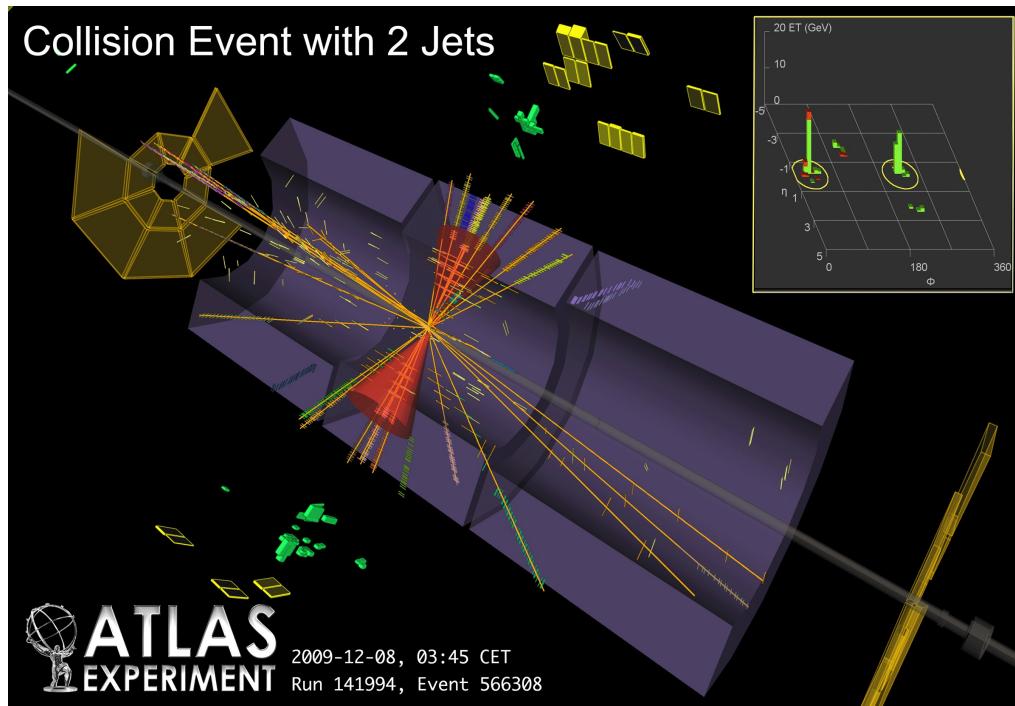


# Jet reconstruction

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- What are jets?
- How can we relate the measured jets to the underlying physics process?
- Calibration of jets
- Tagging of jets



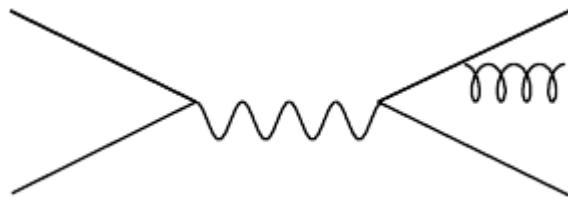
# What are jets?

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Jets for non- particle physicists

Jets for theorists

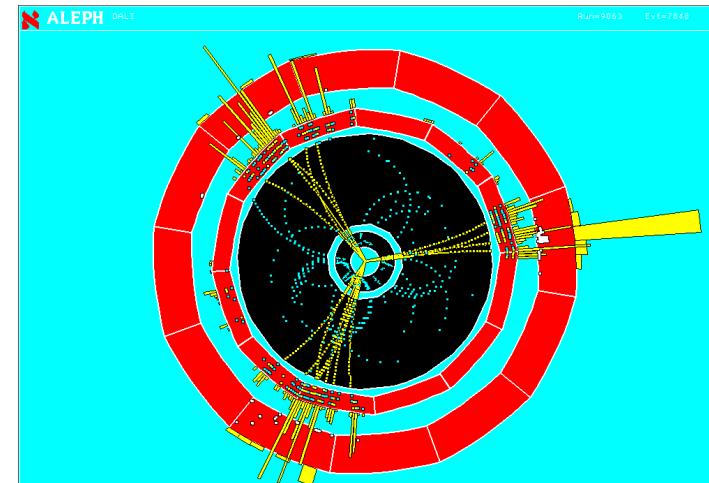
- jets of partons: gluons, quarks



Jets for experimentalists

- bunch of particles generated by hadronization of a common source: quark, gluon fragmentation  
→ the particles in this bunch have correlated kinematic properties
- observables in the detector: protons, neutrons, pions, photons, electrons, muons,  
plus other particles with lifetime > 10 ps
- non-interacting particles do not generate a signal – mostly neutrinos

ALEPH:  $e^+e^- \rightarrow 3$  jets

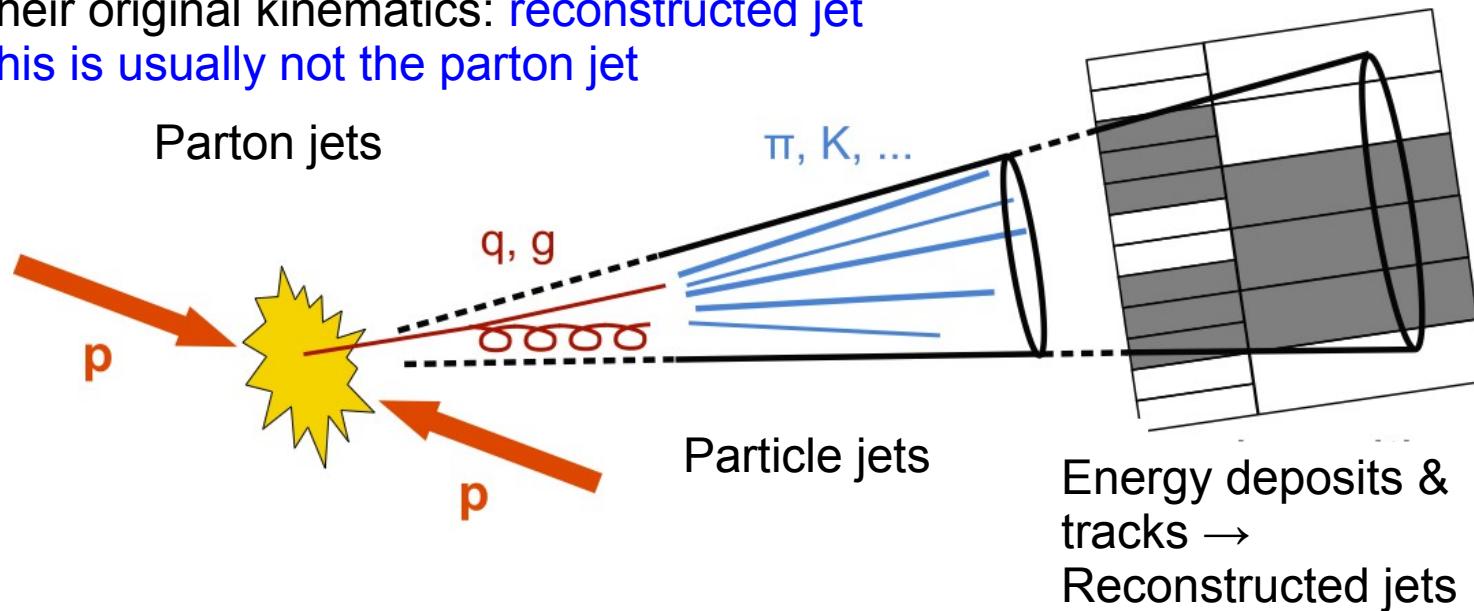


# What are jets?

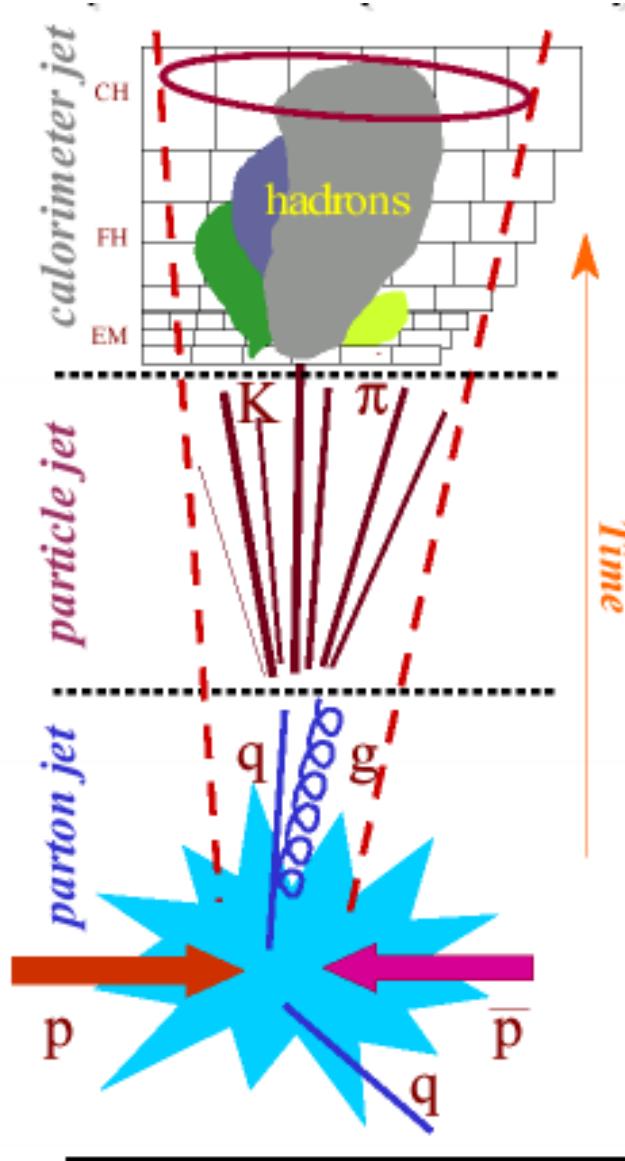
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## Jet reconstruction

- Theory: cluster partons (often a jet with just one parton): **parton jets**
- Model/Simulation: collect the final state particles ( $p$ ,  $n$ ,  $\gamma$ ,  $\pi$ ,  $\mu$ ,  $e$  and other particles with lifetime  $> 10$  ps) into objects (jets) representing the original parton kinematic, re-establishing the correlations: **particle jet**
- Experiment: attempt to collect the detector signals from these particles to measure their original kinematics: **reconstructed jet**  
**this is usually not the parton jet**



# Jet in an experiment



## Jet Reconstruction Challenges

- longitudinal energy leakage
- detector signal inefficiencies (dead channels, HV...)
- pile-up noise from (off- and in-time) bunch crossings
- electronic noise
- signal definition in calorimeter (clustering, noise suppression...)
- dead material losses (front, cracks, transitions...)
- detector response characteristics ( $e/h \neq 1$ )
- jet reconstruction algorithm efficiency
- lost soft tracks due to magnetic field
- tracks from underlying event
- tracks from pile-up events (more than one collision)
- jet reconstruction algorithm efficiency

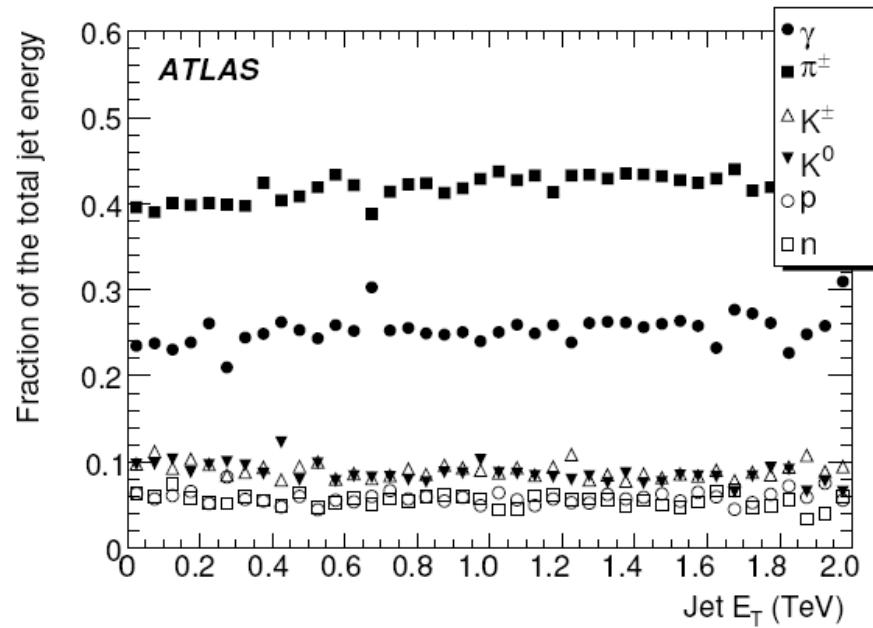
# What are jets?

---

## Jets for experimentalists

- observables in the detector: protons, neutrons, pions, photons, electrons, muons, other particles with lifetime  $> 10 \text{ ps}$
- non-interacting particles do not generate a signal – mostly neutrinos

Fraction of total jet energy mostly carried by pions ( $\pi^\pm$  or  $\pi^0 \rightarrow \gamma\gamma$ )



# Jets – why bother?

Jets are no clear observables as electrons, muons, missing energy ...  
but they are very important ingredients

## SM Physics with jets

- jet production ( $X+jets$ ), sensitive to strong coupling constant
- test of QCD at large energy scales

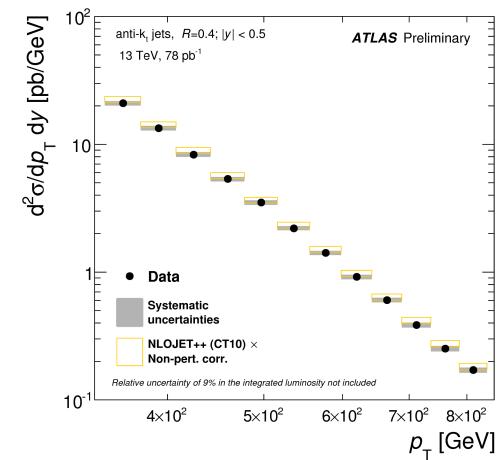
## SM Physics of jets

- jet structure – find sub-jets
- test of QCD at small energy scales

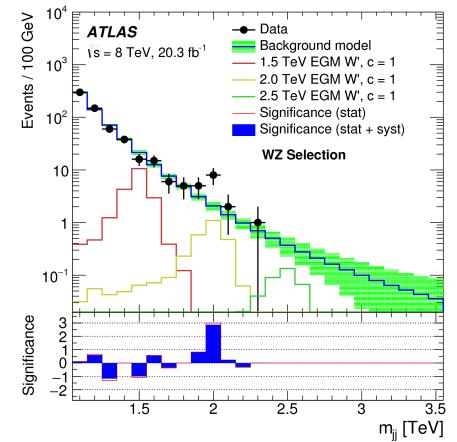
## QCD and jets are the key to New Physics

- new physics is likely to be born in a QCD process
- new physics often results in jets in final states
- most of the time, QCD is the major background

inclusive jet x-section at 13 TeV  
ATLAS-CONF-2015-034

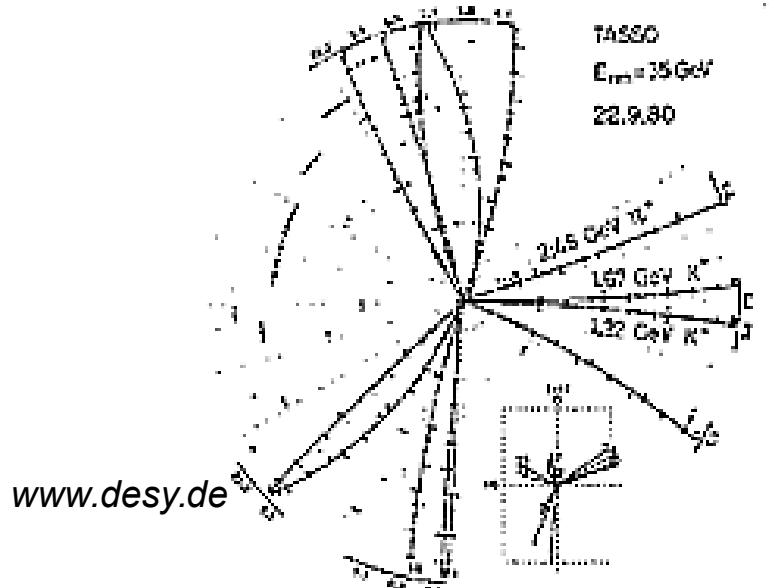


search for high mass boson  
arXiv:1506.00962



# TASSO: discovery of the gluon

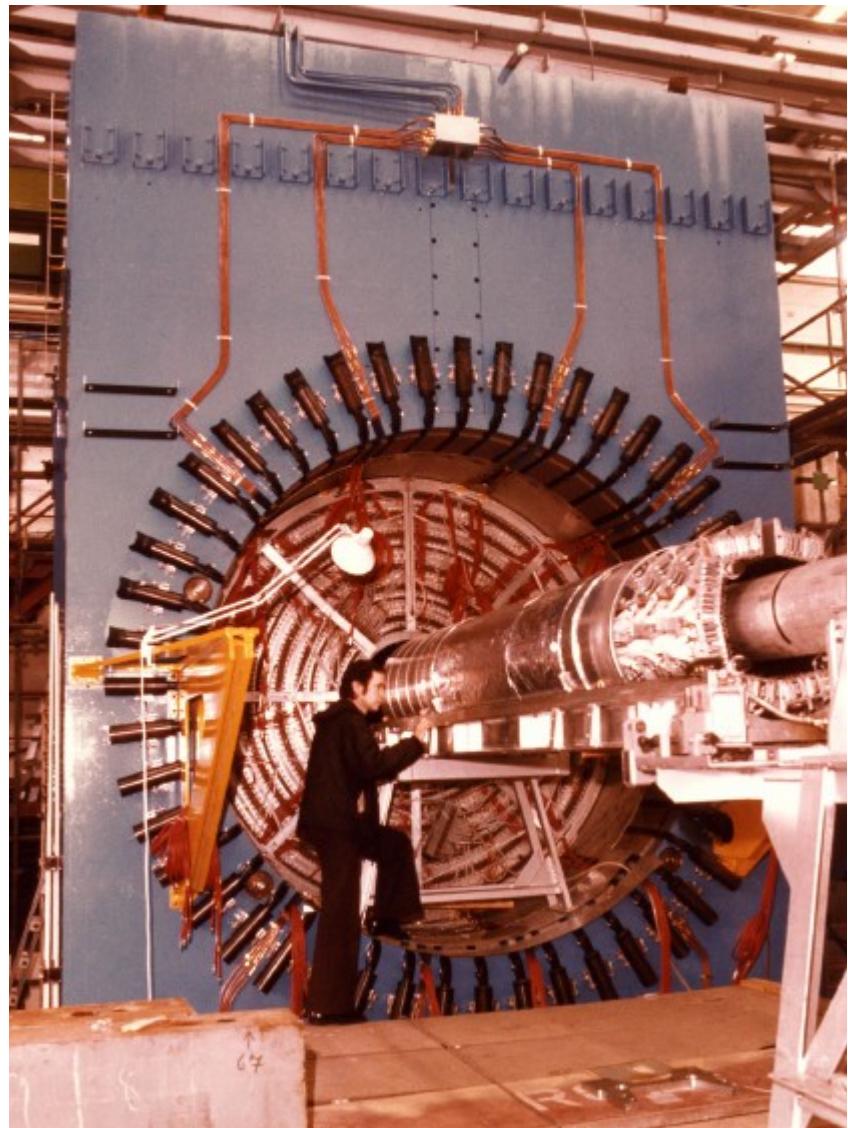
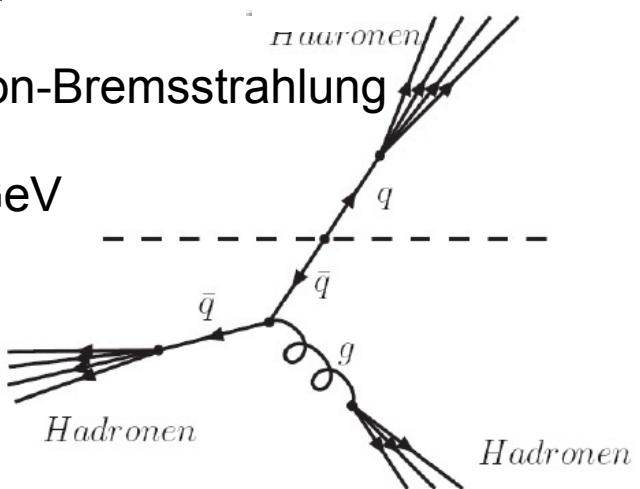
1979 observation: 3-jet event measured as TASSO



