

Kuratowski's Theorem

May 2025

1 Introduction

Planarity concerns the property of a graph to be drawn in a plane so that no two edges cross. One important result is Kuratowski's Theorem, which provides a complete characterization of planar graphs. In this paper, we provide two proofs of the theorem. The first proof is very thorough and provides detailed explanations, while the second proof offers a slick approach.

Preceding the proofs are two preliminary reading sections. The first section provides an introduction to graph theory with emphasis on topics that will be used later in the paper. Those who have previous experience with graph theory can skip this section, but may wish to read the end as it introduces K_5 and $K_{3,3}$, two graphs that are essential to Kuratowski's Theorem. The second section contains a very short introduction to planarity and primarily serves to share information on the two aforementioned graphs.

2 Graph Theory Basics

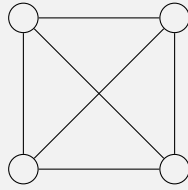
Definition 2.1. A (general) **graph** is an ordered pair $G = (V(G), E(G))$, where $V(G)$ is a set of vertices and $E(G)$ is a multiset of edges.

Definition 2.2. A **simple graph** is a graph with no loops and no parallel edges.

Note that, from here on, unless specified otherwise, all graph is simple.

Definition 2.3. An **edge** is a two-element subset of the vertex set.

Example 2.1. Shown below is a K_4 , a graph on 4 vertices in which there is an edge between every pair of vertices.



Definition 2.4. The **order** of a graph G is $|V(G)|$ and the **size** of G is $|E(G)|$.

Definition 2.5. Vertices u and v are **adjacent** in G if $uv \in E(G)$. The **endpoints** of the edge uv are u and v .

Definition 2.6. A vertex v is **incident** to edge e if v is an endpoint of e .

Definition 2.7. The **(open) neighborhood** of $v \in V(G)$ is

$$N(v) = \{u \in V(G) | uv \in E(G)\}$$

$N(v)$ is the set of all vertices in G that are adjacent to v .

Definition 2.8. The **degree** of a vertex is

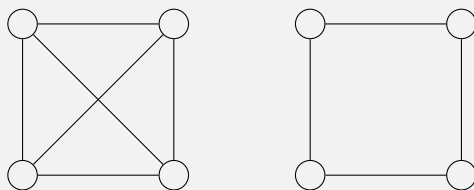
$$\deg(v) = |N(v)|$$

$\deg(v)$ is simply the number vertices that are adjacent to v . In a general graph, $\deg(v)$ is the number of edges incident to v since loops and parallel edges are also counted.

If $\deg(v) = 0$, v is an **isolated vertex**.

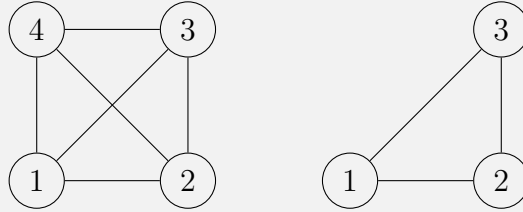
The graph G is a **subgraph** of a graph H ($G \leq H$) if $V(G) \subseteq V(H)$ and $E(G) \subseteq E(H)$

Example 2.2. The graph on the right is a subgraph of the graph on the left.



Definition 2.9. A graph G is an **induced subgraph** of a graph H if G is obtained from H by deleting vertices (every time a vertex is deleted, so are all incident edges).

Example 2.3. The graph on the right, C_3 , is an induced subgraph of the K_4 on the left. We get C_3 from K_4 by removing any vertex (and all of its incident edges).



The induced subgraph of H with the set of vertices in S is denoted by $H[S]$.

In Example 2.3, we would say the induced subgraph is $C_4[\{1, 2, 3\}]$.

Definition 2.10. G is a **spanning subgraph** of H if G is obtained from H by only deleting edges.

Definition 2.11. If $G \leq H$, then we say H is a **supergraph** of G .

Definition 2.12. The **length** of a path or cycle is the number of edges.

Definition 2.13. A graph G is **connected** if for all $u, v \in V(G)$, there exists a path from u to v .

When a graph is disconnected, it is comprised of some number of components. These components are themselves connected, but they aren't connected to each other.

Definition 2.14. A **separating set** S of G is a set of vertices or edges (exclusively) such that $G - S$ has more components than G .

Definition 2.15. A vertex v is a **cut-vertex** if $\{v\}$ is a separating set.

Definition 2.16. A graph is **k -connected** if there are no vertex separating sets of size less than k .

Alternatively, we can say a graph is k -connected if at least k vertices need to be deleted so that the resulting graph is disconnected.

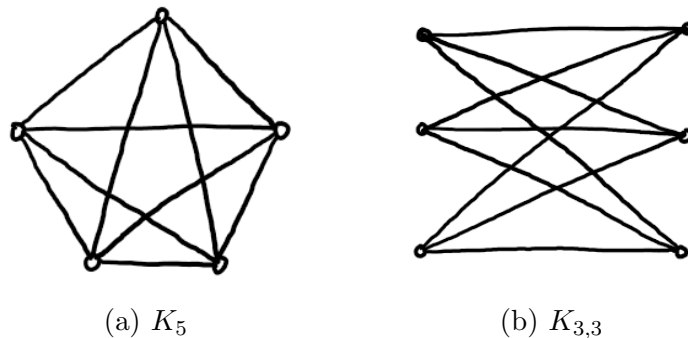


Figure 1: k -subs

There are two graphs that are particularly important to understanding Kuratowski's Theorem. These are K_5 and $K_{3,3}$. $K_{x,y}$ denotes the graph where we partition the vertex set into 2 subsets, and we draw edges from one set to another, but not within a set themselves.

