Group Assignment 2 Comparing Exact and Inexact Retrieval

Team 2: Thanh Le, Antoine Si

Imported Libraries

```
import numpy as np
import random
from queue import PriorityQueue
```

In addition to the 4 imported libraries (os, re, collections, and time). We imported numpy, for square root and log10 functions, random, for picking leaders in cluster pruning, and priority queue, for building the champion list, inexact query index elimination, and to get the top k documents.

IMPLEMENTATION - Log frequency weight of a term

```
# function to calculate the log frequency weight of term in document with doc_id

def cal_w_td(self, term, doc_id):
    tf_td = len(self.index[term][doc_id])
    return 0 if tf_td == 0 else 1 + np.log10(tf_td)

# function to get the log frequency weight of term in document with doc_id

def get_w_td(self, term, doc_id):
    w_td = 0
    try:
        w_td = self.index[term][doc_id][0]
    except:
        w_td = 0

    return w_td
```

- 1st function calculates the w_{t,d} of a term in a document with corresponding document ID.
- 2nd function gets the w_{t,d} of a term in a document with corresponding document ID.

IMPLEMENTATION - Inverse Document Frequency (IDF)

```
# function to calculate the inverse document frequency of term

def cal_idf_t(self, term):
    df_t = len(self.get_docs(term))
    N = len(self.doc_list)
    return 0 if df_t == 0 else np.log10(N / df_t)

# function to get the inverse document frequency of term

def get_idf_t(self, term):
    return self.index[term][0][0]
```

- The 1st function calculates the IDF for a term.
- The 2nd function gets the IDF for a term from the index.

IMPLEMENTATION - Document Miscellaneous

```
# function to get list of doc ids that the input term appears
def get_docs(self, term):
    return [doc_id for doc_id in self.index[term]][1:]

# function to get the document length
def get_doc_length(self, doc_id):
    doc = self.doc_list[doc_id]
    return len(self.tokenize(doc))
```

- 1st function gets the document ID that a term appears in?
- 2nd function gets document length.

IMPLEMENTATION - Getting top K documents

```
def get_top_docs(self, scores, k):
   result = []
    # iterate through each document
   queue = PriorityQueue()
    for doc id in scores:
        # normalize the score by document length
        scores[doc_id] /= self.get_doc_length(doc_id)
        queue.put((scores[doc_id] * -1, doc_id))
   # get top k value from the queue
   while not queue.empty() and len(result) < k:</pre>
        result.append(queue.qet())
    return result
```

IMPLEMENTATION - Cosine Scores

```
def cal_cosine_scores(self, scores, docs, query_term):
                                                         docs is varied depends on retrieval type:
    # get idf weight of the term
                                                               Exact retrieval: docs = posting list of the term
    wtq = self.get_idf_t(query_term)
                                                               Inexact retrieval:
                                                                    Champion list: docs = champion list of the term
                                                                    Index elimination: docs = posting list of the term with
    # iterate through each document
                                                                    high IDF values
                                                                    Cluster pruning: docs = candidate list (leader +
    for doc_id in docs:
                                                                    followers) of the nearest leader
         # get the wtd weight of the term in the
         wtd = self.get_w_td(query_term, doc_id)
         # calculate the if-idf weight
         tf_idf = wtd * wtq
         # accumulate the score
         scores[doc_id] = scores.get(doc_id, 0) + tf_idf
```

IMPLEMENTATION - print_retrieved_docs and

process_query

- 1st function prints the retrieved documents and its corresponding cosine scores.
- 2nd function
 processes query
 terms, which will be
 used in other
 methods.

```
def print_retrieved_docs(self, result, time):
    print("Total Docs retrieved:", len(result))
    for doc id in result:
        if type(doc_id) != int:
            print("Doc: %-*s Score: %s" % (20, self.doc_list[doc_id[1]], -doc_id[0]))
            print("Doc: %-*s" % (20, self.doc_list[doc_id]))
    print("Retrieved in", time, "seconds.\n\n")
# convert the free text query to a list of tokenized terms and bypass stop words
def process_query(self, query_terms):
    # convert the free text query to a list of tokenized terms
    terms = re.split(r"\W+", query_terms.lower())
    # bypass terms are in the stop list
    return list(set(terms) - self.stop_list)
```

