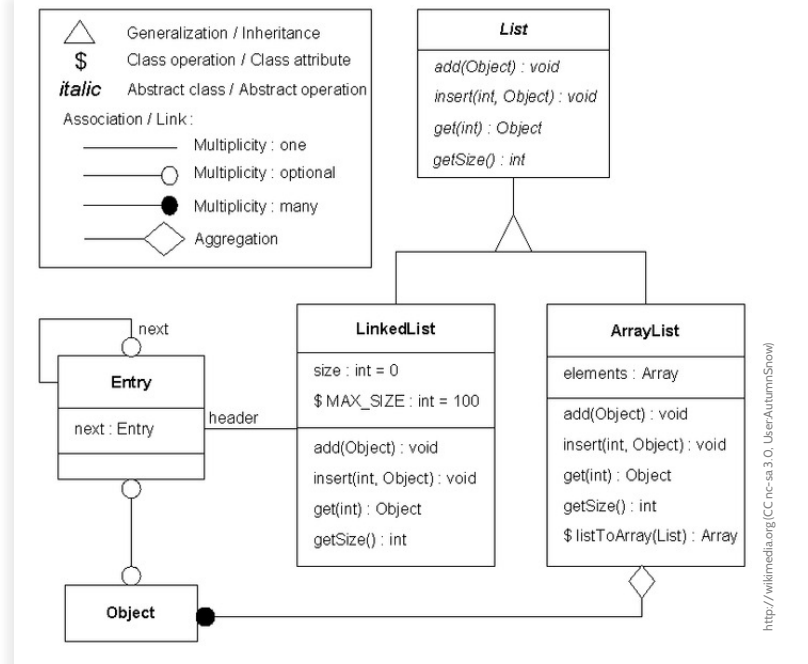
---

- Boxes/lines and finite automata are used to visualise software **for ages**.
- **1970's, Software Crisis™**
  - Idea: learn from engineering disciplines to handle growing complexity.
  - Modelling languages: **Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams**
- Mid **1980's**: **Statecharts** (Harel, 1987), **StateMate™** (Harel et al., 1990)
- Early **1990's**, advent of **Object-Oriented**-Analysis/Design/Programming
  - Inflation of notations and methods, most prominent:

# A Brief History of the Unified Modelling Language (UML)

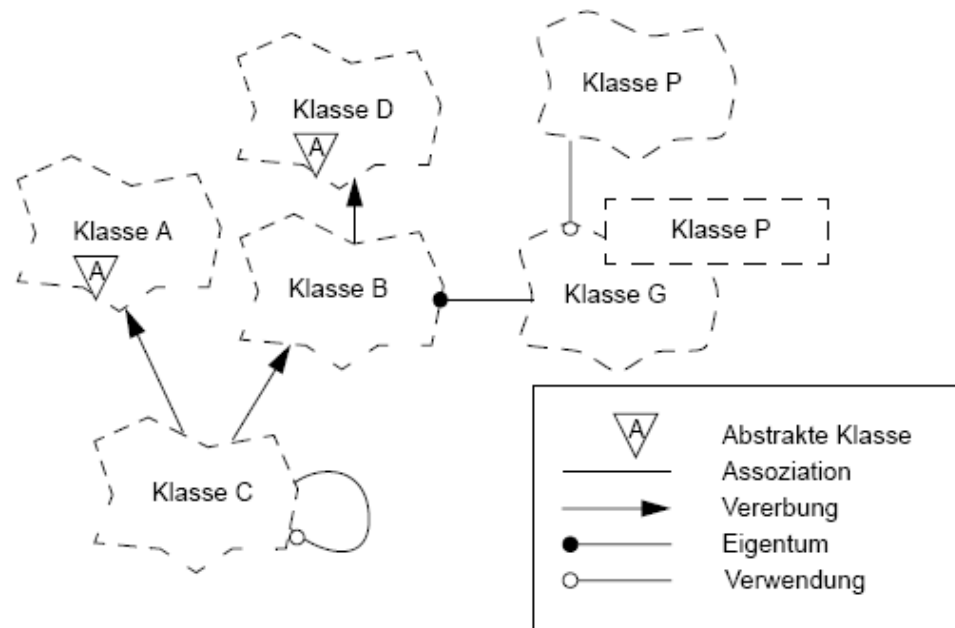
- Boxes/lines and finite automata are used to visualise software **for ages**.
- 1970's, Software Crisis™**
  - Idea: learn from engineering disciplines to handle growing complexity.
  - Modelling languages: **Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams**

