

# Math 401: Midterm 2 Study Guide

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# Chapter 3: The Real Numbers

## Definitions & Theorems

**Theorem 10.6(2) (Principle of Mathematical Induction)**  $P(n)$  is true for all  $n > m$ ,  $n, m \in \mathbb{N}$  provided

- (a)  $P(m)$  is true, and
- (b) for each  $k \in \mathbb{N} \geq m$ , if  $P(k)$  is true, then  $P(k + 1)$  is true.

Note: If  $m = 1$ , then  $P(n)$  is true for all  $n \in \mathbb{N}$ .

**Theorem 11.9d (Triangle Inequality)** Let  $x, y \in \mathbb{R}$ . Then  $|x + y| \leq |x| + |y|$ .

**Theorem 12.1** Let  $p$  be a prime number. Then  $\sqrt{p}$  is not a rational number.

**Definition 12.2 (Upper / Lower Bound)** If there exists a real number  $m$  such that  $m \geq s$  for all  $s \in S$ , then  $m$  is an *upper bound* of  $S$ , and we say  $S$  is "bounded above"

Flip for *lower bound*.

**Definition 12.2 (Maximum / Minimum)** If there exists an upper (lower) bound  $m$  of  $S$  that is a member of  $S$ , then  $m$  is the *maximum* (*minimum*) of  $S$ .

**Definition 12.5 (Supremum / Infimum)** An upper (lower) bound  $m$  of a non-empty subset of  $\mathbb{R}$  is called the *supremum* (*infimum*) or *least upper* (*greatest lower*) *bound* of  $S$  iff

if for all  $m' < m$ , there exists an  $s \in S$  such that  $s > m'$ .

Flip for infimum.

**Axiom (Completeness of the Reals)** Every non-empty subset of  $\mathbb{R}$  that is bounded above (below) has a supremum (infimum).

**Theorem 12.9(10) (The Archimedean Property)** The following are equivalent:

- (a) The set  $\mathbb{N}$  of natural numbers is unbounded above in  $\mathbb{R}$ .
- (b) For each  $z \in \mathbb{R}$ , there exists an  $n \in \mathbb{N}$  such that  $n > z$ .
- (c) For each  $x > 0$  and for each  $y \in \mathbb{R}$ , there exists an  $n \in \mathbb{N}$  such that  $nx > y$ .
- (d) For each  $x > 0$ , there exists an  $n \in \mathbb{N}$  such that  $0 < \frac{1}{n} < x$ .

**Theorems 12.12 and 12.13** If  $x$  and  $y$  are real numbers and  $x < y$ , then there exists both an irrational number  $i$  such that  $x < i < y$ , and a rational number  $r$  such that  $x < r < y$ .

**Definition 13.3 (Interior Point)** A point  $x$  in  $\mathbb{R}$  is an *interior point* of  $S$  if there exists a (non-deleted) neighborhood  $N$  of  $x$  such that  $N \subseteq S$ .

**Definition 13.3 (Boundary Point)** A point  $x$  in  $\mathbb{R}$  is a *boundary point* of  $S$  if for every (non-deleted) neighborhood  $N$  of  $x$ , we have  $N \cap S \neq \emptyset$  and  $N \cap S^c \neq \emptyset$ .

**Definition 13.6 (Open / Closed Sets)** Let  $S \in \mathbb{R}$ .

If  $\text{bd } S \subseteq S$ , then  $S$  is *closed*.

If  $\text{bd } S \subseteq S^c$ , then  $S$  is *open*.

**Definition 13.14 (Accumulation / Isolated Points)** A point  $x \in \mathbb{R}$  is an *accumulation point* of  $S$  if every deleted neighborhood of  $x$  contains a point in  $S$ .

Otherwise,  $x$  is an *isolated point* of  $S$ .

**Definition 14.1 (Open Cover)** A family  $\mathcal{F}$  of open sets is an *open cover* of the set  $S$  if  $S \subseteq \bigcup \mathcal{F}$ .

**Definition 14.1 (Subcover)** If  $\mathcal{G} \subseteq \mathcal{F}$ , and  $\mathcal{G}$  is also an open cover of  $S$ , then  $\mathcal{G}$  is a *subcover* of  $S$ .

**Definition 14.1 (Compact Set)** The set  $S$  is called *compact* if every open cover of  $S$  contains a finite subcover.

**Theorem 14.5 (Heine-Borel)** A subset  $S$  of  $\mathbb{R}$  is compact iff  $S$  is closed and bounded.



# Chapter 4: Sequences

## Definitions & Theorems

**Definition 16.2 (Convergence)** A sequence  $s_n$  is said to *converge* to a number  $L$  if for every  $\epsilon > 0$  there exists a number  $N \in \mathbb{N}$  such that if  $n > N$ , then  $|s_n - L| < \epsilon$ .

**Theorem 16.13** Every convergent sequence is bounded.

**Theorem 16.14** If a sequence converges, its limit is unique.

**Theorem 17.1 (Fundamental Limit Theorems)** Suppose that  $(s_n)$  and  $(t_n)$  are convergent sequences with limits  $s$  and  $t$ . Then

- (a)  $\lim_{n \rightarrow \infty} (s_n + t_n) = s + t$
- (b)  $\lim_{n \rightarrow \infty} ks_n = ks$  and  $\lim_{n \rightarrow \infty} (k + s_n) = k + s$  for any  $k \in \mathbb{R}$ .
- (c)  $\lim_{n \rightarrow \infty} s_n t_n = st$ .
- (d)  $\lim_{n \rightarrow \infty} \frac{s_n}{t_n} = \frac{s}{t}$ .

**Definition 18.1 (Monotone)** A sequence is *monotone* if either  $s_n \leq s_{n+1}$  (increasing) or  $s_n \geq s_{n+1}$  (decreasing) for all  $n \in \mathbb{N}$ .

**Theorem 18.3 (Monotone Convergence Theorem)** A monotone sequence is convergent iff it is bounded.

**Definition 18.9 (Cauchy Sequence)** A sequence  $(s_n)$  is a *Cauchy sequence* if for all  $\epsilon > 0$ , there exists a number  $N \in \mathbb{N}$  such that if  $n, m > N$ , then  $|s_n - s_m| < \epsilon$ .

**Theorem 18.12 (Cauchy Convergence Criterion)** A sequence of real numbers is convergent iff it is a Cauchy sequence.

**Definition 19.1 (Subsequence)** Let  $(n_k)$  be a sequence of natural numbers such that  $n_1 < n_2 < \dots < n_k$ . Then  $s_{n_k}$  is a *subsequence* of  $s_n$ .

**Theorem 19.4** If a sequence  $(s_n)$  converges to a real number  $s$ , then any subsequence of  $(s_n)$  converges to  $s$ .

**Theorem 19.7** Every bounded sequence has at least one convergent subsequence.

**Definition 19.9 (Limit Superior / Inferior)** If  $S$  is the set of subsequential limits of a sequence  $(s_n)$ , the *limit superior*  $\limsup s_n = \sup(S)$ . The *limit inferior* is defined likewise with the infimum of  $S$ .