Algorithms and Data Structures (ECS529)

Nikos Tzevelekos

Lecture 5

Dynamic Programming

Approaches to algorithm design

There are different approaches in designing an algorithm.

So far, we have seen:

- iterative algorithms (e.g. for-loops on arrays)
- divide-and-conquer algorithms (e.g. quicksort, merge sort)
- recursive algorithms (as above)

Today we are going to look at Dynamic Programming

this is a recursive way to build fast algorithms re-using results that we have already computed

Example: Fibonacci

The Fibonacci sequence is a sequence of natural numbers given by:

- -> i.e. it starts with 0, 1,
- -> and then every next element is the sum of the last two.

It is important in mathematics, but also found in nature!

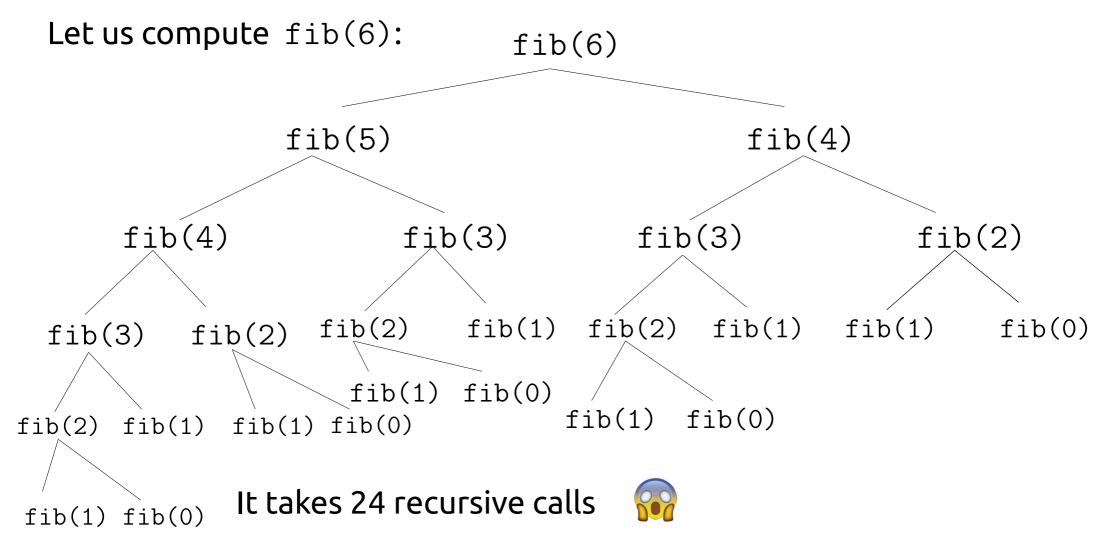
In computer science it is the classic example of a problem solved with recursion. We can compute fib(n) by:

```
def fib(n):
   if n <= 1:
      return n
   return fib(n-1)+fib(n-2)</pre>
```

Strength of recursion: algorithm is neat!

Is it efficient?

Though neat, the recursive solution is hugely inefficient.



The reason is: we compute the same thing very many times! Running time is **exponential**: $\Theta(2^{0.694n})$ – worse than any polynomial of n

Memoisation

Idea: avoid repeated computation of intermediate fib(n)'s by storing and reusing them.

This is called **memoisation** and can be done by using a storage array (here called memo):

```
def fibDP(n):
    memo = [-1 for i in range(n+1)]
    return fibMem(n,memo)
def fibMem(n, memo):
    if memo[n] != -1:
        return memo[n]
    if n <= 1:
        memo[n] = n
    else:
        memo[n] = fibMem(n-1, memo) + fibMem(n-2, memo)
    return memo[n]
```

Dynamic programming: recursion + memoisation

So, fibDP(n):

- first creates a storage array memo of length ${\bf n}$ and initialises it to -1
- the value -1 simply means: this value has not been stored yet
- we then call fibMem(n,memo)

