# UNIVERSITY OF SCIENCE - VIETNAM NATIONAL UNIVERSITY FACULTY OF INFORMATION TECHNOLOGY

### Quang - Thang Nguyen

# ANALYZING SORTING ALGORITHMS AND COMPARE THEM

Ho Chi Minh City, 11/2023

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#### Lecturer

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Ho Chi Minh City, 11/2023

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### Chapter 1

### Introduction

In practical contexts, data sorting holds significant importance. Through sorting, information is organized systematically, enhancing the ease of search and management.

In tandem with the evolution of computer science, sorting algorithms have developed over time. Presently, there exists a multitude of sorting algorithms, each with its own merits and drawbacks. Within the scope of this report, I will conduct an investigation and implementation of 11 classical sorting algorithms, commonly taught to current computer science students.

### Chapter 2

## Information

Table 2.1: Computer information

| Student name       | Quang - Thang Nguyen                         |
|--------------------|--|
| Processor          | Intel(R) Core(TM) i7-10750H CPU              |
| System type        | 64-bit operating system, x64-based processor |
| Installed RAM      | 8.00 GB (7.83 GB usable)                     |
| Main OS            | Windows 11 Home Single Language              |
| Main OS OS version | 22H2   |
| WSL version        | 2.0.9.0                                      |
| WSL OS             | Ubuntu 22.04.3 LTS                           |
| WSL compiler       | g++ 11.4.0                                   |

#### Chapter 3

### Algorithm presentation

#### 3.1 Selection sort

#### 3.1.1 Idea of algorithm

- This algorithm operates through the partitioning of an array into two segments: a sorted region and an unsorted region.
- Typically, the sorted region evolves incrementally from the left side of the array.
- The algorithm iterates through n-1 steps, during each of which it identifies the minimum value within the unsorted region and exchanges it with the leftmost unsorted element.
- Consequently, the demarcation between the sorted and unsorted regions is shifted one element to the right after each step.
- The algorithm concludes upon completing n-1 steps.

#### 3.1.2 Pseudo code

```
1 Function selectionSort(arr)
      n \leftarrow length(arr);
      One by one, move boundary of unsorted region
      for i \leftarrow 0 to n - 2 do
          m \leftarrow 0;
         Find minimum of unsorted region
6
          for j \leftarrow i + 1 to n do
             if arr/j = arr/m then m \leftarrow j;
         Bring minimum element to leftmost position of unsorted region
         if m != i then
11
             swap(arr[i], arr[m]);
12
          end
13
      end
14
15 end
```

**Algorithm 1:** Selection sort

#### 3.1.3 Illustration

Assume this array needs to be sorted

$$arr = \{6, 3, 0, 5\}$$

The table shows how the algorithm sorts array

Table 3.1: Illustration for Selection sort

| step | array                    | explain   |  |
|------|--------------------------|---|--|
| 0    | $\{   6, 3, 1, 5 \}$     | At first, sorted region has no element.             |  |
| 1    | {   6, 3, 1, 5 }         | After first step, minimum element, 1, is chosen.    |  |
|      |                          | It will be brought to leftmost position of unsorted |  |
|      |                          | region, position 0.                                 |  |
| 2    | { 1,   6, <b>3</b> , 5 } | Now the smallest unsorted element is 3, who will    |  |
|      |                          | be brought to position 1 on next step.              |  |
| 3    | { 1, 3,   6, <b>5</b> }  | Now the smallest unsorted element is 5, who will    |  |
|      |                          | be brought to position 2, leftmost position of un-  |  |
|      |                          | sorted region, on next step.                        |  |
| 4    | $\{1, 3, 5,  6\}$        | Now the array is sorted. The algorithm does not     |  |
|      |                          | work with last element since it is already at right |  |
|      |                          | position.   |  |

#### 3.1.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- The for loop in line 4 has to execute n-1 times.
- The for loop in line 7 has to execute n-1-i times.
- Therefore, the number of comparison operations needed is

$$\sum_{i=0}^{n-2} (n-1-i) = \frac{n(n-1)}{2}$$

• Both loops are independent from data distribution, so time complexity is always  $\Theta(n^2)$ .

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#### 3.1.5 Optimize

At each step, the minimum and maximum can be determined at the same time. Then the loop will not have to be executed n-1 times, but can be decreased approximately two times. Therefore running time will decrease about two times.

#### 3.2 Insertion sort

#### 3.2.1 Idea of algorithm

- Like Selection sort (3.1), insertion sort operates through the partitioning of an array into 2 segments: sorted region and an unsorted region.
- Typically, the sorted region evolves incrementally from the left side of the array
- The algorithm individually considers each element in an unsorted array and accurately places it within its appropriate position in the sorted region.
- This entails inserting the selected element into the sorted region by displacing all elements greater than the current one, creating a void that is subsequently filled by the chosen element.

#### 3.2.2 Pseudo code

```
1 Function insertionSort(arr)
       n \leftarrow length(arr);
       for i \leftarrow 1 to n - 1 do
 3
           selected \leftarrow arr[i];
 4
           j \leftarrow i - 1;
 5
           Find position to add selected element
 6
           while j >= 0 \&\& arr[j] > selected do
 7
               arr[j + 1] \leftarrow arr[j];
 8
               j - - ;
 9
           end
10
           arr[j+1] \leftarrow selected;
11
       end
12
13 end
```

Algorithm 2: Insertion sort

#### 3.2.3 Illustration

Assume this array needs to be sorted

$$arr = \{12, 11, 13, 5, 6\}$$

The table shows how algorithm sorts array

Table 3.2: Illustration for Insertion sort

| step | array                             | explain   |
|------|-----------------------------------|---|
| 0    | { 12,   11, 13, 5, 6 }            | At first, sorted region has 1 element.                  |
| 1    | { *, 12,   <b>11</b> , 13, 5, 6 } | 11 is chosen, and the suitable position for it          |
|      |                                   | is marked by *  |
| 2    | { 11, 12, *,   <b>13</b> , 5, 6 } | 13 is chosen, and the suitable position for it          |
|      |                                   | is marked by *  |
| 3    | { *, 11, 12, 13,   <b>5</b> , 6 } | <b>5</b> is chosen, and the suitable position for it is |
|      |                                   | marked by *   |
| 4    | { 5, *, 11, 12, 13,   <b>6</b> }  | 6 is chosen, and the suitable position for it is        |
|      |                                   | marked by *   |
| 5    | { 5, 6, 11, 12, 13,   }           | The array is now sorted.                                |

#### 3.2.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- The for loop in line 3 has to execute n-1 times.
- In best case, the while loop does not have to execute (in case of original array is already sorted). Then, the number of comparison operations needed is

$$(n-1)*1=1$$

• In worse case, the *while* loop has to execute *i* times (when array is reversely sorted). Then, the number of comparison operations needed is

$$\sum_{1} n - 1(i) = \frac{n(n-1)}{2}$$

• Therefore, in best case, time complexity is  $\Omega(n)$ , and in worse case, it is  $O(n^2)$ .

• Average time complexity is  $O(n^2)$ .

#### 3.2.5 Optimize

In Insertion sort, finding the location to insert is sequential. The searching speed can be increased by using binary search.

#### 3.3 Binart insertion sort

#### 3.3.1 Pseudo code

```
1 Function binaryInsertionSort(arr)
      n \leftarrow length(arr);
      for i \leftarrow 1 to n - 1 do
3
          selected \leftarrow arr[i];
          j \leftarrow i - 1;
          Find position to insert using binary search
          loc \leftarrow binarySearch(arr, selected, 0, j);
7
          Move all elements after location to create space
          while j >= loc do
              arr[j+1] \leftarrow arr[j];
10
             j - - ;
11
          end
12
          arr[j+1] \leftarrow selected;
13
      end
14
15 end
16 Function binarySearch(arr, key, low, high)
      if high <= low then
17
          return (key > arr[low]) ? (low + 1) : low;
18
      end
19
      mid \leftarrow (low + high) / 2;
20
      if key == arr/mid then
21
          return mid + 1;
22
      end
23
      if key > arr/mid then
24
          return binarySearch(arr, key, mid + 1, high);
25
      end
26
      return binarySearch(arr, key, low, mid - 1);
28 end
```

Algorithm 3: Binary Insertion sort

If an element has to be moved far (in case of reversed sorted array), many movements are involved. Shell sort was invented to fix this problem.

#### 3.4 Shell sort

#### 3.4.1 Idea of algorithm

- The idea of Shell sort is to allow the exchange of far items.
- In Shell sort, we make the array h-sorted for a large value of h.
- h is reduced until it becomes 1.
- An array is said to be h-sorted if all sub-lists of every h'th element are sorted.

