

Programming for Engineers

Lecture 6: Sorting Algorithms

Course ID: EE057IU

Lecture Outline

13.1 Introduction

13.2 Efficiency of Algorithms: Big O

13.3 Selection Sort

13.4 Insertion Sort

13.5 High-performance Merge Sort

Review and In-Class Practice



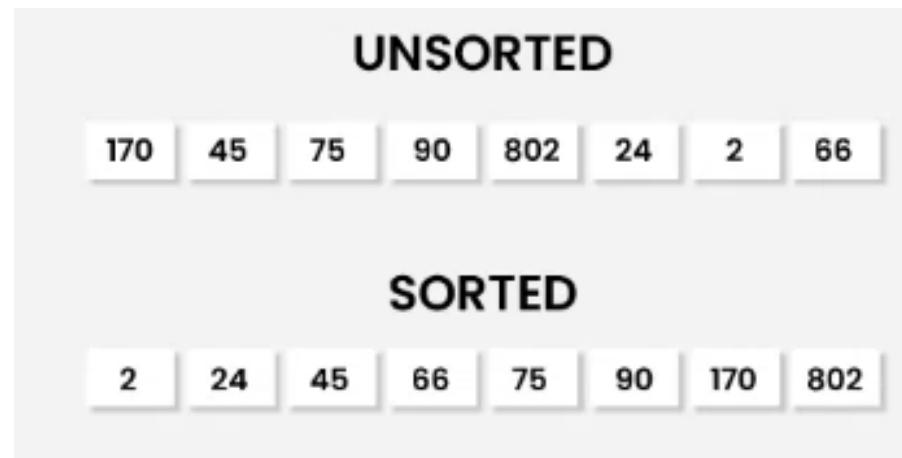
Introduction

Sorting

- refers to rearrangement of a given array or list of elements
- The **comparison operator** determines the **order** of elements (ascending or descending)

▪ Why Sorting Matters

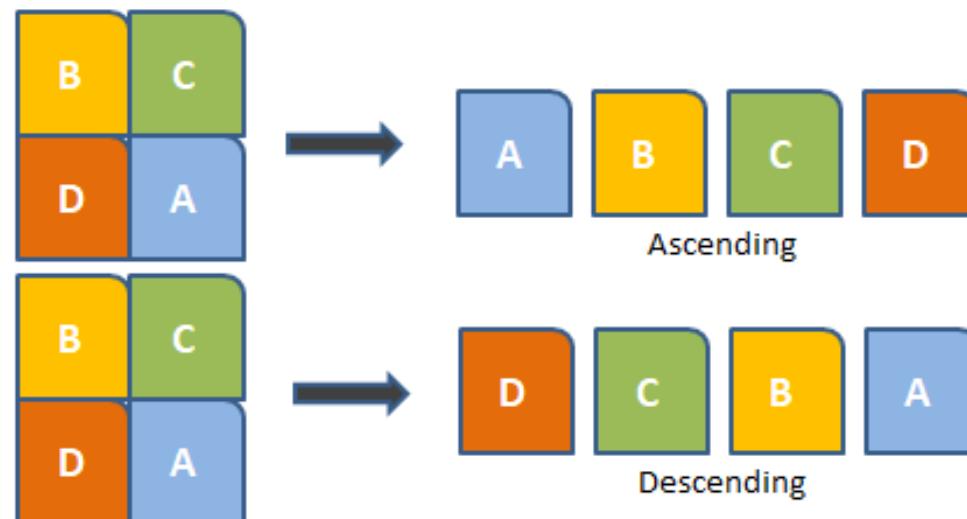
- **Large Data Sets:** Sorting becomes essential when dealing with large volumes of randomly arranged data.
- **Ease of Searching:** Sorting simplifies the process of finding specific elements in the data.



Introduction

➤ Importance of Sorting Data

- **Organizes Data:** Places items in ascending or descending order for easier access.
- **Real-world Examples:**
 - **Banks:** Sort checks by account number to generate monthly statements.
 - **Telephone Companies:** Sort accounts by last and first names for efficient lookup.
- **Essential for Organizations:** Many organizations need to sort large volumes of data.



Introduction

➤ A Focus on Performance

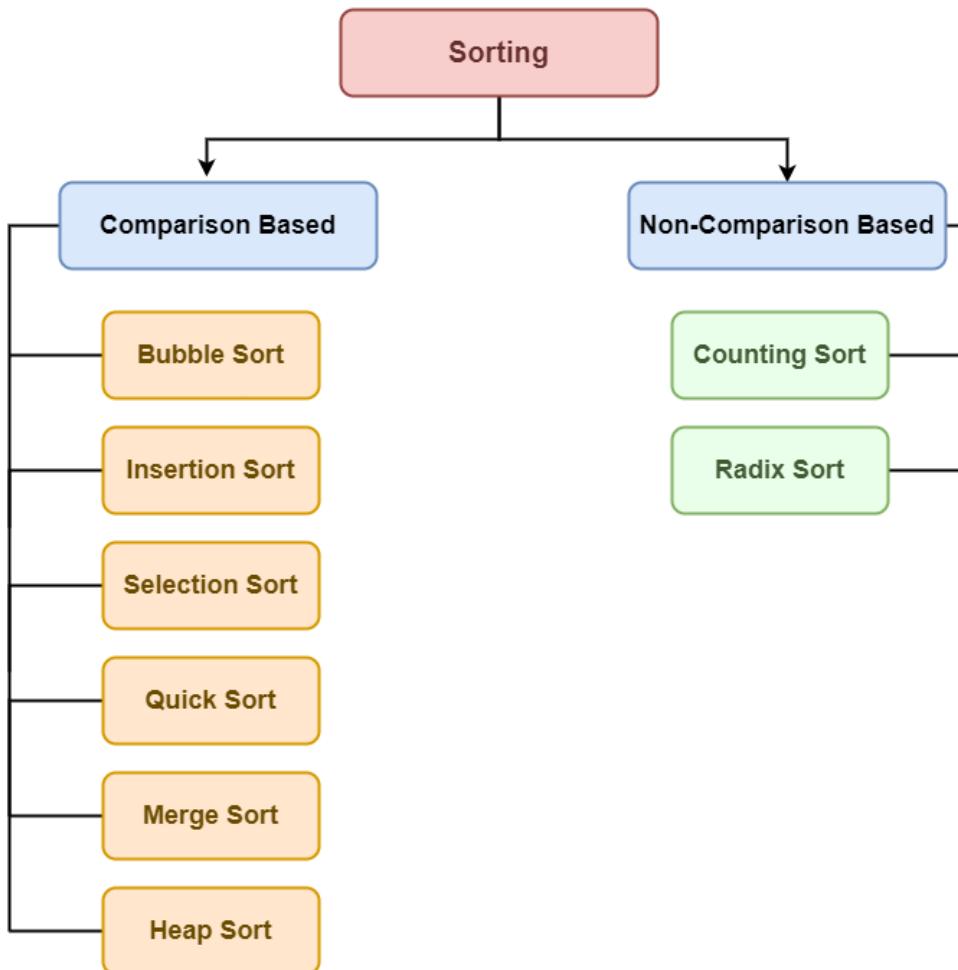
- **Research Significance:** Sorting is a fundamental challenge, inspiring intense study in computer science.

