

Theory of Computation, Unit 1: Introduction and Regular Languages

Franklin and Marshall College

August 25, 2025

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- ③ For a given way of understanding computation and a particular problem, how hard is it to solve? This is *complexity theory*.

Computation as Parsing

We can formalize the types of questions we'll be asking as follows:

- ① Let A be some set of strings over some alphabet (e.g. binary strings)
- ② For a given type of machine (automaton), is there a machine $M_A(w)$ which can take a string w as input and determine where or not $w \in A$.
- ③ If there is such a machine, how complicated is M_A

For example:

- A is the set of all binary strings which end in 0. Is $0110 \in A$? Is $0111 \in A$

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For example:

- A is the set of all binary strings which end in 0. Is $0110 \in A$? Is $0111 \in A$
- A is the set of all strings made out of (and) which are properly nested.
- A is the set of all strings which form a Python program which halts in 20 steps.

Computation as Parsing

Theory of
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Introduction

Mathematical
Preliminaries

DFAs

Regular
Languages

NFAs

More Closure
Properties
Regular Expressions

Non-Regular
Languages

What kind of formalism do we need to do syntax highlighting?

```
def fib(n):  
    if n==0:  
        return 0  
    elif n==1:  
        return 1  
    else:  
        return fib(n-1) + fib(n-2)  
  
print([fib(i) for i in range(12)])
```

Why should I care?

- ① You often have to choose some kind of formal language to work with a given system. It is important to understand the tradeoffs.

Examples:

- ① Using a description logic in semantic web
- ② Developing a query language to work with a database.
- ③ Developing a scripting language to work with an application.

Why should I care?

- ① You often have to choose some kind of formal language to work with a given system. It is important to understand the tradeoffs.
- ② The mathematical tools we develop here will be useful in other contexts.

Examples:

- ① Regular expressions are basic programming constructs; good regexp parsers work by building DFAs and NFAs.
- ② Grammars are frequently used to describe programming languages and are the basis for building compilers.

We'll study three classical models of computation: finite automata, context-free grammars and Turing machines. We can think of these of models of computation with finite memory, stack-based memory and random access memory.

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Each of these will be associated with a set of problems it can solve, or language. Basically, given an infinite set of **strings** over some *alphabet*, a language will be some subset of those strings. Different machines can carve out different subsets; these will be associated "languages".

Chomsky Hierarchy

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Here's a summary of what we'll learn for computability:

Machine	Language	Example
Finite Automata	Regular Languages	Finding strings which match a pattern; lexical analysis
Push-Down Automata	Context Free Languages	Parsing the syntax of a Python program
Turing Machine	Recursive Languages	Factoring an integer

Other Questions

We will study classical machines and classical languages. A number of other questions could be explored using the same approach we'll apply this semester:

- 1 Can a simple type of neural network known as a perceptron be trained to imitate any binary operation?

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- ⑥ Could you ever accurately simulate a real physical system?

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- ⑤ Could you devise a SQL query to return all of a person's ancestors from a genealogy database?
- ⑥ Could you ever accurately simulate a real physical system?
- ⑦ Is artificial general intelligence possible?

Why the Formality?

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Our approach to studying these questions will be very abstract and formally mathematical. Why?

- 1 A lot of the phenomena we'll be exploring are somewhat subtle and require precise definitions.

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Our approach to studying these questions will be very abstract and formally mathematical. Why?

- ① A lot of the phenomena we'll be exploring are somewhat subtle and require precise definitions.
- ② Mathematics is a language that accurately describes the behavior of computation in much the same way that it describes the physical universe.

Working with the alphabet $\{M, I, U\}$ let us imagine a machine that can produce strings according to the following rules (x, y represent any string):

- ① If xI is produced, you can produce xIU
- ② If Mx has been produced, you can produce Mxx
- ③ If $xI/I/y$ has been produced, you can produce xUy
- ④ If $xUUy$ has been produced, you can produce xy

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If MI has been produced, can you produce MII ?

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Sequences and Tuples

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For A any set, a k -tuple from A is (informally) an ordered list of k elements from A .

Examples

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- 1 If $A = \mathbb{Z}$, then $(3, 4, 7, 9)$ is a 4-tuple from A .
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More generally, a tuple from $A \times B$ is a pair (a, b) with $a \in A, b \in B$

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A **sequence** can be thought of (informally) as an arbitrarily long tuple (possibly infinite). Formally a sequence a on A is a function $a : \mathbb{Z} \rightarrow A$.