#### 3.4.2 Pseudo code

```
1 Function shellSort(arr)
       n \leftarrow length(arr);
       Rearrange elements at each n/2, n/4, n/8, ... intervals
 3
       interval \leftarrow n / 2;
 4
       while interval > 0 do
 5
           Insertion sort for interval
 6
           for i \leftarrow interval to n - 1 do
               selected \leftarrow arr[i];
 8
               j \leftarrow i;
               while j >= interval \&\& arr[j - interval] > selected do
10
                    arr[j] \leftarrow arr[j - interval];
11
                   j \leftarrow j - interval;
                end
13
               arr[j] \leftarrow selected;
           end
15
           interval \leftarrow \lfloor interval / 2 \rfloor ;
16
       end
17
18 end
```

Algorithm 4: Shell sort

#### 3.4.3 Illustration

Assume this array needs to be sorted

$$arr = \{12, 11, 13, 5, 6\}$$

Table 3.3: Illustration for Shell sort

| inverval | i | array  | explain  |
|----------|---|--|--|
| 2        | 2 | { <b>12</b> , 11, <b>13</b> , 5, 6 }                 | Insertion for 13 and 12.   |
| 2        | 3 | { 12, <b>11</b> , 13, <b>5</b> , 6 }                 | Insertion for 5 and 11.  |
| 2        | 4 | { 12, 5, <b>13</b> , 11, <b>6</b> }                  | Insertion for 5 and 11.  |
| 2        | 4 | { 12, 5, 6, 11, 13 }                                 | Finish with $interval = 2$ .                                     |
| 1        | 1 | { <b>12</b> , 5, 6, 11, 13 }                         | Insertion for 12   |
| 1        | 2 | { <b>12</b> , <b>5</b> , 6, 11, 13 }                 | Insertion for 5 and 12.  |
| 1        | 3 | { <b>5</b> , <b>12</b> , <b>6</b> , 11, 13 }         | Insertion for 6, 12 and 5.                                       |
| 1        | 4 | { <b>5</b> , <b>6</b> , <b>12</b> , <b>11</b> , 13 } | Insertion for <b>11</b> , <b>12</b> , <b>6</b> and <b>5</b> .    |
| 1        | 5 | $\{ 5, 6, 11, 12, 13 \}$                             | Insertion for <b>13 12</b> , <b>11</b> , <b>6</b> and <b>5</b> . |
| 1        | 6 | { 5,6,11,12,13 }                                     | The array is sorted.   |

#### 3.4.4 Complexity Analysis

#### **Space**

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$ .

#### Time

Time complexity of shell sort depends on the intervals the programmer chose. In the pseudo code implemented in 3.4.2, the original algorithm by Shell (1959) is selected.

- In worst case, time complexity is  $O(n^2)$ , because worst case for insertion sort in line 6 is  $O(n^2)$ . The reduction of intervals alone doesn't create a logarithmic time complexity in the overall algorithm.
- In best case, time complexity is  $\Omega(n \log n)$ .

An experiment is made to compare Insertion sort and Shell sort. In worst cases, Insertion sort's running time increases very fast when n increases.

Table 3.4: Compare running time between Insertion sort and Shell sort

| Data distribution | Randomized |         | Reversed sorted |         |
|-------------------|------------|---------|-----------------|---------|
| n                 | 10 000     | 100 000 | 10 000          | 100 000 |
| Insertion sort    | 53.9181    | 5013.86 | 104.36          | 10165.9 |
| Shell sort        | 1.555      | 24.0713 | 0.5074          | 6.0847  |

#### 3.5 Bubble sort

#### 3.5.1 Idea of algorithm

- The algorithm iterates through n-1 steps, examining pairs of adjacent elements in each iteration. Upon detecting an inversion, the algorithm swaps the elements and proceeds to evaluate the next pair.
- Following the initial step, the largest element is positioned at the rightmost of the array. Subsequently, the second largest element is situated at the second rightmost position. This process is repeated for a total of n-1 steps, ultimately resulting in a sorted array.

#### 3.5.2 Illustration

Assume this array needs to be sorted

$$arr = \{6, 0, 3, 5\}$$

The table shows how algorithm sorts array

Table 3.5: Illustration for Bubble sort

| step | array                            | explain   |
|------|----------------------------------|---|
| 0    | $\{6, 0, 3, 5 \mid \}$           | At first, sorted region has 0 element.                      |
| 1    | { <b>6</b> , <b>0</b> , 3, 5   } | 6 and 0 form an inversion, swap them.                       |
| 1    | { 0, <b>6</b> , <b>3</b> , 5   } | 6 and 3 form an inversion, swap them.                       |
| 1    | { 0, 3, <b>6</b> , <b>5</b>   }  | 6 and 5 form an inversion, swap them.                       |
| 1    | $\{0, 3, 5,  6\}$                | First loop ends here. 6 is now at right position.           |
| 2    | { <b>0</b> , <b>3</b> , 5,   6 } | <b>0</b> and <b>3</b> does not form an inversion. Continue. |
| 2    | { 0, <b>3</b> , <b>5</b> ,   6 } | 3 and 5 does not form an inversion. Continue.               |
| 2    | $\{0, 3,  5, 6\}$                | Second loop ends here. 5 is now at right position.          |
| 3    | { <b>0</b> , <b>3</b> ,   5, 6 } | <b>0</b> and <b>3</b> does not form an inversion. Continue. |
| 3    | $\{ \mid 0, 3, 5, 6 \}$          | Third loop ends here. The array is now sorted.              |

#### 3.5.3 Pseudo code

```
1 Function bubbleSort(arr)
      n \leftarrow length(arr);
2
      // loop through the array
      for i \leftarrow \theta to n - 1 do
4
          Bring the largest element to the end of array
          for j \leftarrow 0 to n - i - 1 do
6
              if arr/j/ > arr/j + 1/ then
                 swap(arr[j], arr[j + 1]);
              end
          end
10
       end
12 end
```

Algorithm 5: Bubble sort

#### 3.5.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- Base operator is comparisons.
- The for loop in line 4 has to execute n times.
- The for loop in line 7 has to execute n-i times.
- Therefore, the number of comparison operations needed is

$$\sum_{i=0}^{n-1} (n-i) = \frac{n(n+1)}{2}$$

• Both loops are independent from data distribution, so time complexity is always  $\Theta(n^2)$ .

#### 3.5.5 Optimize

When looping to a certain step, if the array is already sorted, further looping is pointless and time-consuming. Therefore, it is possible to improve by placing a flag in that turn whether or not a change of position is performed.

```
1 Function bubbleSort(arr)
      n \leftarrow length(arr);
\mathbf{2}
      // loop through the array
3
      for i \leftarrow \theta to n - 1 do
4
          swapped: if no swap is made in a loop, then array is sorted
\mathbf{5}
          swapped \leftarrow false;
          Bring the largest element to the end of array
 7
          for j \leftarrow 0 to n - i - 1 do
8
              if arr/j/ > arr/j + 1 then
                 swap(arr[j], arr[j + 1]);
10
                 swapped \leftarrow true;
11
              end
12
          end
13
          If no two elements were swapped by inner loop, then break
14
          if swapped == false then break;
15
16
      end
17
18 end
```

Algorithm 6: Bubble sort optimized

In the remaining of this report, the optimized version of bubble sort will be used when "bubble sort" mentioned.

The aforementioned enhancement proves notably efficient when sorting an array that is already ordered or has undergone substantial reduction in sorting time. However, in instances where a low-value element is positioned at the end of the array with the remaining elements in ascending order, the algorithm must traverse the entire array to relocate that element to the begin. This is due to each traversal merely shifting the element back by one position.

To address this issue, Shaker Sort adopts a strategy of reversing the traversal direction after each pass, thereby mitigating the mentioned drawback.

#### 3.6 Shaker sort

#### 3.6.1 Idea of algorithm

• Shaker sort is a variant of bubble sort. Bubble sort is one - way travel, shaker sort is two - way travel, forward and backward.