- Mid **1980's**: **Statecharts** (Harel, 1987), **StateMate™** (Harel, 1987)
- Early **1990's**, advent of **Object-Oriented-Analysis/D**
  - Inflation of notations and methods, most prominent
- Object-Modeling Technique (OMT)** (Rumbaugh et al., 1990)



# A Brief History of the Unified Modelling Language (UML)

- Boxes/lines and finite automata are used to visualise software **for ages**.
- **1970's, Software Crisis™**
  - Idea: learn from engineering disciplines to handle growing complexity.Modelling languages: **Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams**
- Mid **1980's**: **Statecharts** (Harel, 1987), **StateMate™** (Harel et al., 1990)
- Early **1990's**, advent of **Object-Oriented**-Analysis/Design/Programming
  - Inflation of notations and methods, mo
- **Object-Modeling Technique (OMT)** (Rumbaugh et al., 1990)
- **Booch Method and Notation** (Booch, 1993)

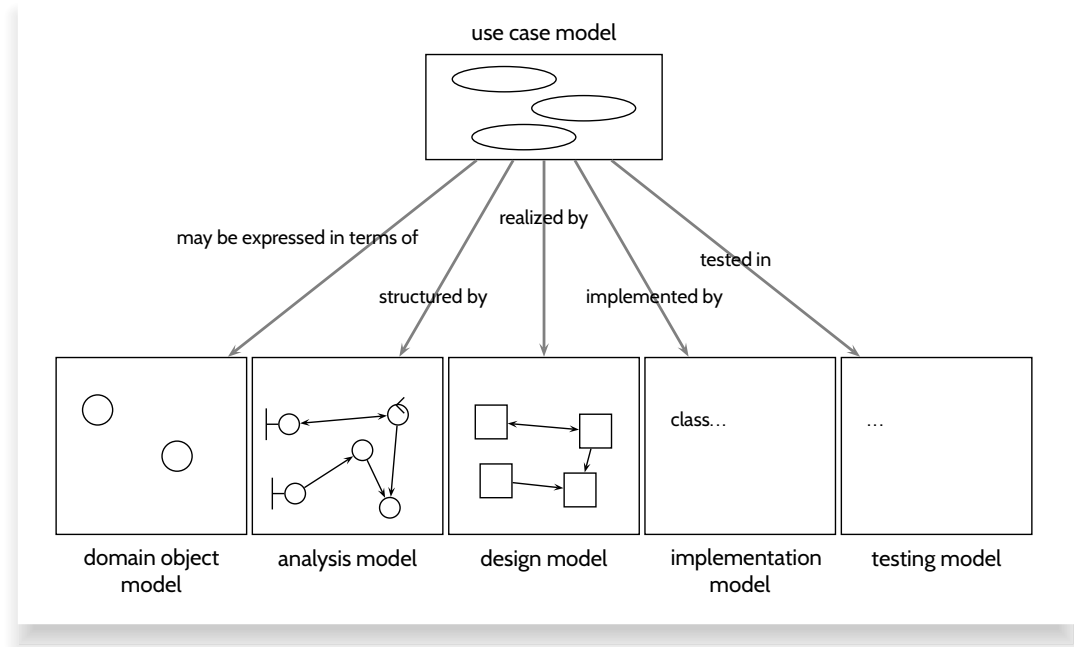


<http://wikimedia.org> (Public domain, Johannes Fasolt)