Then, fibMem(n, memo):

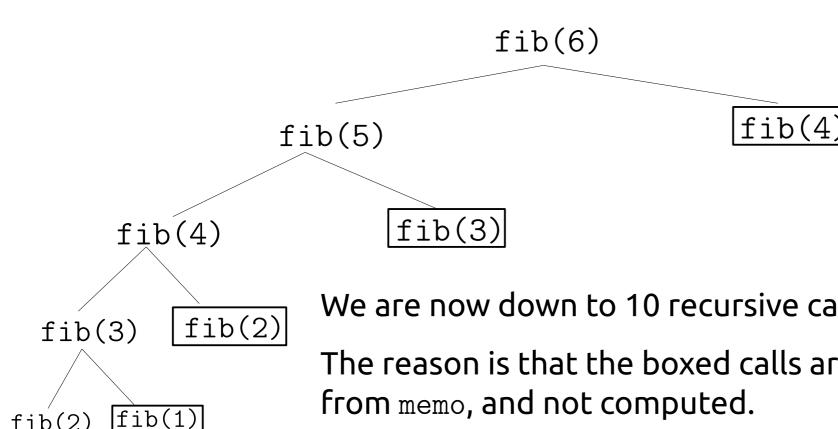
- first checks if memo[n] has been already stored if so it just returns it
- otherwise, it needs to compute memo [n] there are 2 cases:
 - in case n is 0 or 1 (base case) we know that fib(n) = n
 - otherwise, fib(n) is computed by recursively calling fibMem

Thus, we use the array to store the results of all recursive calls to fibMem so that we only really compute each fibMem(n) once!

use recursion but memoise results of recursive calls

Efficiency

Let us compute with the dynamic programming algorithm, i.e. each fib(n) is in fact fibMem(n,memo):



fib(2)

fib(1)

fib(0)

We are now down to 10 recursive calls.

The reason is that the boxed calls are fetched from memo, and not computed.

Reading from memo takes constant time. So with the DP algorithm the complexity is down to O(n).

Exponential speed-up – magic.

A final ingredient

We can make a further optimisation to the algorithm:

- since it uses an array to store recursive call results, we can turn recursion to iteration
- this follows the general idea that iteration is more efficient than recursion as it needs less memory

(and does not throw runtime errors because of reaching the stack limit for recursive calls)

In practice, we turn the solution from top-down to bottom-up!

Dynamic Programming Bottom-Up

```
def fibDPBU(n):
    memo = [-1 for i in range(n+1)]
    memo[0] = 0
    memo[1] = 1
    for i in range(2,n+1):
        memo[i] = memo[i-1]+memo[i-2]
    return memo[n]
```

Dynamic Programming Bottom-Up

```
def fibDPBU(n):
    memo = [-1 for i in range(n+1)]
    memo[0] = 0
    memo[1] = 1
    for i in range(2,n+1):
        memo[i] = memo[i-1]+memo[i-2]
    return memo[n]
```

we initialise memo as before

the base cases of fibMem are for n <= 1, so we can set these values of memo straight away.

use the formula:
 memo[n] =
 fibMem(n-1,memo)+fibMem(n-2,memo)
where e.g. instead of
fibMem(i-1,memo) we use

memo[i-1]

for the other cases, we simply

Bottom-up: In order for the assignment memo[i] = memo[i-1]+memo[i-2] to make sense we need to make sure that memo[i-1] and memo[i-2] have been already been computed!

This is why we need to go bottom-up: from the base cases up to the general ones.

Optimisation problems

Dynamic programming is typically used for optimisation problems that can be solved by recursion, i.e. problems where:

- we try to find a solution
- that is moreover optimal with respect to a given criterion
- and where solutions can be computed recursively.

For example:

- how to give change with least number of coins
- how to find the longest palindromic substring of a string
- how to find the shortest path between two points in a graph

Example: split in least coins

Least Coin Split: given an amount m of money, find the minimum number of coins whose value adds up to m.