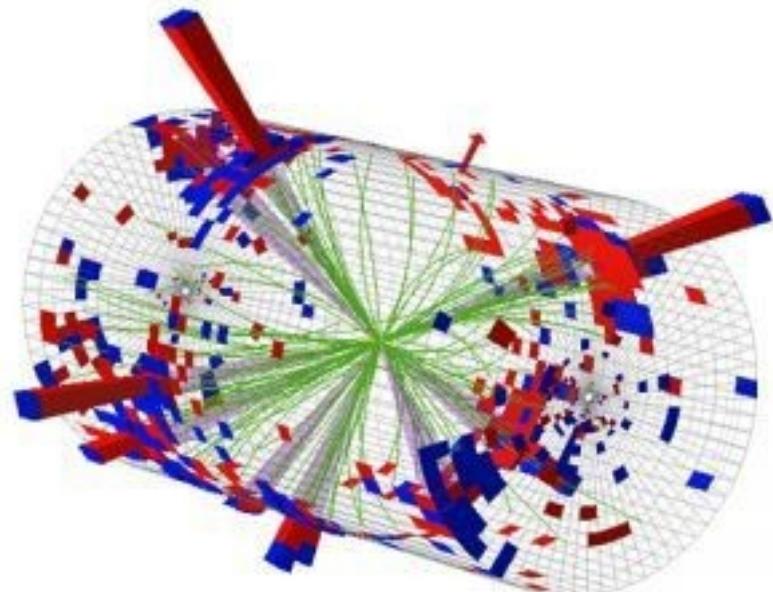
Interpretation: Gluon-Bremsstrahlung

$$e^+e^- \rightarrow q\bar{q}g$$

cms energy 27.4 GeV

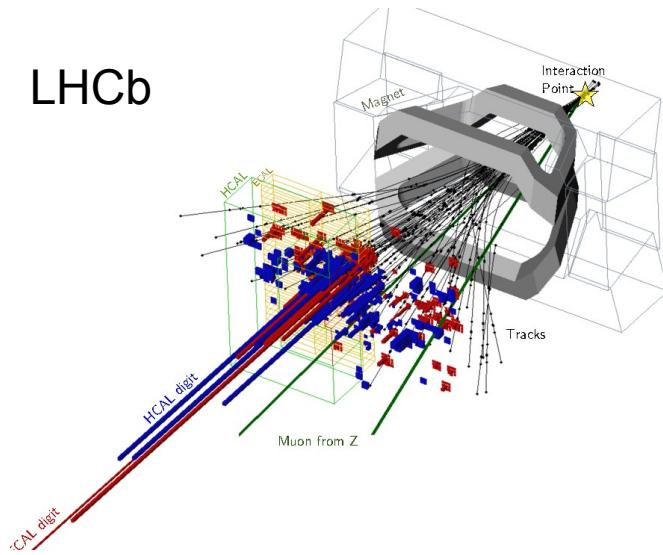


# Jets at LHC

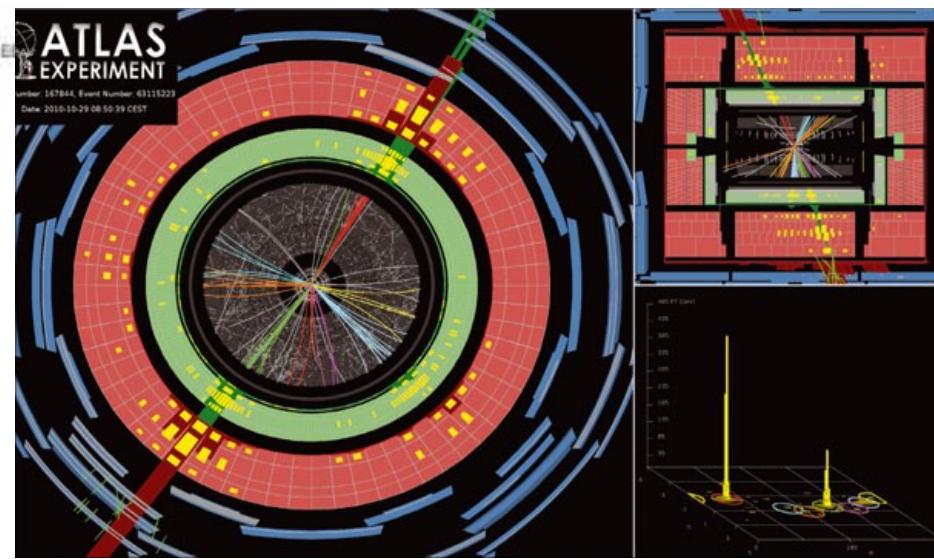


Jets:  
collimated, energetic bunches  
of particles

LHCb



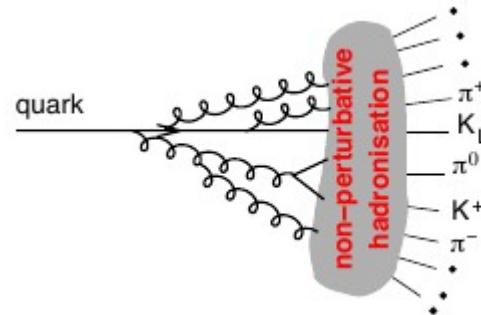
CMS Experiment at LHC, CERN  
Data recorded: Mon May 23 21:46:26 2011  
Run/Event: 165967 / 347499624  
Lumi section: 280  
Orbit/Crossing: 73255853 / 3161



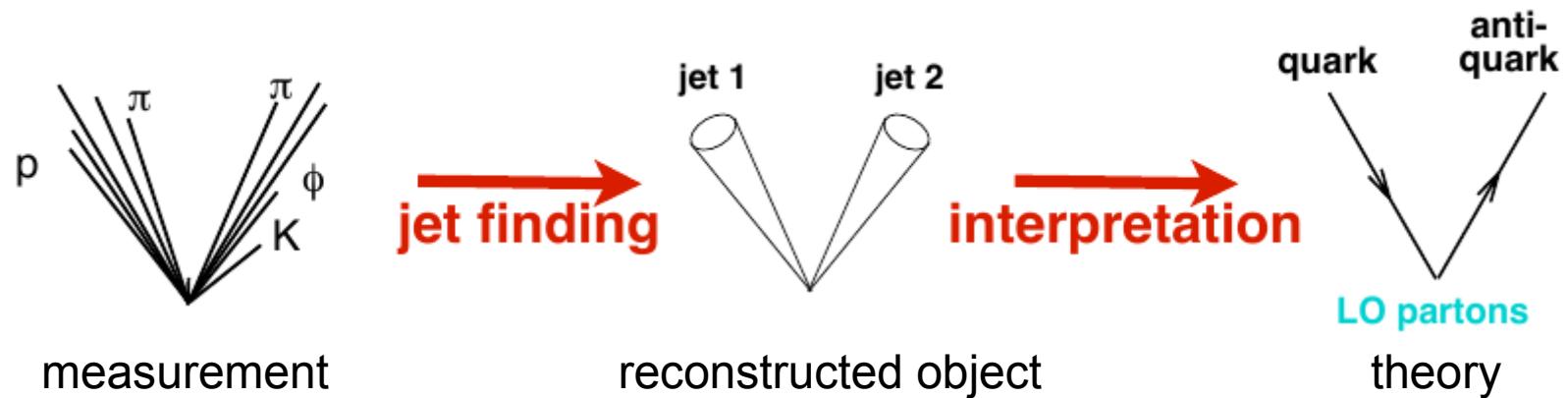
# Key aspects

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Jets get their structure through fragmentation and hadronisation



How do we reconstruct jets – correlation to underlying physics

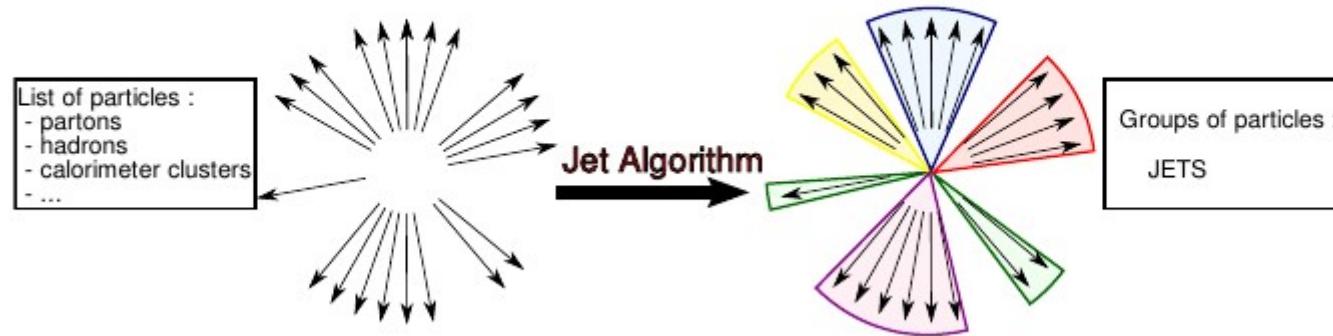


# Different levels of jets

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## Levels of jets

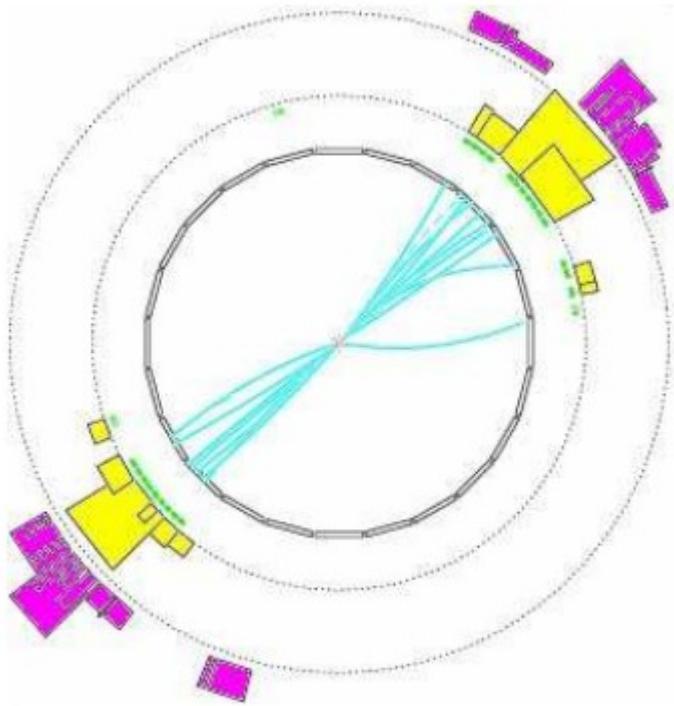
- parton jets – clean definition QCD perturbative
- hadron jets contains objects with low transverse momentum, non perturbative QCD
- reconstructed (experimental) jets – thresholds, noise ..
- ultimate goal: relate experimental to parton jets



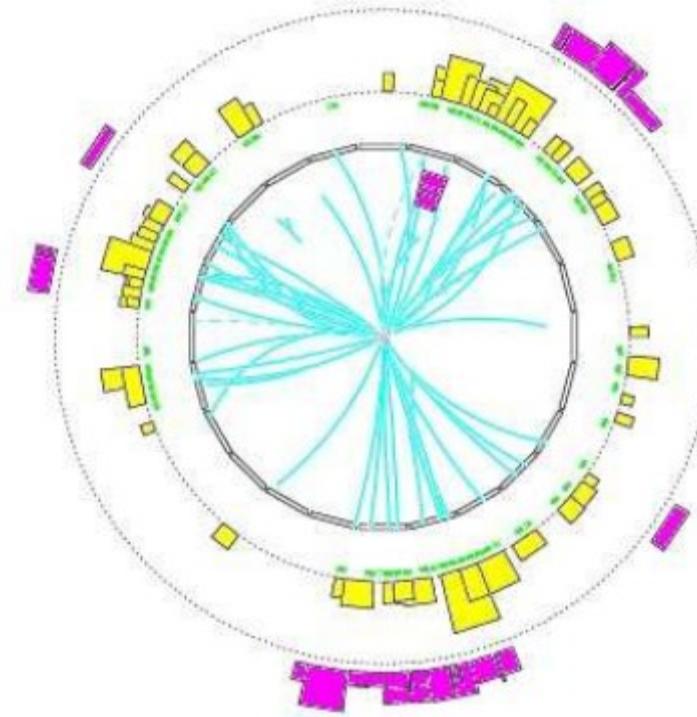
- level independence: parton, hadron, experiment
- infrared & collinear safe

# Seeing jets

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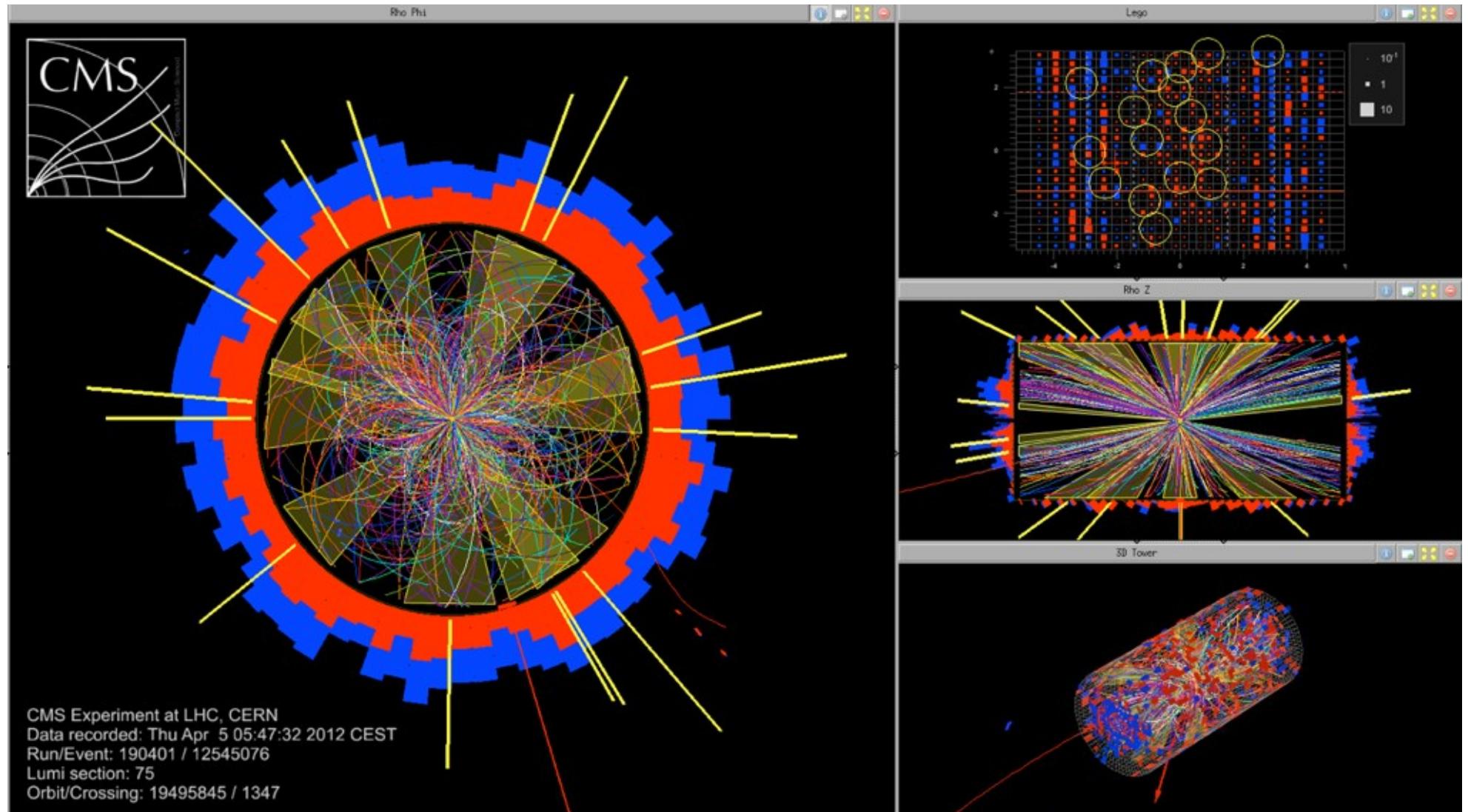
Clearly two jets



How many jets?