➤ Simple vs. Complex Algorithms

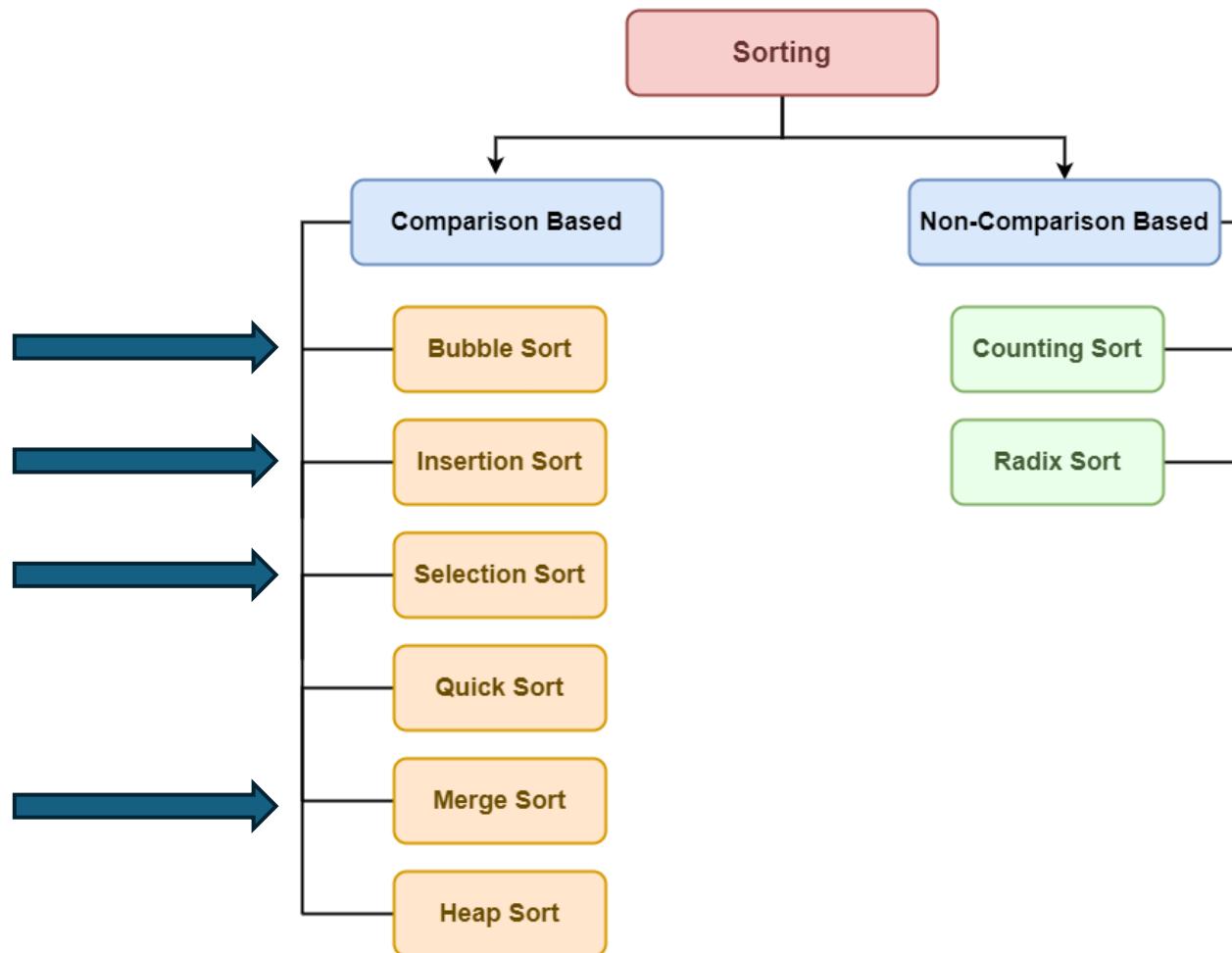
- **Simple Algorithms:** (Chapter 6 with Bubble Sort)
 - Easy to write, test, and debug.
 - Often have lower performance.
- **Complex Algorithms:**
 - Better performance but more complicated to implement.
 - Covered in Chapters 12 and 13 for maximum efficiency insights.



Type of Sorting Algorithms



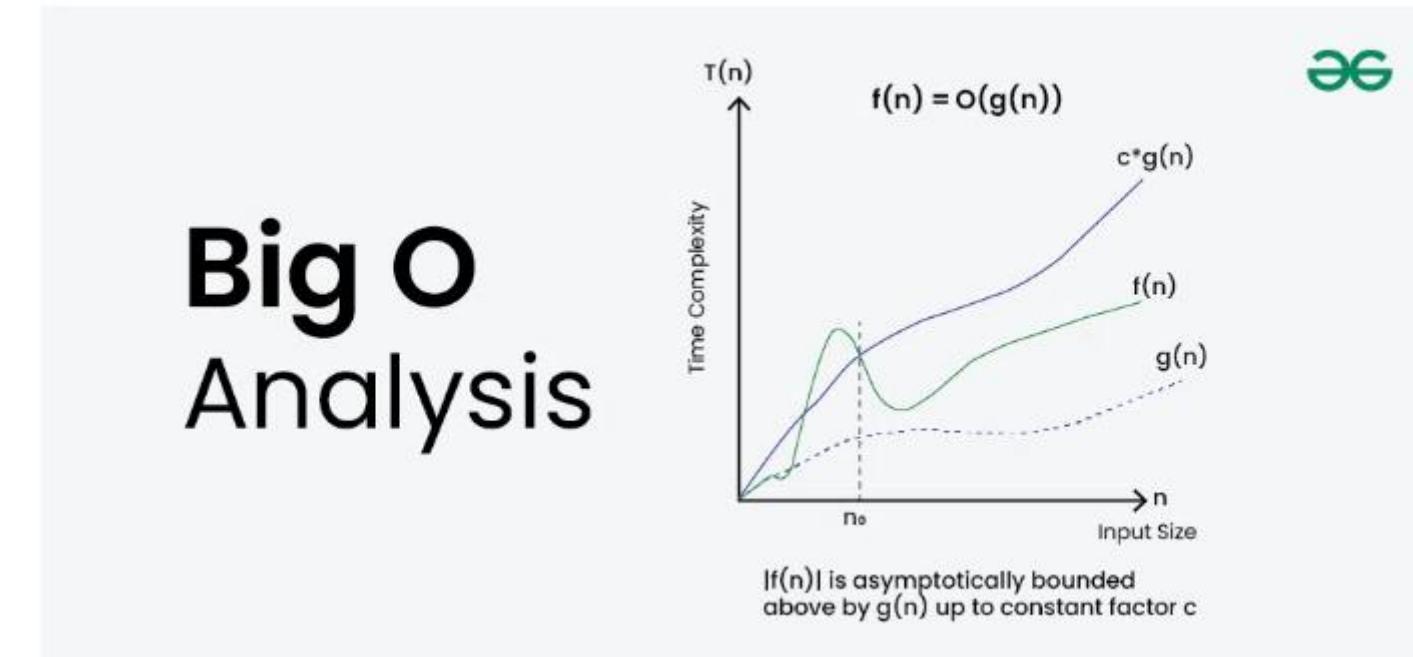
Type of Sorting Algorithms



Big O notation

➤ What is Big O notation?

- A tool used to describe the **time and space complexity** of algorithms
- Provides a **standardized way to compare algorithm efficiency** in terms of worst-case performance.



Big O notation

➤ Big-O

- referred to as “**Order of**”
- a way to express the **upper bound** of an algorithm’s time complexity
- provides an **upper limit** on the time taken by an algorithm in terms of the size of the input
- denoted as **$O(f(n))$** , represents the operations an algorithm performs for a problem of size **n**

*Big-O notation is used to describe the performance or complexity of an algorithm. Specifically, it describes the **worst-case scenario** in terms of **time or space complexity**.*

“HOW CODE SLOWS AS DATA GROWS”



Common Big-O notations – $O(n)$ vs $O(1)$ complexity

$O(n)$: “order n”

- an algorithm that requires $n-1$ calculation/comparisons
- referred to as having a **linear run time**
- running time of an algorithm grows linearly with the size of input

```
4 int main() {  
5     int sum = 0;  
6     int n=100;  
7     for(int i=0;i<=n;i++){  
8         sum += i;  
9     }  
10    printf("%d",sum);  
11    return 0;  
12 }
```

**n = 1000
~1000 steps**

$O(1)$: “order 1”

- The run time complexity is **CONSTANT**

```
4 int main() {  
5     int n = 100;  
6     int sum = n * (n+1)/2;  
7     printf("%d",sum);  
8     return 0;  
9 }
```

**n = 1000
~3 steps**



Common Big-O notations - $O(1)$

- $O(1)$ with respect to the size of the file. As the size of the file increases, it won't take any longer to get the file to your friend. The time is **constant**.
- $O(1)$ is pronounce “order 1”
- Example 1, accessing a value with an array index

```
int arr[5] = {1,2,3,4,5};  
int value = arr[2];           // value = 3
```
- Example 2, compare an array's first element is equal to its second

```
int arr_1[5] = {1,2,3,4,5};  
int arr_2[7] = {1,2,3,4,5,6,7};  
  
if (arr_1[0] == arr_1[1])  
{  
  
}
```