# A Brief History of the Unified Modelling Language (UML)

- Boxes/lines and finite automata are used to visualise software **for ages**.
- **1970's, Software Crisis™**
  - Idea: learn from engineering disciplines to handle growing complexity.
  - Modelling languages: **Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams**
- Mid **1980's**: **Statecharts** (Harel, 1987), **StateMate™** (Harel et al., 1990)
- Early **1990's**, advent of **Object-Oriented**-Analysis/Design/Programming
  - Inflation of notations and methods, most prominent:
    - **Object-Modeling Technique (OMT)** (Rumbaugh et al., 1990)
    - **Booch Method and Notation** (Booch, 1993)
    - **Object-Oriented Software Engineering (OOSE)** (Jacobson et al., 1992)

Each “persuasion” selling books, tools, seminars...



# A Brief History of the Unified Modelling Language (UML)

---

- Boxes/lines and finite automata are used to visualise software **for ages**.
- **1970's, Software Crisis™**
  - Idea: learn from engineering disciplines to handle growing complexity.Modelling languages: **Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams**

- Mid **1980's**: **Statecharts** (Harel, 1987), **StateMate™** (Harel et al., 1990)
- Early **1990's**, advent of **Object-Oriented**-Analysis/Design/Programming
  - Inflation of notations and methods, most prominent:
    - **Object-Modeling Technique** (OMT) (Rumbaugh et al., 1990)
    - **Booch Method and Notation** (Booch, 1993)
    - **Object-Oriented Software Engineering** (OOSE) (Jacobson et al., 1992)

Each “persuasion” selling books, tools, seminars...

- Late **1990's**: joint effort of “the three amigos” **UML 0.x** and **1.x**  
Standards published by **Object Management Group** (OMG), “*international, open membership, not-for-profit computer industry consortium*”. Much criticised for lack of formality.
- Since **2005**: **UML 2.x**, split into infra- and superstructure documents.