3 A Short Introduction to Planarity

Definition 3.1. A graph G is **embeddable** on a surface S if it can be drawn on S so that no two edges intersect (unless they have a common endpoint and intersect at that incident vertex).

Definition 3.2. A graph G is **planar** if it can be embedded in the Euclidean plane.

Lemma 3.1. (Euler's Formula) If a graph G , with v vertices and e edges, is planar and has f faces, $v - e + f = 2$.

Proof. Let T be a proper and planar subgraph of G that contains all v vertices and no cycles. T has v vertices, $v - 1$ edges, and 1 face. So the Lemma is true for T . Now, we can add all the edges of G back to T sequentially.

Note that, whenever an edge is added, there will be a cycle in the graph, and the existing face is broken into two new ones. So, the number of edges added equals the number of new faces. So the formula holds for G . □

Note that any face in a planar graph must be bordered by at least 3 edges.

Lemma 3.2. If G is planar with v vertices and e edges, $e \leq 3v - 6$.

Proof. Suppose there are G has f faces. We can try to find an inequality for the number

of faces in terms of f . Each face is comprised of at least 3 edges (which gives $3f$ edges), however, if we sum the number of edges over all the faces, each edge is counted exactly twice. Therefore, $e \geq \frac{3f}{2}$, or $f \leq \frac{2e}{3}$

Applying into the Euler's Formula,

$$2 = v - e + f \leq v - e + \frac{2e}{3} = v - \frac{e}{3}$$

Thus, $e \leq 3v - 6$. □

Lemma 3.3. Let G be a planar graph with no odd cycles, and G has f faces and e edges. Then $e + 4 \leq 2v$.

Proof. Since G has no odd cycles, each face is bordered by at least 4 edges, and each of those edges will be counted twice. So $2e \geq 4f$.

Applying to the Euler's formula,

$$2 = v - e + f \leq v - e + \frac{e}{2} = v - \frac{e}{2}$$

Thus, $e + 4 \leq 2v$. □

Proposition 3.1. K_5 and $K_{3,3}$ are not planar.

Proof. K_5 has 5 vertices and 10 edges. By Lemma 3.2, K_5 is not planar.

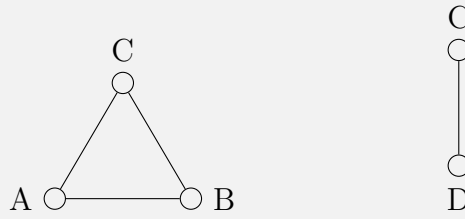
$K_{3,3}$ contains no odd cycles. $K_{3,3}$ has 6 vertices and 9 edge. By Lemma 3.3, $K_{3,3}$ is not planar. □

4 Kuratowski's Theorem - Part 1: Lemmas and Important Definitions

Definition 4.1. To **contract** an edge $e = ab \in E(G)$, delete the vertices a and b , then add a new vertex v_c that is adjacent to each vertex in $[N(a) \cup N(b)] \setminus \{a, b\}$ (all vertices adjacent to a or b).

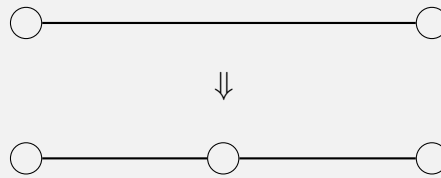
Definition 4.2. Let $e \in E(G)$. Then, $G \setminus e$ is the graph obtained from G by contracting e .

Example 4.1. Shown below is C_3 . The graph on the right is the result of contracting the edge AB .



Definition 4.3. To **subdivide** an edge $e = ab \in E(G)$, delete e from $E(G)$ and add a new vertex that is adjacent to a and b (exclusively).

Example 4.2. The edge below is subdivided.



Definition 4.4. A graph G is a **subdivision** of a graph H if G can be obtained from H by recursively subdividing edges.

Definition 4.5. The graph G^* is obtained from G by subdividing every edge in $E(G)$ exactly once.

Definition 4.6. A **Kuratowski subgraph** (k -sub) of G is a subgraph of G that is a subdivision of K_5 or $K_{3,3}$.

Definition 4.7. The **branch vertices** of a subdivision H' of a graph H are the vertices in H' of degree at least 3.

Note that for subdivisions of K_5 (or $K_{3,3}$), the branch vertices are the vertices that correspond to the vertices in K_5 (or $K_{3,3}$). Furthermore, the degrees of the branch vertices in the subdivision are the same as their corresponding degrees in the actual K_5 or $K_{3,3}$.

Next, we will cover the lemmas that are used in proving Kuratowski's Theorem.

Lemmas

Lemma 4.1. A graph G is planar if and only if every subdivision of G is planar.

Lemma 4.2. If G is a planar graph, then every subgraph of G is planar.

5 Kuratowski's Theorem - Part 2: The First Proof

Theorem 5.1 (Kuratowski's Theorem). A graph G is planar if and only if G does not contain any subgraph that is subdivision of K_5 or $K_{3,3}$.

We can state Kuratowski's Theorem more simply. We already know that K_5 and $K_{3,3}$ are not planar, so clearly any graph containing one of them as a subgraph is also nonplanar. But we can go further to say that if a graph contains any subgraph that resembles the shape of K_5 or $K_{3,3}$ (effectively a subdivision of one of these), then the graph is nonplanar. [2]

Before jumping into the proof, we can discuss the general strategy so that the motivation of some of the steps are clearer. First, this is a proof by minimal counterexample. The general outline for such a proof is shown below.