IMPLEMENTATION - New additions to BUILDING INDEX

- Our build_index reuses most of the code from Assignment 1 with a few additions:
- It now calculates the W_{t,d} and idf_t for each term and records them onto the index.
- It also builds the champion list and the cluster pruning as well.
- DocID and pos now start at 1, as index 0 is for W_{t,d} and idf_t.

```
for term in self.index:
   # iterate through all the documents
   for doc_id in self.index[term]:
       w_td = self.cal_w_td(term, doc_id)
       self.index[term][doc_id].insert(0, w_td)
   idf_t = self.cal_idf_t(term)
   self.index[term] = {**{0: [idf_t]}, **self.index[term]}
self.build_champion_list()
self.build_cluster_pruning()
```

IMPLEMENTATION- Building the Champion List

```
def build_champion_list(self):
    self.champion_list = collections.defaultdict(list)
    queue = PriorityQueue()
    for term in self.index:
        for doc_id in self.get_docs(term):
            w_td = self.get_w_td(term, doc_id)
            queue.put((w_td * -1, doc_id))
        idf_t = self.get_idf_t(term)
        while not queue.empty() and len(self.champion_list[term]) < r:</pre>
            self.champion_list[term].append(queue.get()[1])
```

IMPLEMENTATION - Build cluster pruning

scores = {}

```
def build_cluster_pruning(self):
   self.cluster_pruning = collections.defaultdict(list)
   self.leader_list = []
   for _ in range(int(np.sgrt(len(self.doc_list)))):
                                                                   # iterate through each term in the follower
       self.leader_list.append(random.randint(1, len(self.doc_list)))
                                                                   for doc_term in doc_terms:
                                                                       self.cal_cosine_scores(scores, self.leader_list, doc_term)
   follower_list = list(self.doc_list.keys() - self.leader_list)
   for doc id in follower list:
                                                                   result = self.get_top_docs(scores, 1)
       doc_terms = set(self.tokenize(self.doc_list[doc_id]))
                                                                   nearest_leader = result[0][1]
       doc_terms -= self.stop_list
                                                                   # record the nearest leader for each follower
                                                                   self.cluster_pruning[nearest_leader].append(doc_id)
       if len(doc_terms) > 0:
```

Method 1- Exact Top K Retrieval (Method 1)

Let's say we get a free text, multiple word query (this will be the baseline query for the 4 methods). This method will generate the vectors for the documents and the query and compute the cosine similarity score for the documents. Afterwards the program will retrieve the top K documents for each query in decreasing order of their score.

IMPLEMENTATION - Method 1

```
def exact_query(self, query_terms, k):
   scores = {}
   start_time = time.time()
   query_terms = self.process_query(query_terms)
    for query_term in query_terms:
       self.cal_cosine_scores(scores, self.get_docs(query_term), query_term)
   result = self.get_top_docs(scores, k)
   end_time = time.time()
   print("Results for the Exact Top {k} Retrievals of:".format(k=k), ", ".join(query_terms))
   self.print_retrieved_docs(result, end_time - start_time)
```

Method 2 - Champion List

For each term of a query, a list of r documents that is based on the weighted term frequency $w_{t,d}$. However, there is a problem with value of r:

- We need to determine the value of r when constructing the index while the value of k is unknown at that time
- It can happen that the value of r is too small that causes the number of documents being retrieved < k
- So, we can set higher r for term with high IDF values (rarer terms)
- Formula for r scaling with IDF value:

```
r = 5 + idf_t * len(self.doc_list) / 4
```