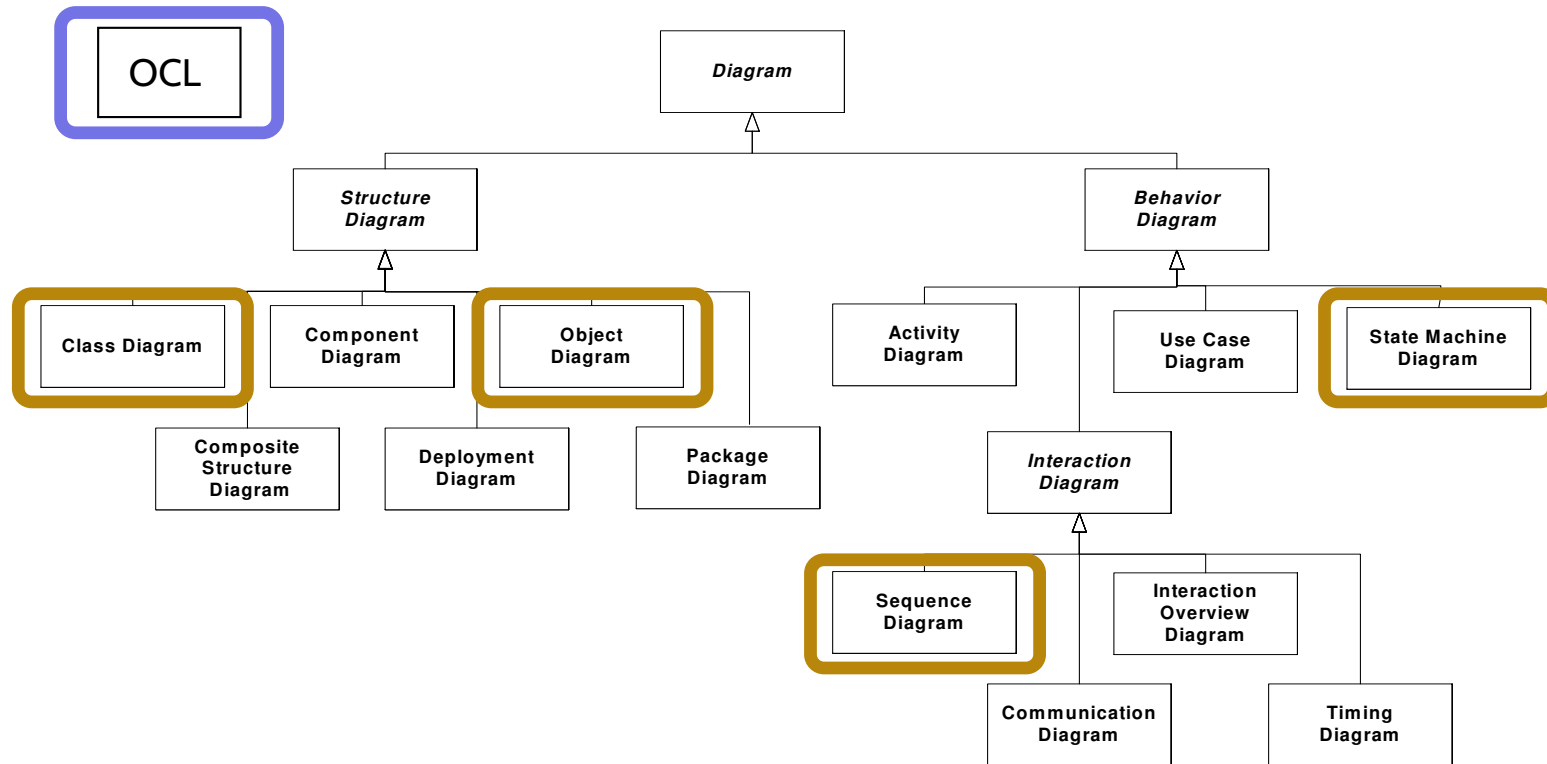


Figure A.5 - The taxonomy of structure and behavior diagram

Dobing and Parsons (2006)

# *UML Modes*

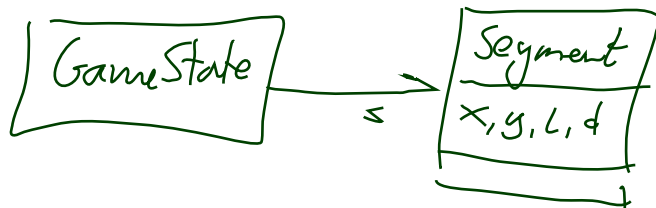
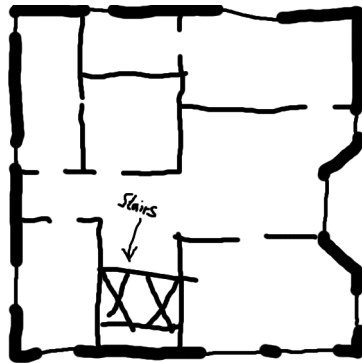
# UML and the Pragmatic Attribute

**Recall:** definition “model” (Glinz, 2008, 425):

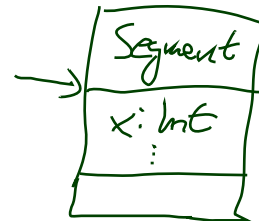
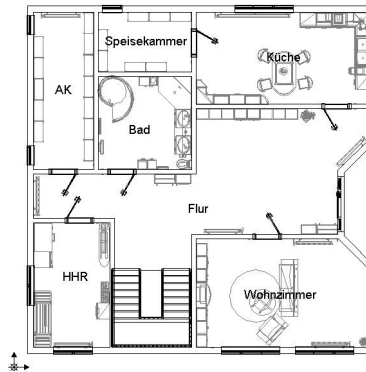
- (iii) the **pragmatic attribute**,  
i.e. the model is built in a specific context for a specific purpose.