need to define clever algorithms to use the jet information

# how many jets??



# Jet definition: validity

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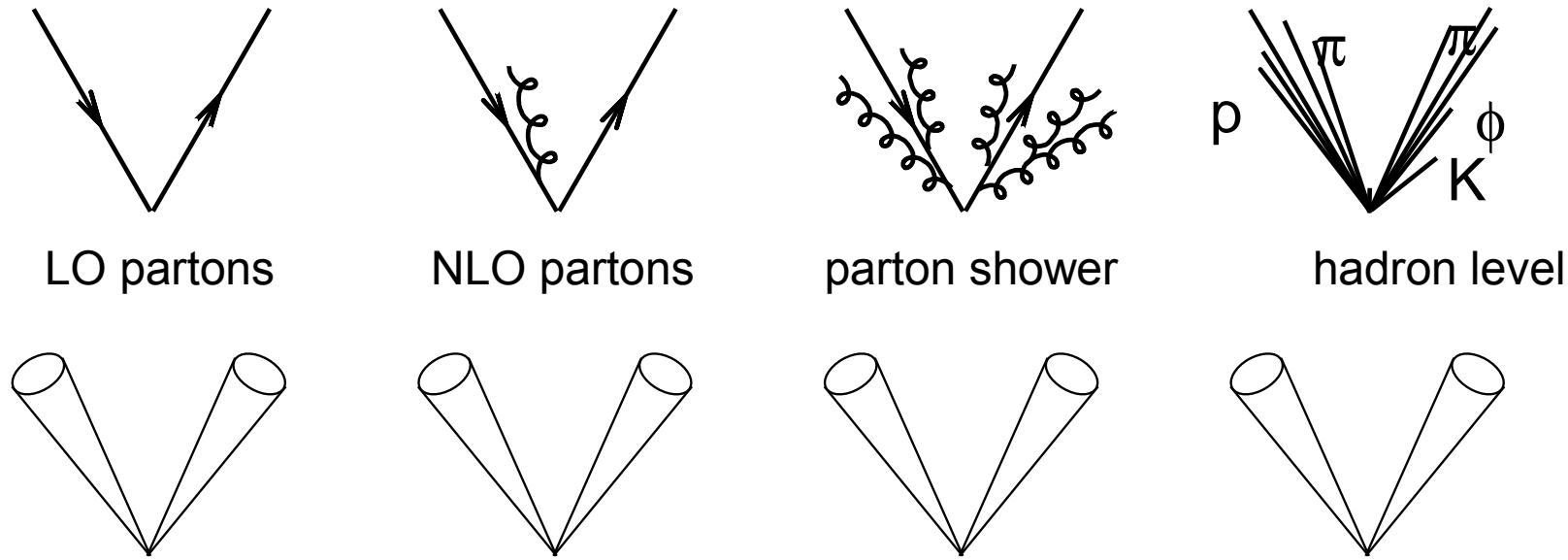


Illustration by G. Salam

In order to allow comparison to theory, jet definition should not be sensitive to soft effects from hadronisation and energy loss

**Important:** jet definition should not depend on QCD effects  
→ jets should be collinear safe and infrared safe

# Jet algorithm

---

jet reconstruction is unavoidably ambiguous and highly complex

1. which particles get put together into a common jet?
  - tracks
  - energy clusters
  - tracks and energy clusters
  - particle flow algorithm
2. choice of jet algorithm and parameters
  - size of jet, seed definition
3. how do you combine the momenta of the particles?
  - recombination scheme
  - most commonly used: direct 4-vector sums ( $E$ -scheme)

1-3 define the jet

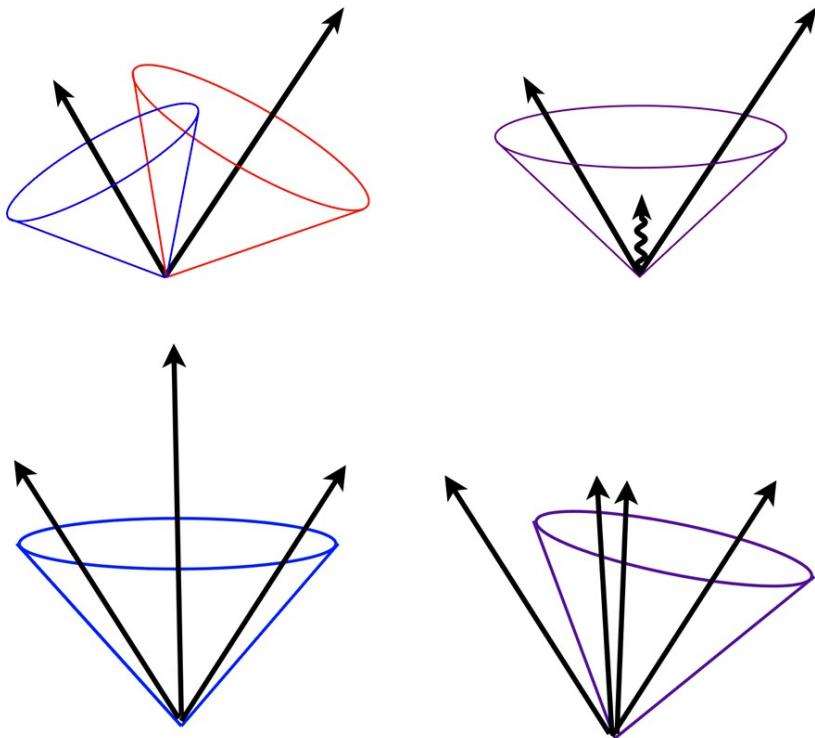
physics results (particle discovery, masses, PDFs, coupling) should be independent of your choice of jet definition

---

# Infrared and collinear safety

---

jet definition is ambiguous but  
jets should be invariant with respect to certain modifications of the event:  
**infrared and collinear safe**



**infrared safe:**  
configuration must not change when  
adding a further soft particle

**infrared unsafe:**  
after emission of soft gluon jets are  
merged: 2 jets → 1 jet

**collinear safe:**  
configuration does not change when  
substituting one particle with two  
collinear particles

**examples:** signal split into two towers  
decay  $\pi^0 \rightarrow \gamma\gamma$   
collinear emission of a gluon  
→ if jet energy and/or direction change  
algorithm is collinear unsafe

# Jet definition: collinear and infrared safe (IRC)

---

For an observable's distribution to be calculable in fixed-order perturbation theory, the observable should be infrared safe, i.e.

- insensitive to the emission of soft or collinear ( $\theta \rightarrow 0$ ) gluons .
- insensitive to splitting of signals

this guarantees the cancellation between real and virtual emission diagrams of the infrared and collinear divergences in every order of pQCD

Examples:

- multiplicities of gluons: not IRC – modified by soft and collinear splitting
- energy of hardest particle: not IRC – modified by collinear splitting
- energy flow into a cone is IRC – soft emissions don't change energy flow  
collinear emissions don't change the direction

# Jet algorithm guidelines

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- detector technology independence
- jet efficiency should not depend on detector technology
  - final jet calibration and corrections ideally unfolds all detector effects
- minimal contribution from spatial and energy resolution to reconstructed jet kinematics
  - unavoidable intrinsic detector limitations set limits
- stability within environment
  - detector noise should not affect jet reconstruction within reasonable limits
  - energy resolution limitation
  - avoid energy scale shift due to noise
  - stability with changing (instantaneous) luminosity at LHC
  - control of underlying event and pile-up signal contribution
- “easy” to calibrate and high reconstruction efficiency
  - identify all physically interesting jets from energetic partons in perturbative QCD
  - jet reconstruction in resonance decays
  - high efficiency to separate close-by jets from same particle decay

# Jet families

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Two categories of jet algorithms:

- 1) Cone jets (Cone, SisCone, MidCone) traditionally for hadron colliders
  - top-down approach, simple geometrical picture
  - simple geometrical motivation: draw cone radius  $R$  around starting point
  - find stable cones around seed, stable: jet 4-momentum along cone axis
  - split/merge jets: procedure for overlapping cones
  
- 2) Clustering: sequential recombination (Jade,  $k_T$ , anti- $k_T$ ) traditionally e+e-
  - bottom up approach, try to undo fragmentation and hadronisation
  - proto-jets
  - successive pairwise combination of proto-jets
  - define distance between constituents
  - combine first closest constituent

Sum particles inside jet: different prescriptions, most natural: sum 4-vectors

A jet is an object defined by an algorithm. If parameters are right it may approximate a parton

For a complete discussion, see: <http://www.lpthe.jussieu.fr/~salam/teaching/PhD-courses.html>

---

# Cone algorithms

---

Extremely slow if no seeds are used

## Iterative cone algorithm

- 1) start with  $p_T$  ordered list of objects
- 2) choose first object (highest  $p_T$ ) as seed
- 3) collect all objects within a cone of radius  $R$  around seed, typical  $R=0.5, 0.7$ 
  - 4) recalculate jet axis and use it as new seed
  - 5) repeat from 3) until stable axis  
there might be no stable solution!
- 6) declare constituents as a jet and remove them from the input list
- 7) repeat from 2) until list empty

faster: apply threshold on input list objects (save computing time and reduce noise)  
→ algorithm is neither infrared nor collinear safe

split/merge: if two jets overlap compute fraction of  $p_T$  in overlap region  
split if  $f > f_{cut}$  (typically  $f>0.75$ )

# Seeded algorithm

---

CMS: iterative cone with removal

- remove objects assigned to the cone from list
- find next surviving seed and new cone
- stop if no more seeds or stable cones

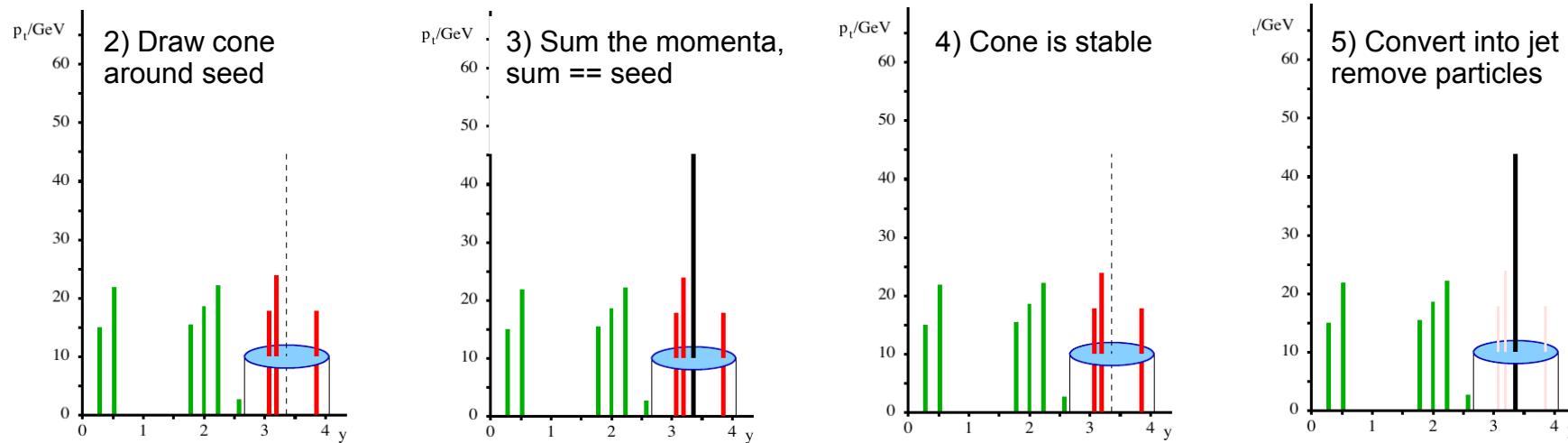
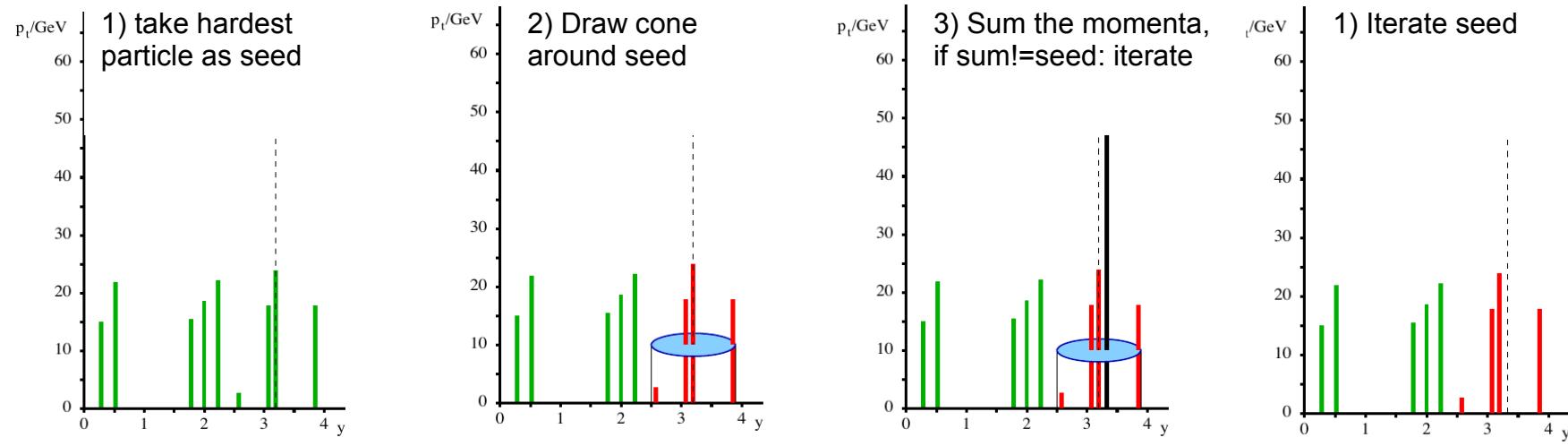
ATLAS: overlapping cones (ATLAS)

- find next seed and new cone until all seeds are exhausted
- apply split and merge procedure
- split and merge
  - merge jets if  $p_T$  of overlapping constituents above fraction  $f$  of  $p_T$  of lower  $p_T$  jet  
 $f = 50\%$  in ATLAS
  - otherwise split lower  $p_T$  jet
- assign split constituents to higher  $p_T$  jet

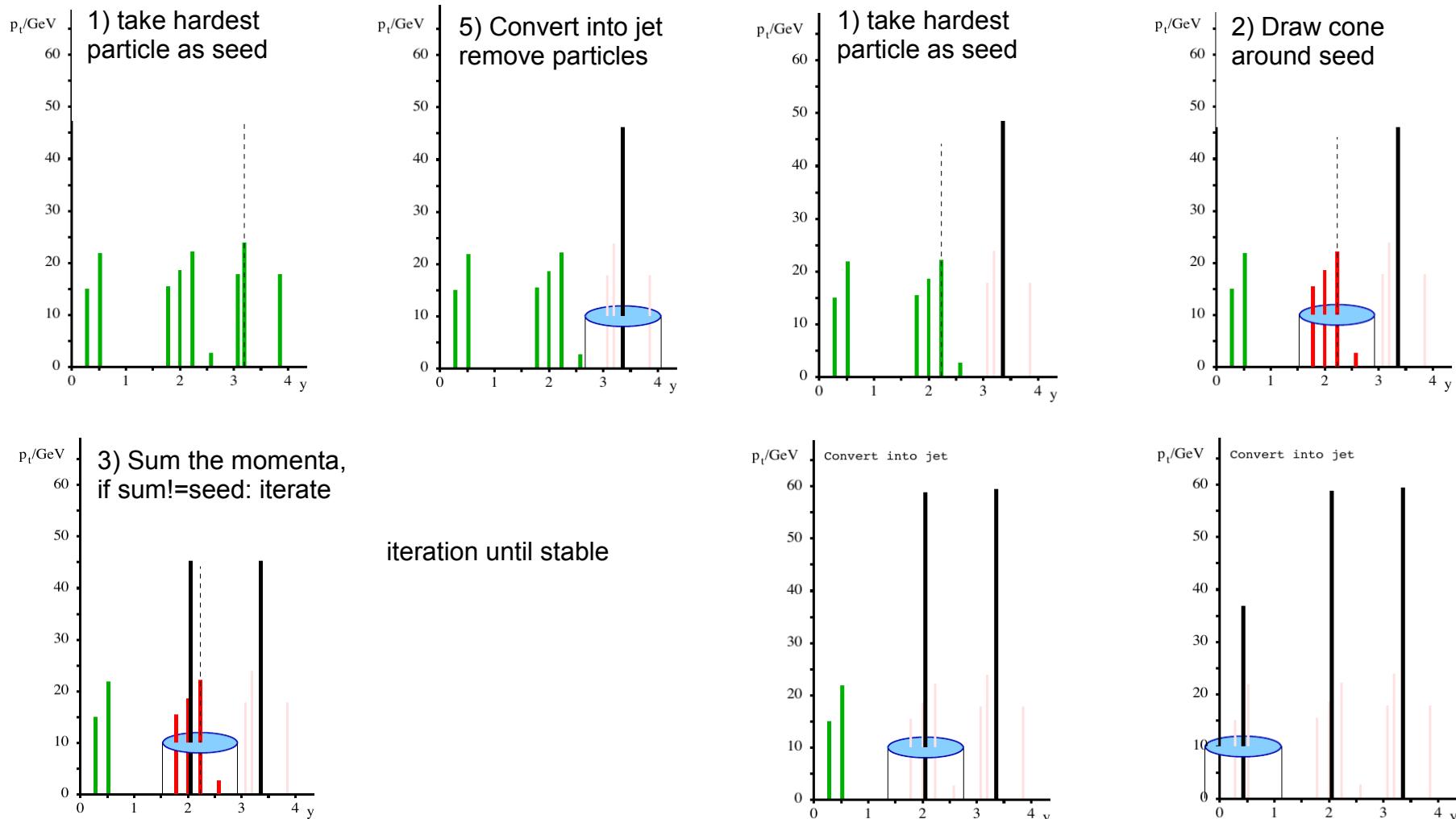
both algorithms are not collinear and infrared safe

variations: e.g., Mid-point cone at Tevatron, SisCone

# Example: CMS iterative cone with removal



# Example: CMS iterative cone with removal



but choice of hardest particle is collinear unsafe  
there is a work around SIScone (Seedless cone algorithm)

# Features of cone algorithm

---

- low energy hadrons are not all included in jets  
→ energy missing for event reconstruction
- + large part of underlying event/pileup rejected
- + jet shapes are usually round → corrections for detector effects easier

corrections (for all jet algorithms)