IMPLEMENTATION - Method 2

```
def inexact_query_champion(self, query_terms, k):
    scores = {}
   start_time = time.time()
   query_terms = self.process_query(query_terms)
    for query_term in query_terms:
        self.cal_cosine_scores(scores, self.champion_list[query_term], query_term)
   result = self.get_top_docs(scores, k)
   end_time = time.time()
   print("Results for the Inxact Top {k} Retrievals using Champion List of:".format(k=k), ", ".join(query_terms))
    self.print_retrieved_docs(result, end_time - start_time)
```

Method 3 - Index Elimination

For each query, we try and consider documents with the most amount of query terms. For the query terms themselves, it is preferred to choose query terms that have high idf values. This discards many documents when the terms have low idf, and decreases the pool of documents to contain mostly high idf value documents.

IMPLEMENTATION - Method 3

```
A 17 🗸
def inexact_query_index_elimination(self, query_terms, k):
   high_idf_terms = []
   queue = PriorityQueue()
   start_time = time.time()
   query_terms = self.process_query(query_terms)
   for query_term in query_terms:
       idf_t = self.get_idf_t(query_term)
       queue.put((idf_t * -1, query_term))
                                                                                     for query_term in high_idf_terms:
   while not queue.empty() and len(high_idf_terms) < len(query_terms) / 2:</pre>
                                                                                         self.cal_cosine_scores(scores, self.get_docs(query_term), query_term)
       high_idf_terms.append(queue.get()[1])
                                                                                    result = self.get_top_docs(scores, k)
   scores = {}
                                                                                    end_time = time.time()
                                                                                    print("Results for the Inxact Top {k} Retrievals using Index Elimination of:".format(k=k),
                                                                                           ", ".join(query_terms))
```

self.print_retrieved_docs(result, end_time - start_time)

Method 4 - Simple cluster pruning

We pick sqrt(N) leaders (N is the number of documents in the collection) and use them to implement cluster pruning. With each query, it will look at the closest leader and pick the top K documents. If that leader's cluster of documents is less than K, it will find the next closest leader with K documents and etc.

IMPLEMENTATION - Method 4

```
def inexact_query_cluster_pruning(self, query_terms, k):
    leader_scores = {}
                                                                                                 for query_term in query_terms:
    start time = time.time()
                                                                                                     candidates = self.cluster_pruning[leader] + [leader]
    query_terms = self.process_query(query_terms)
                                                                                                     self.cal_cosine_scores(scores, candidates, query_term)
                                                                                                 result += self.get_top_docs(scores, k - len(result))
    leader_result = self.get_top_docs(leader_scores, len(self.leader_list))
                                                                                             end_time = time.time()
                                                                                             self.print_retrieved_docs(result, end_time - start_time)
```

EXPERIMENTAL RESULTS

Build the index

```
[>>> a = index(os.path.join(os.getcwd(), 'collection'))
[Index built in 1.893979787826538 seconds.
```

EXPERIMENTAL RESULTS: Process the queries

We use the cosine similarity and processing time to evaluate the performance of our retrieval methods.

