

Spanning Trees

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0.1 Spanning Trees

0.1.1 Disjoint Sets

- Also known as union-find data structures
- Data structure to keep track of a set of elements partitioned into a number of disjoint (non overlapping) subsets
- Three main operations: **union** and **find** and **makeSet**
- Disjoint sets can be represented with the help of linked lists but usually we implement it as a tree like structure
- In Kruskal algorithm it will be useful: with disjoint sets we can decide in approximately $O(1)$ time whether two vertices are in the same set or not

0.1.2 Make Set

```
function makeSet(x)
    x.parent = x
```

- So the make sets operation is quite easy to implement. ~ we set the parent of the given node to the itself
- Basically we create a distinct set to all the items/nodes

0.1.3 find

```
function find(x)
    if x.parent == x
        return x
    else
        return find(x.parent)
```

- Several items can belong to the same set -> we usually represent the set with one of its items "representative of the set"
- When we search for an item with find() then the operation is going to return with the representative

0.1.4 Union

```
function union(x,y)
    xRoot = find(x)
```

```
yRoot = find(y)
```

```
xRoot.parent = yRoot
```

- The union operation is merge two disjoint sets together by connecting them according to the representatives
- Problems: this tree like structure can become unbalanced
 - 1) Union by rank -> always attach the smaller tree to the root of the larger one. The tree will become more balanced: faster!!!
 - 2) Path compression -> flattening the structure of the tree. We set every visited node to be connected to the root directly !!!

0.1.5 Applications

- It is used mostly in Kruskal-algorithm implementation
- We have to check whether adding a given edge to the MST would form a cycle or not
- For checking this -> union-find data structure is extremely helpful
- We can check whether a cycle is present -> in asymptotically $O(1)$ constant time complexity!!!

0.1.6 Spanning trees

- A spanning tree of an undirected G graph is a subgraph that includes all the vertices of G
- In general, a tree may have several spanning trees
- We can assign a weight to each edge
- A minimum spanning tree is then a spanning tree with weight less than or equal to the weight of every other spanning tree
- Has lots of applications: in big data analysis, clustering algorithms, finding minimum cost for a telecommunications company laying cable to a new neighborhood
- Standard algorithms: Prim's-Jarnik, Kruskal -> greedy algorithms

0.2 Kruskal-algorithm

- We sort the edges according to their edge weights
- It can be done in $O(N \log N)$ with mergesort or quicksort
- Union find data structure: **disjoint set**
- We start adding edges to the MST and we want to make sure there will be no cycles in the spanning tree. It can be done in $O(\log V)$ with the help of union find data structure
- We could use a heap instead sorting the edges in the beginning but the running time would be the same. So sometimes Kruskal's algorithm is implemented with priority queues
- Worst case running time: $O(E \log E)$, so we can use it for huge graphs too
- If the edges are sorted: the algorithm will be quasi-linear
- If the edges are sorted: the algorithm will be quasi-linear
- If we multiply the weights with a constant or add a constant to the edge weights: the result will be the same

```
In [20]: class Vertex(object):
         def __init__(self, name):
             self.name = name;
```

```

        self.node = None;

class Node(object):
    def __init__(self, height, nodeId, parentNode):
        self.height = height;
        self.nodeId = nodeId;
        self.parentNode = parentNode;

class Edge(object):
    def __init__(self, weight, startVertex, targetVertex):
        self.weight = weight
        self.startVertex = startVertex;
        self.targetVertex = targetVertex;

    def __cmp__(self, otherEdge):
        return self.cmp(self.weight, otherEdge.weight);

    def __lt__(self, other):
        selfPriority = self.weight;
        otherPriority = other.weight;
        return selfPriority < otherPriority;

In [21]: class DisjointSet(object):
    def __init__(self, vertexList):
        self.vertexList = vertexList;
        self.rootNodes = [];
        self.nodeCount = 0;
        self.setCount = 0;
        self.makeSets(vertexList);

    def find(self, node):
        currentNode = node;
        print(node);

        while currentNode.parentNode is not None:
            currentNode = currentNode.parentNode;

        root = currentNode;
        currentNode = node;

        while currentNode is not root:
            temp = currentNode.parentNode;
            currentNode.parentNode = root;
            currentNode = temp;

        return root.nodeId