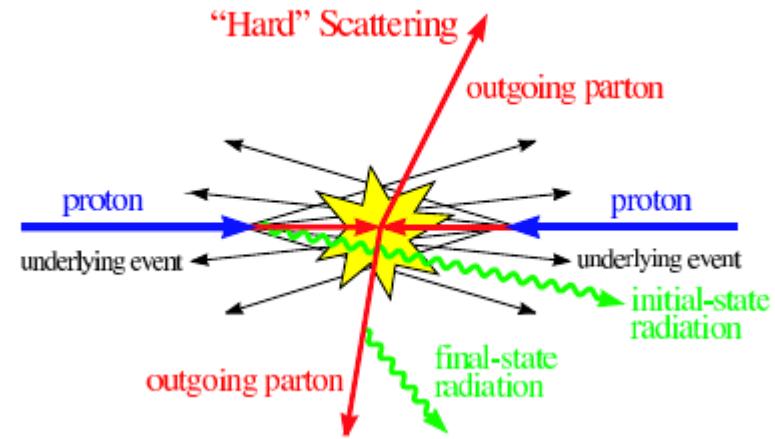
efficiencies

acceptance (detector edge effects)

pileup (multiple primary collisions)

underlying event

noise



underlying event: everything except the products of the hard collision

# Midpoint cone algorithms

---

similar to iterative cone, but:

objects assigned to proto-jets are not removed from input list  
(overlapping proto-jets are possible)

for each pair of proto-jets closer than  $R$  the midpoint is used as additional seed

overlapping energy of 2 proto-jets is larger than 50% of smaller one

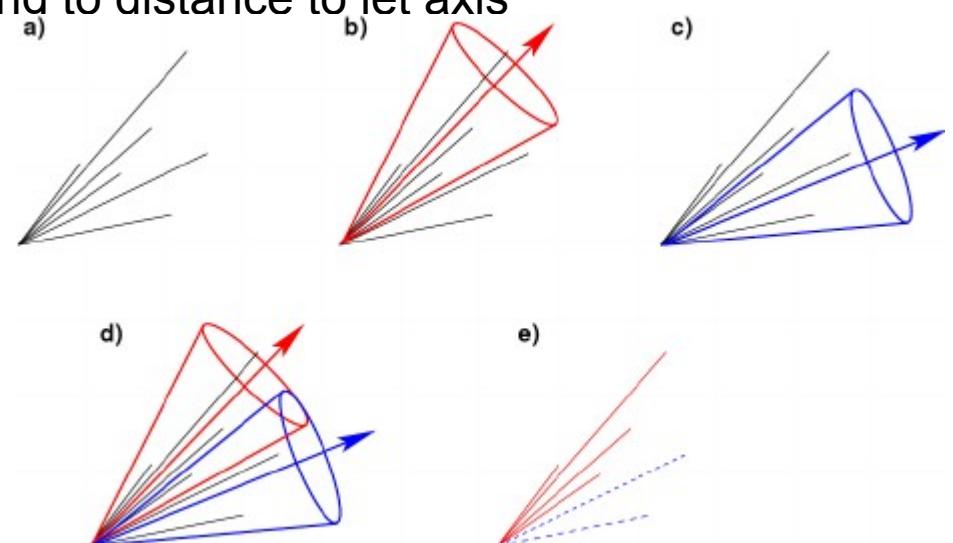
→ merge proto-jets

→ else: split overlapping constituents according to distance to jet axis

+ midpoint cone is infrared safe up to NLO

- midpoint cone is not collinear safe

- shape is not circular



# SIS Cone: seedless infrared-safe cone algorithm

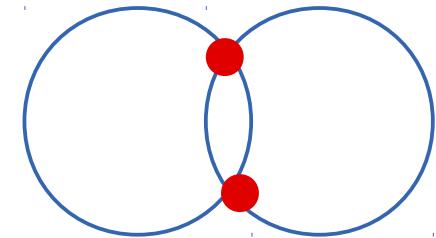
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- 1) find all stable cones with size R
  - for each two objects within  $2R$  check the two cones with both objects on the circumference for stability
  - stable: all particles defining the cone axis have  $d < R$   
all particles not belonging to cone have  $d > R$
- 2) some clusters might not be stable due to nearby jets → remove all objects from stable jets and repeat search for stable cones
- 3) splitting and merging similar to Midpoint Cone (but: scalar sum pT ordering)

code:<http://projects.hepforge.org/siscone/>

- + only slightly higher execution time compared to Midpoint Cone
- + collinear and infrared safe as long as there are no thresholds
- + acceptable computing performance ( $\sim N^2 \ln N$ )  
previous algorithms:  $\sim N^{2N} \rightarrow 10^{17}$  years for  $N=100$
- jet shapes not circular

SIS Cone used at LHC for cross checks



# Recursive algorithms (Jade, kT, anti-kt)

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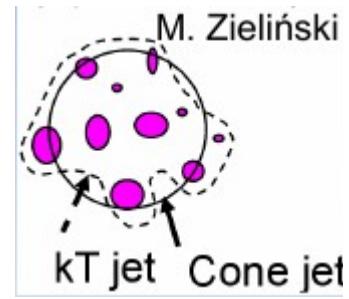
attempt to undo parton fragmentation:

iterative pairwise clustering to build larger objects

but: hadrons are colour singlets with integer charge

quarks and gluons are colour triplets/octets, quark charge 1/3, 2/3

→ quark/gluon “reconstruction” can never be exact



recursive algorithms:

- define distance measure  $d_{ij}$  for pair of particles (metric)
- define combination algorithm

combination algorithm

- calculate  $d_{ij}$  for all pairs and find  $d_{ij,min}$
- if  $d_{ij,min} < d_{cut}$ : recombine i and j
- if  $d_{ij,min} > d_{cut}$ : i is a jet and removed from list
- repeat until all pairs have a distance  $> d_{cut}$

definition of the distance parameter gives general behavior of the jet algorithm

$d_{cut}$  is a resolution parameter, it defines whether jets are coarse or fine objects

in general: jet shape not circular

# key ingredient: definition of distance measure

---

Jade – invariant mass: first algorithm

$$d_{ij} = m_{ij}^2 \approx 2E_i E_j (1 - \cos \theta_{ij})$$

kT/Durham algorithm – widely used at LEP (Catani, Dokshitzer, Olsson, Turnock & Webber 1991)

$$d_{ij} = 2\min(E_i^2, E_j^2)(1 - \cos \theta_{ij})$$

kT at hadron colliders:

un-seen beam remnants → introduce beam distance

$$d_{IB} = p_{Ti}^2 \text{ squared transverse momentum wrt beam}$$

use longitudinally invariant variables, eg pT, rapidity (y) and azimuth ( $\phi$ )

exclusive kT algorithm (Catani, Dokshitzer, Seymour & Webber 1993)

$$d_{ij} = \min(p_{Ti}^2, p_{Tj}^2) \Delta R_{ij}^2, \quad \Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

one cutoff parameter dcut – how to choose cut, no absolute scale as in e+e-

inclusive kT algorithm (Ellis&Soper 1993)

normalise with R → all jets are at least separated by R

$$d_{ij} = \min(p_{Ti}^2, p_{Tj}^2) \Delta R_{ij}^2 / R^2$$

two parameters R and dcut

hadron colliders: only # jets above threshold are IR safe

---

# Reformulate recombination algorithm: inclusive kT

kT at hadron colliders: inclusive **kT algorithm** (Ellis&Soper 1993)

two parameters R and  $p_{T,\min}$

metric:  $d_{ij} = \min(p_{Ti}^2, p_{Tj}^2) \Delta R_{ij}^2 / R^2$   $\Delta R^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$

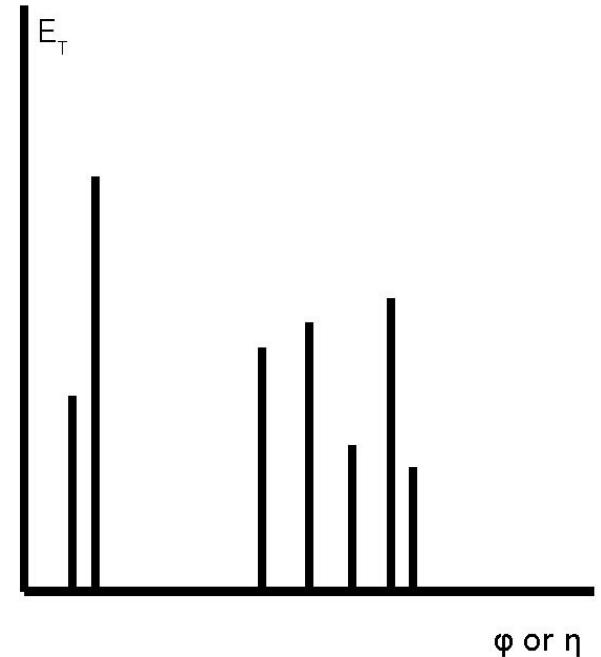
distance of object to beam:  $d_{iB} = p_{Ti}^2$

combination algorithm

- calculate  $d_{ij}$  for all pairs
- if  $d_{ij} < d_{iB}$ : recombine i and j
- if  $d_{ij} > d_{iB}$ : i is a jet and removed from list
- repeat until no particles are left
- only use jets with  $p_T > p_{T,\min}$

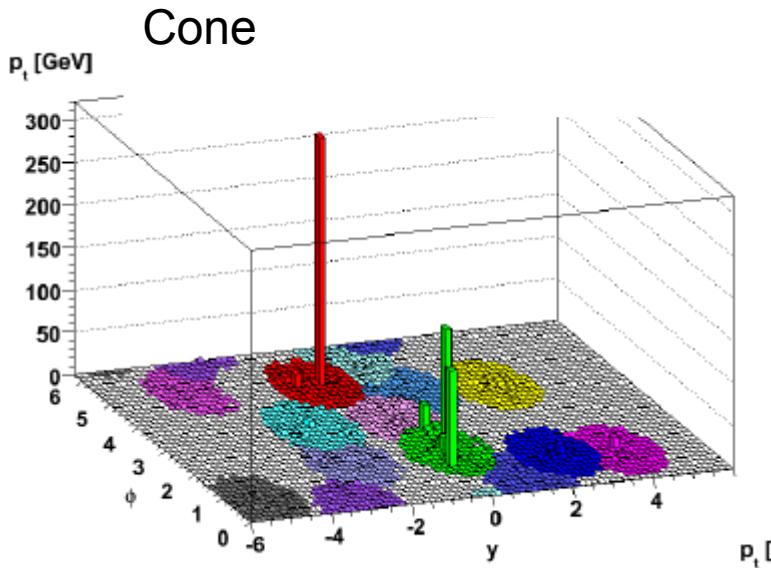
Features of the kT algorithm

- + collinear and infrared safe
- + every hadron is uniquely assigned to a jet
- + few hadrons that belong to a given parton are missing
  - significant noise from underlying event and multiple interactions
  - jets have complicated shapes



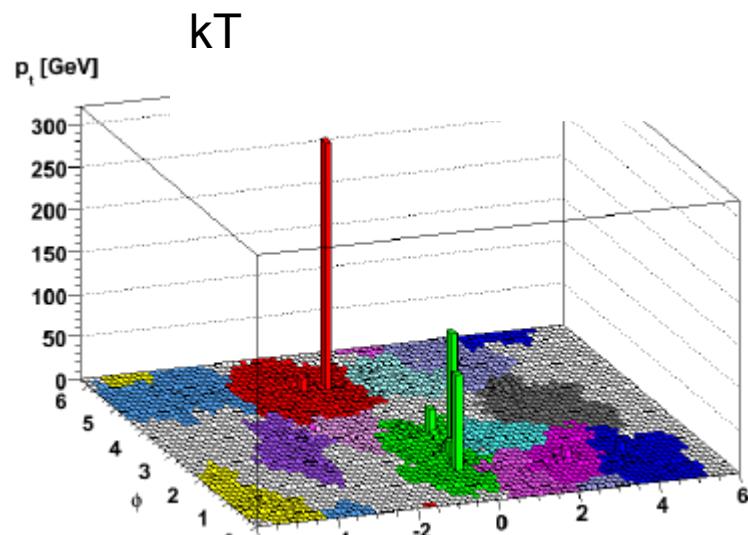
# Cone vs kT algorithms

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(Some) cone algorithms give  
circular jets in  $y - \phi$  plane  
easier for experiments e.g. for acceptance  
corrections

kT jets are irregular  
because soft particles  
cluster together first



# sequential recombination algorithms

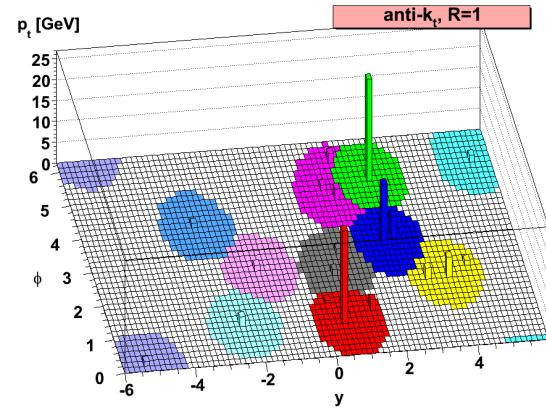
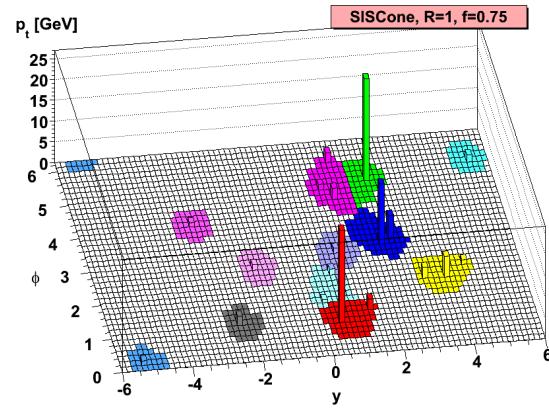
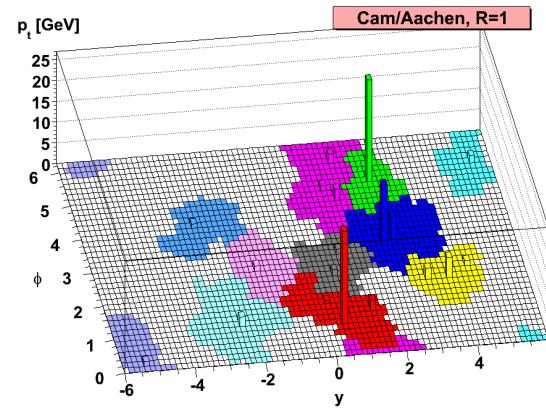
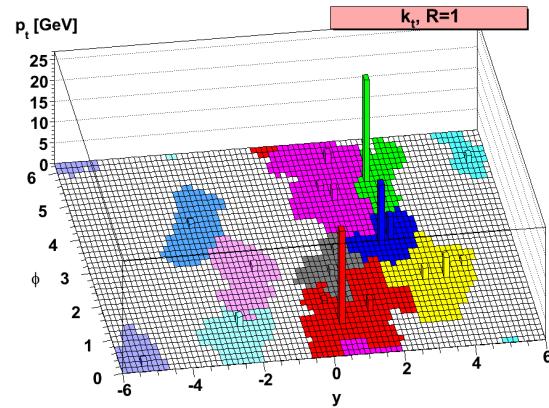
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several families, they differ in choice of distance measure

- **kT algorithm** – just discussed  
hierarchical in pT
- **Cambridge Aachen** (simplest algorithm)  
recombine pair of objects closest in  $\Delta r_{ij}$   
repeat until all  $\Delta R_{ij} > R$  — remaining objects are jets
- **anti-kT**  
 $d_{ij} = 1/\max(p_{Ti}^2, p_{Tj}^2) \Delta R_{ij}^2/R^2$  ,  $d_{iB} = 1/p_{Ti}^2$   
first cluster high energy - high energy and high energy – low energy particles  
disfavours clustering between pairs of soft particles  
→ most clusterings involve at least one hard particle → clustering grows around hard cores  
→ anti-kT gives cone like jets without using stable cones, they have a well defined area  
+ infrared and collinear safe  
+ insensitive to underlying event and multiple interactions  
good performance, main jet algorithm by LHC experiments