- Accuracy: For the cosine similarity, we consider the average cosine score of k documents. We want to look for method that retrieves documents with higher score.
- Time efficiency: For the processing time, we want to look for method that retrieves the documents in less time

```
[>>> a.exact_query('government party political', 5)
[Results for the Exact Top 5 Retrievals of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-325.txt
                          Score: 0.005799098358936951
Doc: Text-109.txt
                          Score: 0.005705625079588391
Doc: Text-200.txt
                          Score: 0.005652285742255003
Doc: Text-190.txt
                          Score: 0.005032381340092987
Retrieved in 0.051434993743896484 seconds.
>>> a.inexact_query_champion('government party political', 5)
Results for the Inxact Top 5 Retrievals using Champion List of: political, government, party
Total Docs retrieved: 5
Doc: Text-118.txt
                          Score: 0.00402005201456023
Doc: Text-391.txt
                          Score: 0.0037376996703808616
Doc: Text-276.txt
                          Score: 0.0036153232785312074
Doc: Text-322.txt
                          Score: 0.0035220309646672187
Doc: Text-94.txt
                          Score: 0.003177804939260879
Retrieved in 0.02858710289001465 seconds.
>>> a.inexact_query_index_elimination('government party political', 5)
Results for the Inxact Top 5 Retrievals using Index Elimination of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-190.txt
                          Score: 0.005032381340092987
Doc: Text-325.txt
                          Score: 0.0047020058723354985
Doc: Text-200.txt
                          Score: 0.004582967748985233
Doc: Text-158.txt
                          Score: 0.004227086788069489
Retrieved in 0.04429483413696289 seconds.
>>> a.inexact query cluster pruning('government party political', 5)
Results for the Inxact Top 5 Retrievals using Cluster Pruning of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-109.txt
                          Score: 0.005705625079588391
Doc: Text-190.txt
                          Score: 0.005032381340092987
Doc: Text-118.txt
                          Score: 0.00402005201456023
Doc: Text-94.txt
                          Score: 0.0038922026942444106
Retrieved in 0.022455692291259766 seconds.
```

EXPERIMENTAL RESULTS: Process the queries

	Exact	Champion List	Index Elimination	Cluster Pruning
Average Cosine similarity	0.005604	0.00361	0.004876	0.004898
Processing time	0.0514	0.0286	0.0443	0.0225

```
[>>> a.exact_query('government party political', 5)
Results for the Exact Top 5 Retrievals of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-325.txt
                          Score: 0.005799098358936951
Doc: Text-109.txt
                          Score: 0.005705625079588391
Doc: Text-200.txt
                          Score: 0.005652285742255003
Doc: Text-190.txt
                          Score: 0.005032381340092987
Retrieved in 0.051434993743896484 seconds.
>>> a.inexact query champion('government party political', 5)
Results for the Inxact Top 5 Retrievals using Champion List of: political, government, party
Total Docs retrieved: 5
Doc: Text-118.txt
                          Score: 0.00402005201456023
Doc: Text-391.txt
                          Score: 0.0037376996703808616
Doc: Text-276.txt
                          Score: 0.0036153232785312074
Doc: Text-322.txt
                          Score: 0.0035220309646672187
Doc: Text-94.txt
                          Score: 0.003177804939260879
Retrieved in 0.02858710289001465 seconds.
```

```
>>> a.inexact_query_index_elimination('government party political', 5)
Results for the Inxact Top 5 Retrievals using Index Elimination of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-190.txt
                          Score: 0.005032381340092987
Doc: Text-325.txt
                          Score: 0.0047020058723354985
Doc: Text-200.txt
                          Score: 0.004582967748985233
Doc: Text-158.txt
                          Score: 0.004227086788069489
Retrieved in 0.04429483413696289 seconds.
>>> a.inexact_query_cluster_pruning('government party political', 5)
Results for the Inxact Top 5 Retrievals using Cluster Pruning of: political, government, party
Total Docs retrieved: 5
Doc: Text-172.txt
                          Score: 0.005854267148926767
Doc: Text-109.txt
                          Score: 0.005705625079588391
Doc: Text-190.txt
                          Score: 0.005032381340092987
Doc: Text-118.txt
                          Score: 0.00402005201456023
Doc: Text-94.txt
                          Score: 0.0038922026942444106
Retrieved in 0.022455692291259766 seconds.
```