Here is the overall strategy for proving Kuratowski's Theorem.

Strategy for Proof of Kuratowski's Theorem

1. Consider an edge-minimum graph that has no k -subs and is nonplanar (this is the minimal counterexample).
2. Show that the counterexample must be 3-connected.
3. Show that (2) implies the counterexample is planar, and thus not a counterexample.

Now we're ready to tackle this proof.

Proof. We can first observe that the forwards direction is very easy to prove. By Lemma 6.1 and 6.2. this is obviously true.

We begin the backwards direction with several claims that will be useful when we address the minimal counterexample.

Claim 1. An (edge and vertex)-minimal non-planar graph G is connected.

Claim 2. An (edge and vertex)-minimal non-planar graph G is 2-connected.

Proof. If G is not connected, then G will contain some components. Since every component is also a subgraph, then all of them are planar. Then we can "attach" those components together, one-by-one, so that the resulting graph is planar, which is a contradiction.

Suppose G is only 1-connected, and let u be a cut-vertex. Let C_i be components of $G - u$, then all $C_i \cup \{u\}$ are planar. Then we can "join" all C_i and u together so that the resulting graph is planar, which is a contradiction.

So G is 2-connected.

□

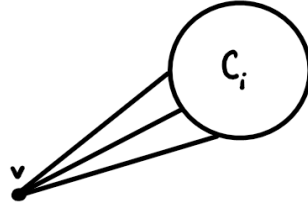


Figure 2: Example $V(C_i) \cup \{v\}$

Claim 3. Suppose $\{x, y\}$ is a 2-separating set of a graph G and C_1, \dots, C_k are the components of $G - \{x, y\}$. For each $1 \leq i \leq k$, let $G'_i = G[V(C_i) \cup \{x, y\}] + xy$. If G is non-planar, then at least one G'_i is non-planar.

The graphs G'_i in this claim are very similar to the induced subgraphs in Claim 2. However, the primary difference is that we're adding the edge xy . xy may or may not exist in G , but whether or not it does, we know it will be present in each of the G'_i graphs.

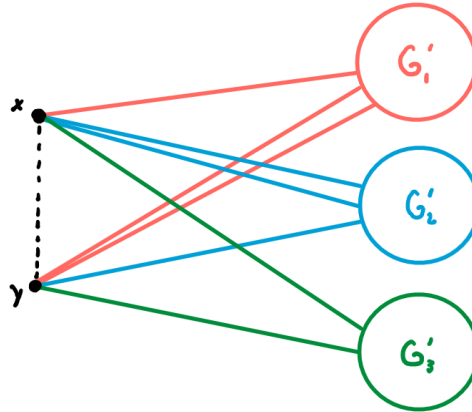


Figure 3: Possible graphs for G'_1, G'_2 , and G'_3

Observe that we can identify each of the G'_i at xy , we get something that is very close the original graph G . We get the supergraph $G + xy$. If $xy \in E(G)$, then this simply G .

Proof. Suppose that each G'_i is planar. Our strategy for this proof will be to find some way to glue all of the G'_i together so that the result, $G + xy$ is planar. Then because $G + xy$ is a supergraph of G , G must be planar.

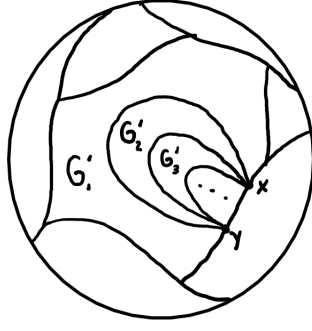


Figure 4: Our planar embedding of $G + xy$

Since xy is an edge in G'_1 , it is on the boundary of some face of G'_1 . G'_2 can be drawn in that face and identify the copies of xy . Then, we can draw G'_3 in a face of this graph and identify it through the edge xy . We can continue this process until the last component of $G - \{x, y\}$.

The resulting graph is $G + xy$ (shown in figure 3), so we have shown that $G + xy$ is planar, which means G is planar. \square

Claim 4. If H is a k -sub free non-planar graph with the fewest possible number of edges, then H has one 3-connected component and the rest of the components are isolated vertices.

Proof. The isolated vertices don't affected the connectivity of the one 3-connected component, so we can delete all of the isolated vertices of H to obtain the subgraph G . G is still k -sub free and non-planar with the fewest number of edges possible.

Because G is edge-minimally k -sub free and non-planar, deleting an edge must result in a subgraph that is planar. Because G has no isolated vertices, deleting a vertex implies that at least one edge is also deleted. So, deleting vertices also produces a planar subgraph. Therefore, G is (edge and vertex)-minimally non-planar. By Claim 2, G is 2-connected.

Now, suppose that $\{x, y\}$ is a 2-separating set of G with components C_1, \dots, C_k of $G - \{x, y\}$. Since G is non-planar, there exists a G'_i (from the definition in Claim 3) that is non-planar by Claim 3. Since G is 2-connected, both x and y must be incident to an edge that goes into a component that is not C_i . Otherwise, either x or y would be a cut-vertex, thus contradicting G being 2-connected. That is, if x was the only vertex of x and y that was connected to other components, then deleting x would disconnected the graph, making x a cut vertex.