#### 3.6.2 Pseudo code

```
1 Function shakerSort(arr)
      n \leftarrow length(arr);
      start \leftarrow 0;
3
      end \leftarrow n - 1;
4
      swapped \leftarrow true;
      while swapped do
6
          swapped \leftarrow false;
7
          Bubble sort from left to right
          for i \leftarrow start to end - 1 do
9
              if arr/i > arr/i + 1 then
10
                  swap(arr[i], arr[i + 1]);
11
                  swapped \leftarrow true \ ;
12
              end
13
          end
14
           If nothing moved, then array is sorted
15
          if !swapped then
              break;
17
          end
18
          Otherwise, reset the swapped flag
19
          swapped \leftarrow false;
20
          Bubble sort from right to left
21
          for i \leftarrow end - 1 to start do
22
              if arr/i < arr/i - 1/ then
23
                  swap(arr[i], arr[i-1]);
\mathbf{24}
                  swapped \leftarrow true;
25
              end
26
          end
27
      end
28
29 end
```

Algorithm 7: Shaker sort

#### 3.6.3 Illustration

Assume this array needs to be sorted

$$arr = \{6, 0, 3, 5\}$$

The table shows how algorithm sorts array

Table 3.6: Illustration for Shaker sort

| step | array                              | explain   |
|------|------------------------------------|---|
| 0    | {   6, 0, 3, 5   }                 | At first, both sorted regions have 0 element.               |
| 1    | $  \{   6, 0, 3, 5   \}  $         | 6 and 0 form an inversion, swap them.                       |
| 1    | {   0, <b>6</b> , <b>3</b> , 5   } | 6 and 3 form an inversion, swap them.                       |
| 1    | {   0, 3, <b>6</b> , <b>5</b>   }  | 6 and 5 form an inversion, swap them.                       |
| 1    | {   0, 3, 5,   6 }                 | First loop ends here. 6 is now at right posi-               |
|      |                                    | tion.   |
| 2    | $  \{   0, 3, 5,   6 \}  $         | <b>3</b> and <b>5</b> does not form an inversion. Continue. |
| 2    | {   <b>0</b> , <b>3</b> , 5,   6 } | <b>0</b> and <b>3</b> does not form an inversion. Continue. |
| 2    | { 0,   3, 5,   6 }                 | Second loop ends here. <b>0</b> is now at right po-         |
|      |                                    | sition.   |
| 3    | { 0,   <b>3</b> , <b>5</b> ,   6 } | 3 and 5 does not form an inversion. Continue.               |
| 3    | $\{0,  3,  5, 6\}$                 | The array is sorted.  |

#### 3.6.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- Since shaker sort is variant of bubble sort, time complexity is still  $\Theta(n^2)$ .
- But running time is faster because the number of swapping operations is reduced.

An experiment is made to compare Bubble sort and Shaker sort. Due to the implementation of the random nearly sorted array function, a small and a large value are swapped. Bubble sort needs more time to bring the small values located at the end of array to their right position.

Table 3.7: Compare running time between Bubble sort and Shaker sort

| Data distribution | Randomized |         | Nearly sorted |         |
|-------------------|------------|---------|---------------|---------|
| n                 | 50 000     | 500 000 | 50 000        | 500 000 |
| Bubble sort       | 6334.1     | 643998  | 2100.23       | 213153  |
| Shaker sort       | 4660.84    | 477125  | 2.0739        | 27.4674 |

#### 3.7 Counting sort

#### 3.7.1 Idea of algorithm

- Counting sort is a non comparison based algorithm.
- The algorithm stores the count of each unique element of the input array at their respective indices in a frequency array.
- Store the prefix sum of elements of frequency array. This will help in placing the elements of the input array at the correct index in the output array.
- From the prefix sum array, the sorted array will be formed.
- Counting sort works with integers only.

#### 3.7.2 Pseudo code

```
1 Function countingSort(arr)
      n \leftarrow length(arr);
      Find the maximum element of array
3
      h \leftarrow \max(arr);
4
      Create frequency array
5
      f \leftarrow array[n];
      Set all elements of frequency array to 0
      for i \leftarrow 0 to n - 1 do f[i] = 0;
8
      Count frequency of each element appeared in array
      for i \leftarrow 0 to n - 1 do f[arr[i]] + +;
10
      Prefix sum to get its last position in sorted array
11
      for i \leftarrow 1 to h do f[i] \leftarrow f[i] + f[i-1];
12
      Temporary array to save sorted array
13
      tmp \leftarrow array[n];
14
      for i \leftarrow 0 to n - 1 do
15
          tmp[f[arr[i]] - 1] \leftarrow arr[i];
16
          f[arr[i]]- - ;
17
      end
18
      Copy data from temp array back to origin array
19
      arr \leftarrow tmp;
20
21 end
```

Algorithm 8: Counting sort

#### 3.7.3 Illustration

Assume this array needs to be sorted

$$arr = \{3, 4, 3, 5, 4, 3, 2, 2, 1, 3, 1\}$$

The frequency array is

$$f = \{0, 2, 2, 4, 2, 1\}$$

- $f[\mathbf{0}] = 0$  means there are no elements have value of  $\mathbf{0}$  in the array.
- f[1] = 2 means there are 2 elements have value of 1 in the array.
- f[2] = 2 means there are 2 elements have value of 2 in the array.
- f[3] = 4 means there are 4 elements have value of 3 in the array.
- f[4] = 2 means there are 2 elements have value of 4 in the array.
- f[5] = 1 means there are 1 elements have value of 5 in the array.

The sorted array is

$$arr = \{1, 1, 2, 2, 3, 3, 3, 3, 4, 4, 5\}$$

#### 3.7.4 Complexity Analysis

#### Space

Since the algorithm requires a frequency array of length  $\max(arr) - \min(arr)$  (denote: k), therefore space complexity is O(k).

#### Time

Time complexity is O(n+k) in all cases.

#### 3.8 Heap sort

#### 3.8.1 Definition of data structure heap

- A heap is a binary tree satisfies any node's key value is not smaller than its children's key value in a relationship.
- In max heap, any node's key value is not smaller than its children's key value.
- In min heap, any node's key value is not greater than its children's key value.

- In ascending order sorting algorithm, max heap is used, an its elements is stored in an array, where left-child of node at position i, if exists, is at position 2 \* i + 1, and right-child of node at position i, if exists, is at position 2 \* i + 2.
- So max heap now is an array satisfies

$$\begin{cases} arr[i] \ge arr[2*i+1] \\ arr[i] \ge arr[2*i+2] \end{cases}, \forall i \in \left[0, \left\lfloor \frac{n-2}{2} \right\rfloor\right]$$

#### 3.8.2 Idea of algorithm

- Heap sort uses data structure heap to sort an array.
- The algorithm first turns whole array into a max heap (in case of ascending sort).
  - From left to right, the node and its children, if one or both of them exist, are considered. The father node will be swapped with the greater of its children.
  - This process is repeated until a first leaf node is considered.
- After building a heap, the array will be sorted
  - The root is taken out, and stored at the backward of the array. The heap's boundary is reduced by 1. The heap is rebuilt to have new root.
  - This process is repeated until the heap is empty. The array is sorted.

#### 3.8.3 Pseudo code

```
1 Function heapify(arr, l, r)
       i \leftarrow l;
      j \leftarrow 2 * i + 1;
       x \leftarrow arr[i];
       while i \le i do
          If node pointing by j has two children
 6
          if j < r then
 7
              j point to smaller node
              if arr[j] < arr[j + 1] then
                  j++
10
              end
11
          end
12
          If true, then the heap is built.
13
          if x >= arr/j then
14
              break;
15
          end
16
          swap(arr[j], arr[i]);
17
          Prepare for new loop
18
          i \leftarrow j;
19
          j \leftarrow 2 * i + 1;
20
       end
21
22 end
23 Function heapSort(arr)
       n \leftarrow length(arr);
24
       Prepare heap
25
       From right to left, push each element to heap
26
      for l \leftarrow \left| \frac{n}{2} \right| - 1 to \theta do heapify(arr, l, n - 1);
27
       Heap sort
28
       r \leftarrow n - 1;
29
       while r > \theta do
30
          Swap top element of heap to last of array
31
          swap(arr[0], arr[r]);
32
33
          r - - ;
          Push swapped element to heap again
34
          heapify(arr, 0, r);
35
       end
36
з7 end
```

Algorithm 9: Heap sort

#### 3.8.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- Building a heap costs O(n).
- For sorting, it costs O(n) to sort. In best case, it costs O(1) to push new root back to heap, and in worst case, it costs  $O(\log n)$ .

Totally, it costs O(n) in best case, and  $O(n \log n)$  in worse case and average.

#### 3.8.5 Illustration

To have another view, the min - heap and descending - order sorting algorithm is used for illustration.