# Typical shapes of IR and CL safe algorithms

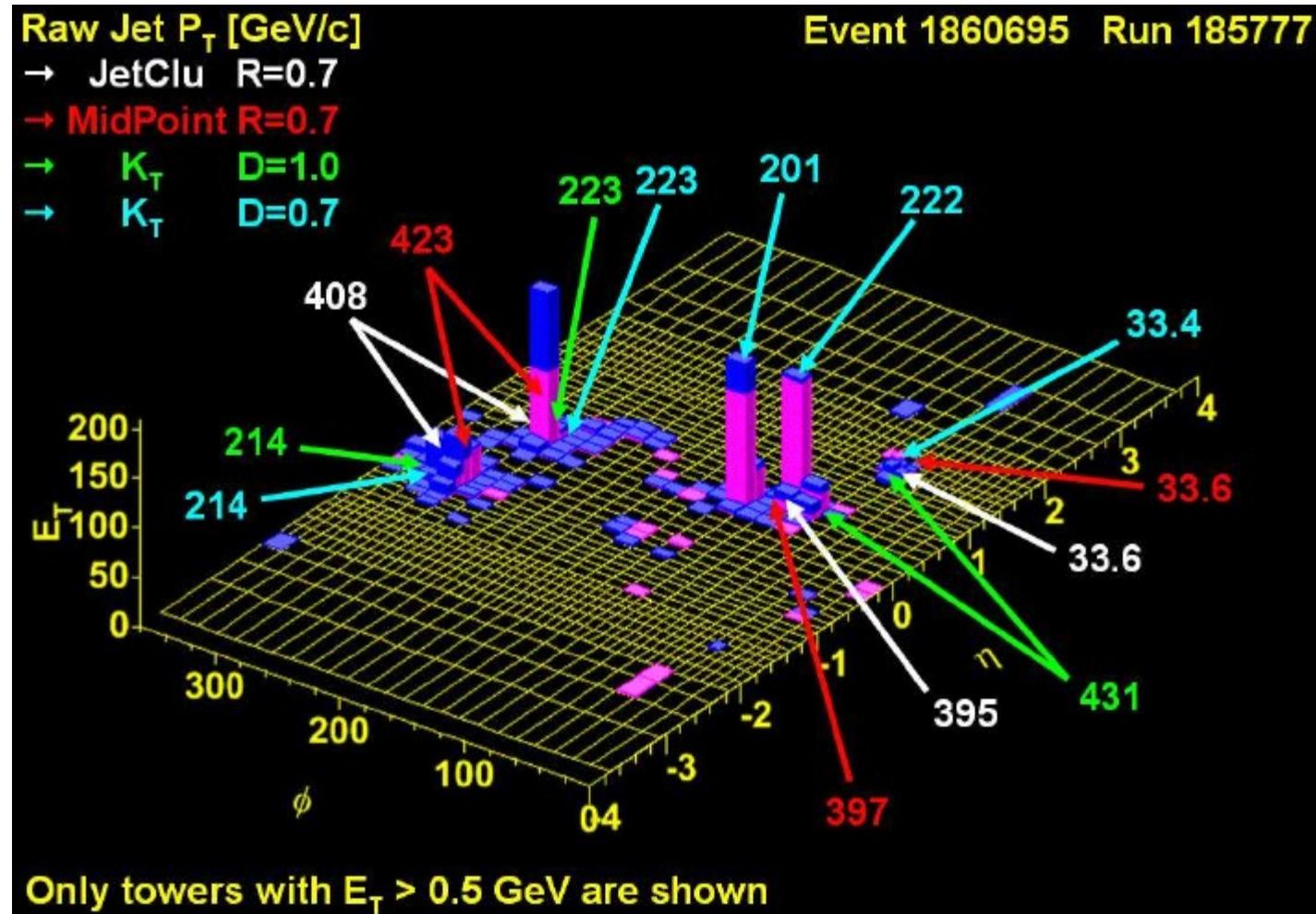
JHEP 0804:063,2008



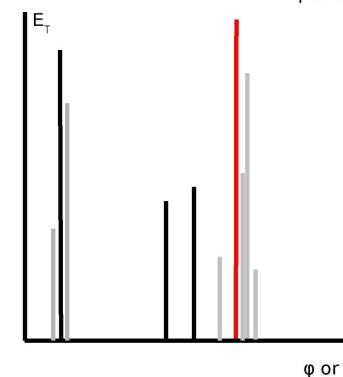
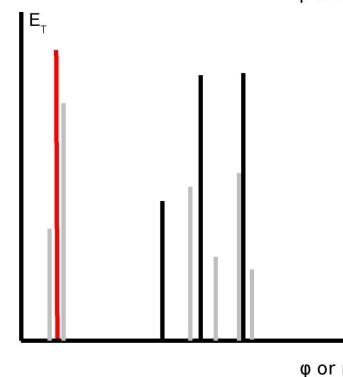
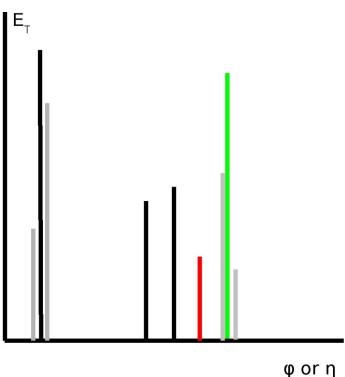
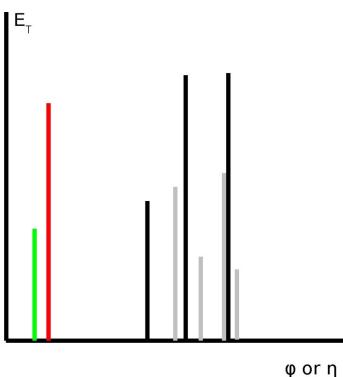
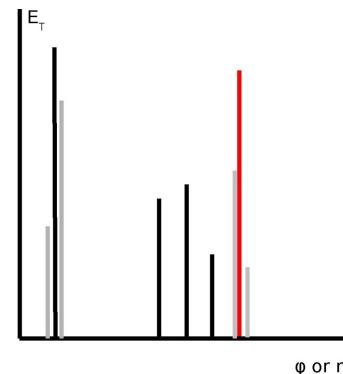
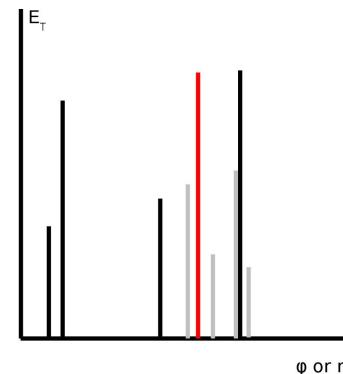
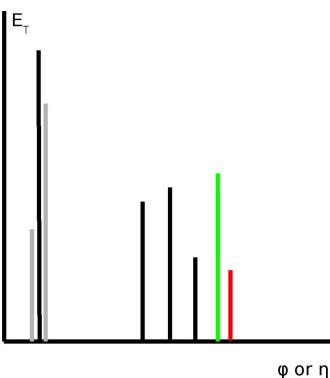
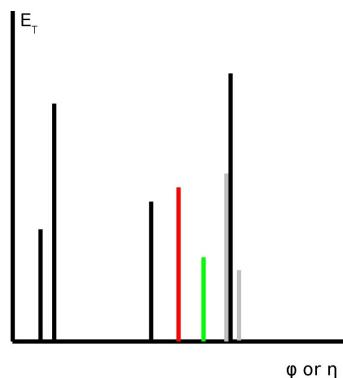
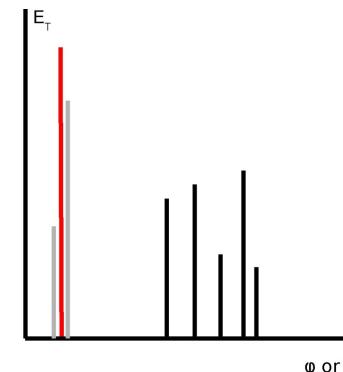
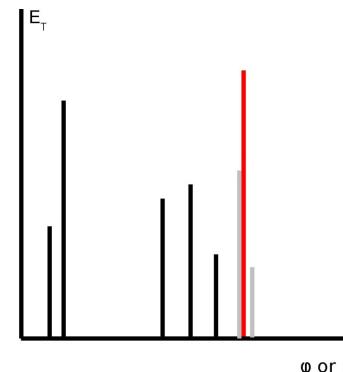
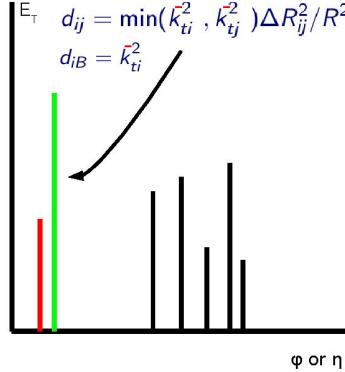
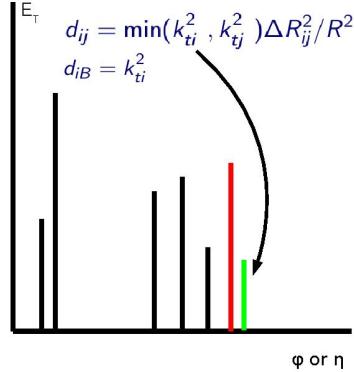
anti- $k_T$  gives cone like jets without using stable cones

# Result of different jet algorithms for one CDF event

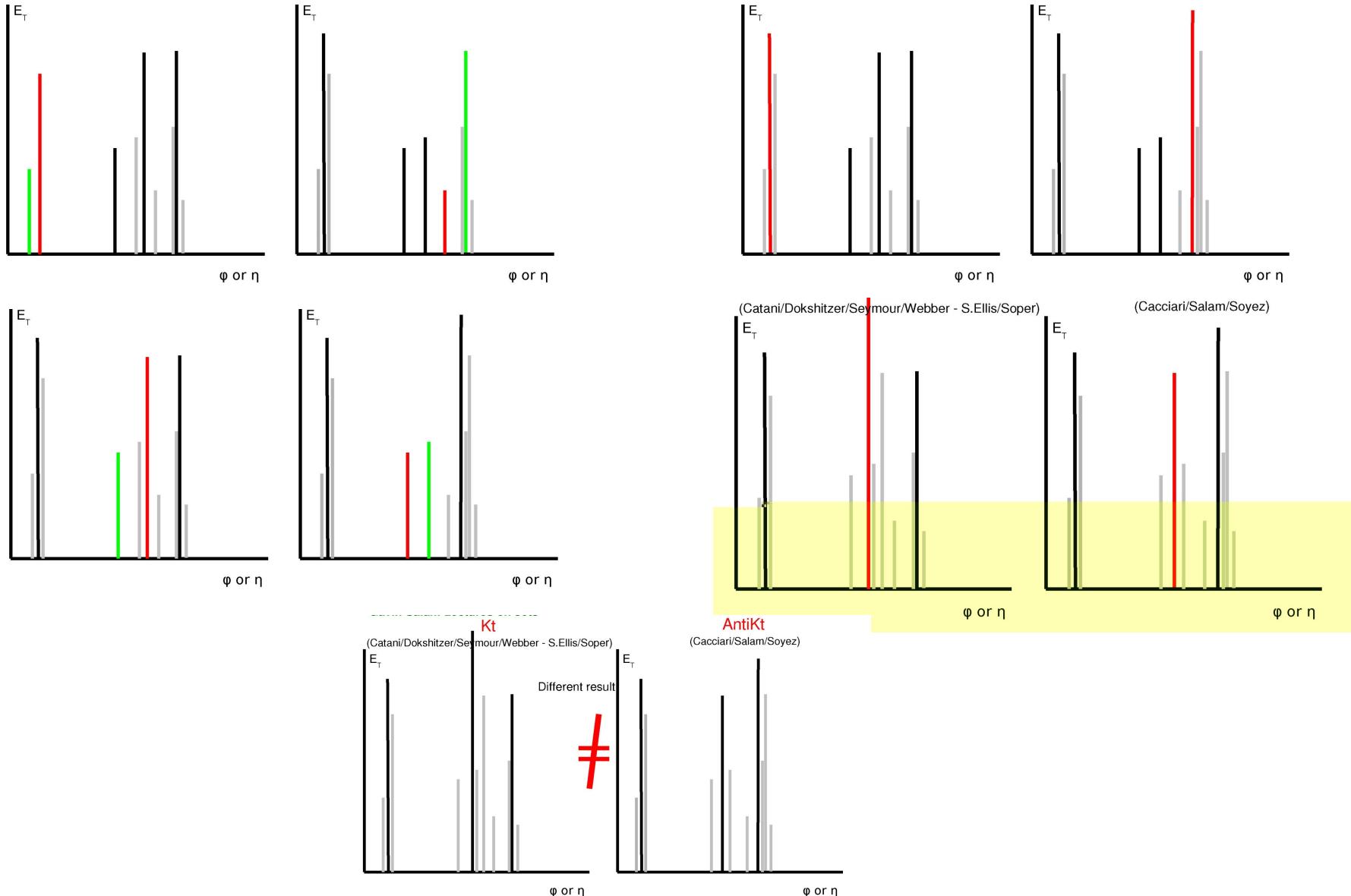
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# kT and anti-kT in action

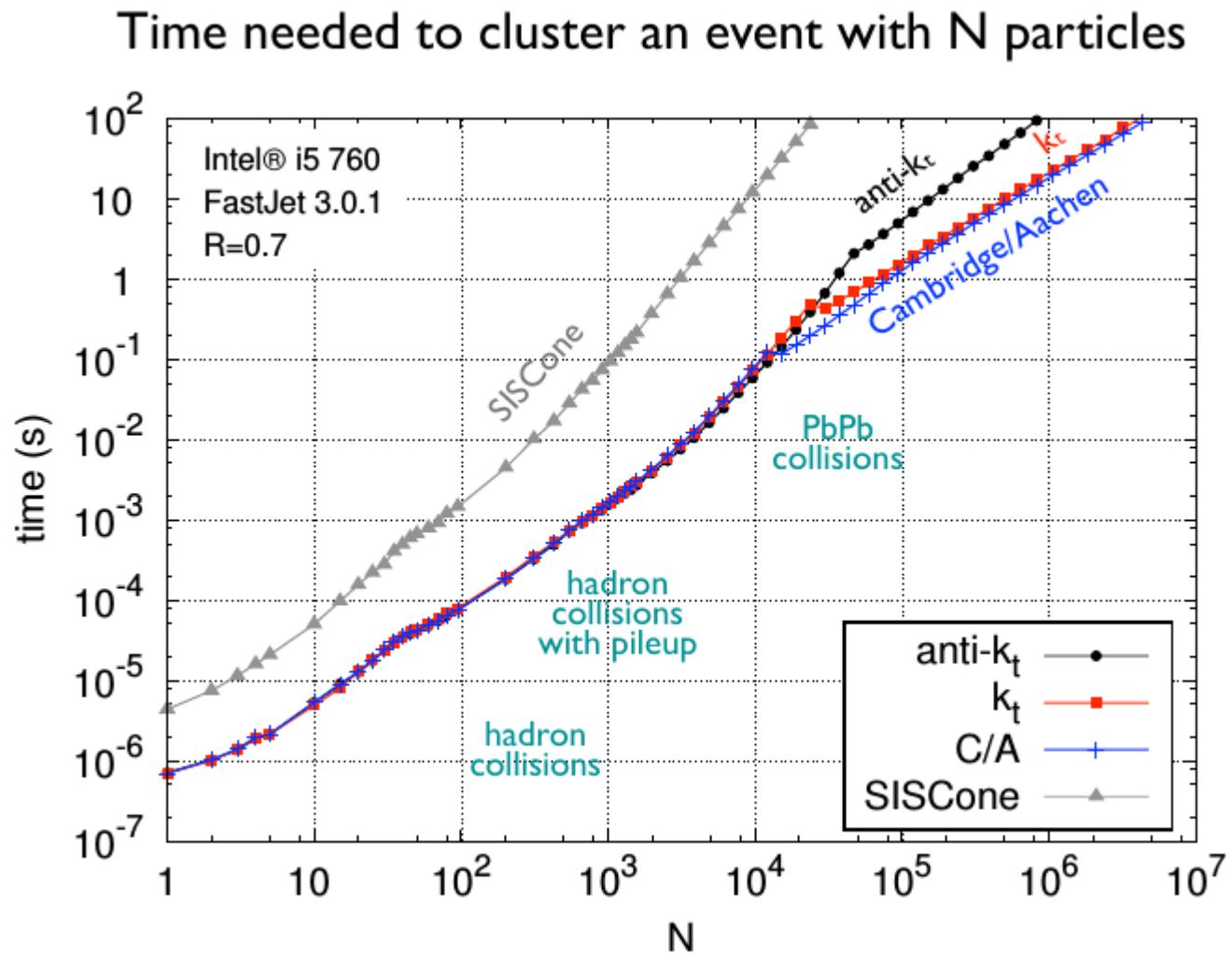


# $kT$ and anti- $kT$ in action



# Performance

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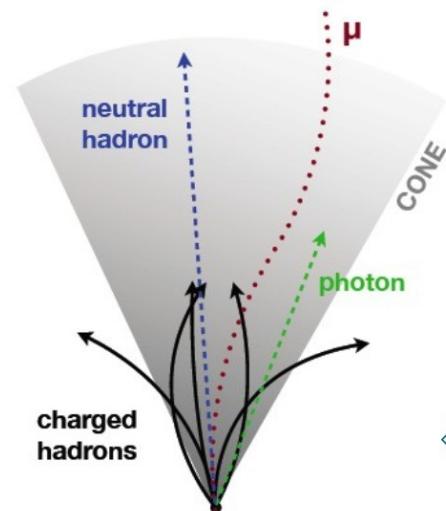
# Input objects for jets

---

different choices (example CMS):

- 1) Calo jets:jets reconstructed from towers in the ECAL and HCAL
- 2) Track jets reconstructed from tracks of charged particles
  - + independent of calorimeter measurement
  - neutral energy is missing
- 3) Tracks plus clusters (JPT: jet plus track)  
replace calorimeter measurement with track measurement if available
- 4) Particle flow: use calibrated particles (best knowledge)

→ using different inputs allows to study and constrain systematic effects

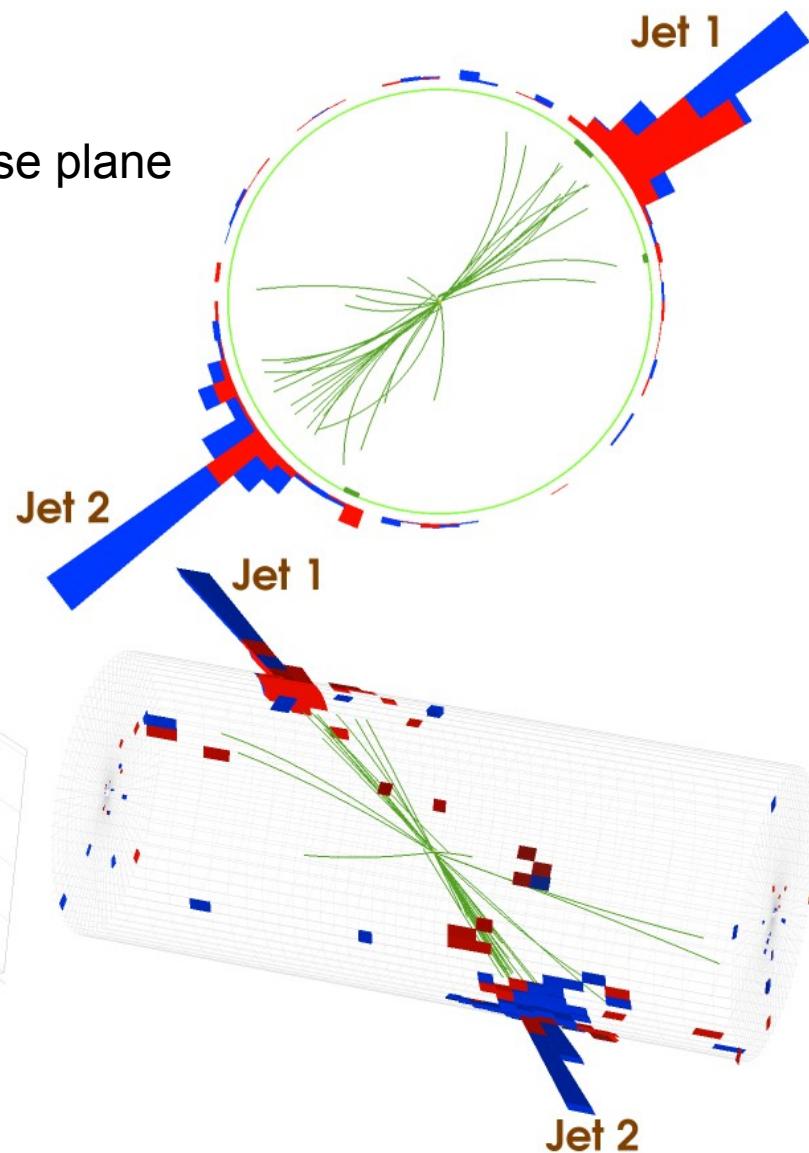
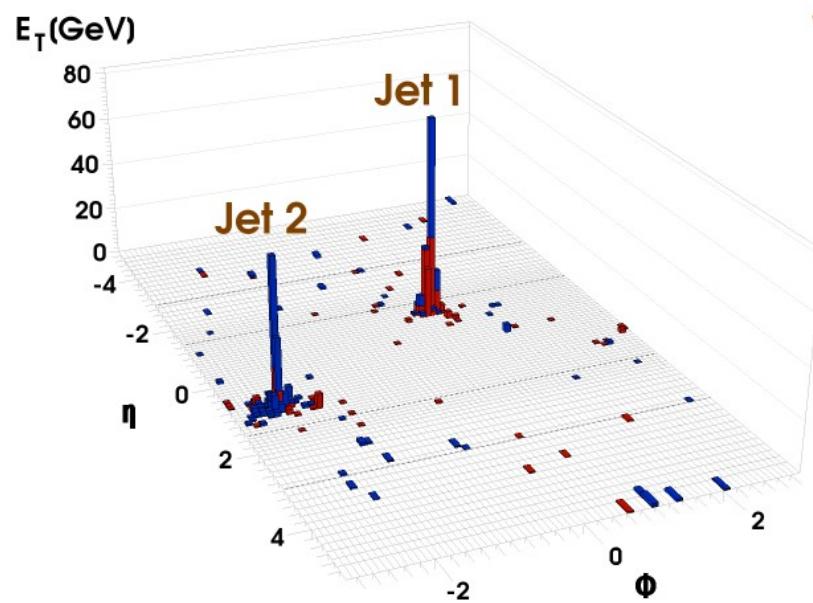