Common Big-O notations – $O(n^2)$ complexity

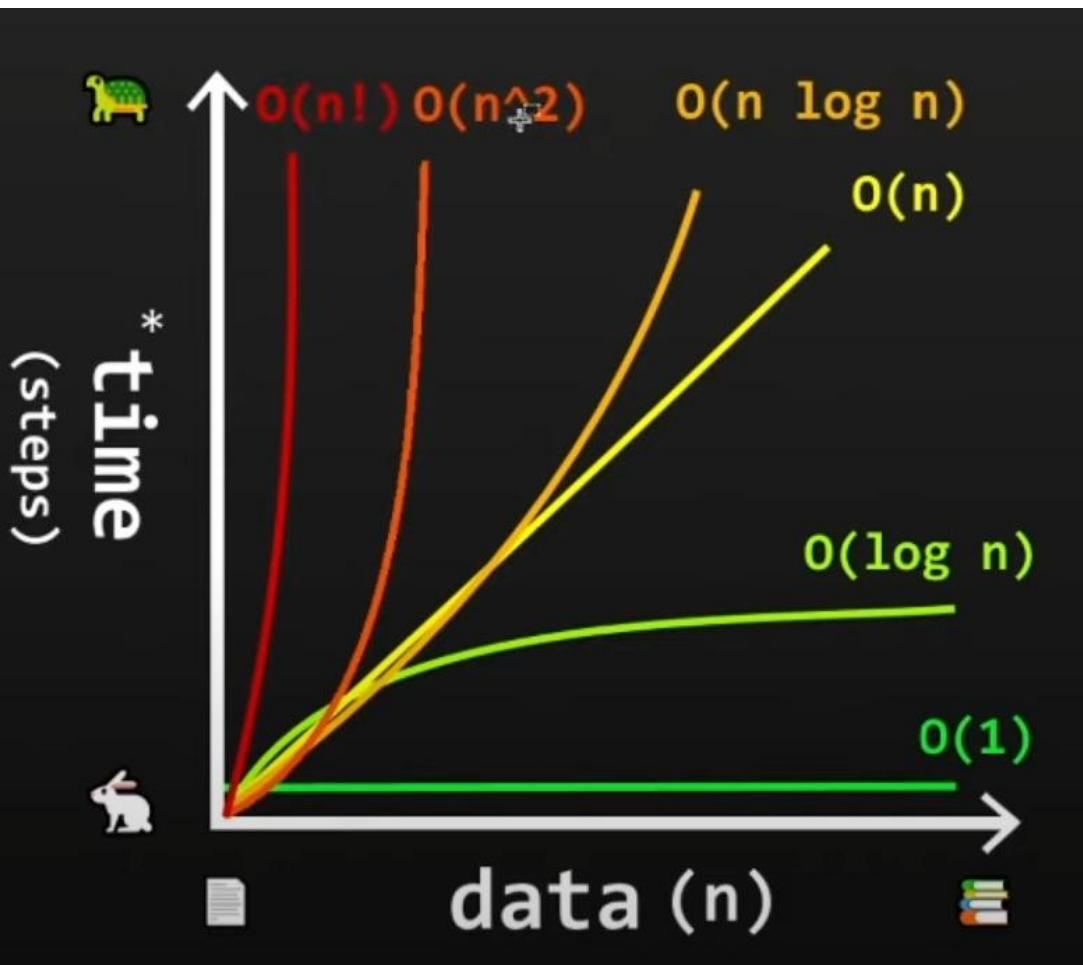
$O(n^2)$

- referred as **quadratic run time**
- running time of an algorithm is proportional to the square of the input size

```
void bubbleSort(int arr[], int n)
{
    for (int i = 0; i < n - 1; i++) {
        for (int j = 0; j < n - i - 1; j++) {
            if (arr[j] > arr[j + 1]) {
                swap(&arr[j], &arr[j + 1]);
            }
        }
    }
}
```



Big O notation



Source: Bro Code

$O(1)$ = constant time

- random access of an element in an array

$O(\log n)$ = logarithmic time

- binary search

$O(n)$ = linear time

- looping through elements in an array

$O(n \log n)$ = Quasilinear time

- quick sort

- merge sort

- heap sort

$O(n^2)$ = Quadratic time

- insertion sort

- selection sort

- bubble sort

$O(n!)$ = factorial time

Common Big-O notations – $O(\log n)$ complexity

$O(\log n)$

- running time of an algorithm is proportional to the logarithm of the input size

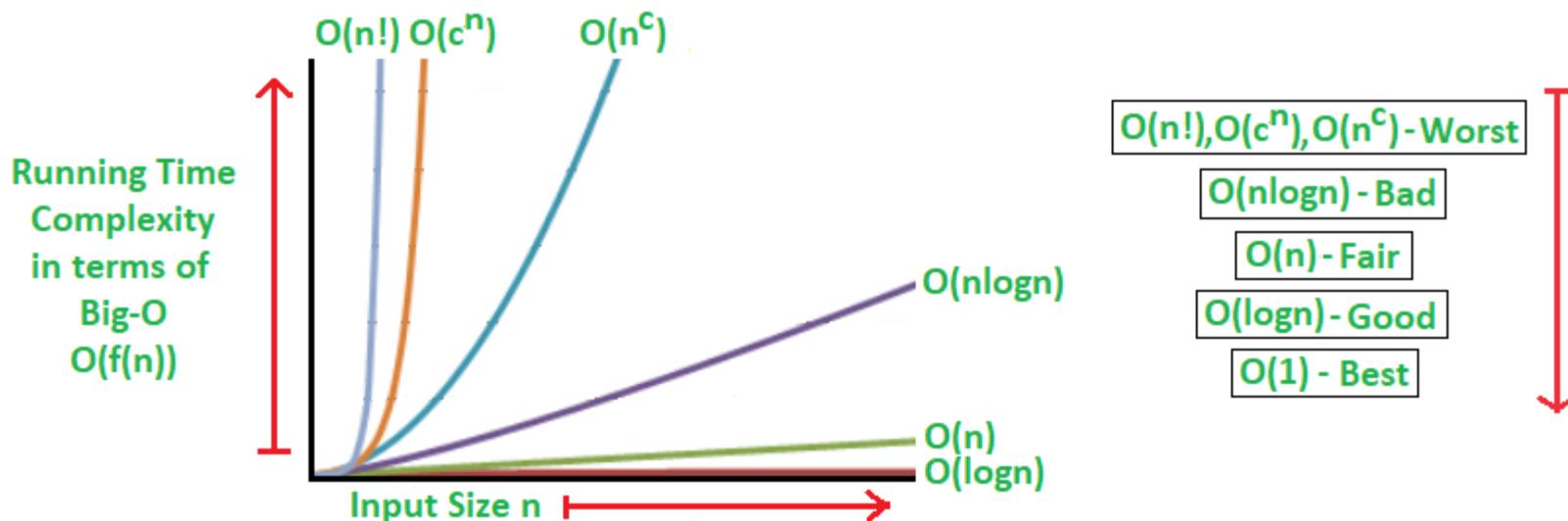
```
int binarySearch(int arr[], int l, int r, int x)
{
    if (r >= l) {
        int mid = l + (r - l) / 2;
        if (arr[mid] == x)
            return mid;
        if (arr[mid] > x)
            return binarySearch(arr, l, mid - 1, x);
        return binarySearch(arr, mid + 1, r, x);
    }
    return -1;
}
```



Common Big-O notations

Other Big-O Complexity

- Cubic Time Complexity: Big $O(n^3)$ Complexity
- Polynomial Time Complexity: Big $O(n^k)$ Complexity
- Exponential Time Complexity: Big $O(2^n)$ Complexity
- Factorial Time Complexity: Big $O(n!)$ Complexity



Sorting Algorithms – *Bubble Sort*

Bubble Sort | Runtime $O(n^2)$

- We start at the beginning of the array and swap the first two elements if **the first is greater than the second**
- We go to the next pair, continuously making sweeps of the array until it is sorted
- The smaller items slowly “bubble” up to the beginning of the list



Sorting Algorithms – *Bubble Sort*

Consider the following array $\text{arr[]} = \{5, 1, 4, 2, 8\}$

First Pass: Bubble sort starts with very first two elements, comparing them to check which one is greater.

