



# Welcome to Biometric Security

## (055047)

<http://cse.hcmut.edu.vn/~khanh/teaching/BioSec2018/BioSec.html>



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Data SecurITy Applied Research Lab

# Course Introduction

## BIOMETRIC SECURITY - 055047

Master Course of CS Programme (Sem2/2017-2018)

Lecture room: 215.B1, Sun (9:05-11:30)

### Course structure:

Credit:	3 (2.2.6)		
Total Contact Hours:	60	Lectures: 45 Assignments: 15 Self-studying: 6 x 15 class hours	
Major	Computer Science		
Assessment:	Assignment	10%	Assign. presentation
		20%	Assign. report
	Presentation	20%	One time
	Final exam	50%	Open-book-exam (60')
	Bonus	added to "Final exam"	Additional presentation, exercises, etc.

### Summary

This course provides knowledge about biometrics and its applications in computer security. In this course, biometric system architecture and various biometric systems based on fingerprints, face, iris and other modalities will be discussed. Biometric system performance and issues related to the security and privacy aspects of these systems, especially biometric template protection methods, will be addressed.



# Biometric System Architecture and Security



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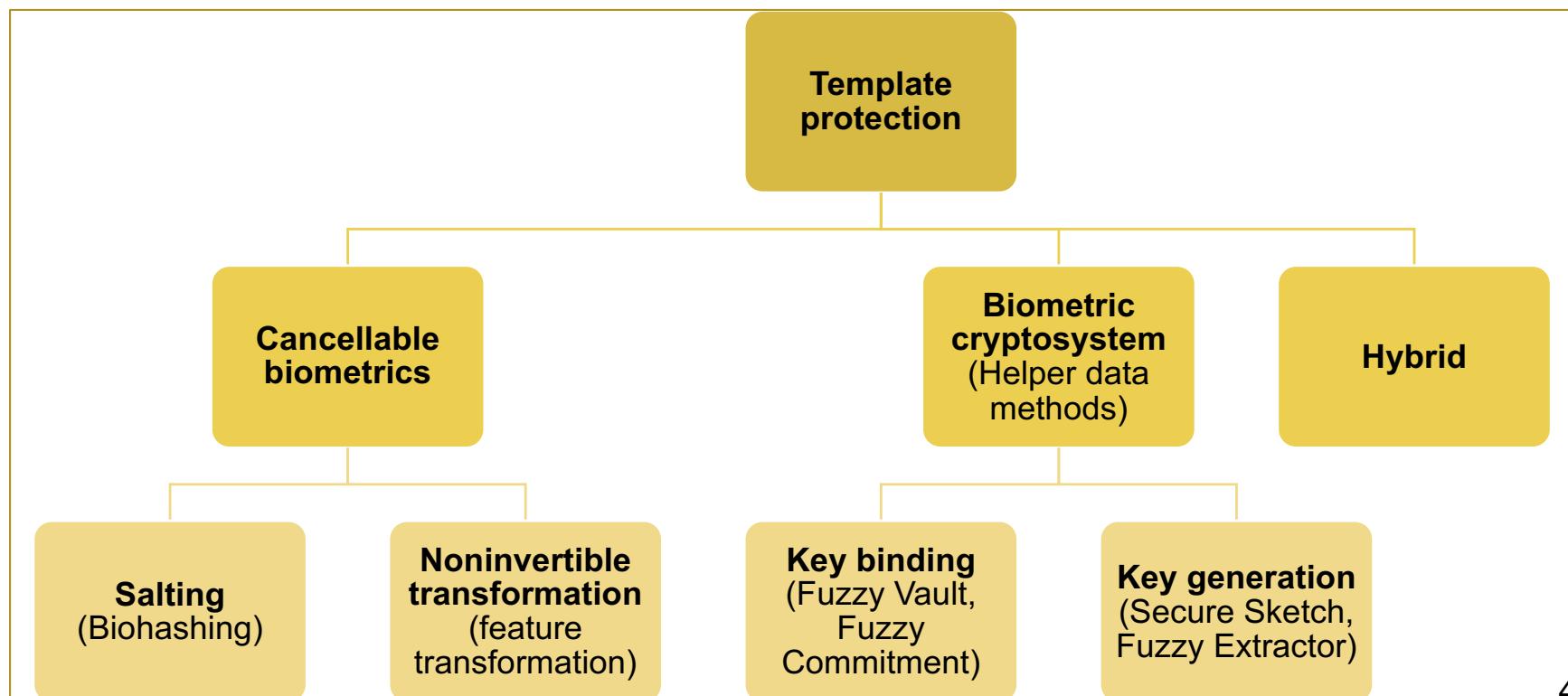
# Contents (1 & 2 for today)

1.

## Biometrics: A Quick Introduction

2.

## Biometric System Architecture



# Contents

3. Cancellable Biometrics
4. Biometric Cryptosystem
5. Fuzzy Vault Enhancement
6. Periodic Non-Invertible Transformation
7. ANN and Secure Sketch for Key Generation
8. Biometric Remote Authentication System
9. Multi-Model Biometrics
10. Further Research Topics

# Part 1: Introduction about biometrics

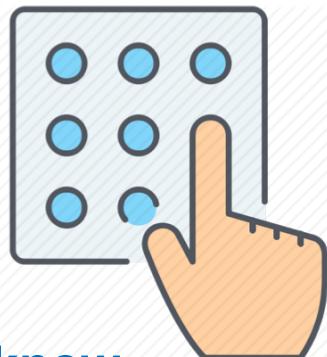
- ❖ Introduction
- ❖ Biometric definition
- ❖ Biometric modalities
  - Physiological
  - Behavioral
  - Comparisons of various biometrics
- ❖ Comparing biometrics with token, password for authentication