# Dijets – compare data and MC

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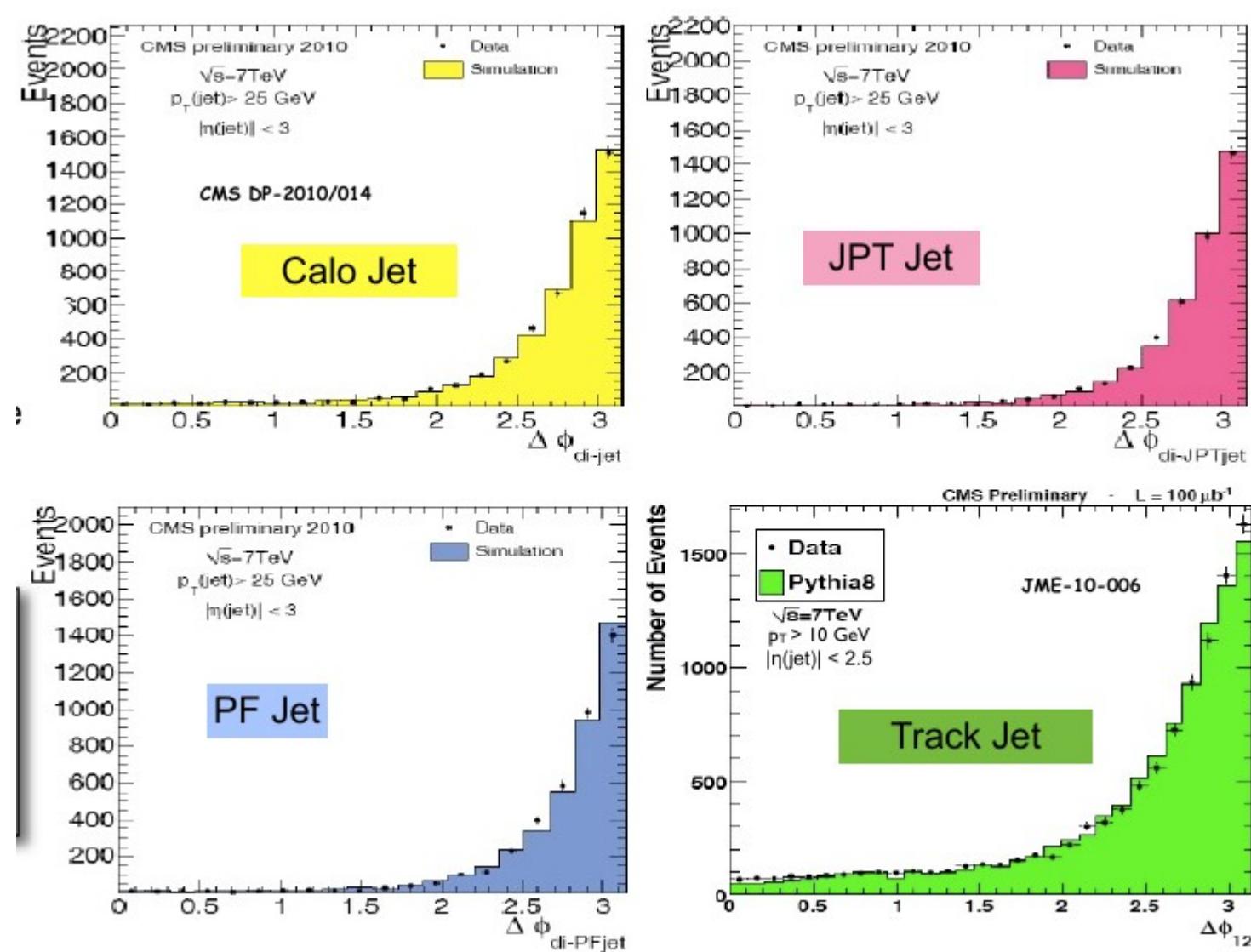
events with two jets

LO: jets should be back-to-back in transverse plane



# Separation of di-jet events in phi

good agreement  
btw MC and data  
for all jet types



# Matching efficiency

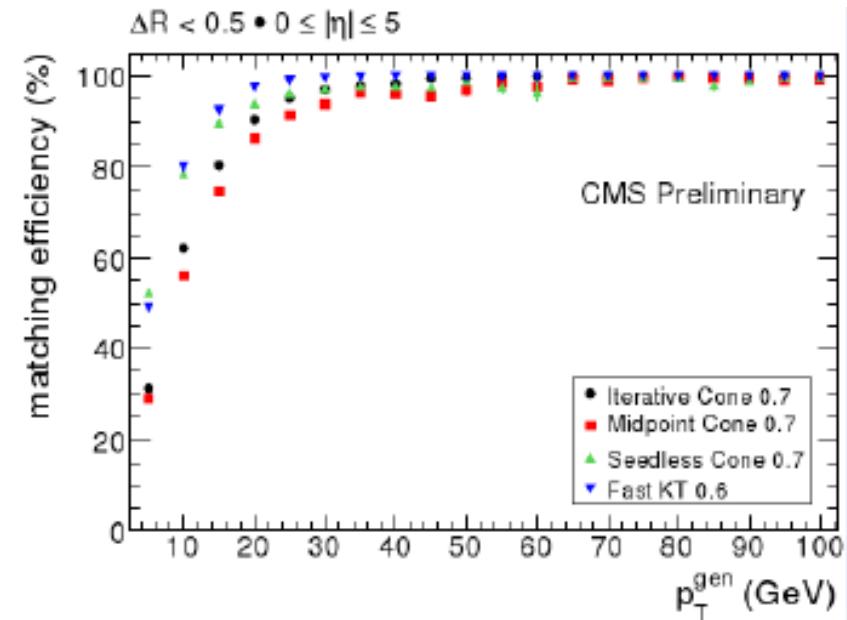
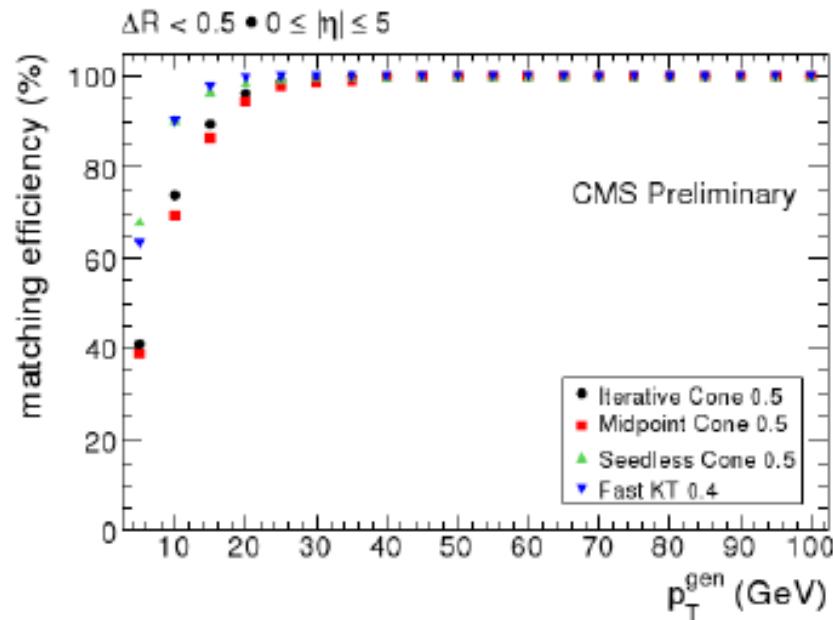
one meaningful measure of reconstruction efficiency

$\epsilon$  = particle jets matched to reconstructed jet within  $\Delta R = 0.5$ / total number of particle jets

strong dependence on position resolution,  $\Delta R$  and cone size

SIS Cone and kT tend to have better performance than Midpoint and Iterative Cone

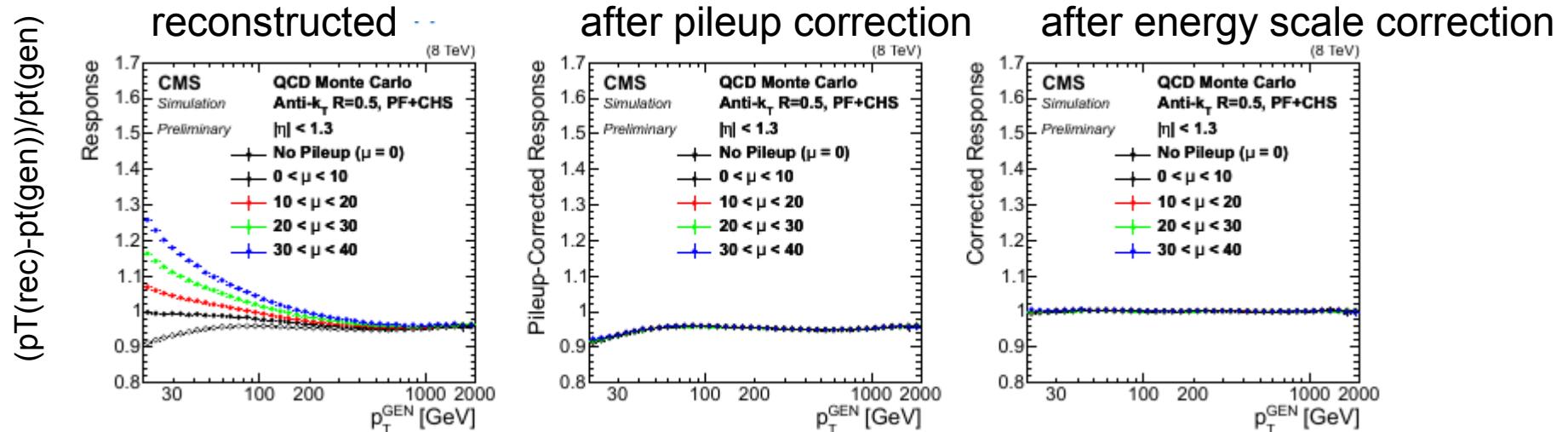
smaller cone size parameter  $\rightarrow$  better matching efficiency



# Jet energy correction (CMS)

correct reconstructed jet energy to obtain a measurement of the true particle

- event-by-event pileup correction to reduce pileup fluctuation  
→ jet measurement independent of number of primary vertices
  - multiplicative energy scale correction, determined from simulation:  
→ correct for missing energy (neutrinos) leakage into/out of jet and mis-measurements
  - additional correction in data for data-MC differences  
→ input from data
- MC-data calibration samples: dijet, Z+jets, and isolated photon+jet events  
→ uncertainty in energy scale given by the uncertainty on the measurement of the jet response in data
- optional: correct for jet type: gluon vs quark jets, b or c quarks jets ...

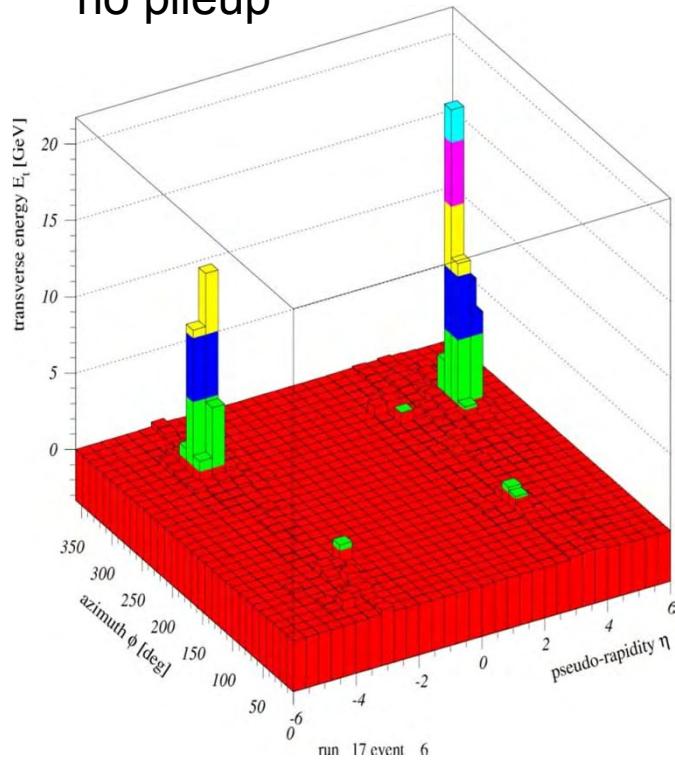


# pileup correction

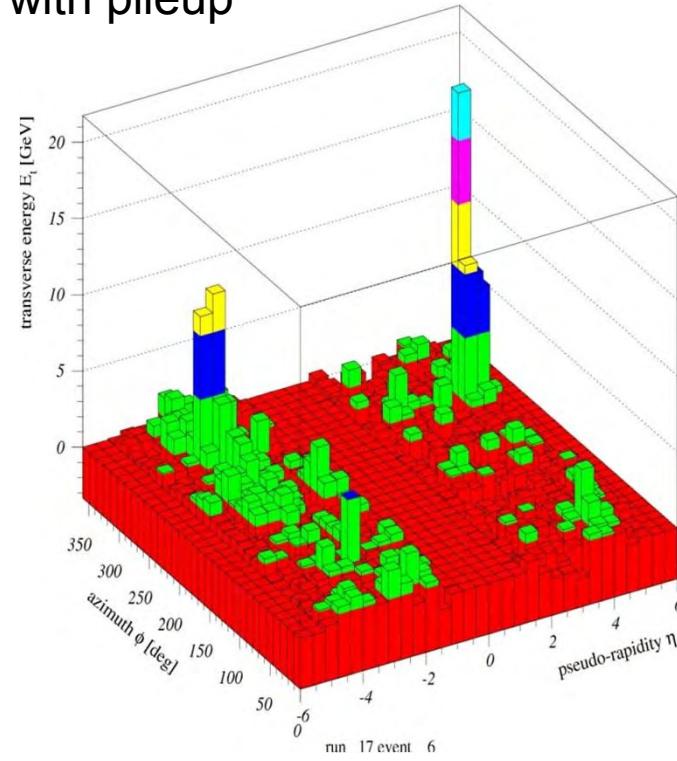
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pile-up: average additional energy due to additional proton-proton interactions from in-situ measurements

no pileup



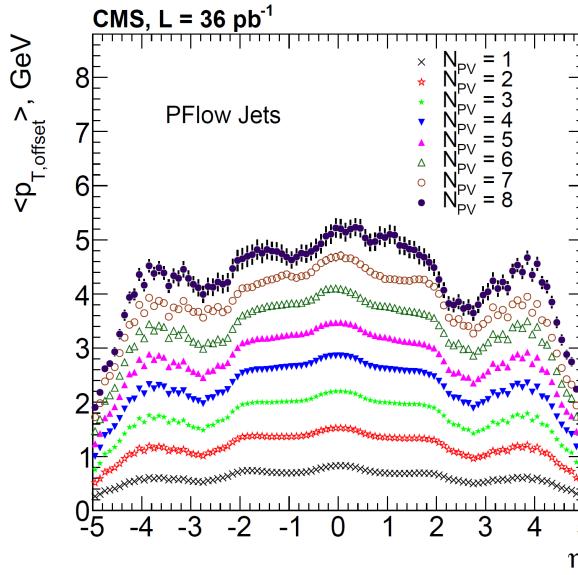
with pileup



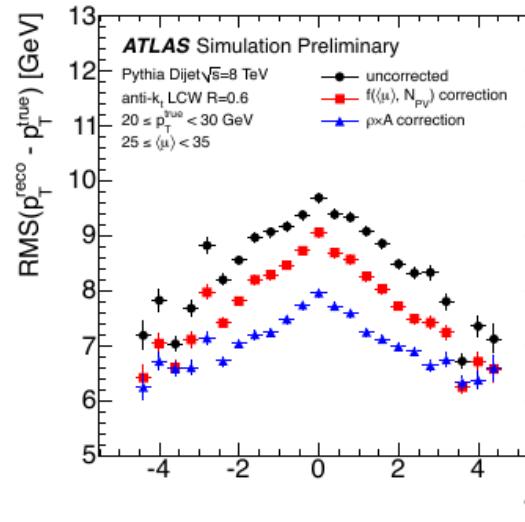
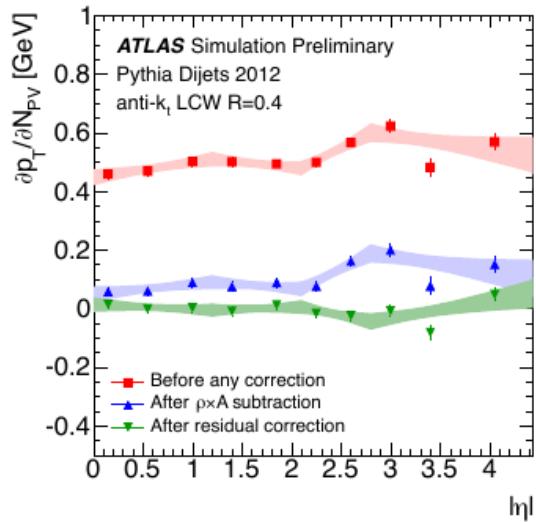
# pileup correction