$(5 1 4 2 8) \rightarrow (1 5 4 2 8)$, Here, algorithm compares the first two elements, and **swaps** since $5 > 1$.

$(1 5 4 2 8) \rightarrow (1 4 5 2 8)$, **Swap** since $5 > 4$

$(1 4 5 2 8) \rightarrow (1 4 2 5 8)$, **Swap** since $5 > 2$

$(1 4 2 5 8) \rightarrow (1 4 2 5 8)$, Now, since these elements are already in order ($8 > 5$), algorithm does not swap them.

Second Pass: Now, during second iteration it should look like this:

$(1 4 2 5 8) \rightarrow (1 4 2 5 8)$

$(1 4 2 5 8) \rightarrow (1 2 4 5 8)$, **Swap** since $4 > 2$

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$

Third Pass: Now, the array is already sorted, but our algorithm does not know if it is completed.

The algorithm needs one whole pass without any swap to know it is sorted.

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$

$(1 2 4 5 8) \rightarrow (1 2 4 5 8)$



Sorting Algorithms – *Bubble Sort*

```
3 #include <stdio.h>
4 #define SIZE 10
5
6 // function main begins program execution
7 int main(void) {
8     int a[SIZE] = {2, 6, 4, 8, 10, 12, 89, 68, 45, 37};
9
10    puts("Data items in original order");
11
12    // output original array
13    for (size_t i = 0; i < SIZE; ++i) {
14        printf("%4d", a[i]);
15    }
```

```
17    // bubble sort
18    // loop to control number of passes
19    for (int pass = 1; pass < SIZE; ++pass) {
20        // loop to control number of comparisons per pass
21        for (size_t i = 0; i < SIZE - 1; ++i) {
22            // compare adjacent elements and swap them if first
23            // element is greater than second element
24            if (a[i] > a[i + 1]) {
25                int hold = a[i];
26                a[i] = a[i + 1];
27                a[i + 1] = hold;
28            }
29        }
30    }
```

Data items in original order
2 6 4 8 10 12 89 68 45 37
Data items in ascending order
2 4 6 8 10 12 37 45 68 89

```
34    // output sorted array
35    for (size_t i = 0; i < SIZE; ++i) {
36        printf("%4d", a[i]);
37    }
38
39    puts("");
40 }
```



Sorting Algorithms – *Selection Sort*

Selection Sort | Runtime $O(n^2)$

- Find the smallest element using a linear scan and move it to the front
- Find the second smallest and move it, again doing a linear scan
- Continue doing this until all the elements are in place



Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$

01 Step Start from the first element at index 0, find the smallest element in the rest of the array which is unsorted, and swap (11) with current element(64).

Swapping Elements

$\text{arr[]} =$ 

Current element Min element

Selection Sort Algorithm

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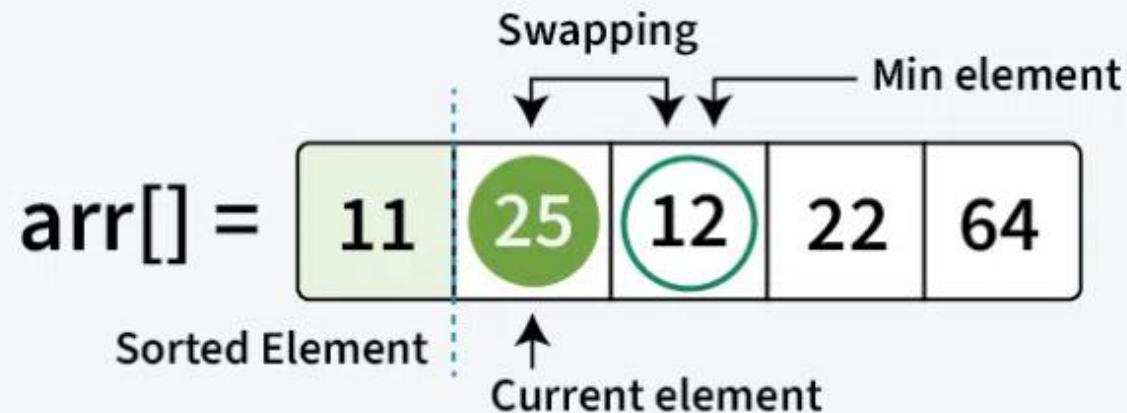
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Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$

02
Step

Move to the next element at index 1 (25). Find the smallest in unsorted subarray, and swap (12) with current element (25).



Selection Sort Algorithm



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Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$

03
Step | Move to element at index 2 (25). Find the minimum element from unsorted subarray, Swap (22) with current element (25).