# Introduction

- ❖ Traditional authentication & cryptosystem:



Something you know



Something you have



- ❖ Biometrics: Something you are



# Biometrics Definition (1)

- ❖ **Biometrics** (bio=life, metric=degree): the automatic recognition of individuals based on biological and behavioral traits

(ISO/IEC JTC1/SC37 Standing Document 2: Harmonized Biometric Vocabulary)

- ❖ Requirements of a biometric characteristic:
  - **Universality**: each person should have the characteristic
  - **Uniqueness**: The characteristic of any two persons should be sufficiently different
  - **Permanence**: The characteristic of an individual should be sufficiently invariant over time

(Anil K. Jain, Arun Ross, and Salil Prabhakar (2004): An Introduction to Biometric Recognition, IEEE Trans. on Circuits and Systems for Video Technology, 14:4-20)

# Biometrics Definition (2)

- ❖ Requirements of a biometric characteristic (cont.):
  - **Measurability:** The characteristic can be measured quantitatively
  - **Performance:** The characteristic can be achieved the desired recognition accuracy, speed, resource
  - **Acceptability:** People are willing to accept the use of a the characteristic in their daily lives
  - **Circumvention:** The characteristic is not able to be/ hardly faked using fraudulent methods

# Biometrics Definition (3)

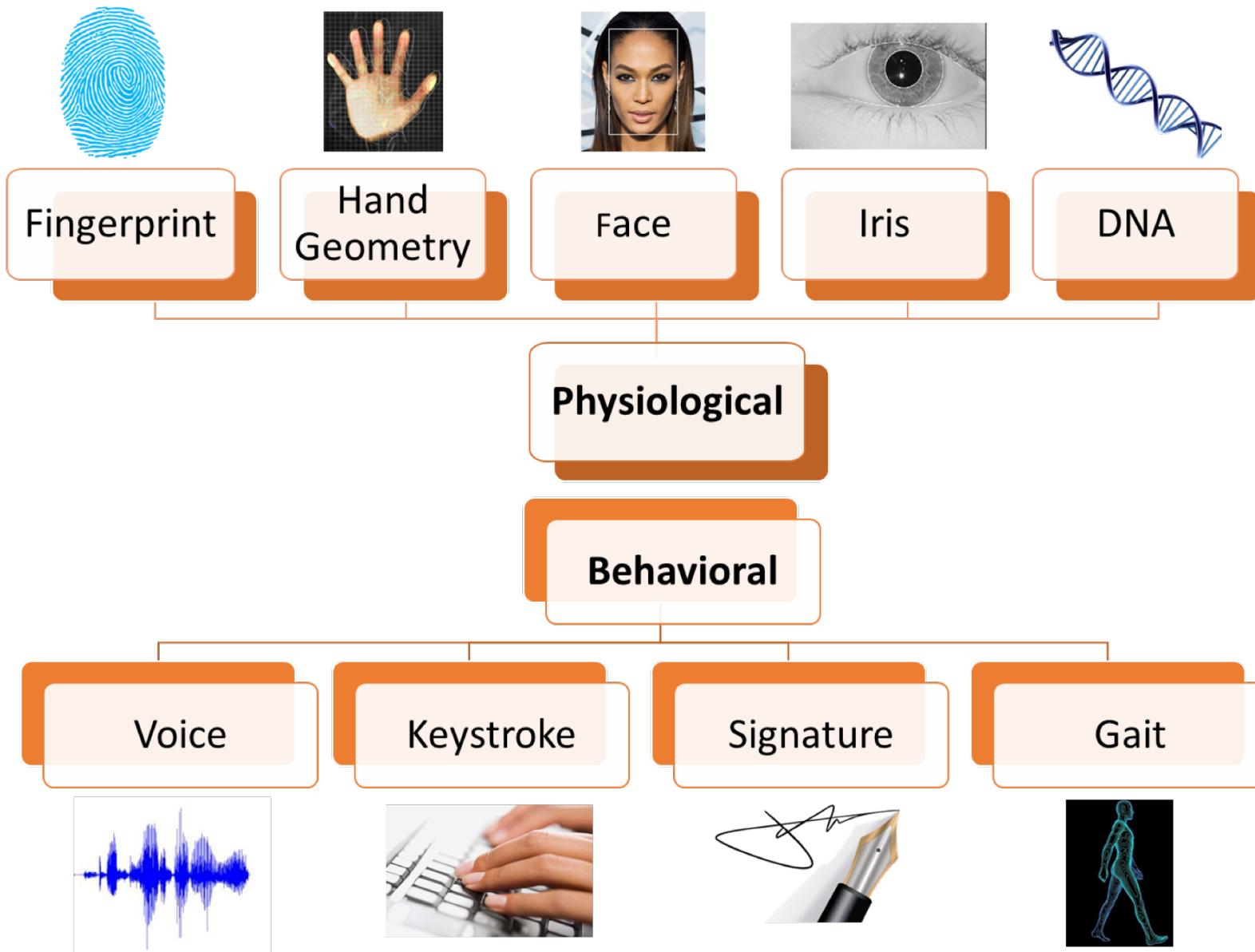
## ❖ Advantages of biometrics

- Traditional approaches cannot differentiate between an authorized user and an impersonator
- Uncomfortable: forgettable, lost,...