Therefore, $|E(G'_i)| \leq |E(G)| + 1 - 2 = |E(G)| - 1 < |E(G)|$. We add 1 because in the worst case scenario, xy doesn't exist G while it exists in G'_i . We add 2 because we concluded that

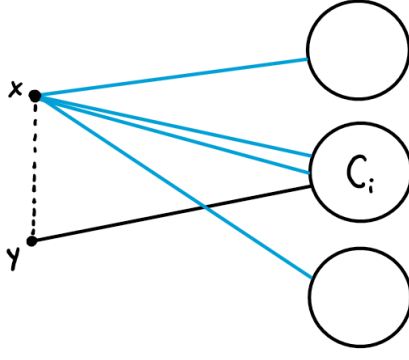


Figure 5

there are at least two edges originating from x and y that go outside of G'_i , so they wouldn't be included in $|E(G'_i)|$. We get the inequality because there could be more than 2 edges incident to x and y outside of G'_i , and xy might already exist in G .

G is edge-minimally k -sub free and non-planar, so $|E(G'_i)| < |E(G)|$, G'_i must either have a k -sub or be planar. However, we already know that G'_i is non-planar, so it must be that G'_i contains a k -sub.

Now we have that G has no k -sub but G'_i does have a k -sub. The difference is that G'_i contains xy . So it must be the case that adding xy created the k -sub in G'_i . This means that xy is not in G and it is in the k -sub in G'_i .

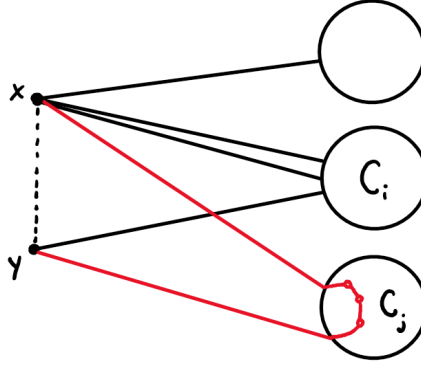


Figure 6

Let C_j be a different component of $G - \{x, y\}$. Note, both x and y have a neighbor in C_j . If only one of them had a neighbor in C_j , then that vertex (x or y) would be a cut vertex. Since C_j is connected, there is an $x - y$ path P in G whose internal vertices are in C_j . Therefore, the vertices in the k -sub of G'_i and the vertices in P form a subdivision of the k -sub in G'_i (see Figure 7). This is because P acts like the edge xy that created the k -sub mentioned previously.

Thus, G has a k -sub, which is a contradiction. Therefore, G is 3-connected.

□

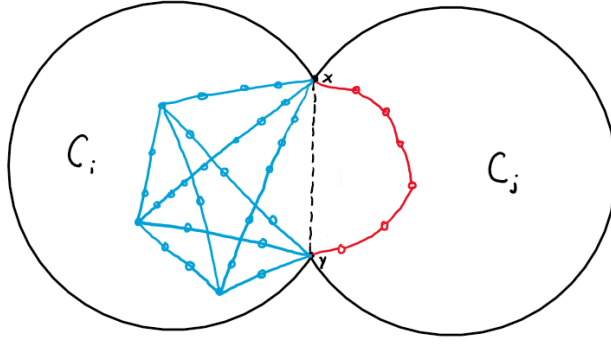


Figure 7

Claim 5. If G has no k -subs and $e \in E(G)$, then G/e has no k -subs.

Proof. We're going to prove this claim via contrapositive. This means we're trying to show that if G/e has a k -sub, then G has a k -sub. Let $e = xy \in E(G)$ such that G/e has a k -sub, call it H . Let v_e be the new vertex in G/e obtained by contracting e .

Case 1: First, if $v_e \notin V(H)$, then H is a subgraph of G and we're done since G already had a k -sub before contracting e .

Case 2: If $v_e \in V(H)$ but v_e is not a branch vertex of H , then we can find a subdivision of H in G by replacing v_e in H with either x , y , or the edge xy . Note that by replacing v_e with xy (thereby undoing the contraction) creates a copy of H or a subdivision of H . We're trying to track what happens when we undo the contraction because we want to get back to G and show that it has a k -sub. Then, we're tracking the three different cases for how we could undo the contraction.

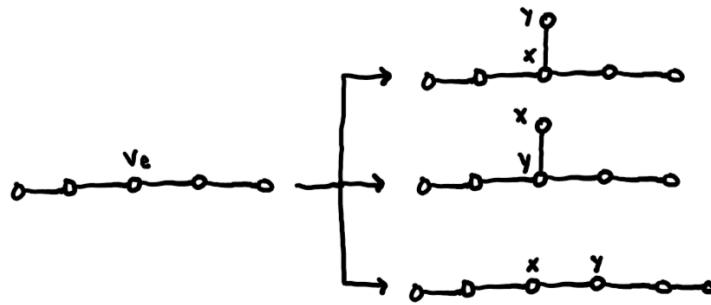


Figure 8: The three possible configurations in G before the contraction

In Figure 8, the path on the left (containing v_e) is in G/e , while the graphs on the right are in G . Notice that the path containing v_e still exists in each of possible pre-contraction subgraphs in G . Because $v_e \in V(H)$, G also contains a k -sub.

Case 3: Suppose $v_e \in V(H)$ is a branch vertex of H and one of x or y (say x) contributes (recall that when we contract $e = xy$, we take the union of the neighborhoods of x and y to get the vertices adjacent to v_e) at most one edge to $\deg_H(v_e)$. Then we can obtain a k -sub in G from H where y is the new branch vertex and x subdivides an edge incident to y .