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The *Cartesian power*

$$A^k = \underbrace{A \times A \dots \times A}_k$$

is the set of all k -tuples from A .

If A is a set then any subset of

$$\underbrace{A \times A \dots \times A}_k$$

is a k -ary **relation** on A .

Examples:

- ① $<$ is a relation on \mathbb{R}
- ② “parent of” is a relation on People
- ③ $\{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid x \bmod 7 = y \bmod 7\}$ is an **equivalence relation** on \mathbb{Z} .

A function $f : A \rightarrow B$ is a set of tuples from $A \times B$ where for every $a \in A$, there is exactly one $b \in B$ with $(a, b) \in f$.

- Intuitively, we can think of f as associating $a \in A$ with $f(a) \in B$. E.g. $f(x) = x^2$ associates 3 with 9 and -2 with 4. In Python `len(s) : $\Sigma^* \rightarrow \mathbb{N}$` and associates, e.g., 'hello' with 5 and 'goodbye' with 7

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Exercises

- 1 Is $\{ (2, 3), (3, 2), (4, 5), (5, 3) \}$ a function? Prove your answer.
- 2 Explain how the Python code `f = { 3:5, 7:9, 8:10 }` defines a function. What are the domain and range?
- 3 Consider


```
def f(s):
    assert type(s) == str
    return s + s
```

Explain how this defines a function and give the domain, codomain and range.

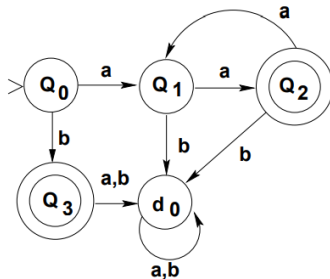
Theories and Proofs

There is a summary of proof techniques on Canvas. Try your hand at the following:

- ① Let $A = \{0, 1, 2, 3, 4\}$ and let $f = \{(0, 3), (1, 1), (2, x), (3, 2), (4, 0)\}$. Prove that there is some $x \in A$ for which f is a function $A \rightarrow A$.
- ② For any alphabet Σ , there is no longest element of Σ^*
- ③ If $a \equiv b \pmod{7}$, then for any $x \in \mathbb{Z}$, $a + x \equiv b + x \pmod{7}$
- ④ If $a \equiv b \pmod{7}$, then for any $x \in \mathbb{Z}$, $ax \equiv bx \pmod{7}$

Deterministic Finite Automata

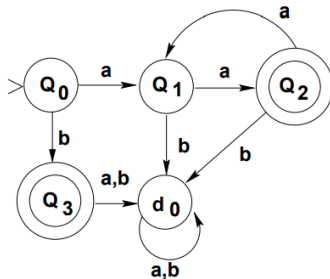
A DFA has only finite memory, represented by a finite number of states it can be in. The crucial data consists of a start state, a transition function, and accepting states.



Question: What's the starting state for this DFA?

Deterministic Finite Automata

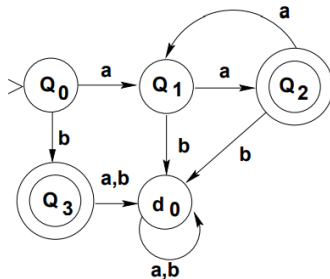
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Question: What state will the DFA be in after processing an initial “b”?

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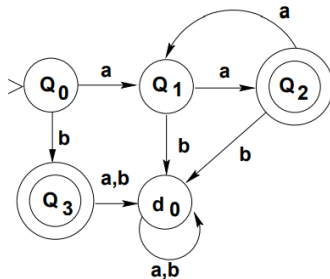
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Question: What are the accepting states for this DFA?

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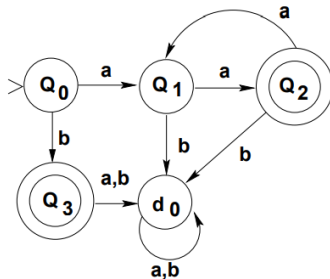
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Question: Does this DFA accept “b”?

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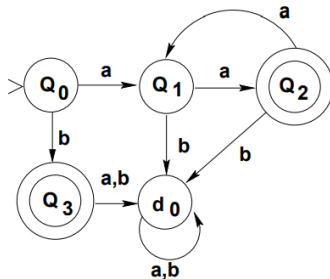
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Question: Does this DFA accept “bb”??

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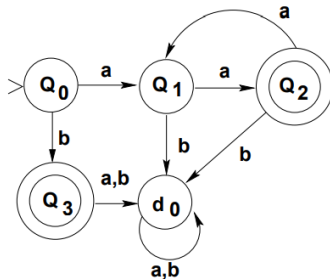
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Question: Does this DFA accept “aa”??