Coins are taken from a given array, e.g.

```
coin = [200, 100, 50, 20, 10, 5, 2, 1]
```

You can think e.g. of a vending machine designed to give change using as few coins as possible.

So, here:

- a solution is a number k so that we can pick k coins from above that sum up to m
- ullet an optimal solution is one that has the smallest possible k
- solution can be calculated recursively (how?)

Recursive solution

Suppose we want to split m in coins, using coins from the i-th coin on:

- there are two cases that we can resolve straight away (base cases):
 - 1. m = 0 (i.e. there is nothing to split) -> just return 0
 - 2. i = len(coin) (we are at the last coin, i.e.1p) -> just return m
- otherwise, we argue as follows. We have two options:
 - 1. we can leave coin i out of our split. So, our problem becomes: split m in coins, using coins from the i+1-th coin on
 - 2. if $m \ge coin[i]$, then we can include coin i in our split. We now have: split m-coin[i] in coins, using coins from the i-th coin on

We go and solve these new problems **recursively**, and get solutions withoutIt and withIt. We return the min of withoutIt and withIt.

Recursive solution in code

```
def coinSplit(m):
    return coinSplitRec(m,0)
def coinSplitRec(m, startCoin):
    if m == 0:
        return 0
    if startCoin == len(coin)-1:
        return m
    withoutIt = coinSplitRec(m,startCoin+1)
    if coin[startCoin] <= m:</pre>
        withIt = 1 + coinSplitRec(m-coin[startCoin],startCoin)
        if with Tt < with Out Tt:
            return withIt
    return withoutIt
```

Recursive solution in code

we call the recursive method specifying

```
the amount to split (i.e. m) and the coin to
def coinSplit(m):
                                               start from (the first one, i.e. coin 0)
     return coinSplitRec(m,0)
                                                if the amount to split is 0 then return 0
                                                if we start splitting from the last coin
def coinSplitRec(m, startCoin);
                                                (which we assume is 1) then return m
     if m == 0:
                                               otherwise, we first check the case where
          return 0
                                               we split m without using startCoin, so
     if startCoin == len(coin)-1:
                                               we call coinSplitRec again on the same
          return m
                                               m and with startCoin increased by 1
     withoutIt = coinSplitRec(m,startCoin+1)
     if coin[startCoin] <= m:</pre>
          withIt = 1 + coinSplitRec(m-coin[startCoin], startCoin)
          if withIt < withOutIt:</pre>
                                               then we check the case where we use
                                               startCoin in the split, so we first check
               return withIt
                                               that this can be done
     return withoutIt
                                               and call coinSplitRec on m decreased
```

by the value of startCoin and with the same startCoin. We add 1 to the result to account for this coin being used in the split. We just return the min of the two cases.

Dynamic Programming: add memoisation

To add memoisation, we store the results of recursive calls of coinSplitRec so that we don't compute them more than once.

Since these calls have 2 arguments that vary (m and startCoin), we need an array memo of 2 dimensions so that e.g:

```
memo[42][5] stores the result of coinSplitRec(42,5).
```

We need memo[i][j] with i from 0 to m, and with j from 0 to len(coin)-1.

```
def coinSplitDP(m):
    memo = [[-1 for j in range(len(coin))] for i in range(m+1)]
    return coinSplitMem(m,0,memo)

def coinSplitMem(m, startCoin, memo):
    # to be filled in
```

Dynamic Programming: add memoisation

```
def coinSplitMem(m, startCoin, memo):
    if memo[m][startCoin] != −1:
        return memo[m][startCoin]
    if m == 0:
        memo[m][startCoin] = 0
    elif startCoin == len(coin)-1:
        memo[m][startCoin] = m
    else:
        withoutIt = coinSplitMem(m,startCoin+1,memo)
        memo[m][startCoin] = withoutIt
        if coin[startCoin] <= m:</pre>
            withIt = 1 + coinSplitMem(m-coin[startCoin], startCoin, memo)
            if withIt < withoutIt:</pre>
                memo[m][startCoin] = withIt
    return memo[m][startCoin]
```