**Examples for context/purpose:**

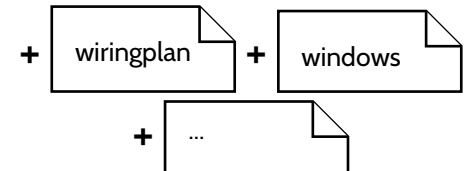
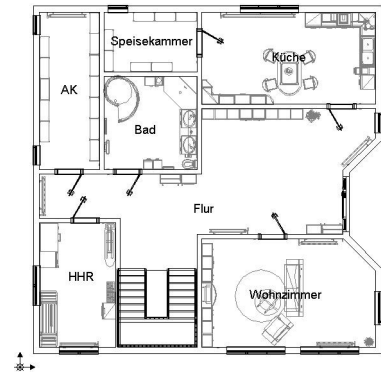
**Floorplan as sketch:**



**Floorplan as blueprint:**



**Floorplan as program:**





The last slide is inspired by **Martin Fowler**, who puts it like this:

*“[...] people differ about what should be in the UML because there are **differing fundamental views about what the UML should be.***

*I came up with three primary classifications for thinking about the UML:*

***UmlAsSketch**, **UmlAsBlueprint**, and **UmlAsProgrammingLanguage**.*

*([...] S. Mellor independently came up with the same classifications.)*

*So when someone else's view of the UML seems **rather different to yours**, it may be because they use a different **UmlMode** to you.”*

Claim:

- This not only applies to UML **as a language** (what should be in it etc.),
- but at least as well to each individual UML **model**.

The last slide is inspired by **Martin Fowler**, who puts it like this:

## Sketch

*In this UmlMode developers use the UML to help communicate some aspects of a system. [...]*

*Sketches are also useful in documents, in which case the focus is communication rather than completeness. [...]*

*The tools used for sketching are lightweight drawing tools and often people aren't too particular about keeping to every strict rule of the UML. Most UML diagrams shown in books, such as mine, are sketches.*

*Their emphasis is on selective communication rather than complete specification. Hence my sound-bite "comprehensiveness is the enemy of comprehensibility"*

## Blueprint

*[...] In forward engineering the idea is that blueprints are developed by a designer whose job is to build a detailed design for a programmer to code up. That design should be sufficiently complete that all design decisions are laid out and the programming should follow as a pretty straightforward activity that requires little thought. [...]*

*Blueprints require much more sophisticated tools than sketches in order to handle the details required for the task. [...]*

*Forward engineering tools support diagram drawing and back it up with a repository to hold the information. [...]*

## ProgrammingLanguage

*If you can detail the UML enough, and provide semantics for everything you need in software, you can make the UML be your programming language.*

*Tools can take the UML diagrams you draw and compile them into executable code.*

*The promise of this is that UML is a higher level language and thus more productive than current programming languages.*

*The question, of course, is whether this promise is true. I don't believe that graphical programming will succeed just because it's graphical. [...]*

Claim:

- This
- but a

# *UML-Mode of the Lecture: As Blueprint*

---

- The “mode” fitting the lecture best is **AsBlueprint**.

## **Goal:**

- be precise to **avoid misunderstandings**.
- allow formal **analysis of consistency/implication** on the **design level** – find errors early.

Yet we tried to be consistent with the (informal semantics) from the standard documents **OMG (2007a,b)** as far as possible.

## **Plus:**

- Being precise also helps to work in mode **AsSketch**:

Knowing “the real thing” should make it easier to


- (i) “see” which blueprint(s) the sketch is supposed to denote, and
- (ii) to ask meaningful questions to resolve ambiguities.

# *Tell Them What You've Told Them...*

---

- We can use **tools like Uppaal** to
  - **check** and **verify** CFA **design models** against requirements.
- **CFA** (and state charts)
  - can easily be **implemented** using the translation scheme.
- **Wanted**: verification results **carry over** to the implementation.
  - if code is **not generated** automatically,  
verify **code** against **model**.
- **UML State Machines** are
  - principally the same thing as CFA,  
yet provide more convenient syntax.
  - **Semantics** uses
    - **asynchronous** communication,
    - **run-to-completion** steps

in contrast to CFA.