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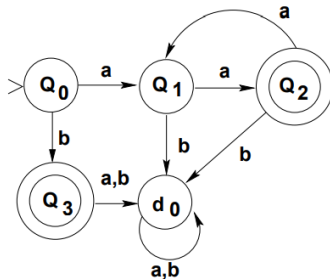
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Question: Does this DFA accept “aaa”??

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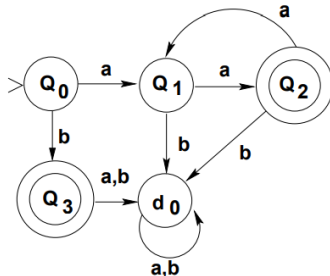
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Question: What's the accepting language for this DFA?

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A DFA is formally a quintuple $(Q, \delta, \Sigma, q_0, F)$

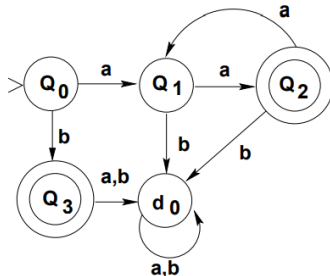


where Q is a finite state of **states**. E.g.

$$Q = \{ Q_0, Q_1, Q_2, Q_3, d_0 \}$$

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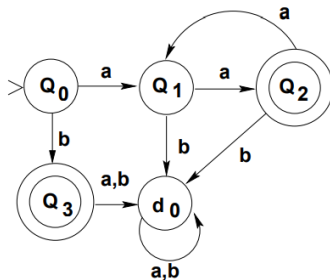


where

Σ is a finite **alphabet**. E.g. $\Sigma = \{a, b\}$

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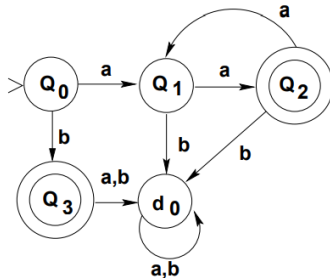
where

$\delta : Q \times \Sigma \rightarrow Q$ is the transition function. E.g.

$\delta(Q_0, a) = Q_1, \delta(Q_0, b) = Q_3, \dots$

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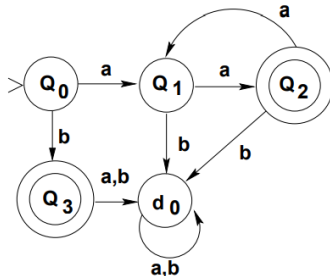


where

q_0 is the **initial state**

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where

$F \subseteq Q$ is the set of **accepting states**. E.g. $F = \{ Q_2, Q_3 \}$.

Deterministic Finite Automata

A DFA is formally a quintuple $(Q, \delta, \Sigma, q_0, F)$. We can think of this specification as a quintuple as specifying 5 fields in a DFA.

```
class DFA:
    current_state = None;
    #initialize all variable when calling the class DFA
    def __init__(self, states, alphabet, transition_function, start_state, accept_states):
        self.states = states;
        self.alphabet = alphabet;
        self.transition_function = transition_function;
        self.start_state = start_state;
        self.accept_states = accept_states;
        self.current_state = start_state;

states = ['q0', 'q1']
alphabet = ['0', '1']
transitions = {
    ('q0', '0'): 'q1',
    ('q0', '1'): 'q0',
    ('q1', '0'): 'q1',
    ('q1', '1'): 'q0'
}

for (state, letter) in transitions.keys():
    assert( (state in states) and (letter in alphabet))

accept_states = ['q1']
start_state = 'q0'

d = dfa.DFA(states, alphabet, transitions, accept_states, start_state)
```


Example

Build a DFA with alphabet $\{0, 1\}$ which recognizes binary strings that represent powers of 2.

Example

Build a DFA with alphabet $\{0, 1\}$ which recognizes binary strings that represent odd numbers.

Example

Build a DFA with alphabet $\{0, 1\}$ which recognizes binary strings with even length that end in 0.