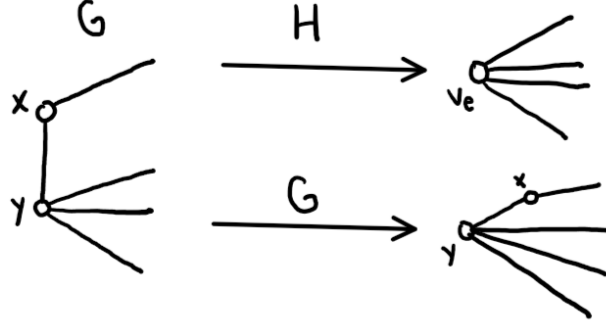


Figure 9

Figure 9 shows how in G we have that x contributes 1 to $\deg_H(v_e)$. We also see v_e 's place in the subdivision H . However, notice that if we move around x in G , we have the same setup as in H except x subdivides the top edge.

Therefore, the k -sub also exists in G .

Note, that Case 3 covers the situation in which H is a subdivision of $K_{3,3}$. This is because every vertex in $K_{3,3}$ has degree 3, which means $\deg_H(v_e)$ is 3. That is, because $\deg_H(v_e) \leq 3$, it must be one of the vertices that correspond to actual vertices in $K_{3,3}$ or K_5 . Vertices that arise from subdivisions have degree 2. Therefore, the only cases we could have for how x and y contribute to $\deg_H(v_e)$ are (1) x contributes 0 and y contributes 3, (2) x contributes 1 and y contributes 2, (3) x contributes 2 and y contributes 1, and (3) x contributes 3 and y contributes 0. In each case, one of x or y contributes at most 1 to the degree.

Case 4: If H is a subdivision of K_5 and v_e is a branch vertex where x and y each contribute exactly 2 (this avoids crossing with Case 3). Observe that we specify K_5 because of the observation at the end of Case 3.

So, x contributes 2 "neighbors" of v_e in the subdivision of K_5 in G/e . Let u_1 and u_2 be the branch vertices in H that correspond to those "neighbors" of v_e . Let v_1 and v_2 be the same for y .

In this case, we find a subdivision of $K_{3,3}$ in G from H by uncontracting v_e and deleting the u_1u_2 path and the v_1v_2 path in H . This gives the subdivision of $K_{3,3}$ shown in Figure 11.

Therefore, G contains a subdivision.

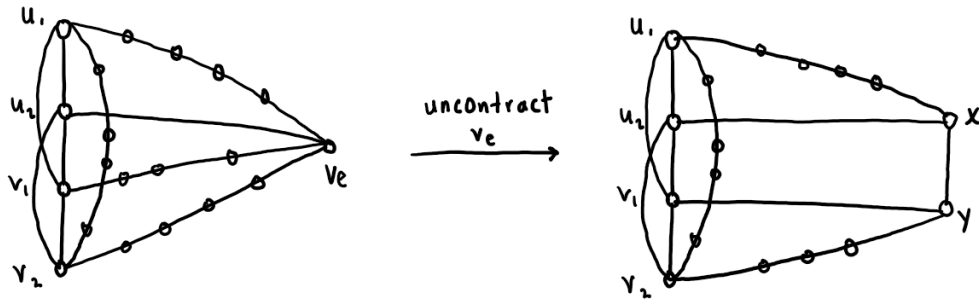


Figure 10

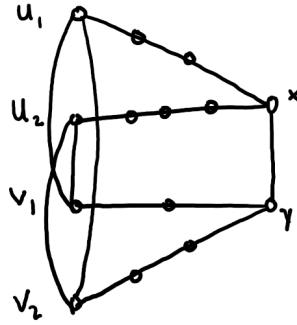


Figure 11

□

Claim 6. If $|G| \geq 5$ and G is 3-connected, there exists an edge $e \in E(G)$ such that G/e is 3-connected.

Proof. Suppose there is a 2-separating set S of G/e where $e = xy \in E(G)$. Since G is 3-connected, the vertex v_e obtained by contracting e must be in S . Otherwise, S includes two vertices that are not x or y , which means S is also a separating set in G and G is not 3-connected. Let z be the other vertex in S and say z is the "mate" of the pair x, y . Note, $G - \{x, y, z\}$ is disconnected.

Suppose that no edge $e \in E(G)$ can be contracted such that G/e is 3-connected. Thus, every edge $xy \in E(G)$ has a "mate" z . Choose $e = xy$ and its mate z such that the largest number of vertices in any component of $G - \{x, y, z\}$ is maximum. Let H be this largest component and let H' be any other component of $G - \{x, y, z\}$. Since G is 3-connected, each of x, y , and z have both a neighbor in H and in H' .

Figure 12 shows what happens if x and z have neighbors in both H and H' while y has a neighbor in only one of the components. In this case, $\{x, z\}$ is a 2-separating set, which contradicts G being 3-connected. We would get similar diagrams for when x or y are connected to only one of the components.

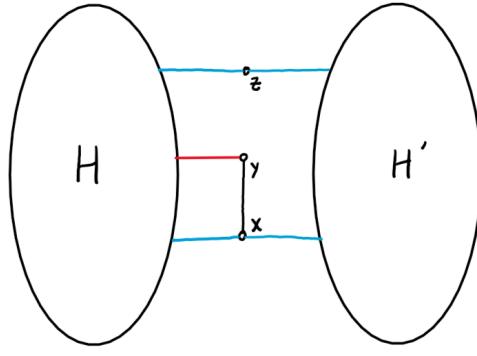


Figure 12

Let u be a neighbor of z in H' and let v be a mate of zu , which we know exists by a previous assumption. Note that $v \notin \{x, y\}$. This is because if we deleted zu and x , the components would still be connected via the path from H to H' containing y . Similar reasoning shows $v \neq y$.