## ❖ Security and privacy concerns

- Secure but not secret
- Cannot be revoked or canceled
- Once lost, forever compromised
- Cross-matching

# Biometrics modalities (1)



# Biometrics modalities (2)

Biome-trics	Universal-i-ty	Unique-ness	Perma-nence	Collectabili-ty	Perfor-mance	Acceptabili-ty	Circumven-tion
Face	HIGH	LOW	MEDIUM	HIGH	LOW	HIGH	LOW
Finger-print	MEDIUM	HIGH	HIGH	MEDIUM	HIGH	MEDIUM	HIGH
Hand geo-metry	MEDIUM	MEDIUM	MEDIUM	HIGH	MEDIUM	MEDIUM	MEDIUM
Keystrokes	LOW	LOW	LOW	MEDIUM	LOW	MEDIUM	MEDIUM
Hand veins	MEDIUM	MEDIUM	MEDIUM	MEDIUM	MEDIUM	MEDIUM	HIGH
Iris	HIGH	HIGH	HIGH	MEDIUM	HIGH	LOW	HIGH
Retinal scan	HIGH	HIGH	MEDIUM	LOW	HIGH	LOW	HIGH
Signature	LOW	LOW	LOW	HIGH	LOW	HIGH	LOW
Voice	MEDIUM	LOW	LOW	MEDIUM	LOW	HIGH	LOW
Facial thermo-graph	HIGH	HIGH	LOW	HIGH	MEDIUM	HIGH	HIGH
Odor	HIGH	HIGH	HIGH	LOW	LOW	MEDIUM	LOW
DNA	HIGH	HIGH	HIGH	LOW	HIGH	LOW	LOW
Gait	MEDIUM	LOW	LOW	HIGH	LOW	HIGH	MEDIUM
Ear Canal	MEDIUM	MEDIUM	HIGH	MEDIUM	MEDIUM	HIGH	MEDIUM

(Anil K. Jain, Arun Ross, and Salil Prabhakar (2004): An Introduction to Biometric Recognition, IEEE Trans. on Circuits and Systems for Video Technology, 14:4-20)

# Biometrics vs Token and Password

User Authentication			
	Knowledge-Based	Object-Based	ID-Based
Commonly Referred To as:	Password, Secret	Token	Biometrics
Support Authentication by:	Secrecy or obscurity	Possession	Uniqueness and personalization
Examples	Password, PIN	Key, ID card, member card	Fingerprint, Face, Iris
Copied	“Software”	Easy to very difficult	Easy to difficult
Lost	“Forgotten”	Easy	Very difficult
Stolen	Spied	Possible	Difficult

# Biometrics vs Token and Password

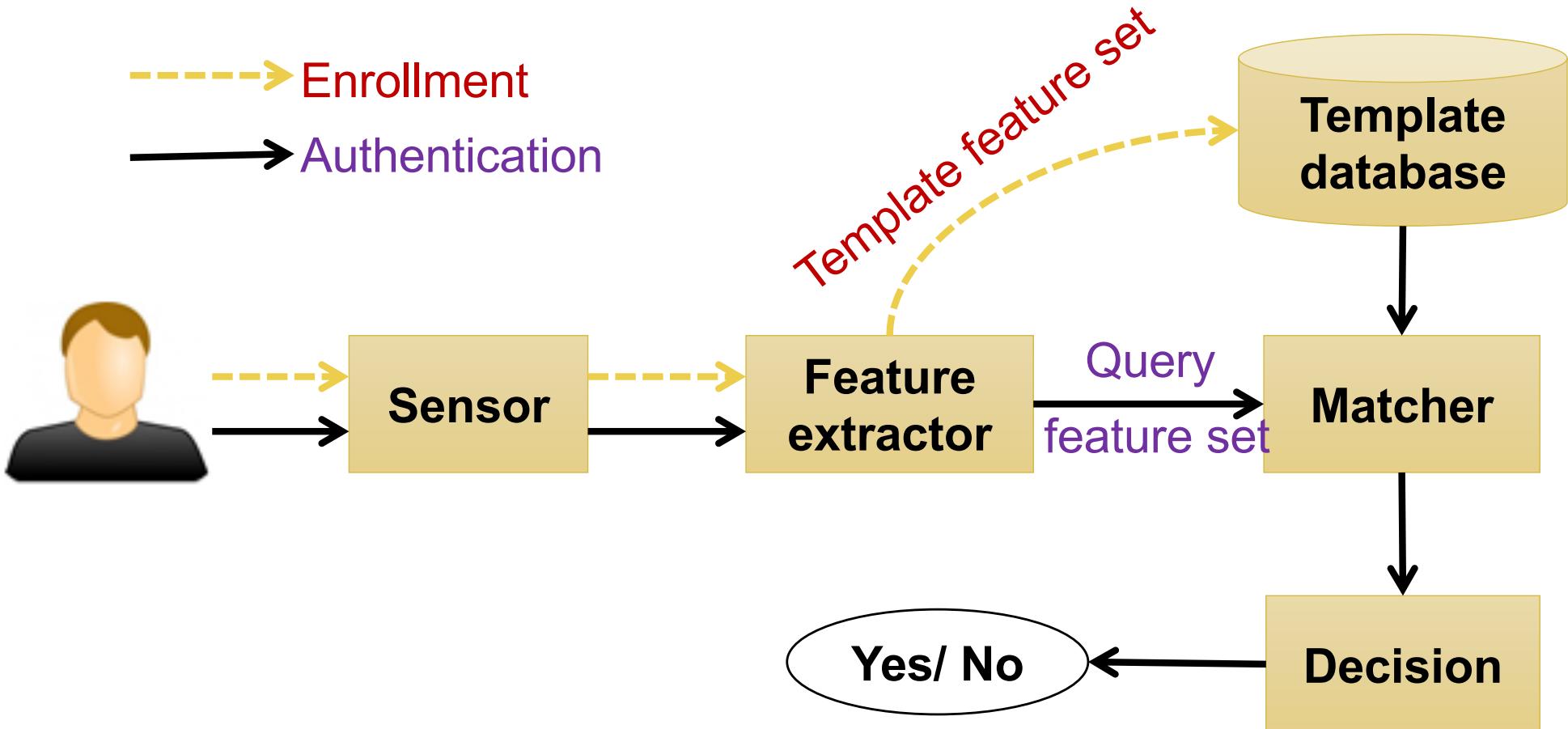
<b>Authenticator Combination</b>	<b>Security Advantage</b>	<b>Convenience Drawback</b>	<b>Example</b>
<b>Knowledge- and Object-Based</b>	Lost/stolen token protected by password	Must carry token and memorize password	PIN-enabled bank card
<b>Object- and ID-Based</b>	Lost/stolen token protected by ID	Must carry token, but not ID if it is a biometric	Photo-ID
<b>Knowledge- and ID-Based</b>	Two factors provide security in case either compromised	Have to memorize password and have ID.	Password and biometric for computer access.
<b>Knowledge-, Object-, and ID-Based</b>	A third factor to provide security in case two other factors are compromised	Have to memorize password, carry token, and have ID.	Military applications requiring photo-ID checked by guard, plus password.