Swapping

$\text{arr}[] = \boxed{11 \quad 12 \quad 25 \quad 22 \quad 64}$

Sorted Elements Current element Min element

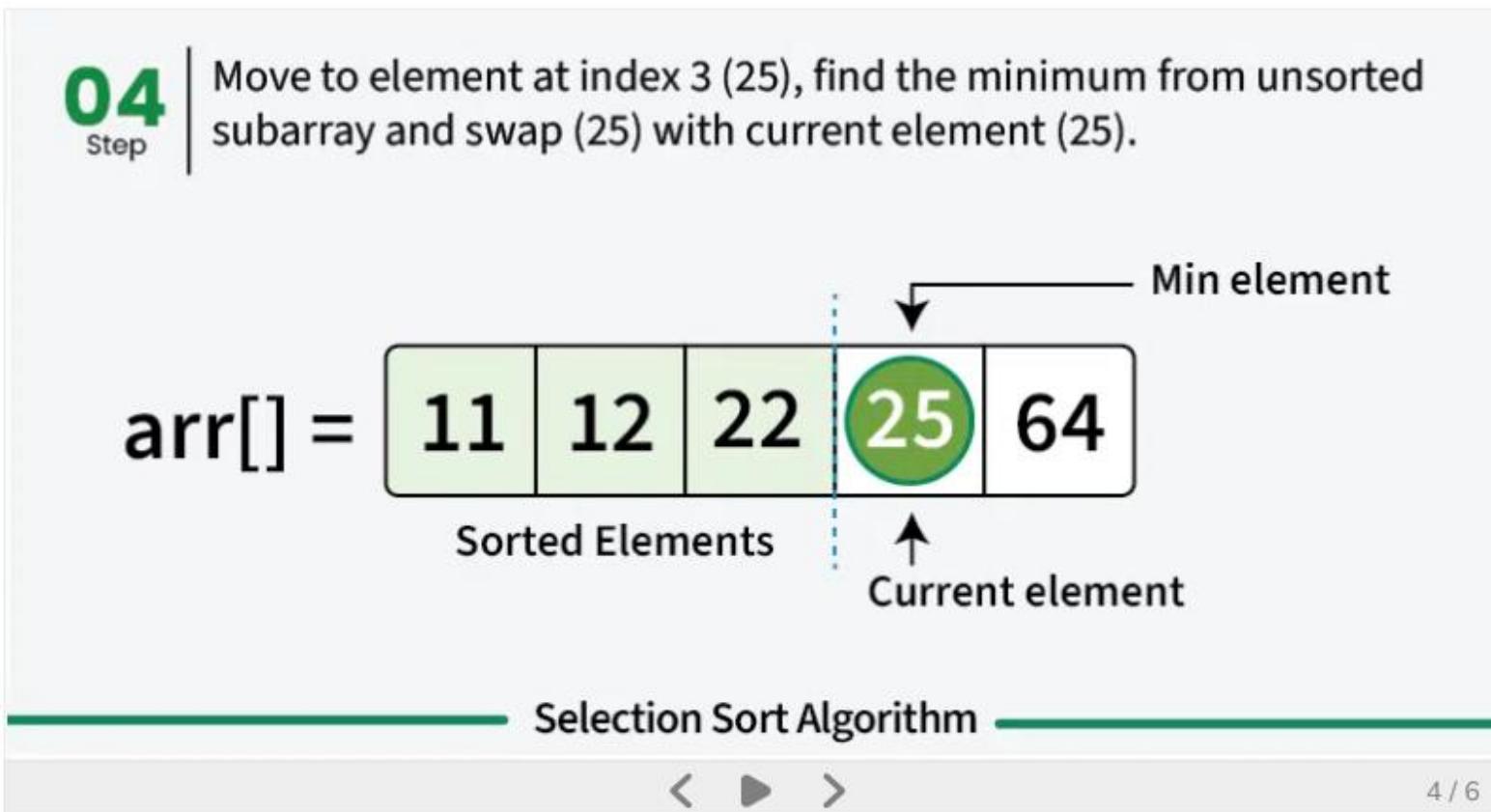
Selection Sort Algorithm

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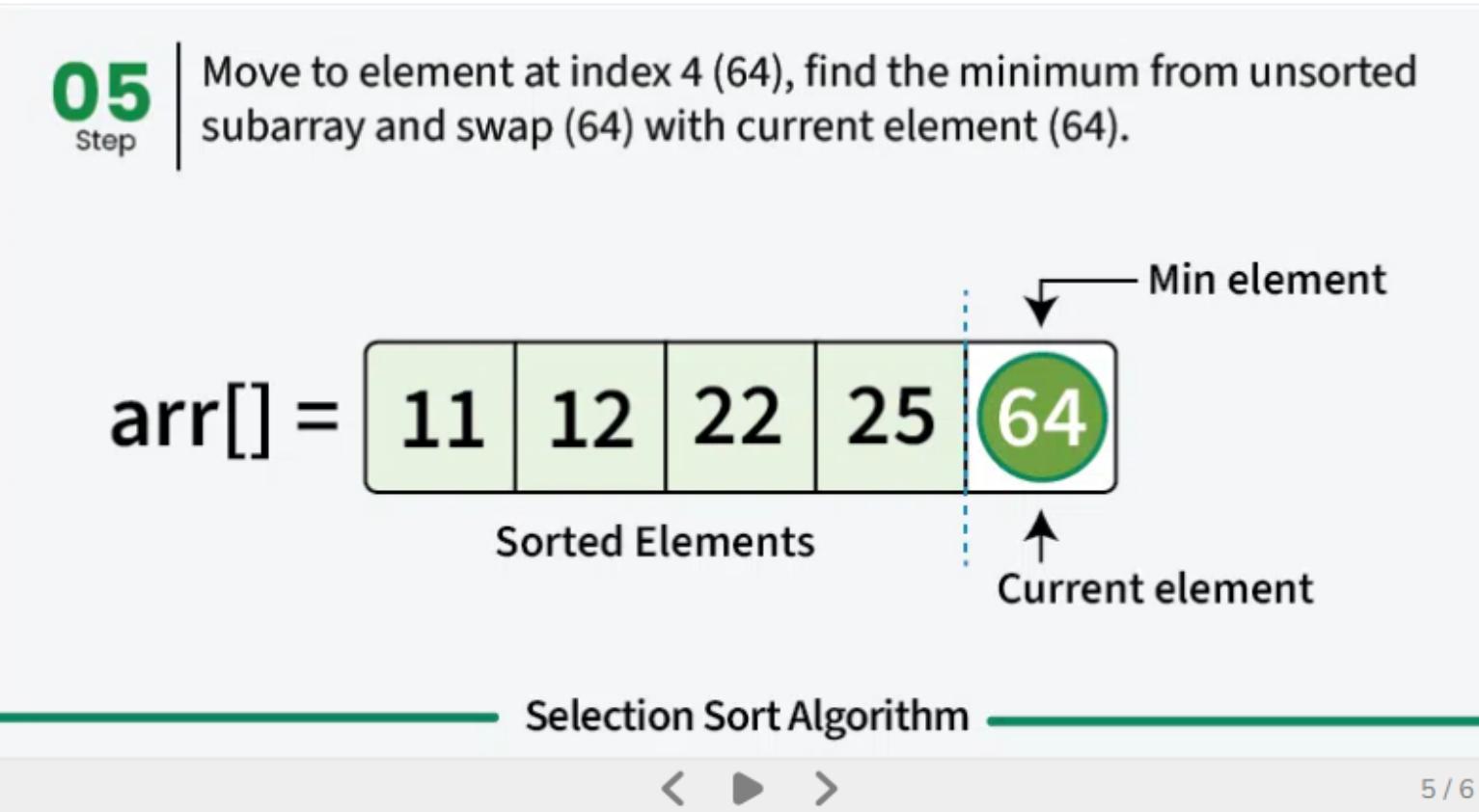
Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$



Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$



Sorting Algorithms – *Selection Sort*

Lets consider the following array as an example: $\text{arr[]} = \{64, 25, 12, 22, 11\}$

06 Step | We get the sorted array at the end.

$\text{arr}[] =$ 

Sorted array

Selection Sort Algorithm

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Sorting Algorithms – *Selection Sort*



```
// C program for implementation of selection sort
#include <stdio.h>

void printArray(int arr[], int n);
void selectionSort(int arr[], int n);

int main() {
    int arr[] = {64, 25, 12, 22, 11};
    int n = sizeof(arr) / sizeof(arr[0]);

    printf("Original array: ");
    printArray(arr, n);

    selectionSort(arr, n);

    printf("Sorted array: ");
    printArray(arr, n);

    return 0;
}
```



```
void selectionSort(int arr[], int n) {
    for (int i = 0; i < n - 1; i++) {

        // Assume the current position holds
        // the minimum element
        int min_idx = i;

        // Iterate through the unsorted portion
        // to find the actual minimum
        for (int j = i + 1; j < n; j++) {
            if (arr[j] < arr[min_idx]) {

                // Update min_idx if a smaller element is found
                min_idx = j;
            }
        }

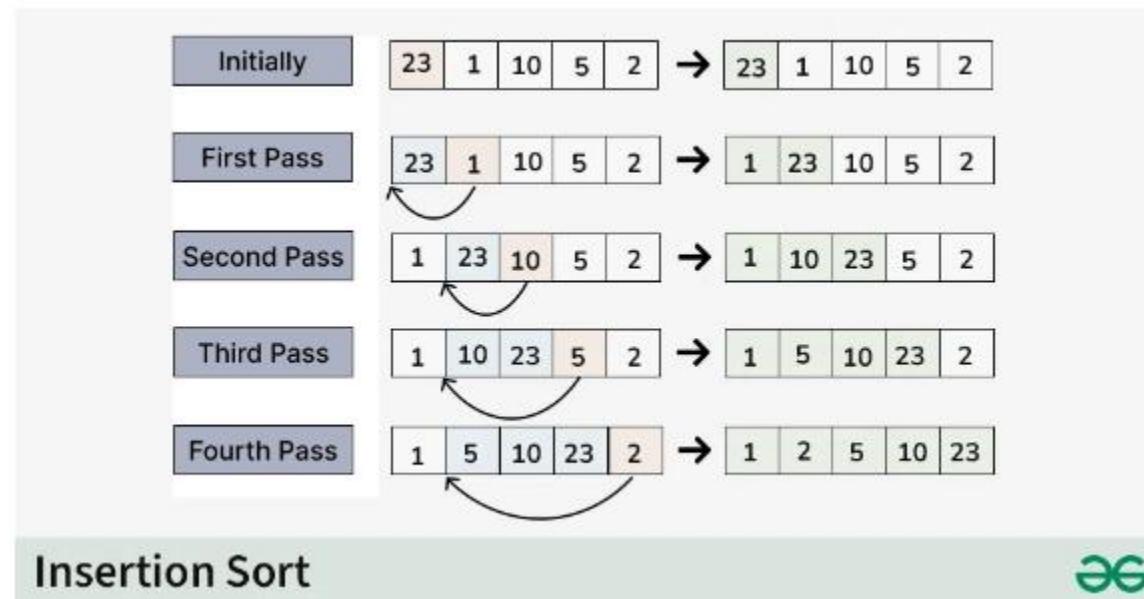
        // Move minimum element to its
        // correct position
        int temp = arr[i];
        arr[i] = arr[min_idx];
        arr[min_idx] = temp;
    }
}

void printArray(int arr[], int n) {
    for (int i = 0; i < n; i++) {
        printf("%d ", arr[i]);
    }
    printf("\n");
}
```