If $v \in H$, then deleting v disconnects $G[V(H) \cup \{x, y\}]$. This is because after deleting z and u , v must be responsible for completing the disconnection of H and H' . Therefore it must disconnect the induced subgraph. In this case, $G - \{v, z\}$ is disconnected. These two do the job without u , which is a contradiction since G is 3-connected.

Thus, $v \in H'$. However, this means that $G[V(H) \cup \{x, y\}]$ is contained in a component of $G - \{z, u, v\}$ that is larger than H , $\rightarrow\leftarrow$. Thus, there exists an edge $e \in E(G)$ such that G/e is 3-connected. \square

Claim 7. If G is 3-connected with no k -subs, then G is planar.

Proof. We will proceed by induction on the number of vertices.

Base: If $|G| \leq 4$ (we do this because Claim 6 works for $|G| \geq 5$) and G is 3-connected, then $G \cong K_4$, which is planar.

Inductive: Suppose every 3-connected k -sub free graph on at most q vertices is planar (for a fixed $q \geq 4$).

Let G be a 3-connected k -sub free graph on $q+1$ vertices. By Claim 6, let $e = xy \in E(G)$ such that G/e is 3-connected. By Claim 5, G/e has no k -subs. By the induction hypothesis, G/e is planar.

Let v_e be the vertex obtained by contracting e . Let $E(v_e)$ be the set of vertices adjacent to v_e . Note, $H = G/e - E(v_e)$ is also planar since we're just deleting edges from a planar graph. Additionally, v_e is an isolated vertex in some face f of H since we've deleted all the edges incident to v_e . Since G/e is 3-connected, $G/e - v_e$ is 2-connected. This is because if $G/e - v_e$ had a cut-vertex (making it not 2-connected), then G/e would have a 2-separating

set, the cut vertex and v_e .

Note that the boundary of every face of a 2-connected graph plane graph is a cycle (if a boundary of a face isn't a cycle, then we have a cut-vertex). Thus, we can draw H so that f is bounded (and the boundary of f is a cycle).

Because we deleted all of the edges incident to v_e , we deleted all the neighbors of x or y . Now that we put v_e inside f , it must be that all the neighbors of x and y attach to the cycle. Let x_0, x_1, \dots, x_{k-1} be the neighbors of x in G in cyclic order clockwise around boundary of f .

Case 1: If $N_G(y) \setminus \{x\}$ is contained inclusively between some x_i and $x_{i+1} \pmod k$ around the cycle, then we can draw y in that wedge to see that G is planar.

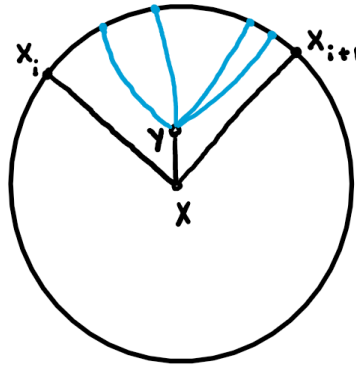


Figure 13: Case 1

Case 2: If y has a neighbor u strictly inside some wedge from x_i to x_{i+1} and a neighbor v strictly outside that wedge, then G contains a subdivided $K_{3,3}$, which is a contradiction since G contains no k -subs. To see this, consider the vertices x_i, x_{i+1}, u, v, x , and y in Figure 14. The vertices x_i, x_{i+1}, y represent one part of $K_{3,3}$ and the remaining vertices represent the other part, so this set of 6 vertices are the branch vertices of the k -sub of $K_{3,3}$.

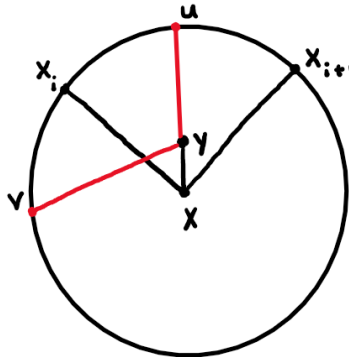


Figure 14: Case 2

Before addressing Case 3, note that if x and y have at most 2 neighbors in common in the

cycle, then we are covered by either Case 1 or Case 2 (we might have to switch the roles of x and y to see this).

Case 3: If x and y have 3 common neighbors on the cycle, then G contains a subdivided K_5 . Each of the 5 vertices in Figure 15 have degree 4.

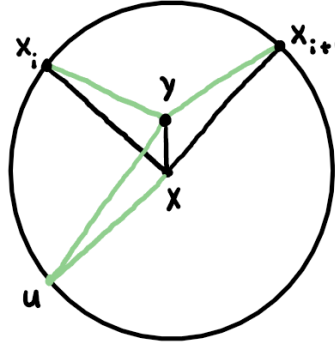


Figure 15: Case 3

Note that in each case, there could be (and likely are) more vertices on the cycle; that is why we get subdivisions.

Because Case 2 and Case 3 produce contradictions, we must have Case 1. Therefore, G is planar. \square

Now we are ready to finish the proof. Recall that our goal is to prove that if G has no k -subs, then G is planar.

Suppose there is a counterexample, a graph that has no k -subs and is planar. Let G be an edge-minimum non-planar k -sub free graph. By Claim 4, G is 3-connected (with possibly isolated vertices). By Claim 7, G is planar. \square

We found this proof in Dr. Joshua Carlson's 3-part video series [1] on Kuratowski's Theorem. Most of the explanations included in the text above come from Joshua Carlson.