Security and Convenience of Combining authenticators

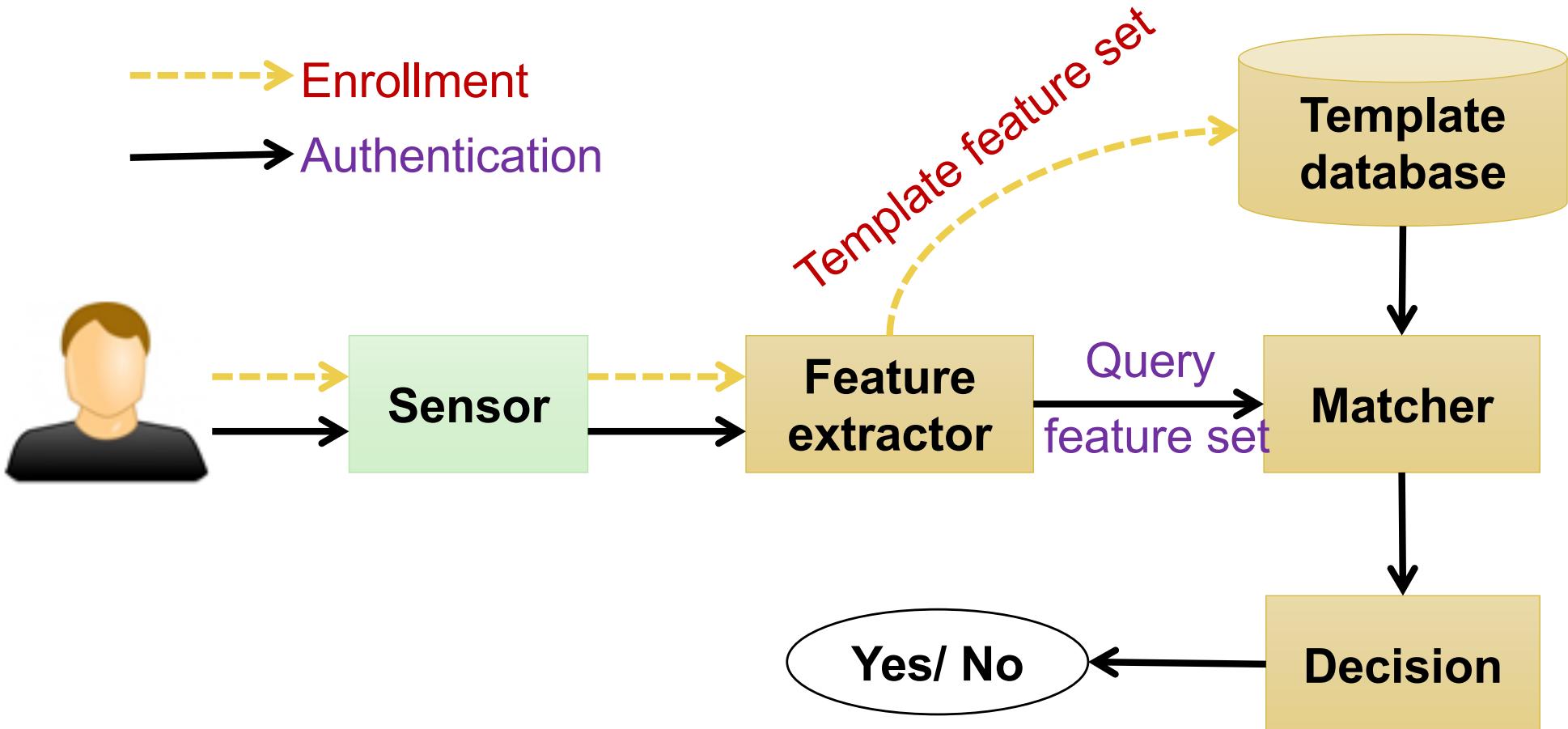
# Part 2: Biometric System Architecture

- ❖ Biometric Authentication System
- ❖ Biometric Cryptosystem
- ❖ Biometric System Failures
- ❖ Attacks on the Biometric System