Theorem

For $n > 0$ any integer and integers a, b, c

- *Write $a \equiv b \pmod{n}$ when $a \bmod n = b \bmod n$.*
- *IF $a \equiv b \pmod{n}$ THEN $a + c \equiv b + c \pmod{n}$*
- *IF $a \equiv b \pmod{n}$ THEN $ac \equiv bc \pmod{n}$*

Theorem

For $n > 0$ any integer and integers a, b, c

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Example

$24 \equiv 3 \pmod{7}$, so $24(5) \equiv 3(5) \pmod{7}$; i.e $24(5) \equiv 1 \pmod{7}$

Theorem

For $n > 0$ any integer and integers a, b, c

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- IF $a \equiv b \pmod{n}$ THEN $ac \equiv bc \pmod{n}$

Example

$19 \equiv 5 \pmod{7}$, so $19(2) \equiv 5(2) \pmod{7}$; i.e $19(2) \equiv 3 \pmod{7}$

Example

Build a DFA with alphabet $\{0, 1\}$ which recognizes binary strings which represent multiples of 5.

Working with the alphabet $\{M, I, U\}$ let us imagine a machine that can produce strings according to the following rules (x, y represent any string):

- ① If xI is produced, you can produce xIU
- ② If Mx has been produced, you can produce Mxx
- ③ If $xIIIIy$ has been produced, you can produce xUy
- ④ If $xUUy$ has been produced, you can produce xy

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Question: Can we produce "MU" from "MI"?

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Question: Can we produce "MU" from "MI"?

- The only way to increase the number of I s is to double by 2).

Working with the alphabet $\{M, I, U\}$ let us imagine a machine that can produce strings according to the following rules (x, y represent any string):

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Question: Can we produce "MU" from "MI"?

- The only way to increase the number of I s is to double by 2).
- The only way to decrease the number of I s is to subtract 3 using rule 3).

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Question: Can we produce “MU” from “MI”?

- The only way to increase the number of I s is to double by 2).
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- Therefore, the number of I s is never divisible by 3.

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Question: Can we produce “MU” from “MI”?

- The only way to increase the number of I s is to double by 2).
- The only way to decrease the number of I s is to subtract 3 using rule 3).
- Therefore, the number of I s is never divisible by 3.
- Therefore you can never get rid of all the I s

Regular Languages

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Definition

A language A is *regular* if there is some DFA M for which $L(M) = A$

We proved that the following are regular binary languages:

- $A = \{ w : w \text{ is the binary representation of } 2^k, k \geq 0 \}$

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Regular Languages

Definition

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We proved that the following are regular binary languages:

- $A = \{ w : w \text{ is the binary representation of } 2^k, k \geq 0 \}$
- $A = \{ w : w \text{ is the binary representation of } 2k, k \geq 0 \}$
- $A = \{ w : |w| \text{ is even and represents } 2k, k \geq 0 \}$

Show that each of the following is regular:

- ① $E = \{ w : w \text{ is the decimal representation of an even number} \}$
- ② $F = \{ w : w \text{ is a base 7 number that does not contain 456 as a substring} \}$
- ③ $G = \{ w : w \text{ is the ternary representation of a number with } w \equiv 2 \pmod{5} \}$

Closure Properties

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If A is regular, is that enough to know that the *complement* of A is regular? The answer is yes. Formally, we say that regular languages are *closed under complements*.

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If A is regular, is that enough to know that the *complement* of A is regular? The answer is yes. Formally, we say that regular languages are *closed under complements*.

We will show that regular languages are closed under *complements, unions, intersections* and *concatenation*.

Theorem

Let A be a regular language; then \bar{A} is regular as well.

Proof.

Since A is regular, there is a DFA $M = (Q, \delta, \Sigma, q_0, F)$ which recognizes A (why?).

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Closure Under Intersections

Theorem

If A_1, A_2 are regular languages, then so is $A_1 \cap A_2$.

Proof.

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Closure Under Intersections

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We need to show that there is a DFA M which recognizes $A_1 \cap A_2$. We may assume that there are DFAs $M_1 = (Q_1, \delta_1, \Sigma, q_1, F_1)$, $M_2 = (Q_2, \delta_2, \Sigma, q_2, F_2)$ which recognize A_1, A_2 (Why?).

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- $Q =$

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- $Q = Q_1 \times Q_2$
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- $Q = Q_1 \times Q_2$
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Closure Under Intersections

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- $\delta((x, y), c) = (\delta_1(x, c), \delta_2(y, c))$
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- $F =$

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If A_1, A_2 are regular languages, then so is $A_1 \cap A_2$.

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We define

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- $q_0 = (q_1, q_2)$
- $F = F_1 \times F_2$

We need to show that $s \in A_1 \cap A_2$ IFF $s \in L(M)$. If $s \in L(M)$ then M ends in state (u, v) where $u \in F_1$ and $v \in F_2$. Thus M_1 and M_2 both accept s (why?).