Assume this array needs to be sorted

$$arr = \{27, 9, 1, 6, 3, 13, 14, 7, 28\}$$

Table 3.8: Illustration for Heap sort

| position | array                                     | explain   |
|----------|---|---|
| 3        | { 27, 9, 1, <b>6</b> , 3, 13, 14, 7, 28 } | First position is $\left[\frac{n-2}{2} = \frac{9-2}{2} = 3\right]$ . <b>6</b> is at right position. |
| 2        | { 27, 9, 1, 6, 3, 13, 14, 7, 28 }         | 1 is at right position.   |
| 1        | { 27, <b>9</b> , 1, 6, 3, 13, 14, 7, 28 } | <b>9</b> will be swapped with $\beta$ .   |
| 1        | { 27, 3, 1, 6, 9, 13, 14, 7, 28 }         | 9 does not have any children. It stays there.   |
| 0        | { <b>27</b> , 3, 1, 6, 9, 13, 14, 7, 28 } | 27 will be swapped with 1.  |
| 0        | { 1, 3, <b>27</b> , 6, 9, 13, 14, 7, 28 } | <b>27</b> will be swapped with 13.  |
| 0        | { 1, 3, 13, 6, 9, <b>27</b> , 14, 7, 28 } | 27 does not have any children. It stays there   |

The  $\min$  - heap is built. Now the array will be sorted.

| step | array                                       | explain   |
|------|---|---|
| 0    | { 1, 3, 13, 6, 9, 27, 14, 7, 28   }         | Firstly, sorted region have no elements. The root         |
|      |   | of a heap is selected and brought to the right of         |
|      |   | array.  |
| 0    | <b>28</b> , 3, 13, 6, 9, 27, 14, 7,   1 }   | 28 will be pushed back to heap.                           |
| 0    | { 3, 6, 13, 7, 9, 27, 14, <b>28</b> ,   1 } | 28 is at right position.                                  |
| 1    | { <b>3</b> , 6, 13, 7, 9, 27, 14, 28,   1 } | The root of a heap, 3, is selected and brought to         |
|      |   | the right of array.                                       |
| 1    | { <b>28</b> , 6, 13, 7, 9, 27, 14,   3, 1 } | 28 will be pushed back to heap.                           |
| 1    | { 6, 7, 13, <b>28</b> , 9, 27, 14,   3, 1 } | 28 is at right position.                                  |
| 2    | { <b>6</b> , 7, 13, 28, 9, 27, 14,   3, 1 } | <b>6</b> , is selected and brought to the right of array. |
| 2    | { <b>14</b> , 7, 13, 28, 9, 27,   6, 3, 1 } | 14 will be pushed back to heap.                           |
| 2    | $\{7, 9, 13, 28, 14, 27,   6, 3, 1\}$       | 14 is at right position.                                  |
| 3    | { <b>7</b> , 9, 13, 28, 14, 27,   6, 3, 1 } | 7, is selected and brought to the right of array.         |
| 3    | { <b>27</b> , 9, 13, 28, 14,   7, 6, 3, 1 } | 27 will be pushed back to heap.                           |
| 3    | { 9, 14, 13, 28, <b>27</b> ,   7, 6, 3, 1 } | 27 is at right position.                                  |
| 4    | <b>9</b> , 14, 13, 28, 27,   7, 6, 3, 1 }   | <b>9</b> is selected and brought to the right of array.   |
| 4    | <b>27</b> , 14, 13, 28,   9, 7, 6, 3, 1 }   | 27 will be pushed back to heap.                           |
| 4    | { 13, 14, <b>27</b> , 28,   9, 7, 6, 3, 1 } | 27 is at right position.                                  |
| 5    | { <b>13</b> , 14, 27, 28,   9, 7, 6, 3, 1 } | 13 is selected and brought to the right of array.         |
| 5    | { <b>28</b> , 14, 27,   13, 9, 7, 6, 3, 1 } | 28 will be pushed back to heap.                           |
| 5    | { 14, <b>28</b> , 27,   13, 9, 7, 6, 3, 1 } | 28 is at right position                                   |
| 6    | { <b>14</b> , 28, 27,   13, 9, 7, 6, 3, 1 } | 28 is at right position                                   |
| 6    | { <b>27</b> , 28,   14, 13, 9, 7, 6, 3, 1 } | 27 will be pushed back to heap.                           |
| 6    | { <b>27</b> , 28,   14, 13, 9, 7, 6, 3, 1 } | 27 is at right position.                                  |
| 7    | { <b>27</b> , 28,   14, 13, 9, 7, 6, 3, 1 } | 27 is selected and brought to the right of array.         |
| 7    | { 28,   27, 14, 13, 9, 7, 6, 3, 1 }         | The array is sorted.                                      |

#### 3.9 Merge sort

#### 3.9.1 Idea of algorithm

Merge sort is a recursive algorithm works by following steps:

- If array contains less than two elements, do nothing and return.
- Else, divide array into two halves, sort them and merge them back into one array.

#### 3.9.2 Illustration

Assume this array needs to be sorted

$$arr = [4, 2, 3, 1, 8, 6, 7, 5]$$

The table shows how algorithm sorts array

Table 3.9: Illustration for Merge sort

| array   | explain                                    |
|---|--|
| [ 4, 2, 3, 1, 8, 6, 7, 5 ]                                  | The array will be divided into two halves. |
| [ [ 4, 2, 3, 1], [8, 6, 7, 5] ]                             | Each half will be divided into two halves. |
| [ [ [4, 2], [3, 1] ], [ [8, 6], [7, 5] ] ]                  | Each half will be divided into two halves. |
| [[ [ [4], [2] ], [ [3], [1] ] ], [ [8], [6] ], [7], [5] ] ] | Each half will be divided into two halves. |
| [ [ [2, 4], [1, 3] ], [ [6, 8], [5, 7] ] ]                  | Merge two halves.                          |
| [ [ 1, 2, 3, 4], [5, 6, 7, 8] ]                             | Merge two halves.                          |
| [ 1, 2, 3, 4, 5, 6, 7, 8 ]                                  | Merge two halves.                          |

#### 3.9.3 Pseudo code

```
1 Function mergeSort(arr, l, r)
      if l < r then
\mathbf{2}
          \operatorname{mid} \leftarrow l + \left| \frac{r - l}{2} \right| ;
3
          Sort first and second halves
4
          mergeSort(arr, l, mid);
          mergeSort(arr, mid + 1, r);
          Merge the sorted halves
          merge(arr, l, mid, r);
8
      end
10 end
11 Function merge(arr, l, m, r)
      Create temporary arrays
12
      leftArr \leftarrow arr[l..m];
13
      rightArr \leftarrow arr[m+1..r];
14
      Merge the temp arrays back into arr[l..r]
15
16
      leftID \leftarrow 0;
      rightID \leftarrow 0;
17
      mergedId \leftarrow 1;
18
       while leftID < length(leftArr) && rightID < length(rightArr) do
19
          if leftArr/leftID/ <= rightArr/rightID/ then
20
              arr[mergedId] \leftarrow leftArr[leftID];
\mathbf{21}
              leftID++;
          end
23
          else
24
              arr[mergedId] \leftarrow rightArr[rightID];
25
              rightID++;
26
          end
27
          mergedId++;
28
29
      end
      Copy remaining elements of leftArr[] if any
30
       while leftID < length(leftArr) do
31
          arr[mergedId] \leftarrow leftArr[leftID];
32
          leftID++;
33
          mergedId++;
34
      end
35
      Copy remaining elements of rightArr[] if any
36
       while rightID < length(rightArr) do
37
          arr[mergedId] \leftarrow rightArr[rightID];
38
          rightID++;
39
          mergedId++;
40
      end
41
42 end
```

Algorithm 10: Merge sort

#### 3.9.4 Complexity Analysis

#### Space

Each recursive step requires extra array to copy data, space complexity is  $\Theta(n)$  for all cases.

#### Time

- It takes O(n) to merge two halves.
- The original is divided  $\log_2 n$  times.
- So time complexity is  $O(n \log n)$  in all cases, since above steps are executed independently of data distribution.

#### 3.10 Quick sort

#### 3.10.1 Idea of algorithm

- One random element is chosen as a pivot.
- The array will be split into to segments: one segment contains elements smaller than pivot, another contains elements greater than pivot.
- The algorithm call itself to partition two segments.

#### 3.10.2 Illustration

Assume this array needs to be sorted, an pivot is the rightmost element of the array

$$arr = [4, 1, 3, 2, 7, 6, 8, 5]$$

The table shows how algorithm sorts array

Table 3.10: Illustration for Quick sort

| array  | explain                                      |
|--|--|
| [ 4, 1, 3, 2, 7, 6, 8, <b>5</b> ]                          | The array will be divided into two segments. |
| [ [4, 1, 3, 2], <b>5</b> , [6, 8, 7] ]                     | The array is partitioned.                    |
| [ [4, 1, 3, <b>2</b> ], 5, [6, 8, <b>7</b> ] ]             | Recursive sort to sub-array.                 |
| [ [ [1], <b>2</b> , [3, 4] ], 5, [ [6], <b>7</b> , [8] ] ] | Finish next stage.                           |
| [[1], 2, [3, 4]], 5, [6], 7, [8]]                          | Recursive sort to sub-array.                 |
| [[1], 2, [3, 4]], 5, [6], 7, [8]]                          | The array is sorted.                         |

#### 3.10.3 Pseudo code

```
1 Function quickSort(arr, l, r)
      if l < r then
         pi is partitioning index, arr[pi] is now at right place
3
         pi \leftarrow partition(arr, l, r);
 4
         Separately sort elements before partition and after partition
         quickSort(arr, l, pi - 1);
 6
         quickSort(arr, pi + 1, r);
      end
9 end
10 Function partition(arr, l, r)
      Choose the pivot
11
      swap(arr[random(l, r)], arr[r]);
12
      pivot \leftarrow arr[r];
13
      Index of smaller element and indicate the right position of pivot
14
      found so far
      i \leftarrow (l-1);
15
      for j \leftarrow l to r do
16
          If current element is smaller than the pivot
17
         if arr/j < pivot then
18
             Increment index of smaller element
19
             i++;
20
             swap(arr[i], arr[j]) ;
\mathbf{21}
         end
22
      end
23
      swap(arr[i+1], arr[r]);
24
      return (i+1);
25
26 end
```

Algorithm 11: Quick sort

#### 3.10.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$  for all cases.

#### Time

- Best cases:  $\Omega(n \log n)$ . This case occurs when the pivot chosen at each step divides the array into two halves.
- Worst csae:  $O(n^2)$ . This occurs when the pivot chosen at each step is always the smallest or largest element.
- Average:  $\Theta(n \log n)$ .