EXPERIMENTAL RESULTS: Process the queries

	Exact	Champion List	Index Elimination	Cluster Pruning
Average Cosine similarity	0.005909	0.005909	0.005315	0.002109
Processing time	0.0465	0.0290	0.0172	0.0214

```
[>>> a.exact_query('plane fire fight soldier', 10)
Results for the Exact Top 10 Retrievals of: fire, fight, plane, soldier
Total Docs retrieved: 10
Doc: Text-51.txt
                          Score: 0.008622447179979434
Doc: Text-299.txt
                          Score: 0.008197212575004288
Doc: Text-154.txt
                          Score: 0.006442097160861706
Doc: Text-419.txt
                          Score: 0.006269812849236168
Doc: Text-19.txt
                          Score: 0.005597086148674347
Doc: Text-123.txt
                          Score: 0.005015850279388934
Doc: Text-82.txt
                          Score: 0.004794562767062952
Doc: Text-330.txt
                          Score: 0.004790302745560466
Doc: Text-10.txt
                          Score: 0.004745023825430329
Doc: Text-407.txt
                          Score: 0.004669976359340898
Retrieved in 0.0464780330657959 seconds.
|>>> a.inexact_query_champion('plane fire fight soldier', 10)
Results for the Inxact Top 10 Retrievals using Champion List of: fire, fight, plane, soldier
Total Docs retrieved: 10
Doc: Text-51.txt
                          Score: 0.008622447179979434
                          Score: 0.008197212575004288
Doc: Text-299.txt
Doc: Text-154.txt
                          Score: 0.006442097160861706
Doc: Text-419.txt
                          Score: 0.006269812849236168
                          Score: 0.005597086148674347
Doc: Text-19.txt
Doc: Text-123.txt
                          Score: 0.005015850279388934
Doc: Text-82.txt
                          Score: 0.004794562767062952
Doc: Text-330.txt
                          Score: 0.004790302745560466
Doc: Text-10.txt
                          Score: 0.004745023825430329
Doc: Text-407.txt
                          Score: 0.004669976359340898
Retrieved in 0.028971195220947266 seconds.
```

```
[>>> a.inexact query index elimination('plane fire fight soldier', 10)
Results for the Inxact Top 10 Retrievals using Index Elimination of: fire, fight, plane, soldier
Total Docs retrieved: 10
Doc: Text-299.txt
                           Score: 0.008197212575004288
Doc: Text-419.txt
                           Score: 0.006269812849236168
Doc: Text-19.txt
                           Score: 0.005597086148674347
Doc: Text-123.txt
                           Score: 0.005015850279388934
Doc: Text-51.txt
                           Score: 0.004958635257190582
Doc: Text-82.txt
                           Score: 0.004794562767062952
Doc: Text-330.txt
                           Score: 0.004790302745560466
Doc: Text-10.txt
                           Score: 0.004745023825430329
Doc: Text-67.txt
                           Score: 0.004405814434598389
Doc: Text-224.txt
                           Score: 0.0043326281483093785
Retrieved in 0.01724100112915039 seconds.
|>>> a.inexact query cluster pruning('plane fire fight soldier', 10)
Results for the Inxact Top 10 Retrievals using Cluster Pruning of: fire, fight, plane, soldier
Total Docs retrieved: 10
Doc: Text-224.txt
                           Score: 0.0043326281483093785
                           Score: 0.003992435930166534
Doc: Text-138.txt
Doc: Text-234.txt
                           Score: 0.0026205140993335752
Doc: Text-55.txt
                           Score: 0.002560441611640653
Doc: Text-86.txt
                           Score: 0.0021122049630442215
Doc: Text-377.txt
                           Score: 0.0016081814051272056
Doc: Text-99.txt
                           Score: 0.001318099077664617
Doc: Text-70.txt
                           Score: 0.0011623432276157638
Doc: Text-159.txt
                           Score: 0.0008997035814131356
Doc: Text-121.txt
                           Score: 0.0005265346171410449
Retrieved in 0.021398067474365234 seconds.
```