# Biometric Authentication System

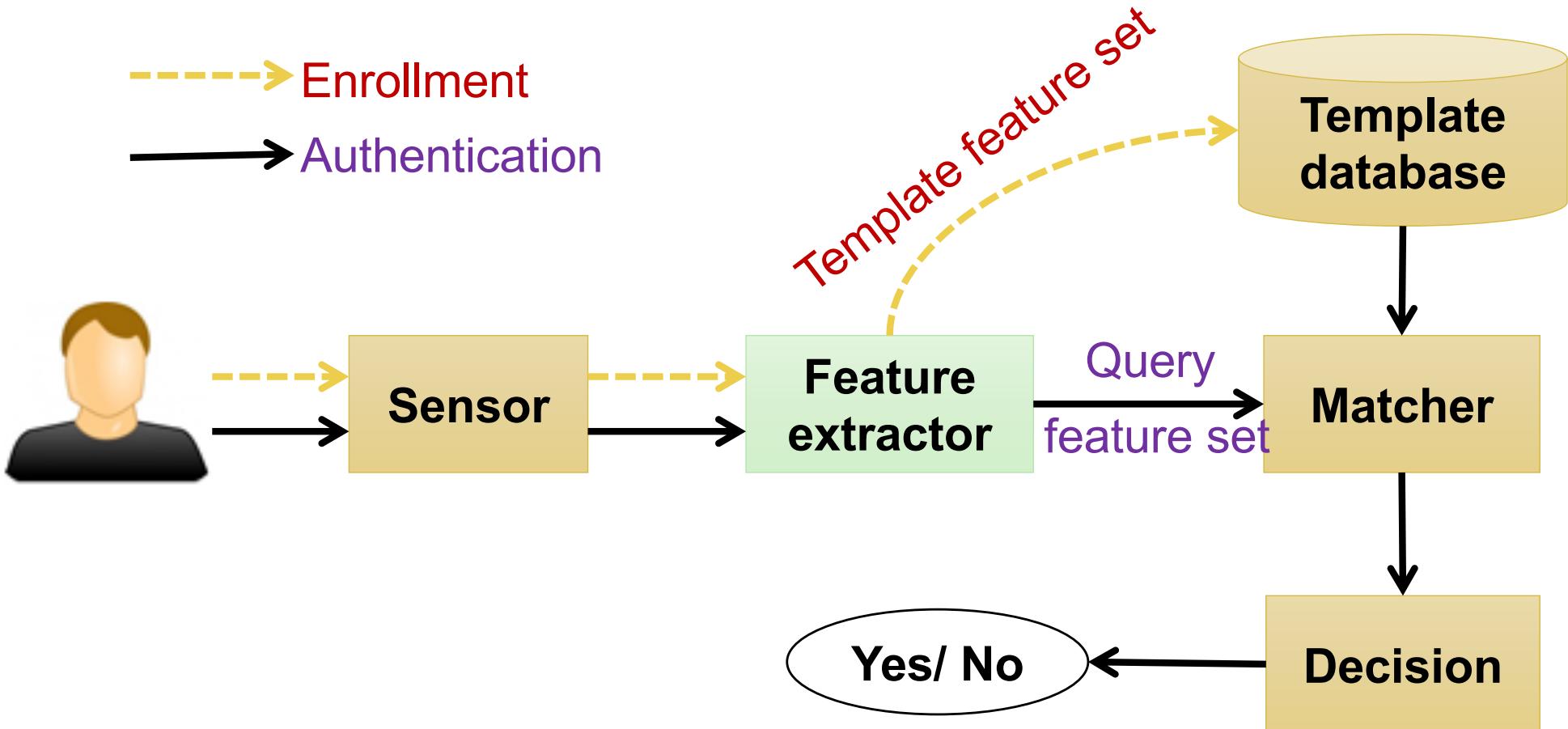


# Biometric Authentication System



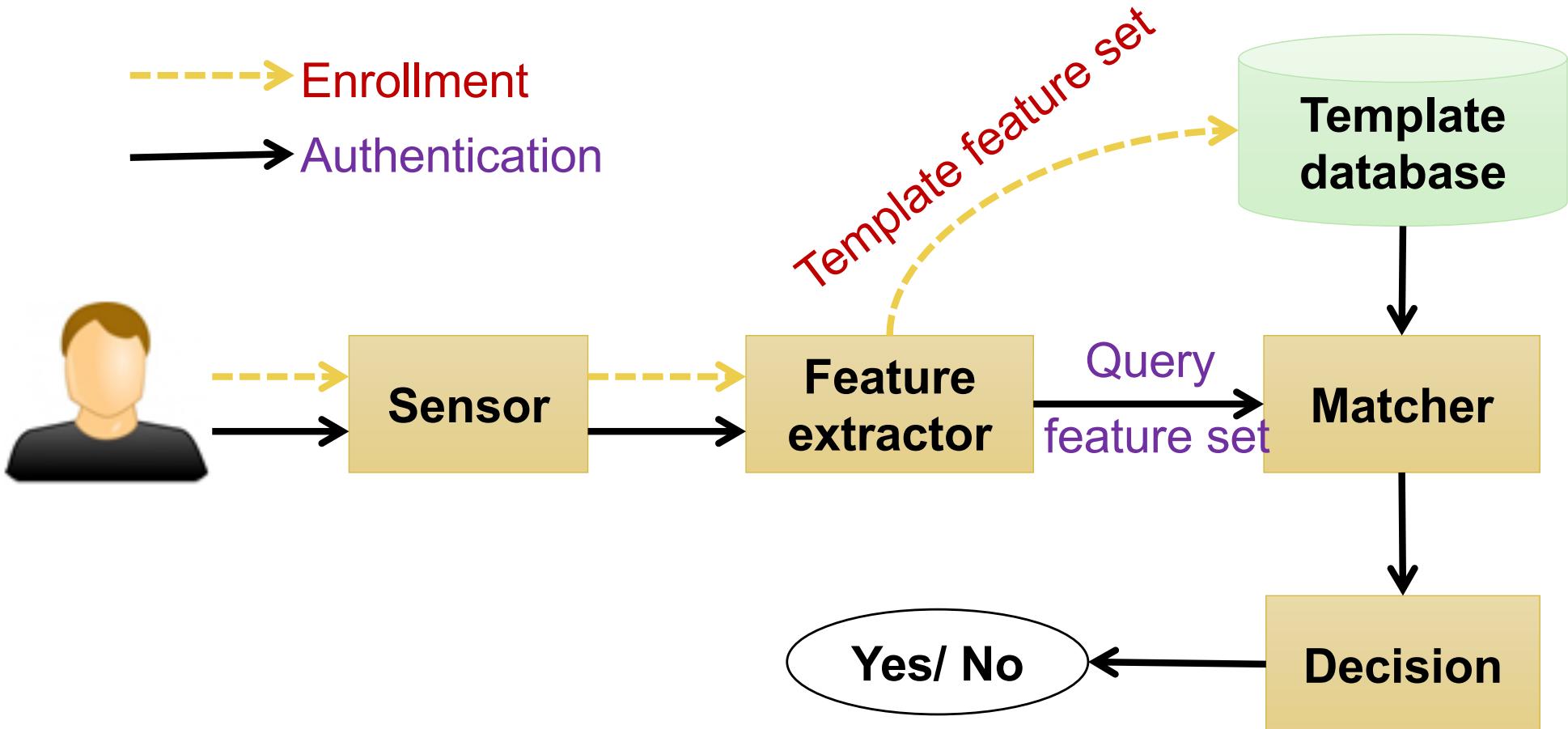
**Sensor module:** collects biometric trails from users