If $s \in A_1 \cap A_2$ then $s \in L(M)$ since the pairs of states in the transitions of M match those in M_1, M_2 . □

Closure Under Intersections

Theorem

If A_1, A_2 are regular languages, then so is $A_1 \cap A_2$.

Proof.

We define

- $Q = Q_1 \times Q_2$
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Closure Under Unions

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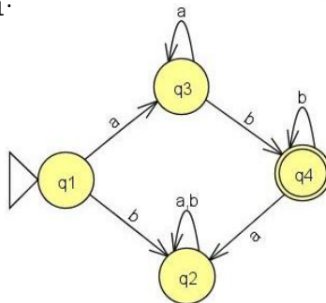
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Question

How could we modify the proof on the previous page to show that the regular languages are closed under unions?

Which language is recognized by this DFA?

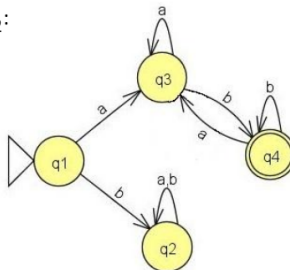
M_1 :



- a) Starts with b and ends with a or b
- b) Starts with a and ends with a or b
- c) Some number of a s followed by some number of b s
- d) Any number of a s followed by the same number of b s

Which language is recognized by this DFA?

M_2 :

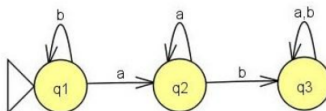


- a) Starts with b and ends with b
- b) Starts with a and ends with b
- c) Some number of bs followed by some number of as
- d) Any number of bs followed by the same number of as

DFA Review

Which set of accepting states will have M_1 recognize $\{ w : b \text{ never follows any } a \text{ in } w \}$?

M_1 :

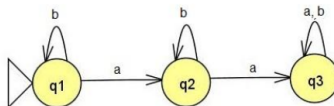


- a) $F = \{ q_2 \}$
- b) $F = \{ q_3 \}$
- c) $F = \{ q_1, q_2 \}$
- d) $F = \{ q_1, q_3 \}$
- e) $F = \{ q_2, q_3 \}$

DFA Review

Which set of accepting states will have M_2 recognize $\{ w : w \text{ does not contain exactly one } a \}$?

M_2 :



- a) $F = \{ q_2 \}$
- b) $F = \{ q_3 \}$
- c) $F = \{ q_1, q_2 \}$
- d) $F = \{ q_1, q_3 \}$
- e) $F = \{ q_2, q_3 \}$

True or False: Deterministic Finite Automata (DFAs) can only recognize finite languages, not infinite languages

True or False: Each DFA recognizes a unique language; in other words, no two DFAs recognize the same language.

Additional Exercises

① Let

$$A = \{ w : w \text{ has an odd number of } a\text{s and ends with a } b \}$$

Write A as $A_1 \cap A_2$ where A_1, A_2 are simpler regular languages. Find DFAs for A_1, A_2 and use them to construct a DFA for A . Alphabet is $\{ a, b \}$

② Find a DFA for

$$\{ w : w \text{ contains at least two } 0\text{s and at most one } 1 \}$$

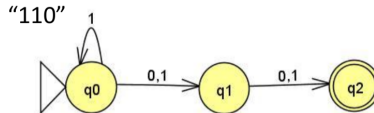
Alphabet is $\{ 0, 1 \}$

③ Find a DFA for

$$\{ w : w \text{ contains an even number of } 0\text{s or exactly two } 1\text{s} \}$$

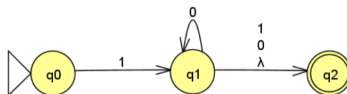
Alphabet is $\{ 0, 1 \}$

Does the following NFA accept input 110?



- a) Yes, because some possible path ends in an accepting state.
- b) Yes, because every possible path ends in an accepting state.
- c) No, because some possible path ends in a rejecting state.
- d) No, because every possible path ends in a rejecting state.

Does the following accept 100 (note that λ is used for an empty transition (ϵ))



- a) Yes, because some possible path ends in an accepting state.
- b) Yes, because every possible path ends in an accepting state.
- c) No, because some possible path ends in an rejecting state.
- d) No, because every possible path ends in an rejecting state.