Dynamic Programming: add memoisation

```
def coinSplitMem(m, startCoin, memo):
    if memo[m][startCoin] != −1:
        return memo[m][startCoin]
    if m == 0:
        memo[m][startCoin] = 0
    elif startCoin == len(coin)-1:
        memo[m][startCoin] = m
    else:
        withoutIt = coinSplitMem(m,start
        memo[m][startCoin] = withoutIt
        if coin[startCoin] <= m:</pre>
            withIt = 1 + coinSplitMem(m-
            if withIt < withoutIt:</pre>
                memo[m][startCoin] = wit
    return memo[m][startCoin]
```

code is the same as in the recursive solution, with some additions:

- the array memo is initialised with 'undefined' (-1) and passed to each recursive call (i.e. call of coinSplitMem)
- each call of coinSplitMem(m, startCoin, memo) first checks whether memo[m] [startCoin] is defined, i.e. whether this call has already been computed and stored. If so, it immediately returns.
- the rest of the code of coinSplitMem is the same as that of coinSplitRec, with the modification that:
 - instead of returning values, store them in memo
 - at the end, return the value you computed and stored in memo

Dynamic Programming Bottom-Up solution

To make our previous solution bottom-up:

- we start by building an initially empty array memo
- we fill in the base values of memo:
 - memo[m][startCoin] = 0 when m = 0
 - memo[m][startCoin] = m when startCoin =
 len(coin)-1
- we then fill in the rest of memo using iteration,
 i.e. for memo [m] [startCoin]:
 - we first look up the value of memo[m] [startCoin+1] and store it in a variable withoutIt
 - if coin[startCoin] <= m then we look up the value of memo[m-coin[StartCoin]][startCoin], add 1 to it and store it in a variable withIt
 - we finally let memo[m] [startCoin] be the minimum of withIt and withoutIt.
- We finally return memo [m] [0] for the initial value of m.

Bottom up

To compute memo[m][startCoin] we need to have first computed:

memo[m] [startCoin+..]
memo[m-..] [startCoin]

i.e. we need to have computed memo for all larger values of startCoin and for all smaller values of m.

So, iteration should go:

- from smaller to larger values of m
- from larger to smaller values of startCoin

Dynamic Programming Bottom-Up solution

```
def coinSplitDPBU(mInit):
    memo = [[-1 for j in range(len(coin))] for i in range(mInit+1)]
    for i in range(len(coin)):
        memo[0][i] = 0
    for m in range(mInit+1):
        memo[m][len(coin)-1] = m
    for m in range(1,mInit+1):
        for startCoin in range(len(coin)-2,-1,-1):
            withoutIt = memo[m][startCoin+1]
            memo[m][startCoin] = withoutIt
            if coin[startCoin] <= m:</pre>
                withIt = 1 + memo[m-coin[startCoin]][startCoin]
                if withIt < withoutIt:</pre>
                    memo[m][startCoin] = withIt
    return memo[mInit][0]
```

Complexity analysis for least coin split (naive rec.)

```
def coinSplit(m):
    return coinSplitRec(m,0)
def coinSplitRec(m, startCoin):
    if m == 0:
        return 0
    if startCoin == len(coin)-1:
        return m
    withoutIt = coinSplitRec(m,startCoin+1)
    if coin[startCoin] <= m:</pre>
        withIt = 1 + coinSplitRec(m-coin[startCoin],
                      startCoin)
        if withIt < withOutIt:</pre>
            return withIt
    return withoutIt
```

The inputs here are m and coin (though we left coin implicit). Suppose coin has length n.

Each recursive call has a fixed max number of steps, so it is enough to simply count the number of recursive calls.