# Biometric Authentication System



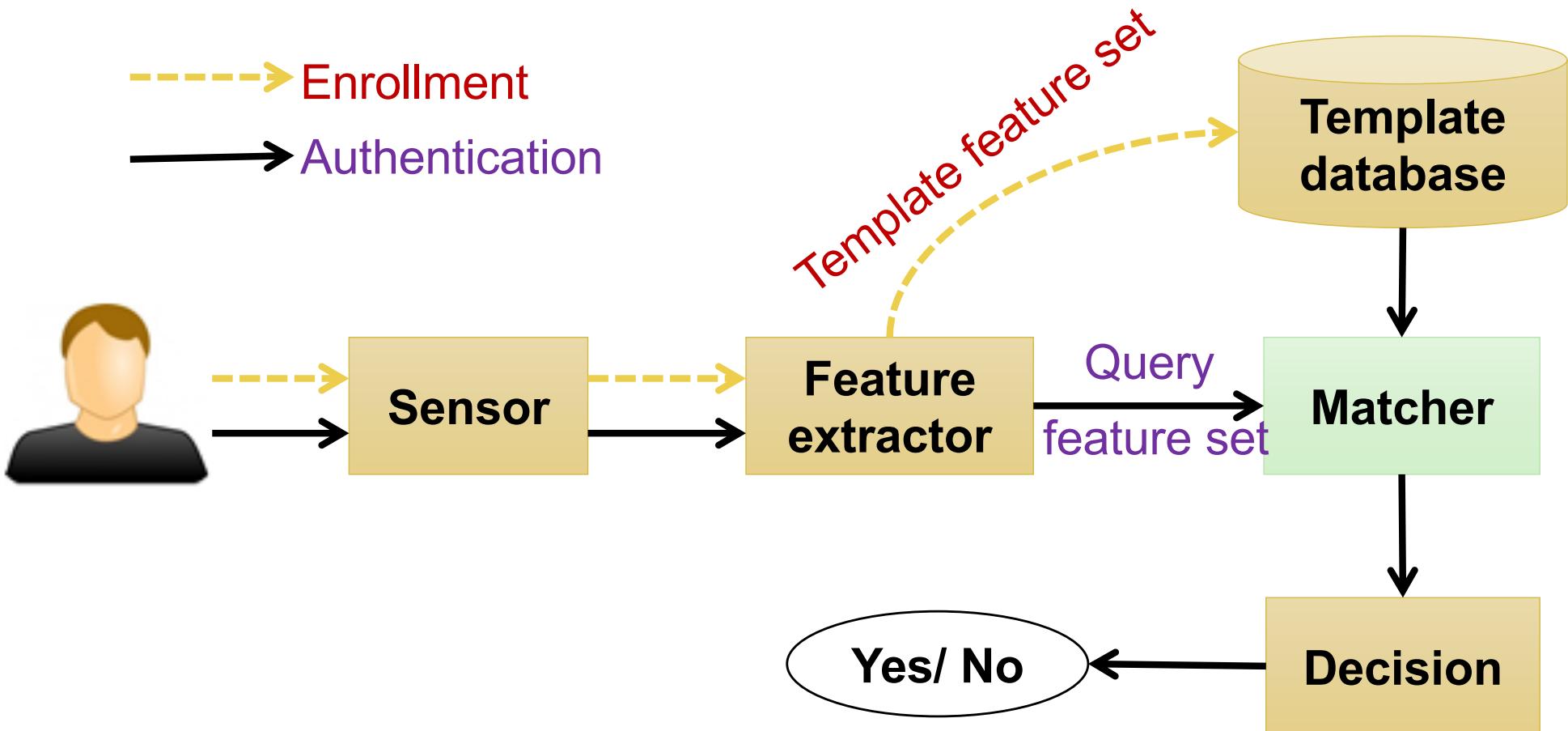
**Feature extractor module:** gets the biometric trails as raw data (images or signals) and extracts feature sets (template)