(We could define the same for CFA, but then  
the Uppaal simulator would not be useful any more.)
- Mind **UML Modes**. 

# *Code Quality Assurance*

# Topic Area Code Quality Assurance: Content

---

- VL 14
  - **Introduction and Vocabulary**
    - Test case, test suite, test execution.
    - Positive and negative outcomes.
- VL 15
  - **Limits of Software Testing**
  - **Glass-Box Testing**
    - Statement-, branch-, term-**coverage**.
  - **Other Approaches**
    - **Model-based testing**,
    - **Runtime verification**.
  - **Software quality assurance**  
in a **larger scope**.
- VL 16
  - **Program Verification**
    - partial and total **correctness**,
    - **Proof System PD**.
- VL 17
  - **Review**

# Content (Part II)

---

- **Introduction**
  - quotes on testing,
  - systematic testing vs. 'rumprobieren'.
- **Test Case**
  - definition,
  - execution,
  - **positive** and **negative**.
- The **Specification** of a Software
- **Test Suite**
- More **Vocabulary**

# *Testing: Introduction*



# Quotes On Testing

“Testing is the execution of a program with the goal to discover errors.”

(G. J. Myers, 1979)

?

“Testing is the demonstration of a program or system with the goal to show that it does what it is supposed to do.”

(W. Hetzel, 1984)

vorführen

||

“Software testing can be used to show the presence of bugs, but never to show their absence!”

(E. W. Dijkstra, 1970)

≈ beweisen

**Rule-of-thumb:** (fairly systematic) tests discover half of all errors.

(Ludewig and Lichter, 2013)

## Recall:

**Definition.** **Software** is a finite description  $S$  of a (possibly infinite) set  $\llbracket S \rrbracket$  of (finite or infinite) **computation paths** of the form  $\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \cdots$  where

- $\sigma_i \in \Sigma, i \in \mathbb{N}_0$ , is called **state** (or **configuration**), and
- $\alpha_i \in A, i \in \mathbb{N}_0$ , is called **action** (or **event**).

The (possibly partial) function  $\llbracket \cdot \rrbracket : S \mapsto \llbracket S \rrbracket$  is called **interpretation** of  $S$ .

- From now on, we assume that **states** consist of an **input** and an **output/internal** part, i.e., there are  $\Sigma_{in}$  and  $\Sigma_{out}$  such that

$$\Sigma = \Sigma_{in} \times \Sigma_{out}.$$

- **Computation paths** are then of the form

$$\pi = \begin{pmatrix} \sigma_0^i \\ \sigma_0^o \end{pmatrix} \xrightarrow{\alpha_1} \begin{pmatrix} \sigma_1^i \\ \sigma_1^o \end{pmatrix} \xrightarrow{\alpha_2} \cdots$$

- We use  $\pi \downarrow \Sigma_{in}$  to denote  $\pi = \sigma_0^i \xrightarrow{\alpha_1} \sigma_1^i \xrightarrow{\alpha_2} \cdots$ , i.e. the **projection** of  $\pi$  onto  $\Sigma_{in}$ .

**Definition.** A **test case**  $T$  over  $\Sigma$  and  $A$  is a pair  $(In, Soll)$  consisting of

- a description  $In$  of sets of finite **input sequences**,
- a description  $Soll$  of **expected outcomes**,

and an interpretation  $\llbracket \cdot \rrbracket$  of these descriptions:

- $\llbracket In \rrbracket \subseteq (\Sigma_{in} \times A)^*$ ,  $\llbracket Soll \rrbracket \subseteq (\Sigma \times A)^* \cup (\Sigma \times A)^\omega$