We can show that the number of recursive calls is $\Theta(\mathbf{m}^{n-1})$.

This means that:

- if coin is part of the input (so, can be very long) then the time complexity of coinSplit is exponential
- if coin is fixed, then the time complexity of coinSplit is polynomial.

E.g. if coin = [200, 100, 50, 20, 10, 5, 2, 1] then the time complexity is $\Theta(m^7)$.

Complexity analysis for least coin split (DP)

```
def coinSplitDP(m):
   memo = [[-1 for j in range(len(coin))] for i in range(m+1)]
   return coinSplitMem(m,0,memo)
def coinSplitMem(m, startCoin, memo):
    if memo[m][startCoin] != -1:
        return memo[m][startCoin]
    if m == 0:
        memo[m][startCoin] = 0
    elif startCoin == len(coin)-1:
        memo[m][startCoin] = m
    else:
        withoutIt = coinSplitMem(m,startCoin+1,memo)
        memo[m][startCoin] = withoutIt
        if coin[startCoin] <= m:</pre>
            withIt = 1 + coinSplitMem(m-
coin[startCoin],startCoin,memo)
            if withIt < withoutIt:</pre>
                memo[m][startCoin] = withIt
   return memo[m][startCoin]
```

The inputs here are m and coin (though we left coin implicit). Suppose coin has length n.

Each recursive call has a fixed max number of steps, so it is enough to simply count the number of recursive calls.

Because of memoisation, we can assume that coinSplitMem(i,startCoin,memo) will be called at most once, for each different value of i and startCoin.

how many different values can i take? m+1 how many can startCoin take? n

so, max number of recursive calls = $n \, (m+1)$ Therefore, the time complexity is $\Theta(m \, n)$.

Longest palindromic substring

Longest Palindrome: given a string s, find the longest, not necessarily contiguous, palindromic substring contained in s.

For example:

- on input 010 it should return 010
- on input 01000 it should return 0000
- on empty string input it should return the empty string
- on input 12312323321 it should return?

Longest palindromic substring

Longest Palindrome: given a string s, find the longest, not necessarily contiguous, palindromic substring contained in s.

Recursive solution

- if the string s has length no more than $1 \implies$ return s
- otherwise, there are two cases:
 - s begins-ends with same character, e.g. s = c + sMid + c \implies return c + longest palindrome of <math>sMid + c
 - s begins-ends with different characters, e.g. s = c1 + sMid + c2 \implies return the longest of these strings:
 - the longest palindrome of s1 = c1 + sMid
 - the longest palindrome of s2 = sMid + c2

Longest palindrome recursive solution

Longest Palindrome: given a string s, find the longest, not necessarily contiguous, palindromic substring contained in s.

```
def longestPalin(s):
    return palinRec(s,0,len(s))
def palinRec(s, lo, hi):
    if hi-lo \le 1:
        return s[lo:hi]
    if s[lo] == s[hi-1]:
        return s[lo]+palinRec(s,lo+1,hi-1)+s[hi-1]
    s1 = palinRec(s,lo,hi-1)
    s2 = palinRec(s,lo+1,hi)
    if len(s1) < len(s2):
        return s2
    return s1
```

Add memoisation

To add memoisation, we store the results of recursive calls of palinRec so that we don't compute them more than once.

Since these calls have 2 arguments that vary (10 and hi), we need an array memo of 2 dimensions so that e.g:

memo[2][9] stores the result of palinRec(s,2,9).

```
def longestPalinDP(s):
    if len(s) == 0:
        return s
    memo = [[None for i in range(len(s)+1)] for j in range(len(s))]
    return palinMem(s,0,len(s),memo)

def palinMem(s, lo, hi, memo):
    # to be filled in
```