Sorting Algorithms – *Insertion Sort*

Insertion Sort | Runtime $O(n^2)$

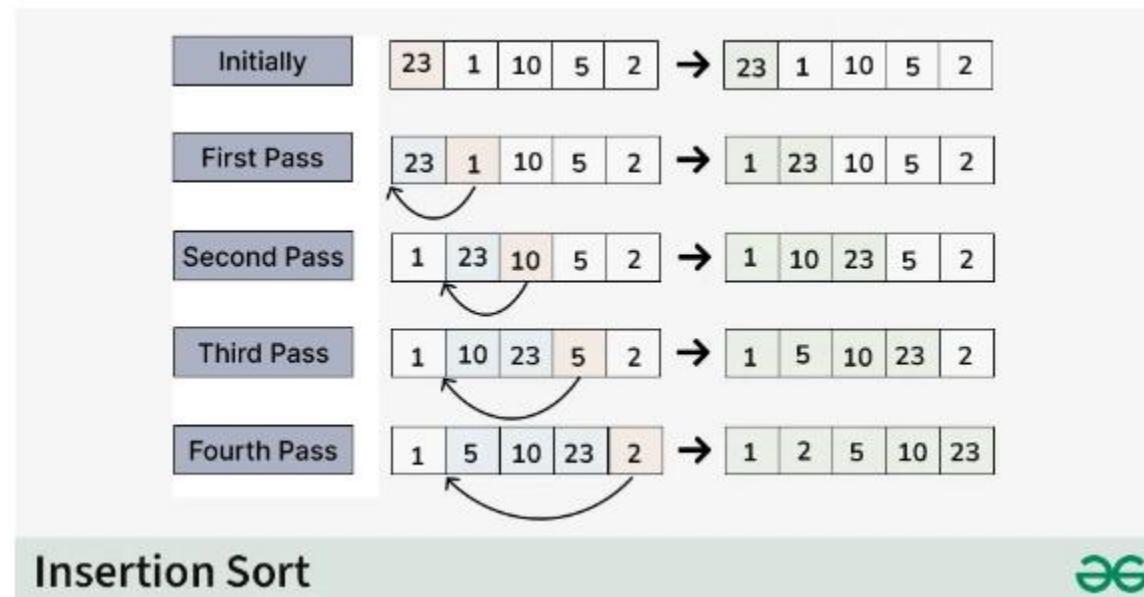
- simple but inefficient sorting algorithm
- iteratively inserting each element of an unsorted list into its correct position in a sorted portion of the list
- It is like sorting playing cards in your hands



Sorting Algorithms – *Insertion Sort*

Insertion Sort | Runtime $O(n^2)$

- We start with second element of the array as first element in the array is assumed to be sorted.
- Compare second element with the first element and check if the second element is smaller then swap them.
- Move to the third element and compare it with the first two elements and put at its correct position
- Repeat until the entire array is sorted.



Sorting Algorithms – *Insertion Sort*

Lets consider the following array as an example: $\text{arr[]} = \{23, 1, 10, 5, 2\}$

- **Initial:**

- Current element is **23**
- The first element in the array is assumed to be sorted.
- The sorted part until **0th index** is : **[23]**

- **First Pass:**

- Compare **1** with **23** (current element with the sorted part).
- Since **1** is smaller, insert **1** before **23** .
- The sorted part until **1st index** is: **[1, 23]**

- **Second Pass:**

- Compare **10** with **1** and **23** (current element with the sorted part).
- Since **10** is greater than **1** and smaller than **23** , insert **10** between **1** and **23** .
- The sorted part until **2nd index** is: **[1, 10, 23]**



Sorting Algorithms – *Insertion Sort*

Lets consider the following array as an example: $\text{arr[]} = \{23, 1, 10, 5, 2\}$

- **Third Pass:**

- Compare **5** with **1** , **10** , and **23** (current element with the sorted part).
- Since **5** is greater than **1** and smaller than **10** , insert **5** between **1** and **10**
- The sorted part until **3rd index** is : **[1, 5, 10, 23]**

- **Fourth Pass:**

- Compare **2** with **1, 5, 10** , and **23** (current element with the sorted part).
- Since **2** is greater than **1** and smaller than **5** insert **2** between **1** and **5** .
- The sorted part until **4th index** is: **[1, 2, 5, 10, 23]**

- **Final Array:**

- The sorted array is: **[1, 2, 5, 10, 23]**



Sorting Algorithms - *Insertion Sort*



```
// C program for implementation of Insertion Sort
#include <stdio.h>

void insertionSort(int arr[], int n);
void printArray(int arr[], int n);

int main()
{
    int arr[] = {123, 1, 10, 5, 2};
    int n = sizeof(arr) / sizeof(arr[0]);

    insertionSort(arr, n);
    printArray(arr, n);

    return 0;
}
```



```
/* Function to sort array using insertion sort */
void insertionSort(int arr[], int n)
{
    for (int i = 1; i < n; ++i) {
        int key = arr[i];
        int j = i - 1;

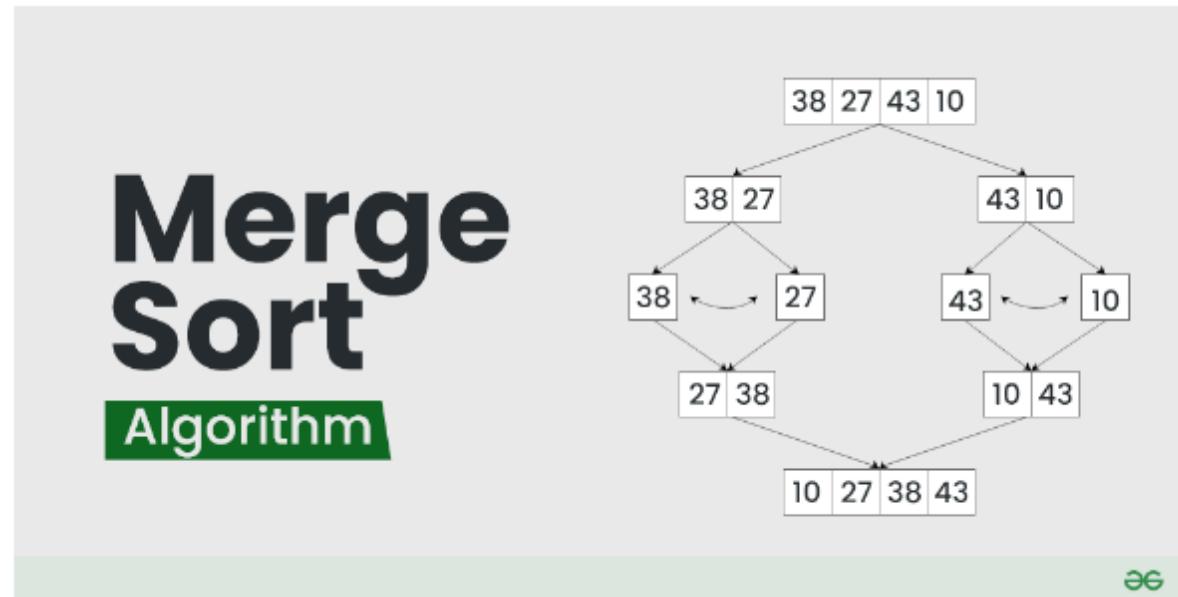
        /* Move elements of arr[0..i-1], that are
           greater than key, to one position ahead
           of their current position */
        while (j >= 0 && arr[j] > key) {
            arr[j + 1] = arr[j];
            j = j - 1;
        }
        arr[j + 1] = key;
    }

    /* A utility function to print array of size n */
    void printArray(int arr[], int n)
    {
        for (int i = 0; i < n; ++i)
            printf("%d ", arr[i]);
        printf("\n");
    }
}
```

Sorting Algorithms – *Merge Sort*

Merge Sort | Runtime $O(n \log(n))$

- Efficient but conceptually more complex than selection sort and insertion sort
- Merge sort divides the array in half, sorts each of those halves, and then merges them back together
- Each of those halves has the same sorting algorithm applied to it