A nondeterministic finite automaton (NFA) is formally a quintuple $(Q, \Sigma, \delta, q_0, F)$ where

- Q is a finite state of **states**.
- Σ is a finite **alphabet**. E.g. $\Sigma = \{a, b\}$
- $\delta : Q \times \Sigma_{\epsilon} \rightarrow \mathbb{P}(Q)$ is the transition function.
- q_0 is the **start state**
- $F \subseteq Q$ is the set of **accepting states**.

NFA Construction

Exercises

Construct NFA to recognize the following languages with the specified number of states (all use a binary alphabet):

- ① $\{ w : w \text{ ends with } 00 \}$; use 3 states.
- ② $\{ w : w \text{ contains } 0101 \text{ as a substring} \}$; use 5 states.
- ③ $\{ w : w \text{ contains and even number of 0s or exactly two 1s} \}$; use 7 states (challenge: use 6 states).
- ④ $\{ 0 \}$; use 2 states.
- ⑤ $\{ 0 \}^*$; use 1 state.

Converting an NFA to a DFA (No ε transitions)

Given $N = (Q, \Sigma, \delta, q_0, F)$ define $M = (Q', \Sigma, \delta', q'_0, F')$ by

- $Q' = \mathbb{P}(Q)$

Converting an NFA to a DFA (No ε transitions)

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- $\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$

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Converting an NFA to a DFA (No ε transitions)

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Given $N = (Q, \Sigma, \delta, q_0, F)$ define $M = (Q', \Sigma, \delta', q'_0, F')$ by

- $Q' = \mathbb{P}(Q)$
- $\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$
- $q'_0 = \{ q_0 \}$
- $F' = \{ R \in Q' : R \text{ contains an accept state of } N \}$

Converting an NFA to a DFA (With ε transitions)

Given $N = (Q, \Sigma, \delta, q_0, F)$, for $R \subseteq Q$, define

$E(R) = \{ q : q \text{ is reachable from } R \text{ by using 0 or more } \varepsilon \text{ transition} \}$

define $M = (Q', \Sigma, \delta', q'_0, F')$ by

- $Q' = \mathbb{P}(Q)$

Converting an NFA to a DFA (With ε transitions)

Given $N = (Q, \Sigma, \delta, q_0, F)$, for $R \subseteq Q$, define

$E(R) = \{ q : q \text{ is reachable from } R \text{ by using 0 or more } \varepsilon \text{ transition} \}$

define $M = (Q', \Sigma, \delta', q'_0, F')$ by

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- $Q' = \mathbb{P}(Q)$
- $\delta'(R, a) = \bigcup_{r \in R} E(\delta(r, a))$
- $q'_0 = E(\{ q_0 \})$
- $F' = \{ R \in Q' : R \text{ contains an accept state of } N \}$

Closure Under Concatenation

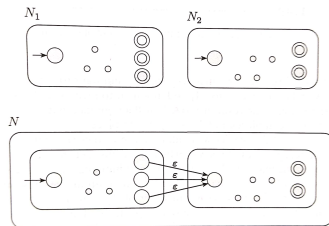
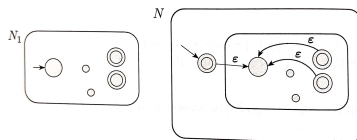


FIGURE 1.40

Scanned with CamScanner

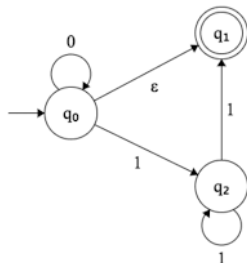
Closure Under Star



Scanned with CamScanner

Exercises

- 1 Construct an NFA with 3 states to recognize the language of all binary strings containing 1 as a substring and then convert it to a DFA.
- 2 Convert the following NFA to a DFA:



Regular Expressions

The following are regular expressions for the alphabet Σ :

- a for $a \in \Sigma$.

Regular Expressions

The following are regular expressions for the alphabet Σ :

- a for $a \in \Sigma$.
- ε

Regular Expressions

The following are regular expressions for the alphabet Σ :

- a for $a \in \Sigma$.
- ε
- \emptyset

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Example: Find regular expressions for each of the following languages (over the alphabet $\Sigma = \{0, 1\}$):

- 1 The set of all strings which end in 00

Regular Expressions

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- $(R_1 \cup R_2)$ for R_1, R_2 regular expressions.
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Example: Find regular expressions for each of the following languages (over the alphabet $\Sigma = \{0, 1\}$):

- 1 The set of all strings which end in 00
- 2 The set of all strings which contain 0101 as a substring

Regular Expressions

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Example: Find regular expressions for each of the following languages (over the alphabet $\Sigma = \{0, 1\}$):