Add memoisation

```
def palinMem(s, lo, hi, memo):
    if memo[lo][hi] != None:
        return memo[lo][hi]
    if hi-lo <= 1:
        memo[lo][hi] = s[lo:hi]
    else:
        if s[lo] == s[hi-1]:
            memo[lo][hi] = s[lo]+palinMem(s,lo+1,hi-1,memo)+s[hi-1]
        else:
            s1 = palinMem(s,lo,hi-1,memo)
            s2 = palinMem(s,lo+1,hi,memo)
            if len(s1) < len(s2):
                memo[lo][hi] = s2
            else:
                memo[lo][hi] = s1
    return memo[lo][hi]
```

Memoisation + bottom-up

```
def longestPalinDPBU(s):
    if len(s) == 0:
        return s
   memo = [[None for i in range(len(s)+1)] for j in range(len(s))]
    for lo in range(len(s)-1,-1,-1):
        for hi in range(lo,len(s)+1):
            if hi-lo <= 1:
                memo[lo][hi] = s[lo:hi]
            elif s[lo] == s[hi-1]:
                memo[lo][hi] = s[lo]+memo[lo+1][hi-1]+s[hi-1]
            else:
                s1 = memo[lo][hi-1]
                s2 = memo[lo+1][hi]
                if len(s1) < len(s2):
                    memo[lo][hi] = s2
                else:
                    memo[lo][hi] = s1
    return memo[lo][hi]
```

An old example

This is not the first time you see dynamic programming...

Recall Context-Free Grammars in Chomsky Normal Form:

$$S o U_0X\mid arepsilon$$
 $X o YU_1\mid 1$ (CNF form of $S o 0S1\mid arepsilon$) $Y o U_0X$ $U_0 o 0$ $U_1 o 1$

In order to check whether a given word is produced by the grammar, we can use the CYK algorithm.

The algorithm builds a table where it stores variables that can produce subwords of the word we want to check.

It (re-)uses them to finally find variables producing the whole word.

Recursive parsing

Suppose we are given a grammar G in CNF and a non-empty string s.

To check G that accepts s we can compute the variables which produce all substrings of s. That is, for each non-empty substring s' of s:

- if s' is a single character, say c, return the set of all variables X for which we have a rule $X \to c$
- otherwise, for all possible binary splittings of , i.e. $s'=s_1s_2$,
 - compute the sets Set_1 and Set_2 producing s_1 and s_2 respectively,
 - return the set of all variables X for which we have a rule $X \to X_1 X_2$ such that X_1 is in Set_1 and X_2 is in Set_2 .

This algorithm works, but can lead to computing several times the variables producing the same substring.

It can be optimised using dynamic programming: we can use a table in order to remember intermediate sets we compute. This is what the CYK algorithm does! (recap example in next slides)

Consider the CNF grammar on the left below and input word 0011:

$$S \rightarrow U_0 X \mid \varepsilon$$

$$X \rightarrow Y U_1 \mid 1$$

$$Y \rightarrow U_0 X$$

$$U_0 \rightarrow 0$$

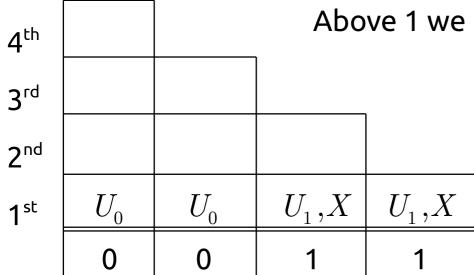
$$U_1 \rightarrow 1$$

In the first step we decide the first level.

Once we compute the box above 0, we do not need to compute it again, and similarly for that above 1.

Above 0 we put U_0 , because of the rule $U_0 \rightarrow 0$.