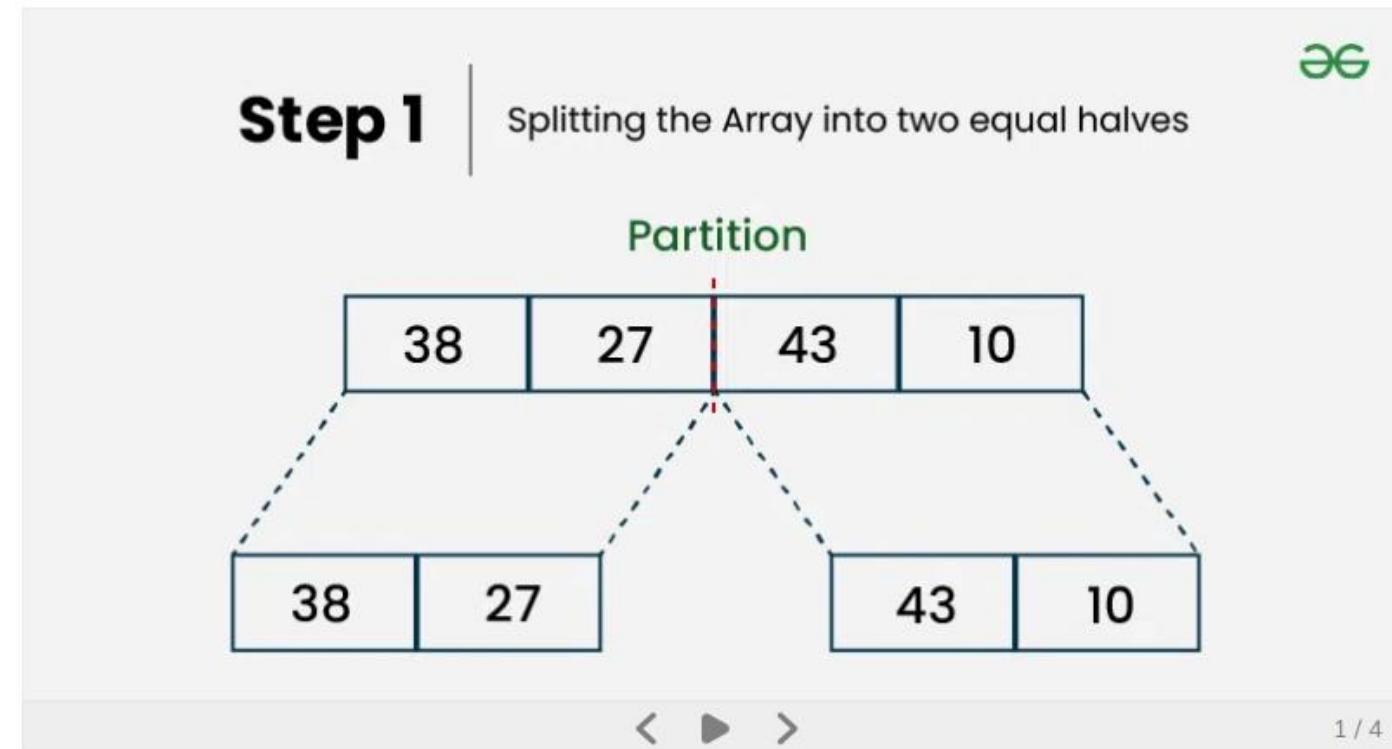


Sorting Algorithms – *Merge Sort*

Let's sort the array or list [38, 27, 43, 10] using Merge Sort

- **Divide:**

- [38, 27, 43, 10] is divided into [38, 27] and [43, 10].
- [38, 27] is divided into [38] and [27].
- [43, 10] is divided into [43] and [10].

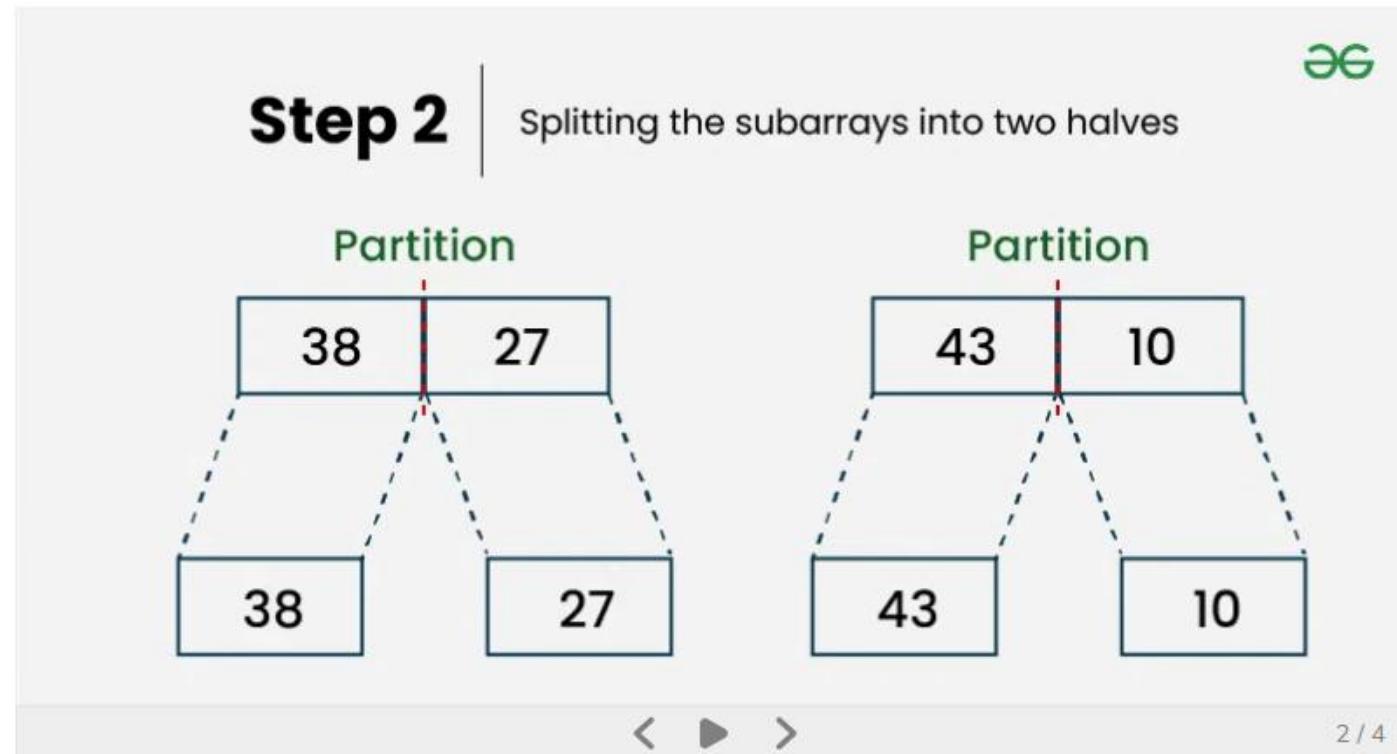


Sorting Algorithms – *Merge Sort*

Let's sort the array or list [38, 27, 43, 10] using Merge Sort

- **Conquer:**

- [38] is already sorted.
- [27] is already sorted.
- [43] is already sorted.
- [10] is already sorted.