#### 3.11 Radix sort

#### 3.11.1 Idea of algorithm

- Radix sort is a non-comparative integer sorting algorithm that sorts data with integer keys by grouping keys by the individual digits which share the same significant position and value.
- There are two variations: LSD and MSD. In number 1234, if digit 1 is checked first, it is MSD (Most Significant Digit), if 4 is checked first, it is LSD (Least Significant Digit).
- In this report, the chosen radix is 10, and LSD.

#### 3.11.2 Illustration

Assume this array needs to be sorted

$$arr = [72, 34, 52, 44, 76, 56]$$

The table shows how algorithm sorts array

Table 3.11: Illustration for Radix sort

| digit | array  | explain                                 |
|-------|--|---|
| 0     | [ 72, 34, 52, 44, <b>76</b> , 56 ]   | The maximum element has two digits.     |
| 1     | [ 72, 34, 52, 44, 76, 56 ]   | The array will be divided into groups   |
|       |  | based on their last significant digit.  |
| 1     | $\{ \{72, 52\}, \{34, 44\}, \{76, 56\} \}$   | The array is divided into three groups. |
| 1     | [ 72, 52, 34, 44, 76, 56 ]   | Merged back.                            |
| 2     | [ <b>7</b> 2, <b>5</b> 2, <b>3</b> 4, <b>4</b> 4, <b>7</b> 6, <b>5</b> 6 ]                 | The array will be divided into groups   |
|       |  | based on their second last significant  |
|       |  | digit                                   |
| 2     | { { <b>3</b> 4 }, { <b>4</b> 4 }, { <b>5</b> 2, <b>5</b> 6 }, { <b>7</b> 2, <b>7</b> 6 } } | The array is divided into three groups. |
| 2     | [ 34, 44, 56, 56, 72, 76 ]   | Merged back.                            |

#### 3.11.3 Pseudo code

```
1 Function radixSort(arr)
       n \leftarrow length(arr);
       Find the maximum number to know the number of digits
3
       \max Num \leftarrow \max(arr);
4
       Do counting sort for every digit
5
       for exp \leftarrow 1; maxNum / exp > 0; exp *= 10 do
          countSort(arr, n, exp);
7
       end
8
9 end
10 Function countSort(arr, n, exp)
       Counting sort of arr[] according to the digit represented by exp
11
       k \leftarrow 10;
12
       output \leftarrow \operatorname{array}[n];
13
       count \leftarrow \operatorname{array}[k] with all elements 0;
14
       Count occurrences of each digit in the input array
15
       for i \leftarrow 0 to n - 1 do
16
          count[(arr[i] / exp) \% 10] + + ;
17
18
       end
       Update count[i] to store the position of the next occurrence
19
       for i \leftarrow 1 to k - 1 do
20
          count[i] += count[i - 1];
\mathbf{21}
22
       end
       Build the output array
23
       for i \leftarrow n - 1 to \theta do
\mathbf{24}
           output[count[(arr[i] / exp) % 10] - 1] \leftarrow arr[i];
25
           \operatorname{count}[(\operatorname{arr}[i] / \exp) \% 10] - ;
26
       end
27
       Copy the output array to arr[] so that arr[] contains sorted
\mathbf{28}
       numbers based on current digit
       arr[i] \leftarrow output[i];
29
30 end
```

Algorithm 12: Radix sort

#### 3.11.4 Complexity Analysis

#### Space

Since the algorithm does not requires extra arrays, space complexity is  $\Theta(1)$ .

#### Time

- Best cases:  $\Omega(n \log n)$ . This case occurs when the pivot chosen at each step divides the array into two halves.
- Worst csae:  $O(n^2)$ . This occurs when the pivot chosen at each step is always the smallest or largest element.
- Average:  $\Theta(n \log n)$ .

#### 3.12 Flash sort

#### 3.12.1 Idea of algorithm

 $\bullet$  The algorithm divides elements into m segments.

• Element 
$$x$$
 belongs to segment  $k[x] = \left\lfloor (m-1) * \frac{x - min(arr)}{max(arr) - min(arr)} \right\rfloor$ .

• After partitioning, use insertion sort for each partitions.

#### 3.12.2 Illustration

Assume this array needs to be sorted

$$arr = [4, 1, 0, 3, 2]$$

With m = 3, the partitioning table is

| element | segment |
|---------|---------|
| 4       | 3       |
| 1       | 1       |
| 0       | 1       |
| 3       | 3       |
| 2       | 2       |

After partition, array becomes

$$arr = [1,0,|3,2,|4]$$

#### 3.12.3 Pseudo code

```
1 Function flashSort(arr, n)
        Step 0: Find min and max
        \min \leftarrow \min(arr);
 3
        \max \leftarrow \max(arr);
 4
        If arr[i] == arr[j], for all i, j
        if max == min then return;
 6
 7
        Step 1: Determine the size of partitions
        m \leftarrow n * 0.45;
        if m \le 2 then m \leftarrow 2;
10
11
        L \leftarrow \operatorname{array}[m] with all elements 0;
12
        for i \leftarrow \theta to n - 1 do
13
            k \leftarrow (m-1) * \left\lfloor \frac{arr[i] - min}{max - min} \right\rfloor ;
14
            L[k]++;
15
        end
16
        for k \leftarrow 1 to m-1 do L[k] \leftarrow L[k] + L[k-1];
17
        Step 2: Partition
18
        i \leftarrow 0;
19
        count \leftarrow 0;
20
        k \leftarrow m - 1;
21
        while count < n do
22
             while i >= L/k/ do
23
24
                k \leftarrow (m-1) * \left| \frac{arr[i] - min}{max - min} \right| ;
25
26
             end
            flash \leftarrow arr[i];
27
            while i != L[k] do
 k \leftarrow (m-1) * \left\lfloor \frac{flash - min}{max - min} \right\rfloor ;
28
29
                 swap(arr[L[k] - 1], flash);
30
31
                 count++;
32
             end
33
        end
34
35 end
```

Algorithm 13: Flash sort

The array is partitioned into m segments. Use insertion sort 3.2 for sorting each segment. Insertion sort is chosen because its speed when sorting array with small number of elements.

#### 3.12.4 Complexity Analysis

#### Space

Space complexity is O(m), for array L in line 13.

#### Time

- Time complexity for partition step is O(n), sice each element is considered once.
- In average, each segment after partitioning has  $\frac{n}{m}$  elements. Therefore, due to the time complexity of insertion sort, time complexity for sorting each segment is  $O(\frac{n^2}{m^2})$ . There are m segments, so time complexity for sorting array is  $O(\frac{n^2}{m^2}*m) = O(\frac{n^2}{m})$ .
- After experiments, m = 0.43n returns best complexity. (Neubert, 1998).
- In worst case, time complexity can reach  $O(n^2)$ , when data is unevenly distributed.