# Biometric Authentication System



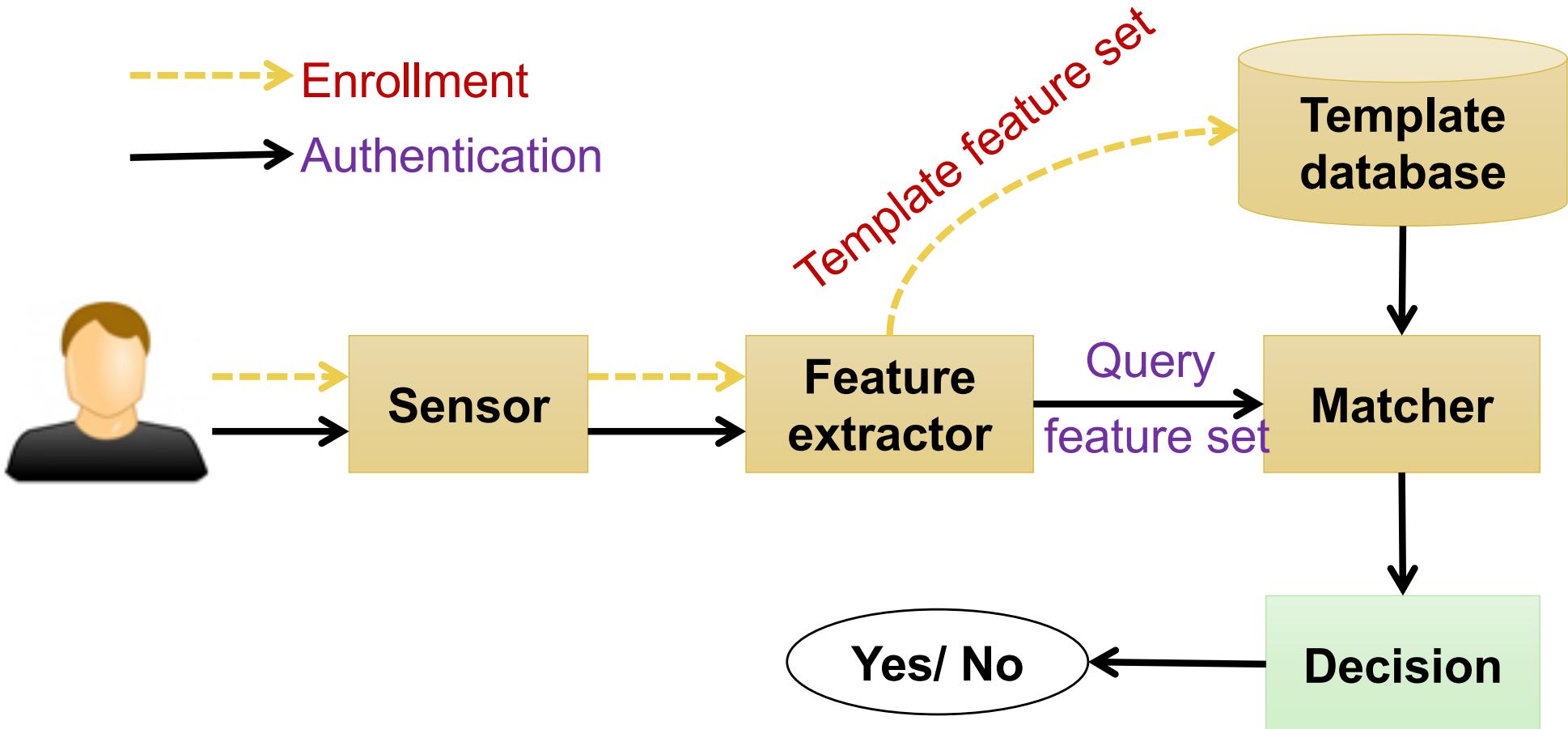
**Template database:** stores the extracted feature sets as templates