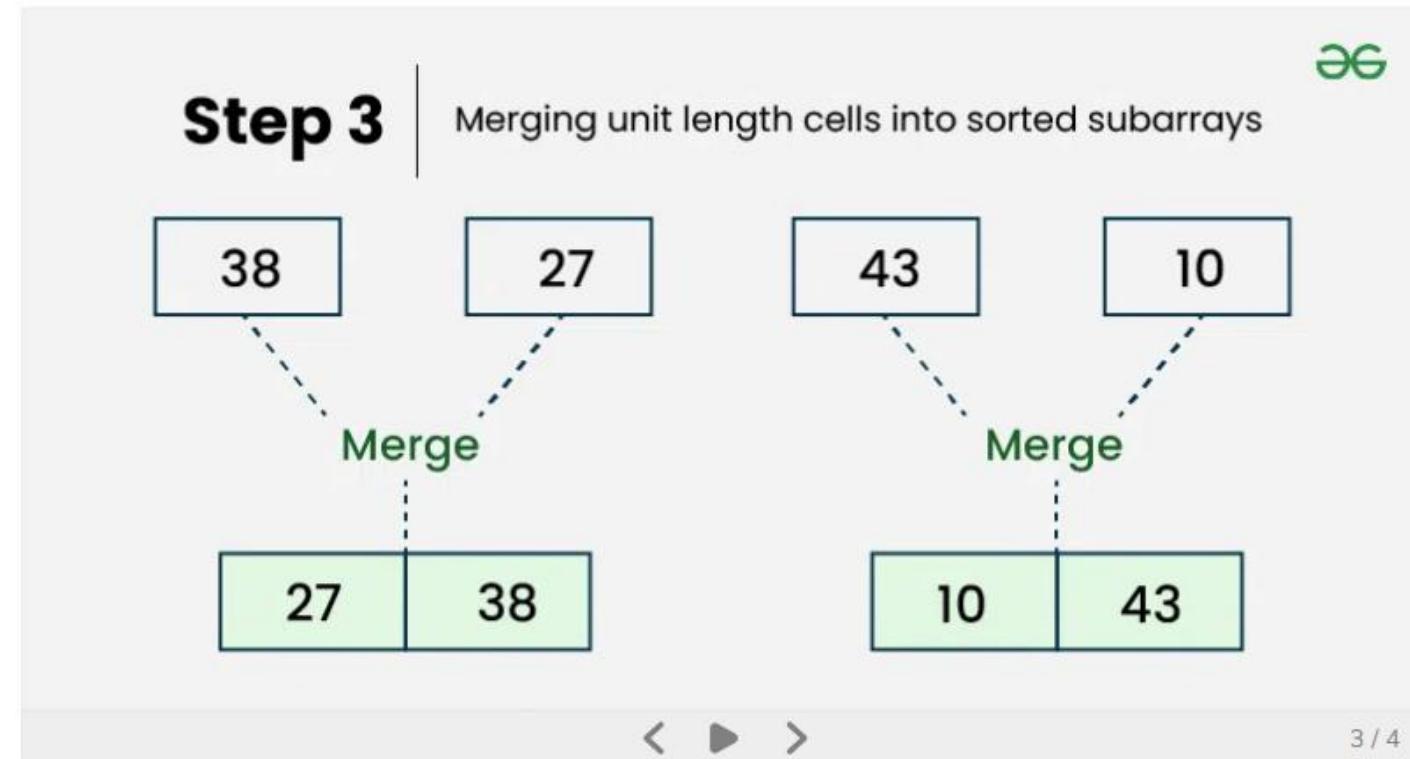


Sorting Algorithms – *Merge Sort*

Let's sort the array or list [38, 27, 43, 10] using Merge Sort

- **Merge:**

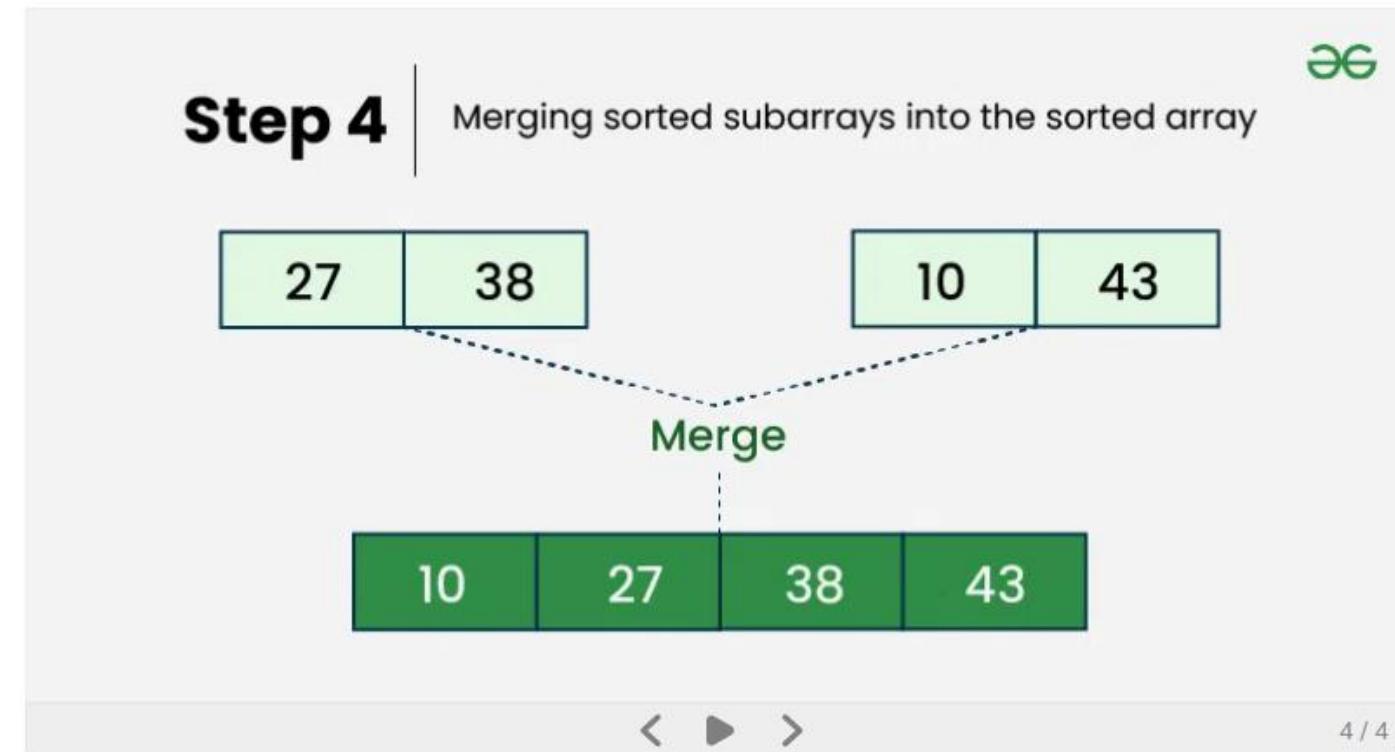
- Merge [38] and [27] to get [27, 38].
- Merge [43] and [10] to get [10, 43].
- Merge [27, 38] and [10, 43] to get the final sorted list [10, 27, 38, 43]



Sorting Algorithms – *Merge Sort*

Let's sort the array or list [38, 27, 43, 10] using Merge Sort

- Therefore, the sorted list is [10, 27, 38, 43]



Sorting Algorithms – *Merge Sort*

```
● ● ●

// C program for the implementation of merge sort
#include <stdio.h>
#include <stdlib.h>

// Merges two subarrays of arr[].
// First subarray is arr[left..mid]
// Second subarray is arr[mid+1..right]

void mergeSort(int arr[], int left, int right)
void merge(int arr[], int left, int mid, int right)

int main() {
    int arr[] = { 12, 11, 13, 5, 6, 7 };
    int n = sizeof(arr) / sizeof(arr[0]);

        // Sorting arr using mergesort
    mergeSort(arr, 0, n - 1);

    for (int i = 0; i < n; i++)
        printf("%d ", arr[i]);
    return 0;
}

// The subarray to be sorted is in the index range [left-right]
void mergeSort(int arr[], int left, int right) {
    if (left < right) {

        // Calculate the midpoint
        int mid = left + (right - left) / 2;

        // Sort first and second halves
        mergeSort(arr, left, mid);
        mergeSort(arr, mid + 1, right);

        // Merge the sorted halves
        merge(arr, left, mid, right);
    }
}
```

```
● ● ●

void merge(int arr[], int left, int mid, int right) {
    int i, j, k;
    int n1 = mid - left + 1;
    int n2 = right - mid;

    // Create temporary arrays
    int leftArr[n1], rightArr[n2];

    // Copy data to temporary arrays
    for (i = 0; i < n1; i++)
        leftArr[i] = arr[left + i];
    for (j = 0; j < n2; j++)
        rightArr[j] = arr[mid + 1 + j];

    // Merge the temporary arrays back into arr[left..right]
    i = 0;
    j = 0;
    k = left;
    while (i < n1 && j < n2) {
        if (leftArr[i] <= rightArr[j]) {
            arr[k] = leftArr[i];
            i++;
        } else {
            arr[k] = rightArr[j];
            j++;
        }
        k++;
    }

    // Copy the remaining elements of leftArr[], if any
    while (i < n1) {
        arr[k] = leftArr[i];
        i++;
        k++;
    }

    // Copy the remaining elements of rightArr[], if any
    while (j < n2) {
        arr[k] = rightArr[j];
        j++;
        k++;
    }
}
```