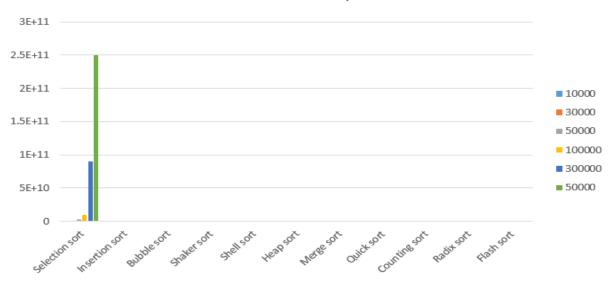
## Chapter 4

# Experimental results and comments

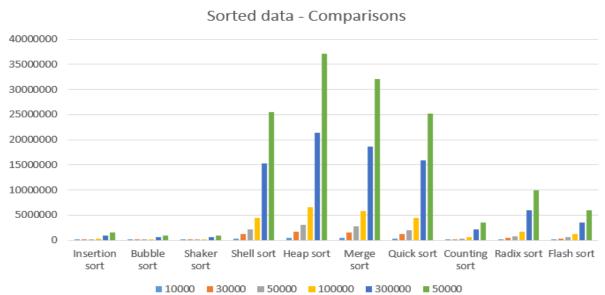
#### 4.1 Sorted data

These charts show number of comparisons when the algorithms sort the sorted array

Figure 4.1: Sorted data - Comparisons
Sorted data - Comparisons



Remove Selection sort from a chart



The number of comparisons made by Selection sort is very high, since Insertion sort

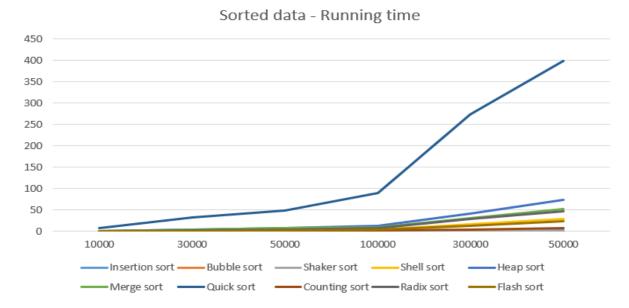
works very fast when sorting the sorted arrays, Bubble sort and Shaker sort both have a mechanism allowed them to break when meeting a sorted array, and the other algorithms are much more efficient than Selection sort.

These line graph show running time when the algorithms sort the sorted array

Sorted data - Running time 250000 200000 150000 100000 50000 0 10000 30000 50000 100000 300000 50000 Insertion sort = - Bubble sort Shaker sort Selection sort -Quick sort Shell sort Heap sort Merge sort Counting sort — Radix sort - Flash sort

Figure 4.2: Sorted data - Running time

The reason why Selection sort costs lots of time to run it explained above. Remove Selection sort from a graph



Only one line is not normal here, Quick sort's running time is much higher than the others. This happens because the pivot chosen is random, and because the array is already sorted, the probability of the pivot chosen separate the array into two halves is low. That is why its running time is much higher than other algorithms.

Table 4.1: Data - order: sorted data

|                   |             | Data         | Data order Sorted data | ıta          |             |              |
|-------------------|-------------|--------------|------------------------|--------------|-------------|--------------|
| Datasize          | 1000        | 000          | 30                     | 30000        | 20          | 20000        |
| Resulting statics | Comparisons | Running time | Comparisons            | Running time | Comparisons | Running time |
| Selection sort    | 100019998   | 96.2864      | 900029998              | 805.218      | 2500099998  | 2294.9       |
| Insertion sort    | 29998       | 0.0272       | 86668                  | 0.0841       | 149998      | 0.1647       |
| Bubble sort       | 20001       | 0.0168       | 60001                  | 0.0522       | 100001      | 0.1163       |
| Shaker sort       | 19999       | 0.0182       | 59999                  | 0.0562       | 66666       | 0.0879       |
| Shell sort        | 360042      | 0.3254       | 1170050                | 1.1348       | 2100049     | 2.9733       |
| Heap sort         | 518705      | 1.0428       | 1739633                | 3.7386       | 3056481     | 7.9947       |
| Merge sort        | 475242      | 0.8436       | 1559914                | 3.5848       | 2722826     | 6.8961       |
| Quick sort        | 356967      | 7.8724       | 1276873                | 33.0438      | 2005123     | 49.3276      |
| Counting sort     | 70003       | 0.3501       | 210003                 | 0.4068       | 350003      | 0.7236       |
| Radix sort        | 140056      | 0.897        | 510070                 | 2.4651       | 850070      | 3.8429       |
| Flash sort        | 119000      | 0.404        | 357000                 | 1.269        | 595000      | 2.0921       |
|                   |             |              |                        |              |             |              |

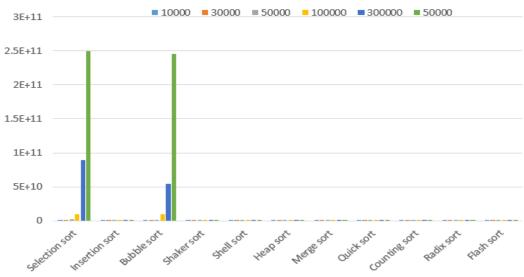
| Datasize          | 100         | 100000       | 300         | 300000       | 50           | 50000        |
|-------------------|-------------|--------------|-------------|--------------|--------------|--------------|
| Resulting statics | Comparisons | Running time | Comparisons | Running time | Comparisons  | Running time |
| Selection sort    | 10000199998 | 9285.45      | 90000299998 | 85347.3      | 250000999998 | 234893       |
| Insertion sort    | 299998      | 0.2788       | 866668      | 0.7971       | 1499998      | 1.7079       |
| Bubble sort       | 200001      | 0.1744       | 600001      | 0.5113       | 1000001      | 1.0486       |
| Shaker sort       | 199999      | 0.1874       | 599999      | 0.5489       | 666666       | 0.9716       |
| Shell sort        | 4500051     | 4.4216       | 15300061    | 16.0825      | 25500058     | 29.5994      |
| Heap sort         | 6519813     | 13.8387      | 21431637    | 40.9354      | 37116275     | 73.1254      |
| Merge sort        | 5745658     | 9.8761       | 18645946    | 31.4051      | 32017850     | 52.1767      |
| Quick sort        | 4490896     | 89.9941      | 15934253    | 272.911      | 25195263     | 399.325      |
| Counting sort     | 700003      | 1.5004       | 2100003     | 4.48189      | 3500003      | 7.7054       |
| Radix sort        | 1700070     | 8.1059       | 6000084     | 29.3186      | 10000084     | 47.417       |
| Flash sort        | 1190000     | 4.3061       | 3570000     | 12.4245      | 5950000      | 23.3434      |

### 4.2 Nearly sorted data

These charts show number of comparisons when the algorithms sort the nearly sorted array

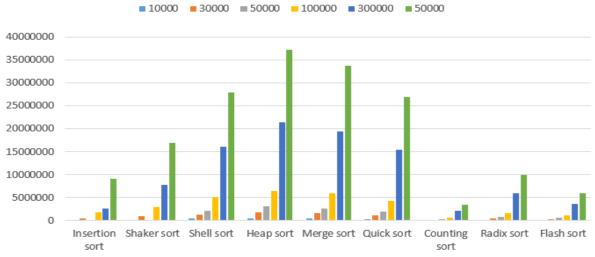
Figure 4.3: Nearly sorted data - Comparisons

Nearly sorted data - Comparisons



Remove Selection sort and Bubble sort from a chart

Nearly sorted data - Comparisons



The reason why the number of comparisons of Bubble sort gets high is explained in 3.5.5. Insertion sort keeps its fast speed because this experiment uses nearly sorted data.

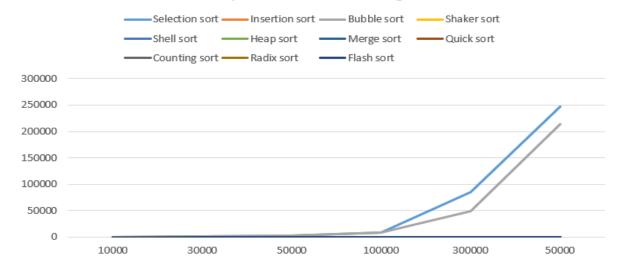
Table 4.2: Data - order: nearly sorted data

|                |             | Data or      | Data order Nearly sorted data | ed data      |             |              |
|----------------|-------------|--------------|-------------------------------|--------------|-------------|--------------|
| Datasize       | 10          | 10000        | 30                            | 30000        | 20          | 20000        |
| Counting sort  | Comparisons | Running time | Comparisons                   | Running time | Comparisons | Running time |
| Selection sort | 100019998   | 95.8791      | 900059998                     | 800.118      | 2500099998  | 2235.68      |
| Insertion sort | 126638      | 0.1222       | 450282                        | 0.4489       | 778254      | 0.8097       |
| Bubble sort    | 93924040    | 84.3582      | 760536657                     | 655.726      | 2461312017  | 2100.23      |
| Shaker sort    | 219879      | 0.4084       | 899775                        | 1.2233       | 1299831     | 2.0739       |
| Shell sort     | 400902      | 0.433799     | 1296018                       | 1.5364       | 2386473     | 3.1536       |
| Heap sort      | 518548      | 1.0452       | 1739672                       | 4.4733       | 3056461     | 6.5843       |
| Merge sort     | 502717      | 0.856        | 1638335                       | 4.0481       | 2894240     | 4.648        |
| Quick sort     | 346580      | 10.5369      | 1168928                       | 28.7507      | 2104137     | 42.4138      |
| Counting sort  | 70003       | 0.5179       | 210003                        | 0.4138       | 350003      | 0.7268       |
| Radix sort     | 140056      | 0.6279       | 510070                        | 2.4048       | 850070      | 4.1443       |
| Flash sort     | 118977      | 0.614        | 356973                        | 1.2148       | 594977      | 2.0378       |