- 1 The set of all strings which end in 00
- 2 The set of all strings which contain 0101 as a substring
- 3 The set of all strings which contain an even number of 0s

Regular Expressions

The following are regular expressions for the alphabet Σ :

- a for $a \in \Sigma$.
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- $(R_1 \cup R_2)$ for R_1, R_2 regular expressions.
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Example: Find regular expressions for each of the following languages (over the alphabet $\Sigma = \{0, 1\}$):

- 1 The set of all strings which end in 00
- 2 The set of all strings which contain 0101 as a substring
- 3 The set of all strings which contain an even number of 0s
- 4 The set of all strings which contain an even number of 0s or exactly two 1s

Regular Expressions

The following are regular expressions for the alphabet Σ :

- a for $a \in \Sigma$.
- ε
- \emptyset
- $(R_1 \cup R_2)$ for R_1, R_2 regular expressions.
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Example: Find regular expressions for each of the following languages (over the alphabet $\Sigma = \{0, 1\}$):

- 1 The set of all strings which end in 00
- 2 The set of all strings which contain 0101 as a substring
- 3 The set of all strings which contain an even number of 0s
- 4 The set of all strings which contain an even number of 0s or exactly two 1s
- 5 The set of all strings which contain an even number of 0s and an odd number of 1s and do not contain 01 as a substring.

Regular Expressions

Theory of
Computation,
Unit 1:
Introduction
and Regular
Languages

Introduction

Mathematical
Preliminaries

DFA's

Regular
Languages

NFA's

More Closure
Properties

Regular Expressions

Non-Regular
Languages

Theorem

A language is regular if and only if it is described by some regular expression.

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Practice

<https://regexone.com/>

Please start this tutorial in class and finish at home. Some of the Problems at the end may appear in your next quiz.

Regular Expressions in Practice

Theory of
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Languages

Introduction

Mathematical
Preliminaries

DFAs

Regular
Languages

NFAs

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Regular Expressions

Non-Regular
Languages

If we want to see if a string w matches a regular expression r , running w through a DFA or NFA for r works in time $|w|$ – this is how the Linux program `grep` works.

Regular Expressions in Practice

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If we want to see if a string w matches a regular expression r , running w through a DFA or NFA for r works in time $|w|$ – this is how the Linux program `grep` works.

Unfortunately, many programming languages use an inefficient (but more expressive) version of regular expressions by default (this is PCRE – *Perl Compatible Regular Expressions*). In Python, you can use `re2` to get fast regular expressions that are built on finite automata.

Pumping Lemma

If A is a regular language, then there is some number p such that:

if $s \in A$ with $|s| > p$, then we can write $s = xyz$ with:

- ① *for $i \geq 0$, $xy^iz \in A$*
- ② *$|y| > 0$*
- ③ *$|xy| \leq p$*

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

- Assume, by way of contradiction, that A is regular.

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

- Assume, by way of contradiction, that A is regular.
- Then the pumping lemma applies, so there is a “pumping length” p – any long enough $s \in A$ can be “pumped” according to the pumping lemma.

Pumping Lemma

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- Assume, by way of contradiction, that A is regular.
- Then the pumping lemma applies, so there is a “pumping length” p – any long enough $s \in A$ can be “pumped” according to the pumping lemma.
- Choose some $s \in A$, which, when it is pumped, gives a string not in A .

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- This is a contradiction! So our original assumption that A was regular must be wrong.

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- Then the pumping lemma applies, for $|s| > p$ with $s \in A$, s can be “pumped” according to the pumping lemma.
- Choose some $s \in A$, which, when it is pumped gives a string not in A . This is a contradiction! So our original assumption that A was regular must be wrong.

Consider

$$A = \{ 0^n 1^n : n \geq 0 \}$$

To use the pumping lemma, what’s an appropriate choice of s ?
(Suppose p is the pumping length).

a) $s = 000111$

b) $s = 0^p 1^p 0^{2p}$

c) $s = 0^p 1^p$

d) $s = 0^{p^2} 1^p$

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

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- Then the pumping lemma applies, for $|s| > p$ with $s \in A$, s can be “pumped” according to the pumping lemma.
- Choose some $s \in A$, which, when it is pumped gives a string not in A . This is a contradiction! So our original assumption that A was regular must be wrong.