6 Kuratowski's Theorem - Part 3: The Other Proof

In this section, we present another proof of this theorem. The set-up is mostly the same, but a different approach is covered.

Proof by Minimal Counterexample

1. Consider a minimal counterexample
2. Prove that this counterexample must contain a subgraph that is subdivision of K_5 or $K_{3,3}$

Strategy In this proof, we will use some results from earlier proof. Particularly, we will use the following:

1. Suppose G is an edge-minimum graph that has no k -subs and is nonplanar
2. G is 2-connected
3. G has one edge that, when removed, will stay 2-connected

We also introduce a new lemma.

Lemma 6.1. If G is 2-connected, then for every pair of $u, v \in V(G)$, there exists a cycle, composed of two internally-disjoint paths, that includes both u and v .

Proof. We will prove this by induction on the distance of u and v .

Base: Suppose $d(u, v) = 1$, then uv is an edge. If $\deg(v) = 1$, then u is a cut-vertex. Let w be a neighbor of v . Now temporarily remove v . Since G is 2-connected, $G - v$ is connected, and there exists a path in $G - v$ that connects u and w . Adding back v into G , then the subgraph constructed by that path, edge vw , and edge uv is a cycle that contains both u and v .

Inductive: Suppose this holds true if $1 \leq d(u, v) \leq d - 1$.

Suppose there exists two vertices u, v in G such that $d(u, v) = d$ along a path Q . Let w be a neighbor of v in Q . Then by induction hypothesis, there exists a cycle C that contains both u and w . If v is on C , then we are done. If v is not on C , since G is 2-connected, then there exists a path connecting u and v in $G - w$. We then "trace the outline" to form a cycle between u and v . □

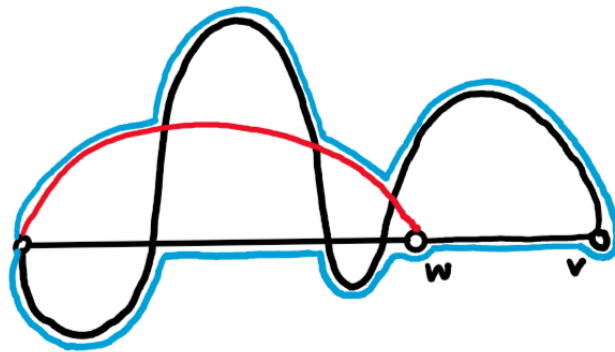


Figure 16: Tracing the outline

Now we can start the proof.

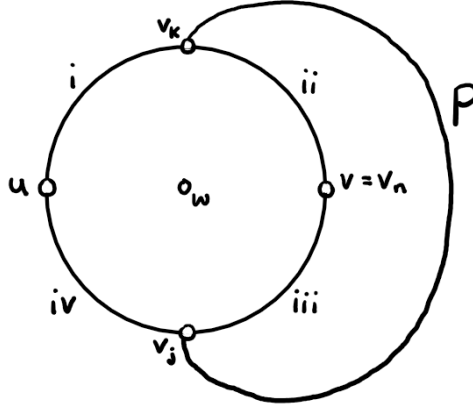


Figure 17: The set-up

Proof. Let G be a nonplanar graph such that any proper subgraph of G is planar. Suppose that G does not have any k -subgraphs.

From Claim 3 above, suppose G has an edge uv such that $H = G \setminus \{uv\}$ is 2-connected. Since H is 2-connected, by Lemma 6.1, there exists a cycle in H that contains both u and v .

Among all embeddings of H , let C be such cycle such that the number of regions inside C is maximized. We denote C as the closed path $u = v_0, v_1, \dots, v = v_n, v_{n+1}, \dots, v_\ell, v_0$.

We observe the following:

1. There is no path between any vertices of $\{v_0, v_1, \dots, v_n\}$.
2. There is no path between any vertices of $\{v_n, v_{n+1}, \dots, v_\ell\}$.

Proof. If there is any such path, we can "trace the outline" of that path and C to obtain a larger cycle, which is a contradiction. \square

Note that, H is planar, and G is nonplanar, so there must be some "structures" in and around C that prohibit us from adding the edge uv .

For the exterior structures, there must be a path, P , lying to the outside of C that connects some vertex in $\{v_0, v_1, \dots, v_{n-1}\}$ and some vertex in $\{v_{n+1}, v_{n+2}, \dots, v_\ell\}$. Let v_k, v_j be the endpoints of P that are on C .

For the interior structures, there must be some graphs that will prevent us from drawing both the edge uv and the path P in the inside of C . Let w be a vertex interior to C . Let $A = \{u, v, v_k, v_j\}$. Then the members of A divide C into 4 smaller paths, excluding the member of A , denotes i, ii, iii, iv , counting clockwise, with i be the path between u and v_k .

For the following section, two points are connected if there exists a path between them that intersect with C at only the endpoints.

There are only 5 following cases to consider:

1. w is not connected to any members of A
2. w is connected to 1 member of A
3. w is connected to 2 members of A
4. w is connected to 3 members of A
5. w is connected to all members of A

Case 1: w must be connected to some vertices in C . To prevent from drawing edge uv , the path that contains w must be from i or ii to some vertex in iii or iv . To prevent drawing the path P , that interior path must be from i to iii or ii to iv .