$$p_T^{\text{corr}} = p_T^{\text{jet}} - \rho A^{\text{jet}}$$

$\rho$ : median  $p_T$  density for each event  
 $A^{\text{jet}}$  area of jet

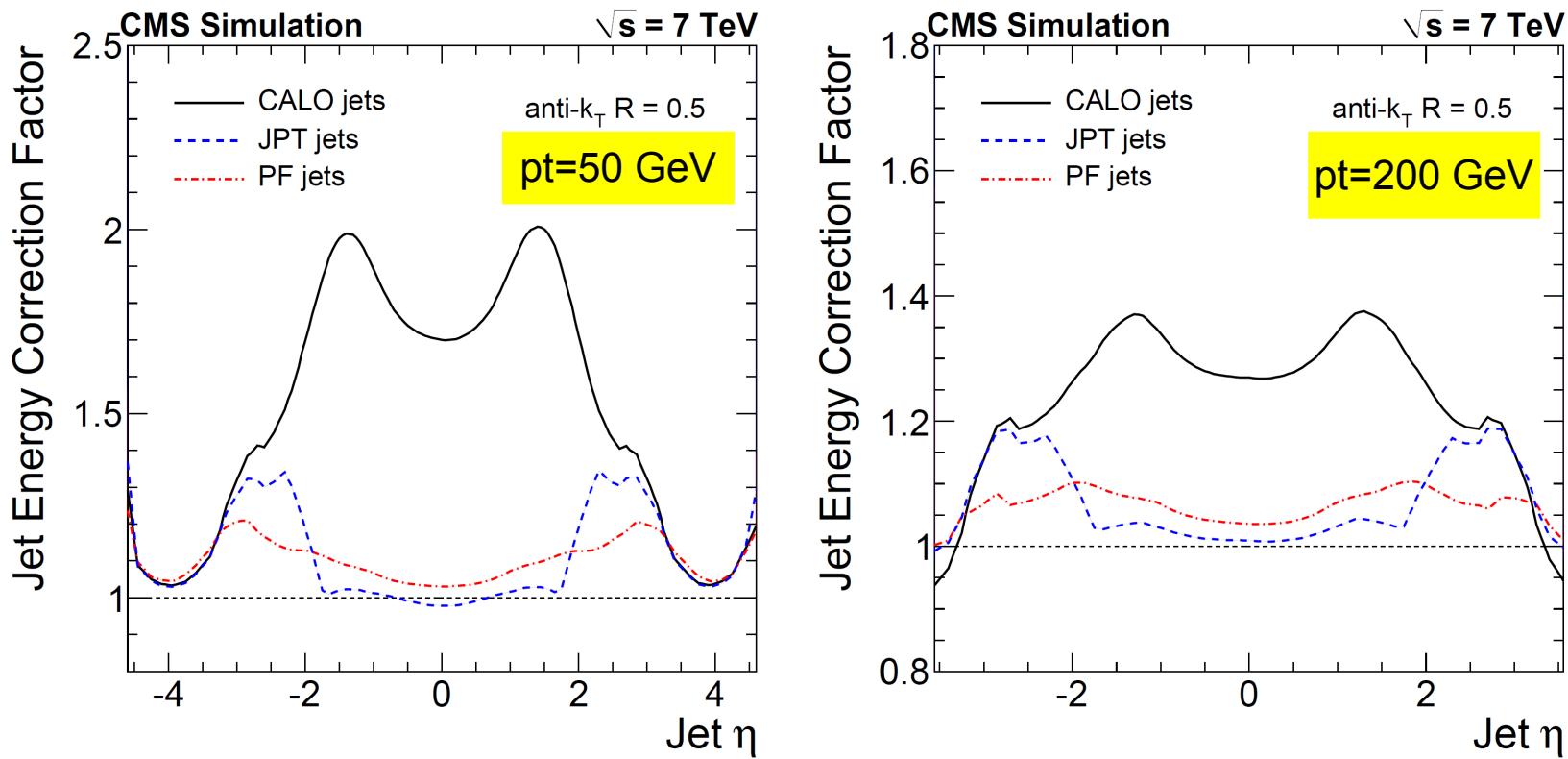


pileup correction rises approx. linearly with # PVs



## Relative correction: example CMS

Typical size of correction to equalize detector response versus  $\eta$   
for particle-flow jets < 20%  
if jets are only built from CALO objects, the corrections are significantly larger



# Comparison data-MC

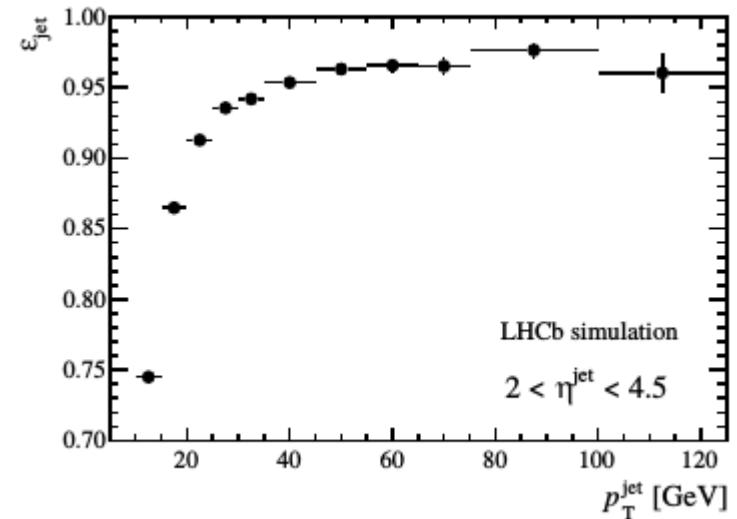
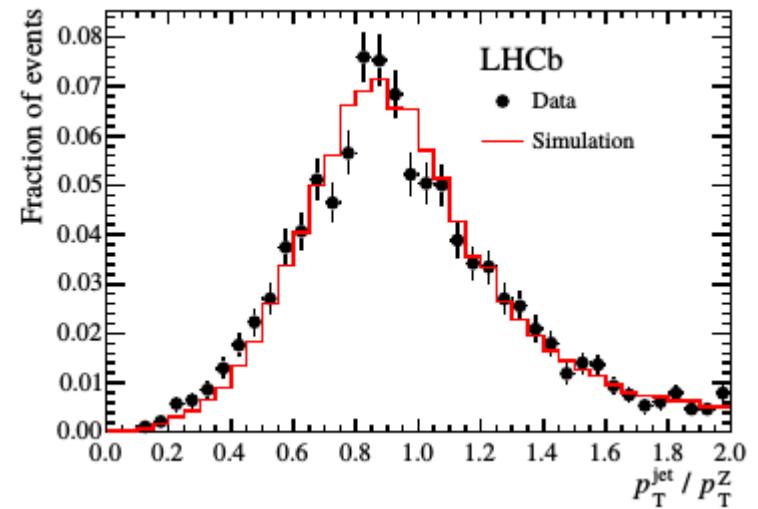
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LHCb: events with a reconstructed Z and one jet:  
back-to-back  $\rightarrow$  jet and Z should balance in pT

$\rightarrow$  good agreement between data and simulation  
resolution about 20%, flat in pT

about 15% of jet pT is not measured

jet reconstruction efficiency about 95%



# Tagging of jets

---

Tag secondary vertices in the jet

→ use multivariate analysis (MVA) to distinguish

- 1) jets from light from heavy quarks
- 2) jets from c quarks from jets from b quarks

example of variables sensitive to flavour

distance of SV to jet axis

# tracks in jet

# track of SV

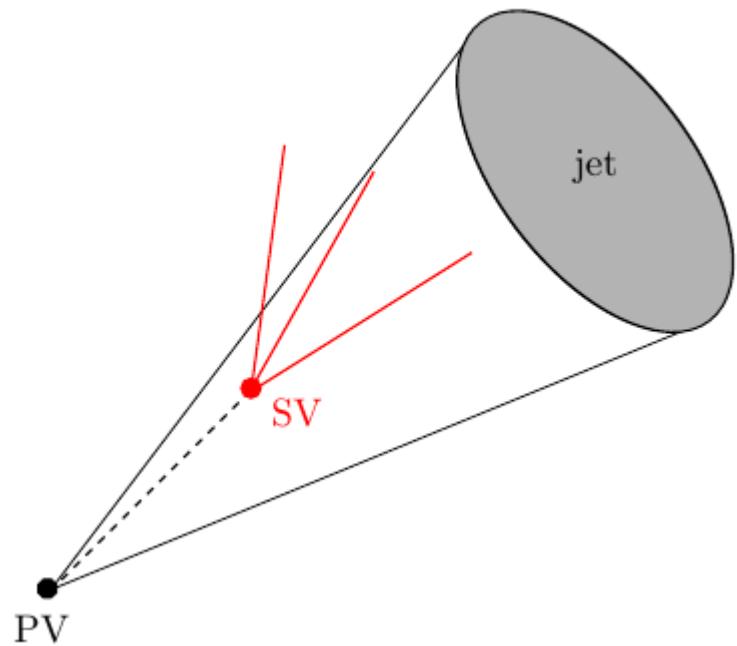
reconstructed mass of SV

charge of SV

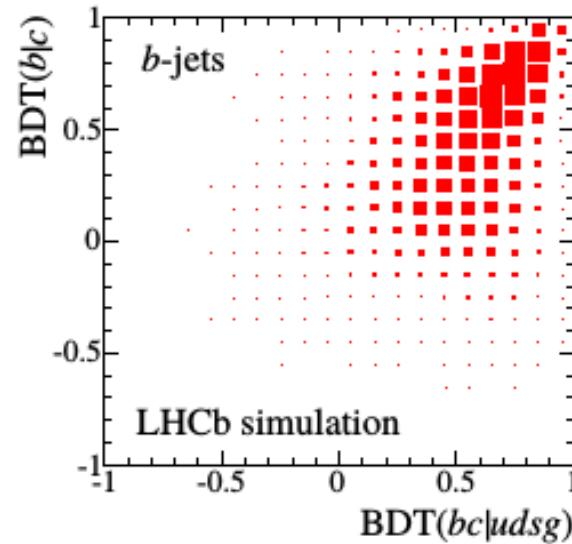
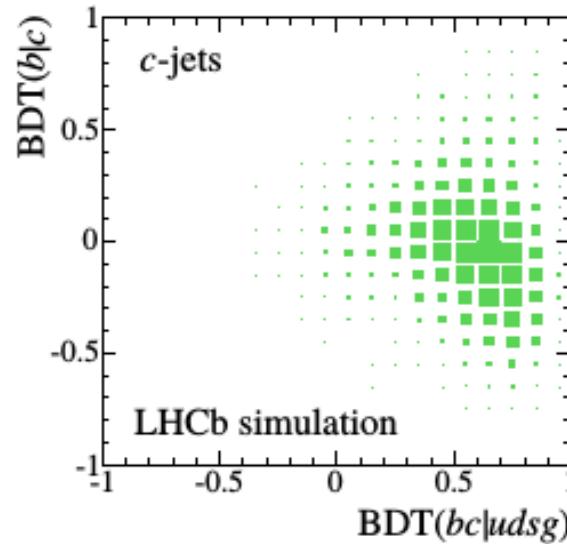
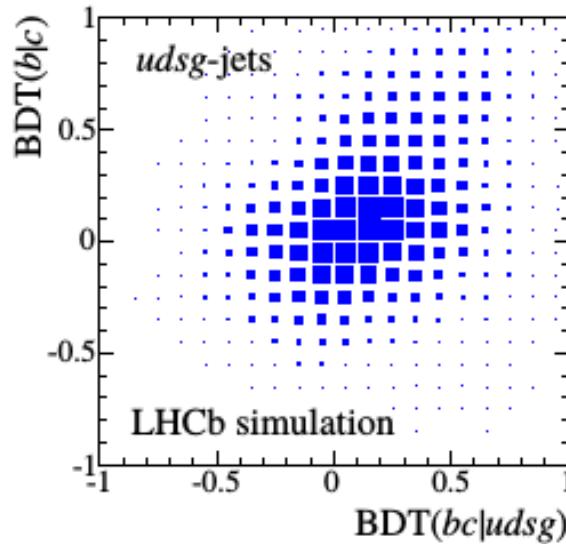
flight distance

transverse momentum of SV

eg boosted decision tree (BDT), artificial neural net (ANN) ...



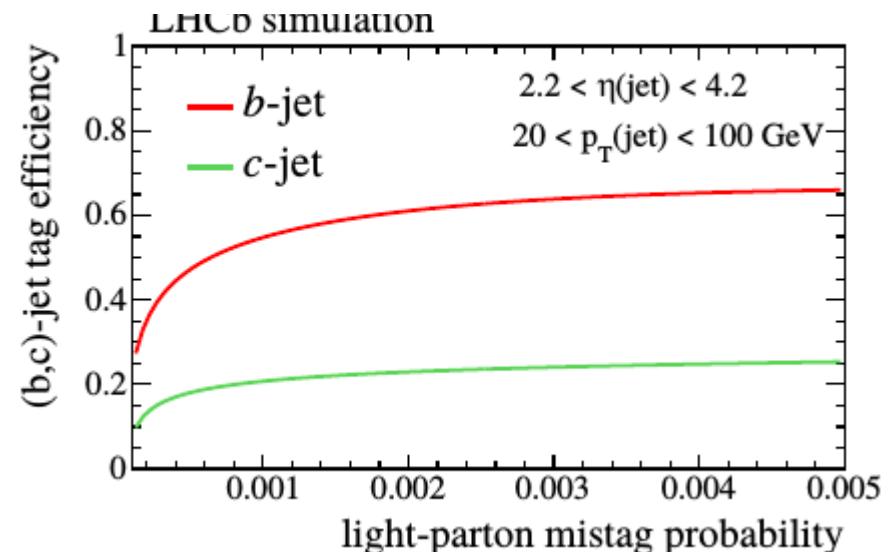
# Tagging of jets



BDT(bc|udsg): distinguish light from heavy quarks  
BDT(b|c): distinguish b from c quarks

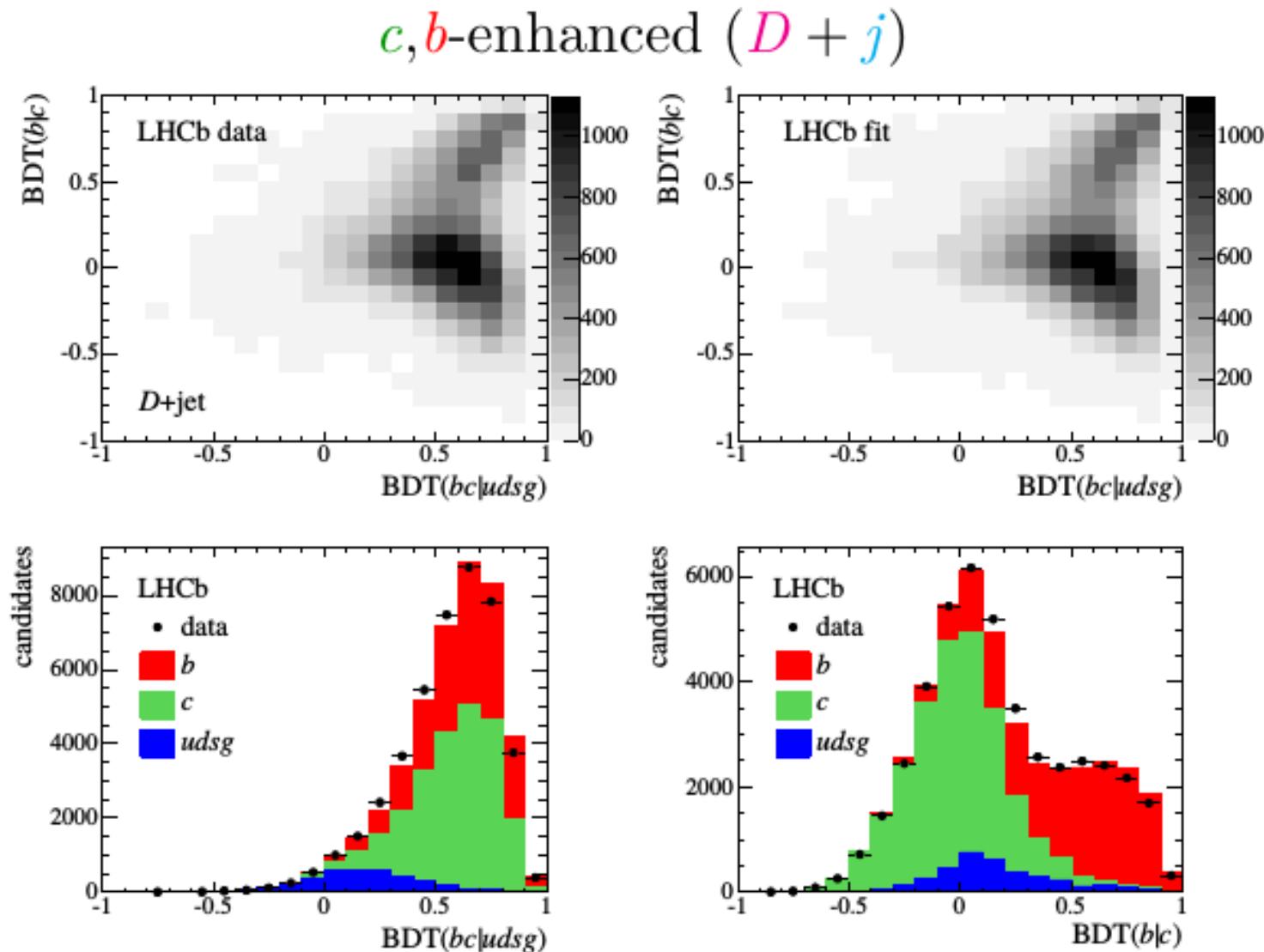
b-tagging efficiency 60%  
c-tagging efficiency 20%

validate response on data



# jet-tagging: validate on data

---



## you can do more: separate gluon and quark jets

---

the fragmentation process is different for gluon and quark jets  
(different colour and charge interaction) can we distinguish quark from gluon jets?

simulation:

gluon jets tend to

- be wider,
- have higher multiplicities
- more uniform energy distribution

quark jets tend to

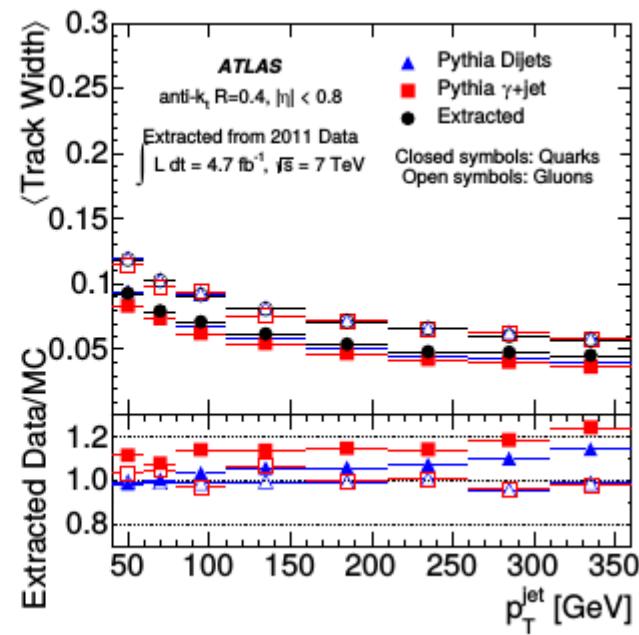
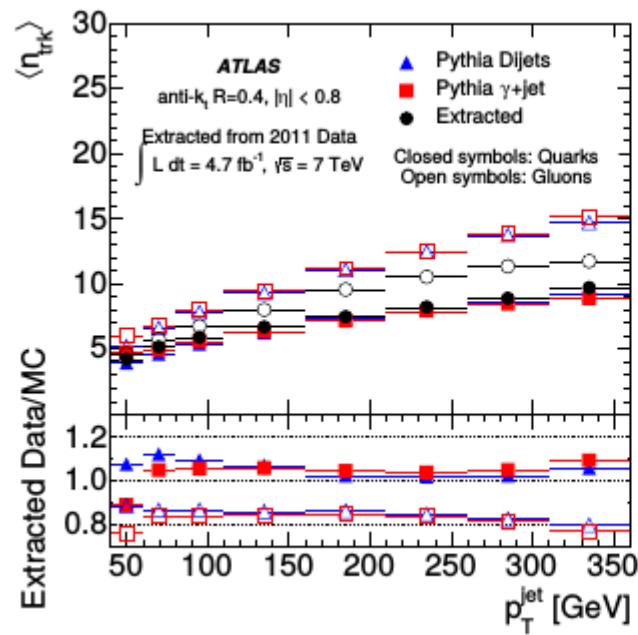
- produce narrow jets
- have hard constituents which carry most of the energy

separation of jets initiated by quarks or by gluons is a powerful tool in searches for new physics  
eg SUSY decays produce many light quarks,  $h \rightarrow ZZ \rightarrow llq q\bar{q}$   
 $\rightarrow$  jet identification helps to suppress backgrounds

you may also look at jet substructures ...