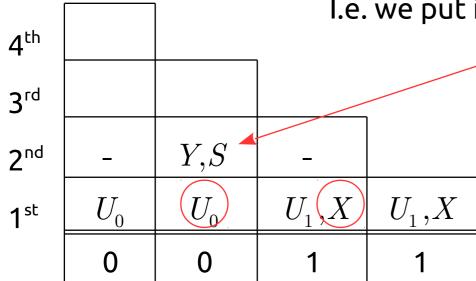
Above 1 we put U_1 and X_2 , because of $U_1 \rightarrow 1$ and $X \rightarrow 1$



Consider the CNF grammar on the left below and input word 0011:

$$S
ightarrow U_0 X \mid \varepsilon$$
 $X
ightarrow Y U_1 \mid 1$
 $Y
ightarrow U_0 X$
 $U_0
ightarrow 0$
 $U_1
ightarrow 1$

We fill in the cells of the 2nd row with the variables producing pairs of variables that are immediately below and to the right.



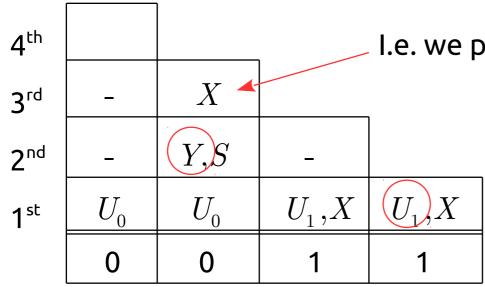
I.e. we put in this cell Y (because of $Y o U_0 X$) and S (because of $S o U_0 X$).

And there are no other cells to fill in the second row.

Consider the CNF grammar on the left below and input word 0011:

$$S
ightarrow U_0 X \mid arepsilon \ X
ightarrow Y U_1 \mid 1 \ Y
ightarrow U_0 X \ U_0
ightarrow 0 \ U_1
ightarrow 1$$

We fill in the cells of the 3nd row and above with the variables producing pairs of variables that are below and to the right, but taking into account the length of the words that these produce.



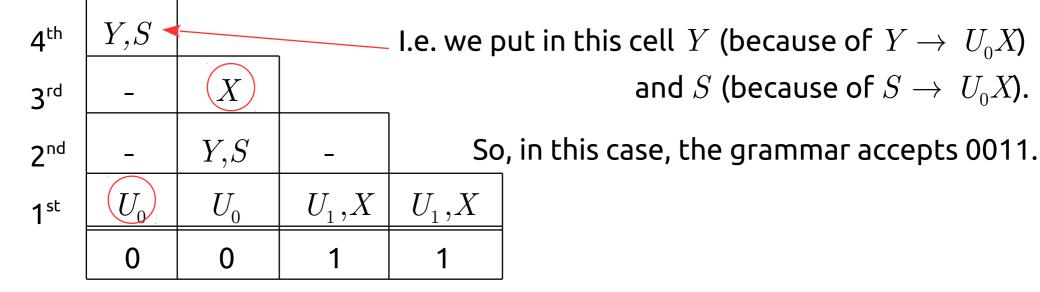
I.e. we put in this cell X (because of $X \to YU_1$), and there are no other cells to fill in the third row.

Consider the CNF grammar on the left below and input word 0011:

$$S
ightarrow U_0 X \mid \varepsilon$$
 $X
ightarrow Y U_1 \mid 1$
 $Y
ightarrow U_0 X$
 $U_0
ightarrow 0$

 $U_1 \rightarrow 1$

We fill in the cells of the 3nd row and above with the variables producing pairs of variables that are below and to the right, but taking into account the length of the words that these produce.



Summary

Dynamic programming is a great technique for writing efficient algorithms starting from inneficient recursive solutions

When it is applicable, it can lead to exponential speedup

It is applicable when there are opportunities for re-using intermediate/recursive results

It is typically used for optimisation problems: e.g. the longest substring with a specific property

Apart from speeding up recursive algorithms, it also allows one to transform them to iterative ones (by doing recursion 'bottom-up')

Exercises

1. Let us call Pythagorean the following sequence:

where each number in the sequence is the sum of squares of the two numbers preceding it in the sequence. That is, we can compute its elements by:

$$\mathit{pyth}(n) = \begin{cases} n & \text{if } n \leq 1 \\ \mathit{pyth}(n-1)^2 + \mathit{pyth}(n-2)^2 & \text{otherwise} \end{cases}$$

Write a recursive function pyth(n) that, given n, returns the n-th number in the sequence.