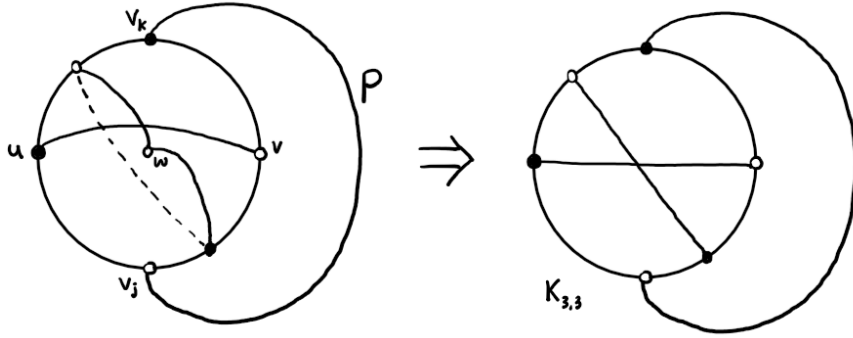


Figure 18: Case 1 (contracting w to the top left vertex)

Case 2: WLOG w is connected to v_k . To prevent from drawing edge uv , w must be connected to some vertex in iii or iv . To prevent from drawing the path P , w must also be connected to another distinct vertex that is in another region among iii and iv .

Similar arguments can be made when w is connected to another member of A .

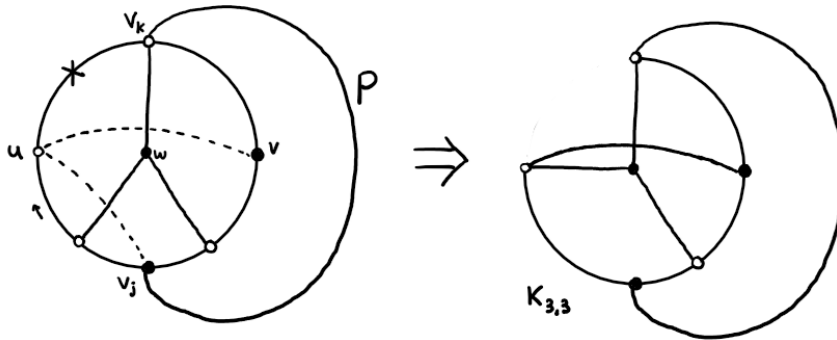


Figure 19: Case 2 (contracting the edge uv_j)

Case 3: For the example above, if w is connected to u , w will be connected to a vertex in iii . If w is connected to v , w is connected to a vertex in iv . If w is connected to v_j , then the path interior to C containing w will be the path P , and we can draw uv in the outside.

Case 4: WLOG w is connected to u, v , and v_j . To prevent from drawing the edge uv , there must be a path connecting from a vertex in the path uw or vw to v_k . This graph also prevents from drawing the edge uv .

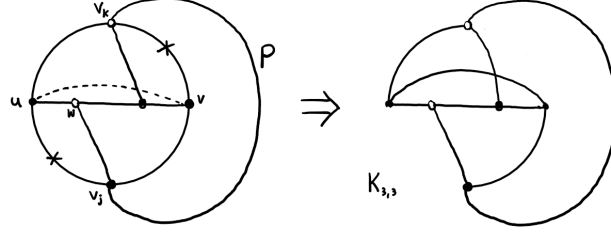


Figure 20: Case 4

Case 5: It is already true.

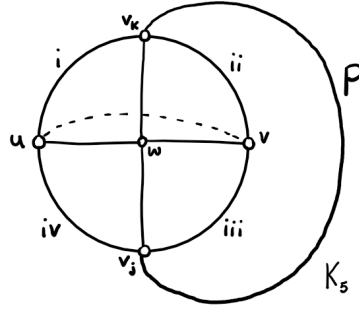


Figure 21: Case 5

For the final step, when adding the edge uv back into all 5 cases to obtain G , all of them contain a k -sub, which is a contradiction.

□

7 Future Work

If we had more time, there are several avenues we would pursue. In particular, we would examine a closely related result: Wagner's Theorem. It takes a different approach in characterizing the Kuratowski subgraphs by utilizing minors instead of subdivisions. We would compare the methods used in the proof of Wagner's Theorem with the techniques we encountered in the proofs of Kuratowski's theorem.

Definition 7.1. Suppose G and H are graphs and H can be obtained from G by deleting vertices, deleting edges, and/or contracting edges. Then H is a **minor** of G .

Theorem 7.1 (Wagner’s Theorem). A graph G is planar if and only if it does not contain K_5 or $K_{3,3}$ as minors.

Additionally, we would investigate any theorems concerning embedding graphs in higher order genera.

We theorize that K_5 and $K_{3,3}$ are no longer forbidden subgraphs, but what graph structures are forbidden? We would put most of our effort into investigating genus 1, but still see if we could make generalizations for all general graph.

While this project is expository, our future work would be more exploratory. It would be interesting to discover which graphs can’t be embedded in a torus and attempt to find a list of minimal forbidden subgraphs (like K_5 and $K_{3,3}$ in the plane). We would have to decide whether to use subdivisions or minors to interpret our results.

We would also provide a detailed comparison between the two proofs we presented. We commented on some the lemmas from the first proof that would be used in the second proof, however, it would be beneficial to include a more thorough analysis. How are the underlying approaches similar? Could we use reasoning from one proof to make the other proof more efficient?

References

- [1] Carlson, J. (2021). Kuratowski’s Theorem Video Lecture Series
- [2] Ratcliffe, M. (2016). Kuratowski’s Theorem.