```

```

def merge(self, node1, node2):
    index1 = self.find(node1);
    index2 = self.find(node2);

    if index1 == index2:
        return; # they are in the same set!!!

    root1 = self.rootNodes[index1];
    root2 = self.rootNodes[index2];

    if root1.height < root2.height:
        root1.parentNode = root2;
    elif root1.height > root2.height:
        root2.parentNode = root1;
    else:
        root2.parentNode = root1;
        root1.height = root1.height + 1;

def makeSets(self, vertexList):
    for v in vertexList:
        self.makeSet(v);

def makeSet(self, vertex):
    node = Node(0, len(self.rootNodes), None);
    vertex.parentNode = node;
    self.rootNodes.append(node);
    self.setCount = self.setCount + 1;
    self.nodeCount = self.nodeCount + 1;

```

In [22]: `class KruskalAlgorithm(object):`

```

def spanningTree(self, vertexList, edgeList):
    disjointSet = DisjointSet(vertexList);
    spanningTree = [];

    edgeList.sort();

    for edge in edgeList:
        u = edge.startVertex;
        v = edge.targetVertex;

        if disjointSet.find(u.node) is not disjointSet.find(v.node):
            spanningTree.append(edge);
            disjointSet.merge(u.node, v.node);

    for edge in spanningTree:

```

```

        print(edge.startVertex.name, " - ", edge.targetVertex.name);

In [23]: vertex1 = Vertex("a");
        vertex2 = Vertex("b");
        vertex3 = Vertex("c");
        vertex4 = Vertex("d");
        vertex5 = Vertex("e");
        vertex6 = Vertex("f");
        vertex7 = Vertex("g");

        edge1 = Edge(2,vertex1, vertex2)
        edge2 = Edge(6,vertex1, vertex3)
        edge3 = Edge(5,vertex1, vertex5)
        edge4 = Edge(10,vertex1, vertex6)
        edge5 = Edge(3,vertex2, vertex4)
        edge6 = Edge(3,vertex2, vertex5)
        edge7 = Edge(1,vertex3, vertex4)
        edge8 = Edge(2,vertex3, vertex6)
        edge9 = Edge(4,vertex4, vertex5)
        edge10 = Edge(5,vertex4, vertex7)
        edge11 = Edge(5,vertex6, vertex7)

        vertexList = [];
        vertexList.append(vertex1);
        vertexList.append(vertex2);
        vertexList.append(vertex3);
        vertexList.append(vertex4);
        vertexList.append(vertex5);
        vertexList.append(vertex6);
        vertexList.append(vertex7);

        edgeList = [];
        edgeList.append(edge1);
        edgeList.append(edge2);
        edgeList.append(edge3);
        edgeList.append(edge4);
        edgeList.append(edge5);
        edgeList.append(edge6);
        edgeList.append(edge7);
        edgeList.append(edge8);
        edgeList.append(edge9);
        edgeList.append(edge10);
        edgeList.append(edge11);

        algorithm = KruskalAlgorithm();
        algorithm.spanningTree(vertexList, edgeList);

```

None

```

-----

AttributeError                                Traceback (most recent call last)

<ipython-input-23-5bb47987b6b8> in <module>()
    43
    44 algorithm = KruskalAlgorithm();
--> 45 algorithm.spanningTree(vertexList, edgeList);

<ipython-input-22-88162a13eba6> in spanningTree(self, vertexList, edgeList)
    12     v = edge.targetVertex;
    13
--> 14     if disjointSet.find(u.node) is not disjointSet.find(v.node):
    15         spanningTree.append(edge);
    16         disjointSet.merge(u.node, v.node);

<ipython-input-21-4dca8e6dac36> in find(self, node)
    11     print(node);
    12
--> 13     while currentNode.parentNode is not None:
    14         currentNode = currentNode.parentNode;
    15

AttributeError: 'NoneType' object has no attribute 'parentNode'