- 2. Change your function pyth(n) to a dynamic programming one, by using memoisation.
- 3. Change your function again to one that uses DP in its bottom-up version, i.e. using iteration.
- 4. We have a bag and we want to fill it with books. The bag can take at most w kilos of weight, while the weights of our books are given by an array bkWeight (e.g. bkWeight [0] is the weight of the first book, etc.). Each book has a value, given by an array bkVal (e.g. bkVal [0] is the value of the first book, etc.). Write a DP function

def maxBooksValDP(w, bkWeight, bkVal) which returns the maximum value of books that we can fill our bag with. Assume bkWeight is sorted.

Start from this recursive solution:

- 5. Change your solution to question 4 and make it bottom-up.
- 6. Change your solution to question 4 so that, instead of returning just the maximum value, it returns the maximum value and an array containing all books that can be included in the bag and add up to this value. That is, it should return an array [maxVal,inBag] where maxVal is the maximum book value and inBag is the array of the books included in the bag in order to achieve maxVal.

You can use the function append (A, k) that returns a new array with all elements of A and with k added at the end.

Exercises – solutions

1. This is a variation of the Fibonacci example. 4. Here is a DP solution: Here is a recursive solution:

```
def pyth(n):
    if n <= 1:
        return n
    return pyth(n-1)**2 + pyth(n-2)**2
```

2. Here is a DP solution:

```
def pythDP(n):
    memo = [-1 \text{ for i in range}(n+1)]
    return pythMem(n,memo)
def pythMem(n,memo):
    if memo[n] != -1:
        return memo[n]
    if n \le 1:
        memo[n] = n
    else:
        memo[n] = pythMem(n-1,memo)**2 +
pythMem(n-2,memo)**2
    return memo[n]
```

3. Here is a DP botton-up solution:

```
def pythDPBU(n):
    memo = [-1 \text{ for i in range}(n+1)]
    memo[0] = 0
    memo[1] = 1
    for i in range(2,n+1):
        memo[i] = memo[i-1]**2 + memo[i-2]**2
    return memo[n]
```

```
def maxBooksValDP(w, bkWeight, bkVal):
    memo = [[-1 for in range(len(bkWeight)+1)] for j in range(w+1)]
    return maxBooksRec(w,bkWeight,bkVal,0,memo)
def maxBooksMem(w, bkWeight, bkVal, startBk, memo):
    if memo[w][startBk] != -1:
        return memo[w][startBk]
    if startBk == len(bkWeight) or w < bkWeight[startBk]:</pre>
        memo[w][startBk] = 0
    else:
        withIt = bkVal[startBk] + maxBooksMem(w-
bkWeight[startBk],bkWeight,bkVal,startBk+1,memo)
        withoutIt = maxBooksMem(w,bkWeight,bkVal,startBk+1,memo)
        if withIt > withoutIt:
            memo[w][startBk] = withIt
        else:
            memo[w][startBk] = withoutIt
    return memo[w][startBk]
```

5. Here is a DP bottom-up solution:

```
def maxBooksValDPBU(wInit, bkWeight, bkVal):
    memo = [[-1 for j in range(len(bkWeight)+1)] for i in range(wInit+1)]
    for w in range(wInit+1):
        for startBk in range(len(bkWeight),-1,-1):
            if startBk == len(bkWeight) or w < bkWeight[startBk]:</pre>
                memo[w][startBk] = 0
            else:
                withIt = bkVal[startBk] + memo[w-bkWeight[startBk]][startBk+1]
                withoutIt = memo[w][startBk+1]
                if with Tt > without Tt:
                    memo[w][startBk] = withIt
                else:
                    memo[w][startBk] = withoutIt
                                                                       37
    return memo[wInit][0]
```