EXPERIMENTAL RESULTS

Cluster pruning

```
[>>> a.inexact_query_cluster_pruning('plane fire fight', 10)
 Results for the Inxact Top 10 Retrievals using Cluster Pruning of: fire, fight, plane
 Total Docs retrieved: 10
Doc: Text-419.txt
                           Score: 0.006269812849236168
 Doc: Text-288.txt
                           Score: 0.004409169954134126
Doc: Text-67.txt
                           Score: 0.004405814434598389
 Doc: Text-106.txt
                           Score: 0.0033809913533104146
 Doc: Text-407.txt
Doc: Text-268.txt
                           Score: 0.0023788420915278195
Doc: Text-323.txt
                           Score: 0.0018674080149098222
Doc: Text-395.txt
                           Score: 0.0016051853805827924
Doc: Text-349.txt
                           Score: 0.00153136670590232
Doc: Text-324.txt
                           Score: 0.0008657525028692437
 Retrieved in 0.012445926666259766 seconds.
```

```
|>>> a.inexact_query_cluster_pruning('plane fire fight', 10)
Results for the Inxact Top 10 Retrievals using Cluster Pruning of: fire, fight, plane
Total Docs retrieved: 10
Doc: Text-51.txt
                           Score: 0.008622447179979434
Doc: Text-299.txt
                           Score: 0.008197212575004288
Doc: Text-218.txt
                           Score: 0.0037448869308815452
Doc: Text-3.txt
                           Score: 0.0
Doc: Text-309.txt
                           Score: 0.0
Doc: Text-127.txt
Doc: Text-13.txt
Doc: Text-239.txt
Doc: Text-169.txt
```

```
[>>> a.exact_query('plane fire fight', 10)
Results for the Exact Top 10 Retrievals of: fire, fight, plane
Total Docs retrieved: 10
Doc: Text-51.txt
                          Score: 0.008622447179979434
Doc: Text-299.txt
                          Score: 0.008197212575004288
Doc: Text-154.txt
                          Score: 0.006442097160861706
Doc: Text-419.txt
                          Score: 0.006269812849236168
Doc: Text-19.txt
                          Score: 0.005597086148674347
Doc: Text-123.txt
                          Score: 0.005015850279388934
Doc: Text-82.txt
                          Score: 0.004794562767062952
Doc: Text-288.txt
                          Score: 0.004409169954134126
Doc: Text-67.txt
                          Score: 0.004405814434598389
Doc: Text-63.txt
                          Score: 0.0043404618724931
Retrieved in 0.04361915588378906 seconds.
```

Comparing to the result from Exact Retrieval (top picture), the documents retrieved from the Cluster Pruning (left pictures) varies since the leaders are picked randomly.

It can happen that the closest leader does not get enough k document so that the next leader should be considered.

In this case, the candidates from the next leader can possibly have higher cosine score than those from the closer leader.

COMPARISON

• Exact Retrieval: We notice that we can always get the highest cosine similarity since it considers all the posting list of the terms in the query. Therefore, this method produces the most accurate result for the top k relevant documents (this method is used as the benchmark to evaluate the accuracy of other methods). However, at the same time, it needs to process much more information, so that its processing time is the worst.

• Index Elimination: We can pretty much get acceptable results which is 70% same as the Exact Retrieval since this method only considers the posting list of rarer terms. Therefore, if the query terms are those with high IDF values, the top k documents retrieved would be very similar to the ones from the Exact Retrieval. The processing time of this method is much lower than the Exact Retrieval since about half of the posting lists are considered.

COMPARISON

• Champion List: This method can produce acceptable result. Since the champion list is built on the weight of the term, it is important to have rare terms in the query so that it can accumulate higher score for the relevant document in the champion list. The value of r is scaled with the rareness of the term so that it can help solving the problem that the number of documents being retrieved is less than k. The processing is lower than the Exact Retrieval when only the champion list of the query terms are considered.

 Cluster Pruning: The results from this method varied over time since the leaders are picked randomly. The cluster is built every time the index is constructed, so that its performance in terms of accuracy fluctuates. It can retrieve good top k documents when the leaders are well chosen. The processing time is low since only the nearest leader and its followers are considered.

TASK DIVISION

- Both of us first started working on implementing the index.py file by ourselves and discussed with each other when any problem happened
- We compared our query results with each other to make sure we got the same outputs
- We combined our works into a final version with detailed comments in each step which helps explaining the codes
- Thanh created the README file
- Antoine created the output.txt file
- We worked on the presentation slides together