| Datasize       | 10000       | 000          | 300         | 300000       | 200          | 20000                      |
|----------------|-------------|--------------|-------------|--------------|--------------|----------------------------|
| Counting sort  | Comparisons | Running time | Comparisons | Running time | Comparisons  | Comparisons   Running time |
| Selection sort | 10000199998 | 9163.6       | 90000599998 | 85308.5      | 250000999998 | 247640                     |
| Insertion sort | 1814974     | 2.106        | 2616150     | 2.8135       | 9103482      | 9.8182                     |
| Bubble sort    | 9697196352  | 8490.01      | 54459301425 | 48587.6      | 245213328752 | 213153                     |
| Shaker sort    | 2999775     | 4.3468       | 7799831     | 9.3559       | 16999711     | 27.4674                    |
| Shell sort     | 5131771     | 6.8392       | 16122798    | 18.5211      | 27814150     | 31.1973                    |
| Heap sort      | 6519805     | 12.9089      | 21431691    | 42.0096      | 37111283     | 78.8615                    |
| Merge sort     | 6035245     | 9.6227       | 19360056    | 31.4401      | 33634038     | 54.6727                    |
| Quick sort     | 4221055     | 77.3767      | 15481165    | 242.769      | 26830065     | 421.998                    |
| Counting sort  | 700003      | 1.5704       | 2100003     | 4.6373       | 3500003      | 6:9059                     |
| Radix sort     | 1700070     | 8.4764       | 6000084     | 30.8014      | 10000084     | 46.5434                    |
| Flash sort     | 1189975     | 4.0161       | 3569975     | 13.0936      | 5949976      | 21.9048                    |

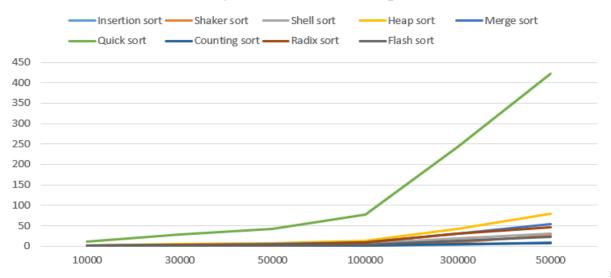
Figure 4.4: Nearly sorted data - Running time

Nearly sorted data - Running time



Remove Selection sort and Bubble sort from a chart





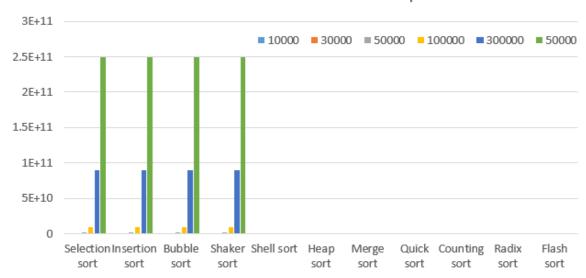
The weakness of Quick sort when sorting a nearly sorted array is as same as when sorting sorted array. Bubble sort runs faster than Selection sort because it has mechanism to realize when the array is sorted. The mechanism is explained in Algorithm 6

#### 4.3 Reversed sorted data

These charts show number of comparisons when the algorithms sort the reversed sorted array

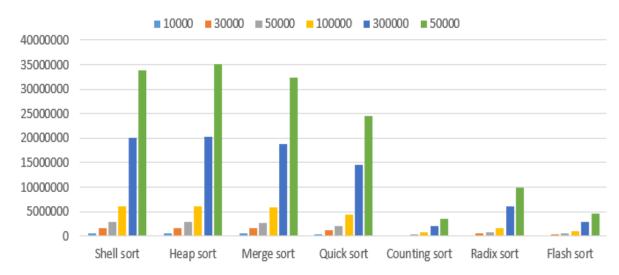
Figure 4.5: Reversed sorted data - Comparisons

Reversed sorted data - Comparisons



Remove Selection sort, Insertion sort, Bubble sort, Shaker sort from a chart

## Reversed sorted data - Comparisons



This experiment uses reversed sorted array, therefore the advantages of Insertion sort and Shaker sort are gone. Selection sort, Insertion sort, Bubble sort and Shaker sort now are same, they are all  $O(n^2)$  algorithms.

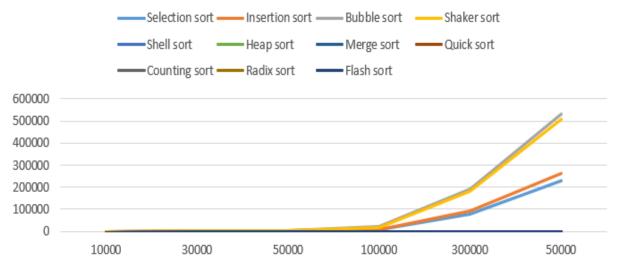
Table 4.3: Data - order: reversed sorted data

|   |                                | 20000    | Running time      | 2136.16        | 2486.1         | 5514.29     | 5050.89     | 2.8571     | 6.4839    | 4.4712     | 43.859     | 0.7191        | 3.7914     | 1.8048     |
|---|--------------------------------|----------|-------------------|----------------|----------------|-------------|-------------|------------|-----------|------------|------------|---------------|------------|------------|
|   |                                | 20       | Comparisons       | 2500099998     | 25000499999    | 2500099998  | 25000000000 | 2844628    | 2848016   | 2733945    | 2038327    | 350003        | 850070     | 468754     |
| CIBCA BOILDA MANA                             | d data                         | 30000    | Running time      | 788.027        | 907.416        | 1872.56     | 1822.39     | 1.8662     | 5.3303    | 4.0715     | 28.0837    | 0.6512        | 2.4029     | 1.2023     |
| Table 4:9. Data - Oldel: levelsed solved data | Data order Reverse sorted data | 300      | Comparisons       | 866620006      | 900029999      | 900029998   | 000000006   | 1554051    | 1622791   | 1573465    | 1164547    | 210003        | 510070     | 281254     |
| TODIC TO                                      | Data orde                      | 000      | Running time      | 96.3312        | 104.36         | 210.961     | 206.309     | 0.5074     | 1.0432    | 0.8646     | 12.3484    | 0.2683        | 1.0803     | 0.5597     |
|   |                                | 1000     | Comparisons       | 100019998      | 100009999      | 100019998   | 100000000   | 475175     | 476739    | 476441     | 338677     | 70003         | 140056     | 93754      |
|   |                                | Datasize | Resulting statics | Selection sort | Insertion sort | Bubble sort | Shaker sort | Shell sort | Heap sort | Merge sort | Quick sort | Counting sort | Radix sort | Flash sort |

| Datasize          | 10000        | 000          | 300         | 300000       | 200           | 20000        |
|-------------------|--------------|--------------|-------------|--------------|---------------|--------------|
| Resulting statics | Comparisons  | Running time | Comparisons | Running time | Comparisons   | Running time |
| Selection sort    | 10000199998  | 8617.05      | 90000299998 | 76592.2      | 250000999998  | 229732       |
| Insertion sort    | 10000099999  | 10165.9      | 90000299999 | 91942.3      | 250000499999  | 261026       |
| Bubble sort       | 10000199998  | 21226        | 90000299998 | 190314       | 250000999998  | 529091       |
| Shaker sort       | 100000000000 | 19656.4      | 00000000006 | 183563       | 2500000000000 | 505338       |
| Shell sort        | 6089190      | 6.0847       | 20001852    | 20.7879      | 33857581      | 36.2318      |
| Heap sort         | 6087452      | 14.1803      | 20187386    | 40.4779      | 35135730      | 72.3901      |
| Merge sort        | 5767897      | 10.1321      | 18708313    | 32.2165      | 32336409      | 77.1762      |
| Quick sort        | 4424587      | 76.8702      | 14524899    | 243.568      | 24559163      | 412.199      |
| Counting sort     | 700003       | 1.3295       | 2100003     | 4.0414       | 3500003       | 6.6226       |
| Radix sort        | 1700070      | 7.865        | 6000084     | 32.1407      | 10000084      | 46.5935      |
| Flash sort        | 937504       | 3.8868       | 2812504     | 11.3812      | 4687504       | 19.6628      |

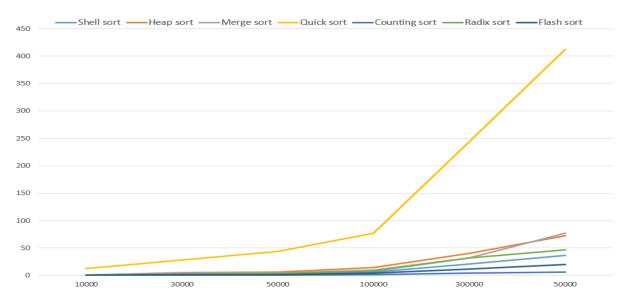
These graph show running time when the algorithms sort the reversed sorted array

Figure 4.6: Reversed sorted data - Running time Reversed sorted data - Running time



Remove 4  $O(n^2)$  algorithms from a graph

Reversed sorted data - Running time



Bubble sort and its optimization algorithm, Shaker sort are the slowest algorithms. Sorting reversed data makes them very slow. Counting sort is the fastest algorithm, and right behind it is Flash sort.