## Examples:

- Test case for procedure `strlen` :  $String \rightarrow \mathbb{N}$ ,  $s$  denotes parameter,  $r$  return value:

$$T = (\underbrace{s = \text{"abc"}}_{In}, \underbrace{r = 3}_{Soll})$$

$$\llbracket s = \text{"abc"} \rrbracket = \{\sigma_0^i \xrightarrow{\tau} \sigma_1^i \mid \sigma_0(s) = \text{"abc"}\}, \quad \llbracket r = 3 \rrbracket = \{\sigma_0 \xrightarrow{\tau} \sigma_1 \mid \sigma_1(r) = 3\},$$

**Shorthand notation:**  $T = (\text{"abc"}, 3)$ .

- “Call `strlen()` with string `"abc"`, expect return value 3.”

**Definition.** A **test case**  $T$  over  $\Sigma$  and  $A$  is a pair  $(In, Soll)$  consisting of

- a description  $In$  of sets of finite **input sequences**,
- a description  $Soll$  of **expected outcomes**,

and an interpretation  $\llbracket \cdot \rrbracket$  of these descriptions:

- $\llbracket In \rrbracket \subseteq (\Sigma_{in} \times A)^*$ ,  $\llbracket Soll \rrbracket \subseteq (\Sigma \times A)^* \cup (\Sigma \times A)^\omega$

## Examples:

- Test case for vending machine.

$$T = (C50, WATER; DWATER)$$

$$\llbracket C50, WATER \rrbracket = \{\sigma_0^i \xrightarrow{C50} \sigma_1^i \xrightarrow{\tau} \dots \xrightarrow{\tau} \sigma_{j-1}^i \xrightarrow{WATER} \sigma_j^i\},$$

$$\llbracket DWATER \rrbracket = \{\sigma_0 \xrightarrow{\alpha_1} \dots \xrightarrow{\alpha_k} \sigma_{k-1} \xrightarrow{DWATER} \sigma_k \mid k \leq 10\},$$

- “Send event  $C50$  and any time later  $WATER$ , expect  $DWATER$  after 10 steps the latest.”

**Definition.** A **test case**  $T$  over  $\Sigma$  and  $A$  is a pair  $(In, Soll)$  consisting of

- a description  $In$  of sets of finite **input sequences**,
- a description  $Soll$  of **expected outcomes**,

and an interpretation  $\llbracket \cdot \rrbracket$  of these descriptions:

- $\llbracket In \rrbracket \subseteq (\Sigma_{in} \times A)^*$ ,  $\llbracket Soll \rrbracket \subseteq (\Sigma \times A)^* \cup (\Sigma \times A)^\omega$

## Note:

- **Input sequences** can consider
  - input data, possibly with timing constraints,
  - other interaction, e.g., from network,
  - initial memory content,
  - etc.
- **Input sequences** may leave degrees of freedom to tester.
- **Expected outcomes** may leave degrees of freedom to system.

# Executing Test Cases

- A computation path

$$\pi = \begin{pmatrix} \sigma_0^i \\ \sigma_0^o \end{pmatrix} \xrightarrow{\alpha_1} \begin{pmatrix} \sigma_1^i \\ \sigma_1^o \end{pmatrix} \xrightarrow{\alpha_2} \dots$$

from  $\llbracket S \rrbracket$  is called execution of test case  $(In, Soll)$  if and only if

- there is  $n \in \mathbb{N}$  such that  $\sigma_0 \xrightarrow{\alpha_1} \dots \xrightarrow{\alpha_n} \sigma_n \downarrow \Sigma_{in} \in \llbracket In \rrbracket$ .

(“A prefix of  $\pi$  corresponds to an input sequence”).

Execution  $\pi$  of test case  $T$  is called

- **successful** (or **positive**) if and only if  $\pi \notin \llbracket Soll \rrbracket$ .
  - Intuition: an error has been discovered.
  - Alternative: test item  $S$  **failed to pass the test**.
  - Confusing: “test failed”.
- **unsuccessful** (or **negative**) if and only if  $\pi \in \llbracket Soll \rrbracket$ .
  - Intuition: no error has been discovered.
  - Alternative: test item  $S$  **passed the test**.
  - Okay: “test passed”.