```

In []:

In []:

0.3 Prim-Jarnik algorithm

- In Kruskal implementation we build the spanning tree separately, adding the smallest edge to the spanning tree if there is no cycle
- Prim's algorithm we build the spanning tree from a given vertex, adding the smallest edge to the MST
- Kruskal -> edge based
- Prim's -> vertex based!!!
- There are two implementations: lazy and eager
- Lazy implementation: add the new neighbour edges to the heap without deleting its content
- Eager implementation: we keep updating the heap if the distance from a vertex to the MST has changed
- Average running time: $O(E \log E)$ but we need additional memory space $O(E)$

- Worst case: $O(E \log V)$

0.4 Prim's VS Kruskal

- Prim's algorithm is significantly faster in the limit when you've got a really dense graph with many more edges than vertices
- Kruskal performs better in typical situations (sparse graphs) because it used simpler data structures
- Kruskal can have better performance if the edges can be sorted in linear time or the edges are already sorted
- Prim's better if the number of edges to vertices is high (dense graphs)

```
In [24]: class Vertex(object):
    def __init__(self, name):
        self.name = name;
        self.visited = False;
        self.predecessor = None;
        self.adjacenciesList = [];

    def __str__(self):
        return self.name;

class Edge(object):

    def __init__(self, weight, startVertex, targetVertex):
        self.weight = weight;
        self.startVertex = startVertex;
        self.targetVertex = targetVertex;

    def __cmp__(self, otherEdge):
        return self.cmp(self.weight, otherEdge.weight)

    def __lt__(self, other):
        selfPriority = self.weight;
        otherPriority = other.weight;
        return selfPriority < otherPriority;
```

```
In [25]: import heapq;

class PrimsJarrik(object):
    def __init__(self, unvisitedList):
        self.unvisitedList = unvisitedList;
        self.spanningTree = [];
        self.edgeHeap = [];
        self.fullCost = 0;

    def calculateSpanningTree(self, vertex):
        self.unvisitedList.remove(vertex)
```

```

while self.unvisitedList:
    for edge in vertex.adjacenciesList:
        if edge.targetVertex in self.unvisitedList:
            heapq.heappush(self.edgeHeap, edge)

    minEdge = heapq.heappop(self.edgeHeap);

    self.spanningTree.append(minEdge);
    print("Edge added to spanning tree: %s - %s" % (minEdge.startVertex.name, minEdge.targetVertex.name))
    self.fullCost = self.fullCost + minEdge.weight;

    vertex = minEdge.targetVertex;
    self.unvisitedList.remove(vertex);

def getSpanningTree(self):
    return self.spanningTree;

```

```

In [27]: node1 = Vertex("A")
        node2 = Vertex("B")
        node3 = Vertex("C")

```

```

edge1 = Edge(100, node1, node2);
edge2 = Edge(100, node2, node1);
edge3 = Edge(1000, node1, node3);
edge4 = Edge(1000, node4, node1);
edge5 = Edge(0.01, node3, node2);
edge6 = Edge(0.01, node2, node3);

```

NameError Traceback (most recent call last)

```

<ipython-input-27-767eaaa8b572> in <module>()
      7 edge2 = Edge(100, node2, node1);
      8 edge3 = Edge(1000, node1, node3);
----> 9 edge4 = Edge(1000, node4, node1);
     10 edge5 = Edge(0.01, node3, node2);
     11 edge6 = Edge(0.01, node2, node3);

```

NameError: name 'node4' is not defined

```

In [ ]:

```