Consider

$$A = \{ 0^n 1^n : n \geq 0 \}$$

Suppose p is the pumping length. Choose $s = 0^p 1^p$. Then $s = xyz$ with $|y| > 0$, $|xy| \leq p$ and $xy^i z \in A \forall i \geq 0$. Since $|xy| \leq p$, what can we conclude about y ?

a) $y = 0011$

b) $y = 0^{p-1}$

c) $|y| < |x|$

d) $y \in 0^+$

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

- Assume, by way of contradiction, that A is regular.
- Then the pumping lemma applies, for $|s| > p$ with $s \in A$, s can be “pumped” according to the pumping lemma.
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Consider

$$A = \{ 0^n 1^n : n \geq 0 \}$$

Suppose p is the pumping length. Choose $s = 0^p 1^p$. Then $s = xyz$ with $|y| > 0$, $|xy| \leq p$ and $xy^i z \in A \forall i \geq 0$. Since $|xy| \leq p$, we know $y = 0^k$ for some $k > 0$. Then $xy^0 z =$

a) $0^p 1^p$

b) $0^m 1^p$ where $m < p$

c) $0^k 1^p$

d) 1^p

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

- Assume, by way of contradiction, that A is regular.
- Then the pumping lemma applies, for $|s| > p$ with $s \in A$, s can be “pumped” according to the pumping lemma.
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$$A = \{0^n1^n : n \geq 0\}$$

Suppose p is the pumping length. Choose $s = 0^p1^p$. Then $s = xyz$ with $|y| > 0$, $|xy| \leq p$ and $xy^iz \in A \forall i \geq 0$. Since $|xy| \leq p$, we know $y = 0^k$ for some $k > 0$. Then $xy^0z = 0^m1^p$ where $m < p$. But this is not in A , a contradiction!

Pumping Lemma Proofs as a 2-Player Game

Game: Given language A , Player 1 wants to use the pumping lemma to show that A is not regular.

- ① Player 1 chooses a string s ; with
 - ① $s \in A$
 - ② $|s| \geq p$
- ② Player 2 chooses x, y, z with
 - ① $s = xyz$
 - ② for $|y| > 0$
 - ③ $|xy| \leq p$
- ③ Player 1 wins if they can find some i so that $xy^iz \notin A$; **no matter what** choices Player 2 made.

Pumping Lemma

How to use the pumping lemma to show that A is **not** regular.

- Assume, by way of contradiction, that A is regular.
- Then the pumping lemma applies, so there is a “pumping length” p – any long enough $s \in A$ can be “pumped” according to the pumping lemma.
- Choose some $s \in A$, which, when it is pumped gives a string not in A .
- This is a contradiction! So our original assumption that A was regular must be wrong.

Show that the following binary languages are not regular.

$$\textcircled{1} A = \{ ww^R : w \in \Sigma^* \}$$

$$\textcircled{3} A = \{ (01)^n 1^k : n > k \geq 0 \}$$

$$\textcircled{2} A = \{ w : n_0(w) < n_1(w) \}$$

$$\textcircled{4} A = \{ 0^n : n = a^2, a \in \mathbb{Z} \}$$

Pumping Lemma Proofs as a 2-Player Game

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- ① Player 1 chooses a string s ; with
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- ③ Player 1 wins if they can find some i so that $xy^iz \notin A$; **no matter what** choices Player 2 made.

Let's play! Choose Player 1 and Player 2; play for 3 rounds, switching players after each round. Who has a winning strategy?

Pumping Lemma Proofs as a 2-Player Game

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$$1. \quad A = \{ www : w \in \{0,1\}^* \}$$

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Let's play! Choose Player 1 and Player 2; play for 3 rounds, switching players after each round. Who has a winning strategy?

$$2. \quad A = \{ w : w = 0^m 1^n \text{ for some natural } m, n \}$$

Pumping Lemma Proofs as a 2-Player Game

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- ② Player 2 chooses x, y, z with
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$$3. \quad A = \{ a^{2^n} : n \in \mathbb{N} \}$$

Pumping Lemma Proofs as a 2-Player Game

- ① Player 1 chooses a string s ; with
 - $s \in A$
 - $|s| \geq p$
- ② Player 2 chooses x, y, z with
 - $s = xyz$
 - for $|y| > 0$
 - $|xy| \leq p$
- ③ Player 1 wins if they can find some i so that $xy^iz \notin A$; **no matter what** choices Player 2 made.

Let's play! Choose Player 1 and Player 2; play for 3 rounds, switching players after each round. Who has a winning strategy?

$$4. \quad A = \{ w : w \equiv 0 \pmod{3}, w \in \{0, 1\}^* \}$$

Look at problems 1.31, 1.35, 1.41, 1.47 as well as the prep problems from HW2.