# Not Executing Test Cases

---

- Consider the test case

$$T = ("", 0)$$

for procedure `strlen`.

("Empty string has length 0.")

- A tester observes the following software behaviour:

$$\pi = \underbrace{\{s \mapsto \text{NULL}, r \mapsto 0\}}_{=\sigma_0} \xrightarrow{\tau} \underbrace{\text{program-abortion}}_{\sigma_1}$$

- Test execution **positive** or **negative**?

## *By The Way... (Good Design)*

---

- **High quality software** should be aware of its specification. and “**complain**” if operated outside of specification, e.g.

- throw an exception,
- abort program execution,
- (at least) print an error message,
- etc.

**Not:** “garbage in, garbage out”

- **Example:** `strlen(3)` (C standard)
  - Allowed inputs are C-strings, return value is an integer,
  - NULL is not a C-string!
  - Thus, on input NULL, “complain” instead of just return an arbitrary number.



# Test Suite

---

- A **test suite** is a finite set of test cases  $\{T_1, \dots, T_n\}$ .
- An **execution** of a **test suite** is a set of computation paths, such that there is at least one execution for each test case.
- An **execution** of a **test suite** is called **positive** if and only if at least one test case execution is **positive**.  
Otherwise, it is called **negative**.

# Tell Them What You've Told Them...

---

- **Testing** is about
    - finding errors, or
    - demonstrating scenarios.
  - A **test case** consists of
    - **input sequences** and
    - **expected outcome(s)**.
  - A test case **execution** is
    - **positive** if an error is found,
    - **negative** if no error is found.
- 
- A **test suite** is a set of test cases.
  - Distinguish (among others),
    - **glass-box test**: structure (or source code) of test item available,
    - **black-box test**: structure not available.



# *References*

# References

---

Arenis, S. F., Westphal, B., Dietsch, D., Muñiz, M., and Andisha, A. S. (2014). The wireless fire alarm system: Ensuring conformance to industrial standards through formal verification. In Jones, C. B., Pihlajasaari, P., and Sun, J., editors, *FM 2014: Formal Methods - 19th International Symposium, Singapore, May 12-16, 2014. Proceedings*, volume 8442 of *LNCS*, pages 658–672. Springer.

Arenis, S. F., Westphal, B., Dietsch, D., Muñiz, M., Andisha, A. S., and Podelski, A. (2016). Ready for testing: ensuring conformance to industrial standards through formal verification. *Formal Asp. Comput.*, 28(3):499–527.

Booch, G. (1993). *Object-oriented Analysis and Design with Applications*. Prentice-Hall.

Dobing, B. and Parsons, J. (2006). How UML is used. *Communications of the ACM*, 49(5):109–114.

Glinz, M. (2008). Modellierung in der Lehre an Hochschulen: Thesen und Erfahrungen. *Informatik Spektrum*, 31(5):425–434.

Harel, D. (1987). Statecharts: A visual formalism for complex systems. *Science of Computer Programming*, 8(3):231–274.

Harel, D., Lachover, H., et al. (1990). Statemate: A working environment for the development of complex reactive systems. *IEEE Transactions on Software Engineering*, 16(4):403–414.

Jacobson, I., Christerson, M., and Jonsson, P. (1992). *Object-Oriented Software Engineering - A Use Case Driven Approach*. Addison-Wesley.

Ludewig, J. and Lichter, H. (2013). *Software Engineering*. dpunkt.verlag, 3. edition.

OMG (2007a). Unified modeling language: Infrastructure, version 2.1.2. Technical Report formal/07-11-04.

OMG (2007b). Unified modeling language: Superstructure, version 2.1.2. Technical Report formal/07-11-02. 61/61