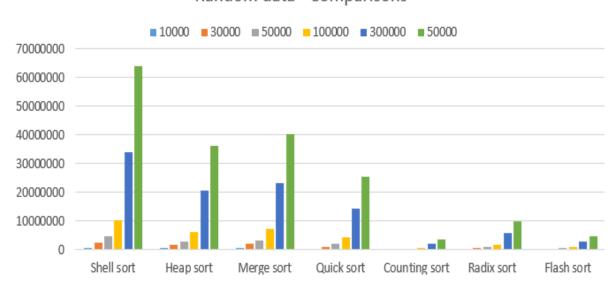
### 4.4 Random data

These charts show number of comparisons when the algorithms sort the random array

Random data - Comparisons ■ 10000 ■ 30000 ■ 50000 ■ 100000 ■ 300000 ■ 50000 3E+11 2.5E+11 2E+11 1.5E+11 1E+11 5E+10 0 Selection Insertion Bubble Shaker Shell sort Heap Radix Flash Quick Counting Merge sort sort sort sort sort sort sort sort sort

Figure 4.7: Random data - Comparisons

Remove Selection sort, Insertion sort, Bubble sort, Shaker sort from a chart



## Random data - Comparisons

Shaker sort uses more comparisons than Bubble sort because Shaker sort is an optimization of Bubble sort, it travels less than Bubble sort. And they are both worse than Insertion sort. Selection sort uses a lot of comparisons while sorting array. But using most comparisons does not mean it is the slowest algorithm.

Table 4.4: Data - order: reversed sorted data

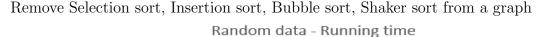
|                   |             | Data orc     | Data order Randomized data | data         |             |                            |
|-------------------|-------------|--------------|----------------------------|--------------|-------------|----------------------------|
| Datasize          | 10          | 10000        | 30                         | 30000        | 200         | 20000                      |
| Resulting statics | Comparisons | Running time | Comparisons                | Running time | Comparisons | Comparisons   Running time |
| Selection sort    | 100019998   | 98.1629      | 900029998                  | 811.446      | 2500099998  | 2251                       |
| Insertion sort    | 49974355    | 53.9181      | 449016555                  | 466.717      | 1251895433  | 1301.13                    |
| Bubble sort       | 100018480   | 224.997      | 900056032                  | 2233.54      | 2500091901  | 6334.1                     |
| Shaker sort       | 74769471    | 171.252      | 674399600                  | 1628.38      | 1872046519  | 4660.84                    |
| Shell sort        | 646678      | 1.555        | 2302725                    | 5.7788       | 4646259     | 10.2784                    |
| Heap sort         | 497302      | 1.3724       | 1680515                    | 5.9896       | 2952195     | 11.2431                    |
| Merge sort        | 583780      | 1.4579       | 1937280                    | 7.1702       | 3383011     | 8.9642                     |
| Quick sort        | 353748      | 11.3624      | 1181451                    | 28.0247      | 2111668     | 46.1852                    |
| Counting sort     | 70003       | 0.1645       | 209999                     | 0.4619       | 349997      | 0.867                      |
| Radix sort        | 140056      | 0.6038       | 510070                     | 2.4963       | 850070      | 3.7654                     |
| Flash sort        | 97527       | 9009.0       | 306271                     | 1.6157       | 494626      | 3.3008                     |

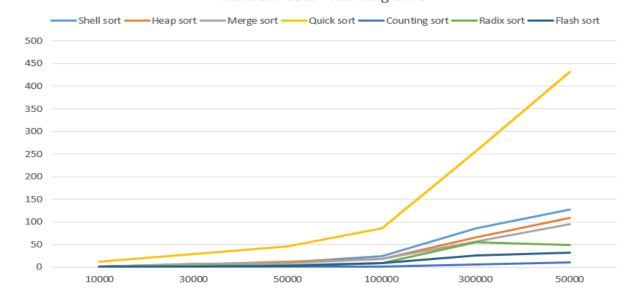
| Datasize          | 100         | 100000       | 300         | 300000       | 20(          | 20000        |
|-------------------|-------------|--------------|-------------|--------------|--------------|--------------|
| Resulting statics | Comparisons | Running time | Comparisons | Running time | Comparisons  | Running time |
| Selection sort    | 10000199998 | 8980.34      | 90000599998 | 79508.7      | 250000999998 | 222164       |
| Insertion sort    | 5001866366  | 5013.86      | 44889583761 | 50845.5      | 125203211331 | 126346       |
| Bubble sort       | 10000038397 | 25753.4      | 90000425277 | 245011       | 249999759005 | 643998       |
| Shaker sort       | 7512085359  | 18813.2      | 67378937591 | 167260       | 187603956736 | 477125       |
| Shell sort        | 10165918    | 24.0713      | 33927734    | 86.2957      | 63944956     | 126.51       |
| Heap sort         | 6304095     | 18.2059      | 20798663    | 66.2753      | 36121793     | 108.54       |
| Merge sort        | 7166164     | 17.2295      | 23382960    | 56.788       | 40382902     | 94.5375      |
| Quick sort        | 4262666     | 85.0057      | 14183472    | 255.788      | 25438524     | 431.141      |
| Counting sort     | 700003      | 1.5582       | 2100003     | 5.0146       | 3499999      | 9.5448       |
| Radix sort        | 1700070     | 8.6169       | 6000084     | 54.1411      | 10000084     | 48.3469      |
| Flash sort        | 954259      | 9.028        | 2845227     | 25.095       | 4688996      | 31.6843      |

These graph show number of comparisons when the algorithms sort the random array

Insertion sort = - Bubble sort -Shaker sort Shell sort -Heap sort Merge sort Quick sort Counting sort —— Radix sort Flash sort 700000 600000 500000 400000 300000 200000 100000 30000 50000 100000 10000 50000

Figure 4.8: Random data - Running time
Random data - Running time





Although using most comparisons, Selection sort is not the slowest. Because comparisons are executed in cache, and assignments are executed in RAM. Cache is much faster than RAM.

Counting sort and Flash sort run very fast. But these experiments use small data. For larger data, Counting sort cannot be used.

### 4.5 Overall

Counting sort and Flash sort are the fastest algorithms, in all data distribution cases. Counting sort is faster than Flash sort because its programming constant is less than Flash sort. But for large data, counting sort cannot be used.

Bubble sort, and Shaker sort should be mentioned too, are the slowest algorithms. Shaker sort is better than Bubble sort, but not much change. In some special cases, when the data is sorted or nearly sorted, they are fast because there is a mechanism allowed them to realize when the array is already sorted.

The experiments show that Quick sort is unstable algorithm in time.

## Chapter 5

# Project organization and Programming notes

All the source code files are saved in **SOURCE** directory.

- SOURCE/algorithms sub-directory saves 24 files, 22 algorithms' source code (.cpp and .hpp), and helpFunctions files contains some functions used in algorithms' source code.
- **SOURCE** directory contains the remain codes.
- No special library is used.
- Directory **script** contains scripts to make it easier to create files and build files. They must be moved to root, mean outside SOURCE, to be used.

There are two main files:

- overview.cpp: To run the experiments and give you an overview about algorithms.
  - Build: Stay in SOURCE directory and run
     g++ algorithms/\*.cpp DataGenerator.cpp helpler.cpp sort\_execute.cpp
     overview.cpp -o overview.exe (Do not include sort.cpp here).
  - Run: ./overview.exe to run it. If you want to save the output to file a.csv, run ./overview.exe a.csv instead.
- overview.cpp: To run the experiments and give you an overview about algorithms.
  - Build: Stay in SOURCE directory and run
     g++ algorithms/\*.cpp DataGenerator.cpp helpler.cpp sort\_execute.cpp
     overview.cpp -o sort.exe (Do not include overview.cpp here).

## Chapter 6

# References

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- 2. https://www.geeksforgeeks.org/sorting-algorithms/
- D. L. Shell. 1959. A high-speed sorting procedure. Commun. ACM 2, 7 (July 1959), 30–32. https://doi.org/10.1145/368370.368387
- 4. Neubert, Karl-Dietrich, "The Flashsort Algorithm," Dr. Dobb's Journal, p. 123, 1998.