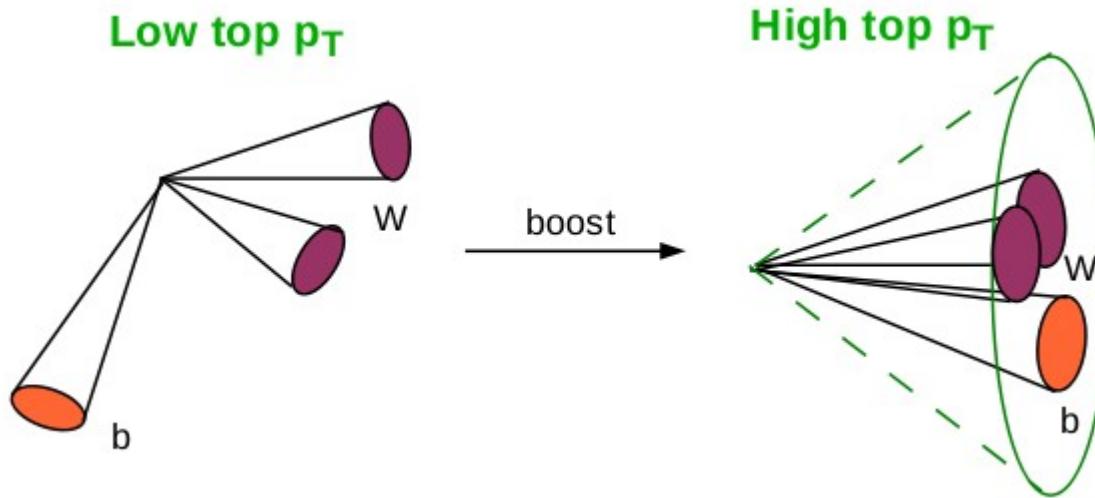
# Separate gluon from quark jets

track width:  $w = \text{Sum}(pT,i \times \Delta R(i,jet)) / \text{Sum}(pT,i)$



## You can do more: jet substructure

---



LHC energies: highly boosted objects → more than one parton contained in a jet  
→ look at/understand jet substructures

distinguish massive boosted objects from gluons or light quarks

- jet mass: deduced from 4-momentum sum of all jet constituents
- $k_T$  splitting scales: recluster jet constituents with  $k_T$  algorithm  
 $k_T$  tends to cluster the hardest constituents last → dij for two remaining proto-subjets  
define splitting scale  $\sqrt{dij} = \min(p_{T_i}, p_{T_j}) \Delta R_{ij}$

QCD jets: asymmetric splitting, heavy particle jets: symmetric splitting

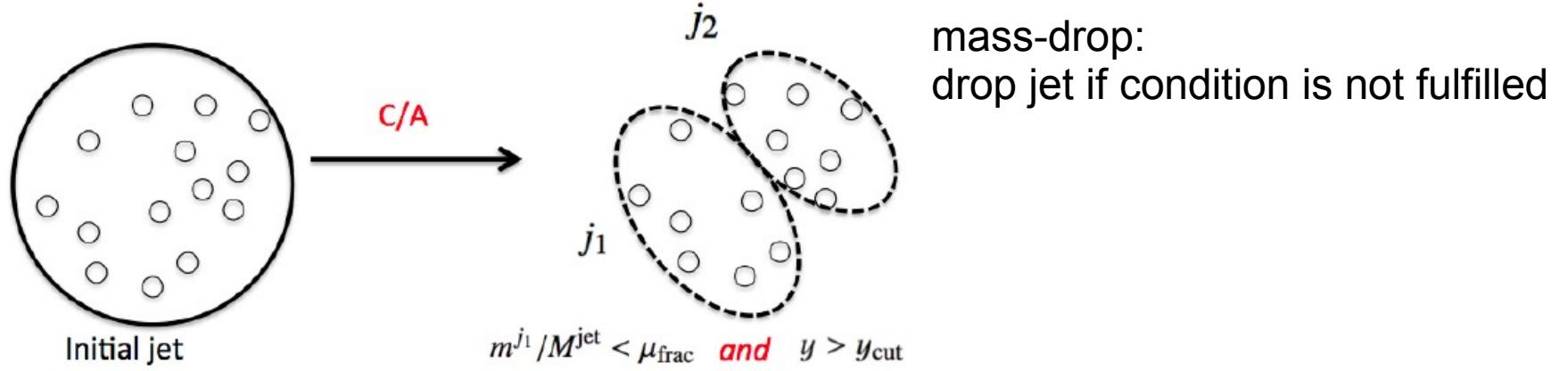
- N-subjettiness:  $\tau_N$ : measure of how well jet can be described as containing N or fewer subjets

# You can do more: jet substructure

---

grooming techniques: redefine jet in high luminosity environment  
remove soft QCD radiation from jet → enhances differences between QCD jets and jets from heavy boosted objects  
techniques

- mass-drop: undo last step in C/A, require that the two subjets are symmetric and there is a significant mass difference  $m^{j_1}/m^{\text{jet}} < \mu_{\text{cut}}$
- filtering: remove all constituents in jet that are outside of the three hardest subjets (C/A)
- trimming: create sub-jets, and removes them if  $p_{T_i}/p_T^{\text{jet}} < f_{\text{cut}}$
- pruning: similar to trimming removes in addition wide angle radiation

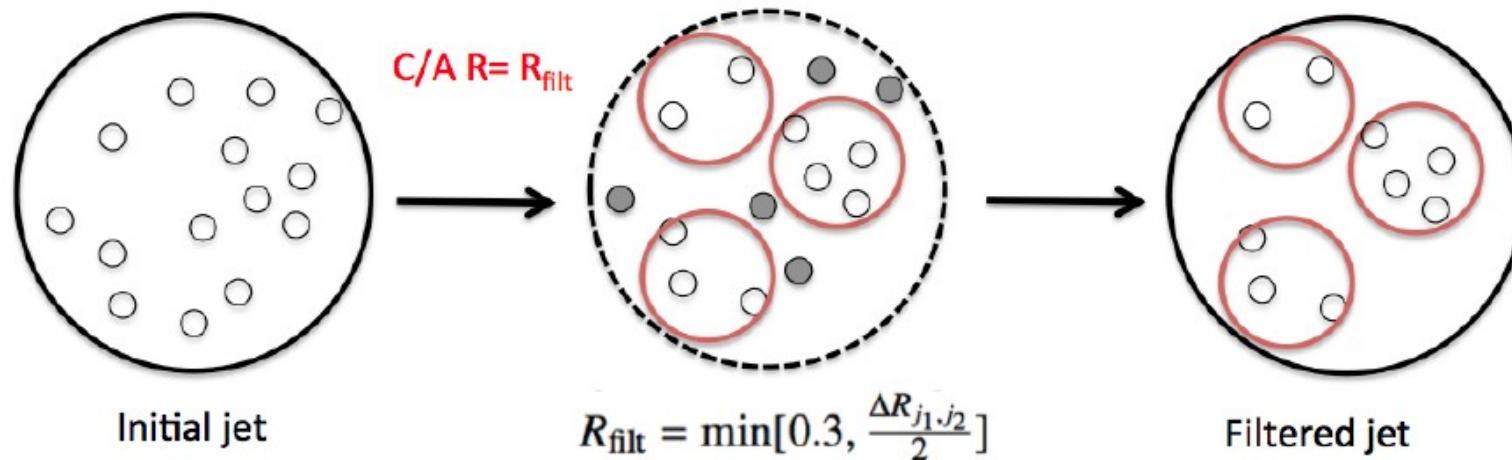


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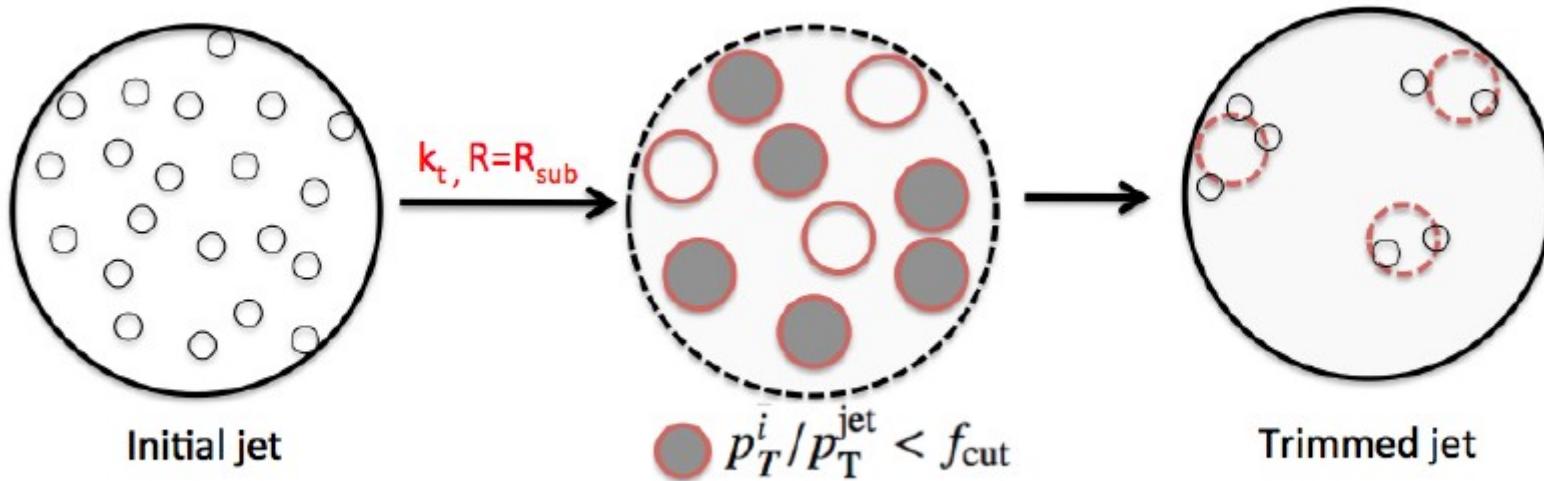
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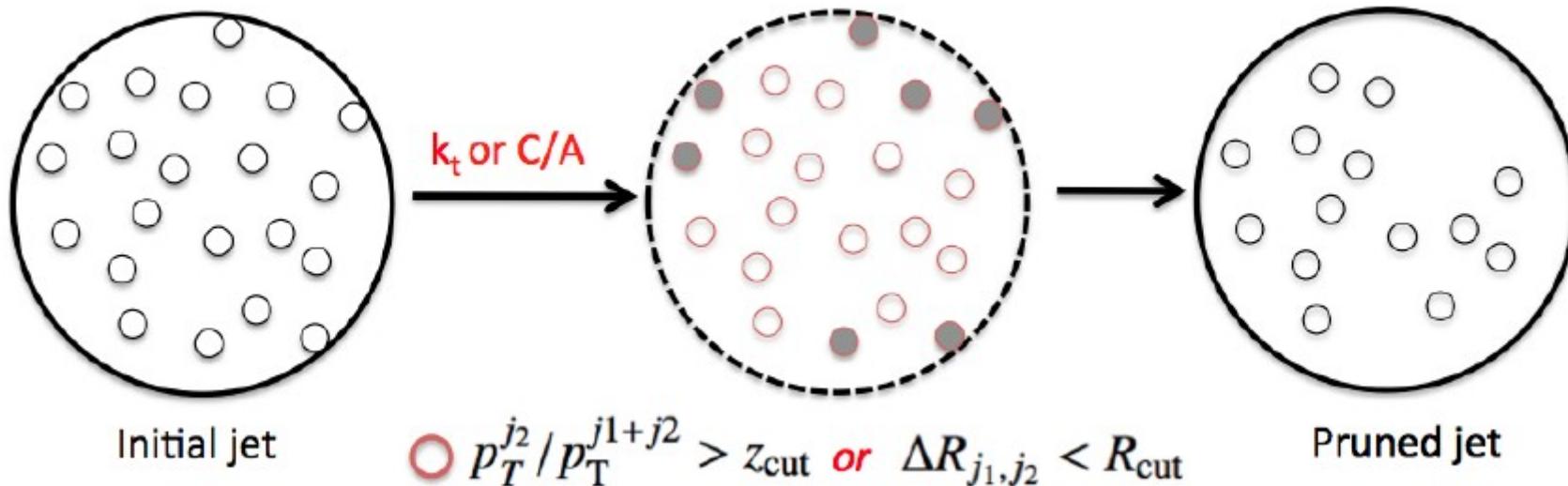
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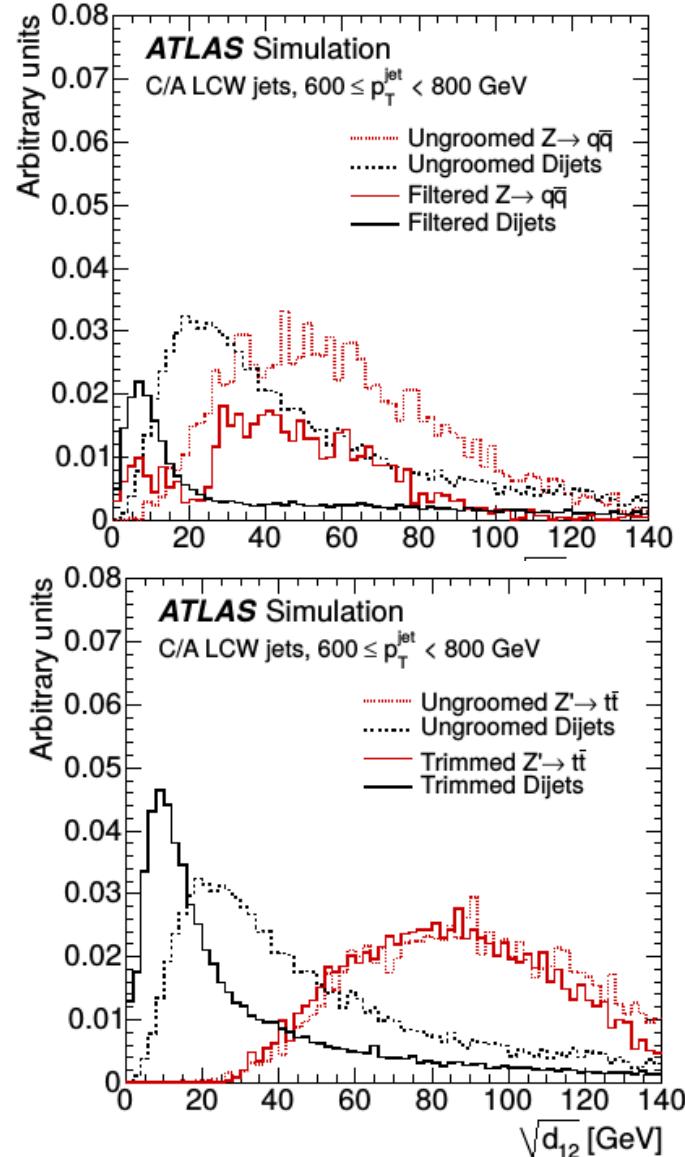
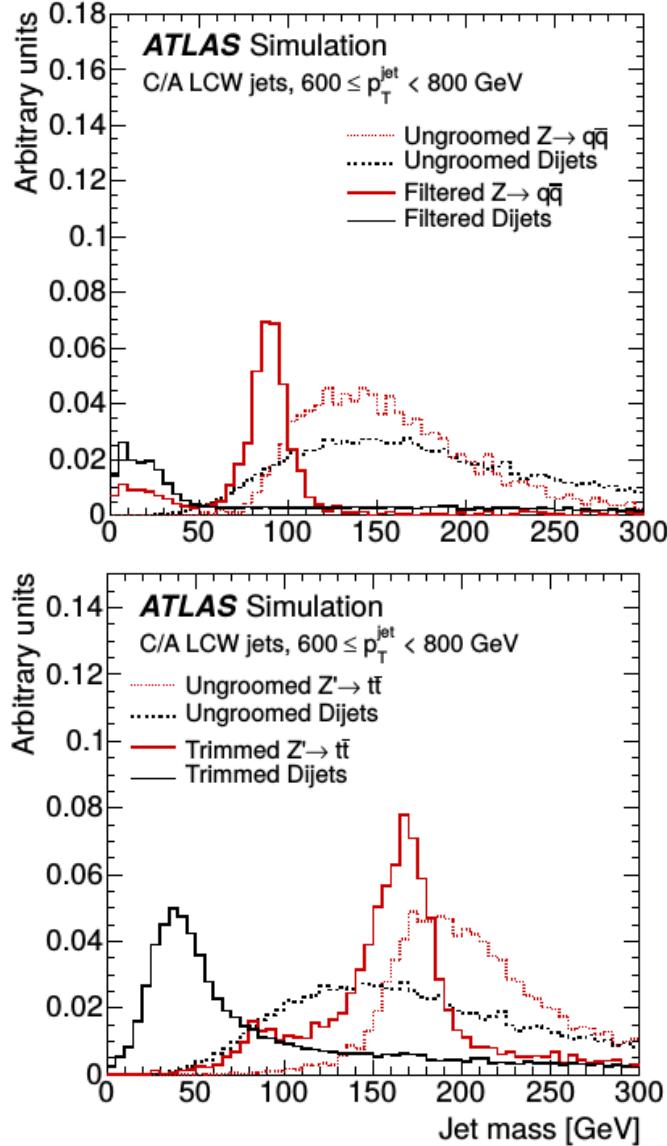
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# You can do more: jet substructure



# Considerations when working with jets

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know what jet algorithm you are using – is it appropriate for your physics question?  
→ simulation studies

be sure your algorithm is infrared & collinear safe

are the conclusions robust when you change the algorithm?  
and when you change the parameters?

there is a lot you may do when looking at jet substructures