# Biometric Authentication System



**Matcher module:** compares between template feature set and query feature set to compute a match score

# Biometric Authentication System

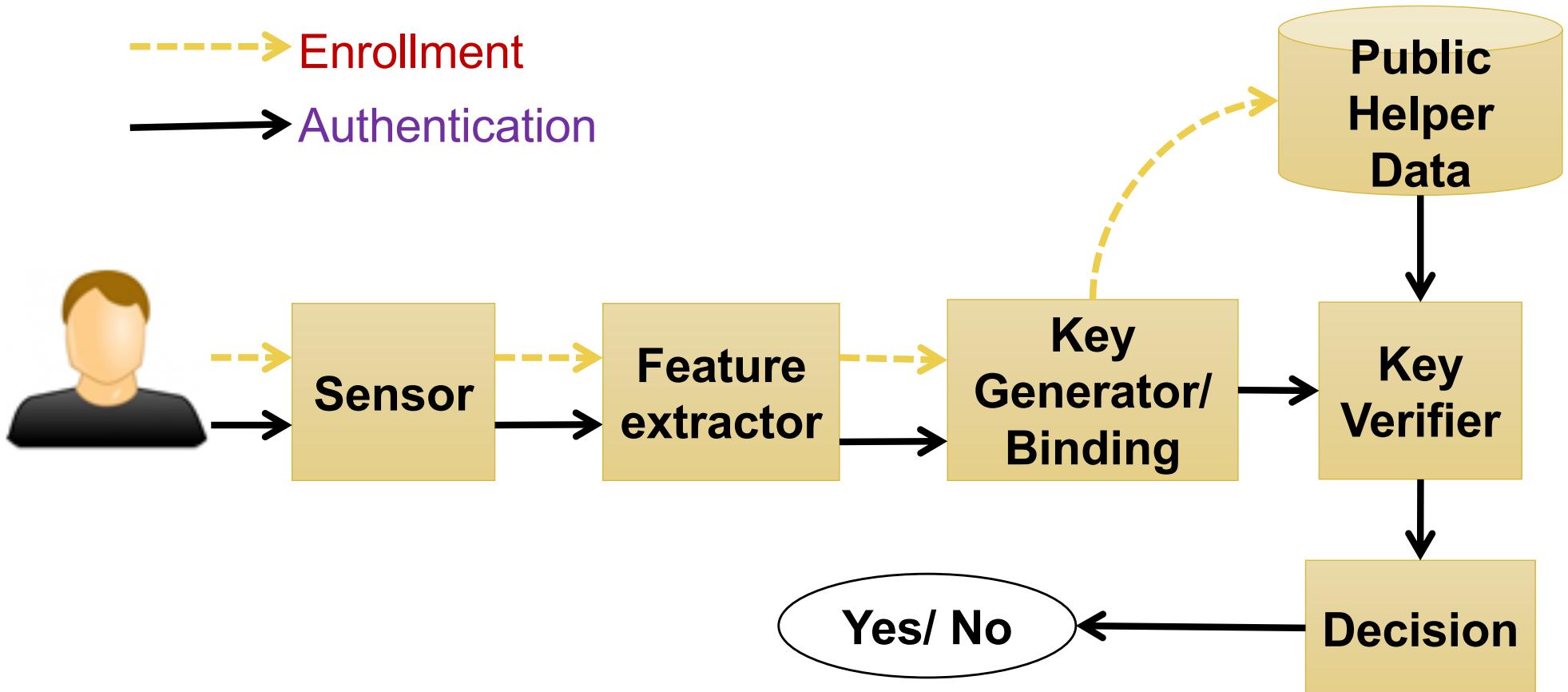


**Decision module:** gives a response (pass or fail to the authentication) based on the match score and a threshold

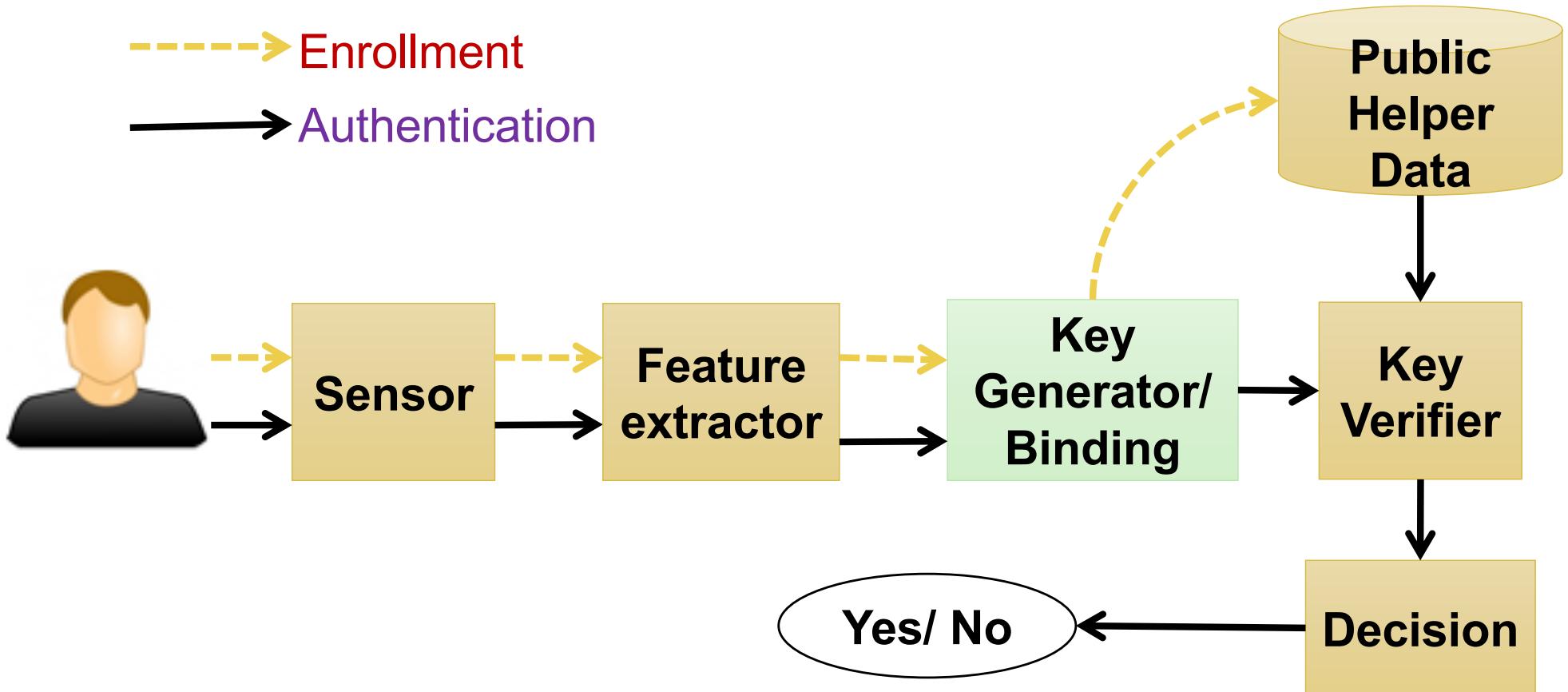
# Part 2: Biometric System Architecture

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# Biometric Cryptosystem

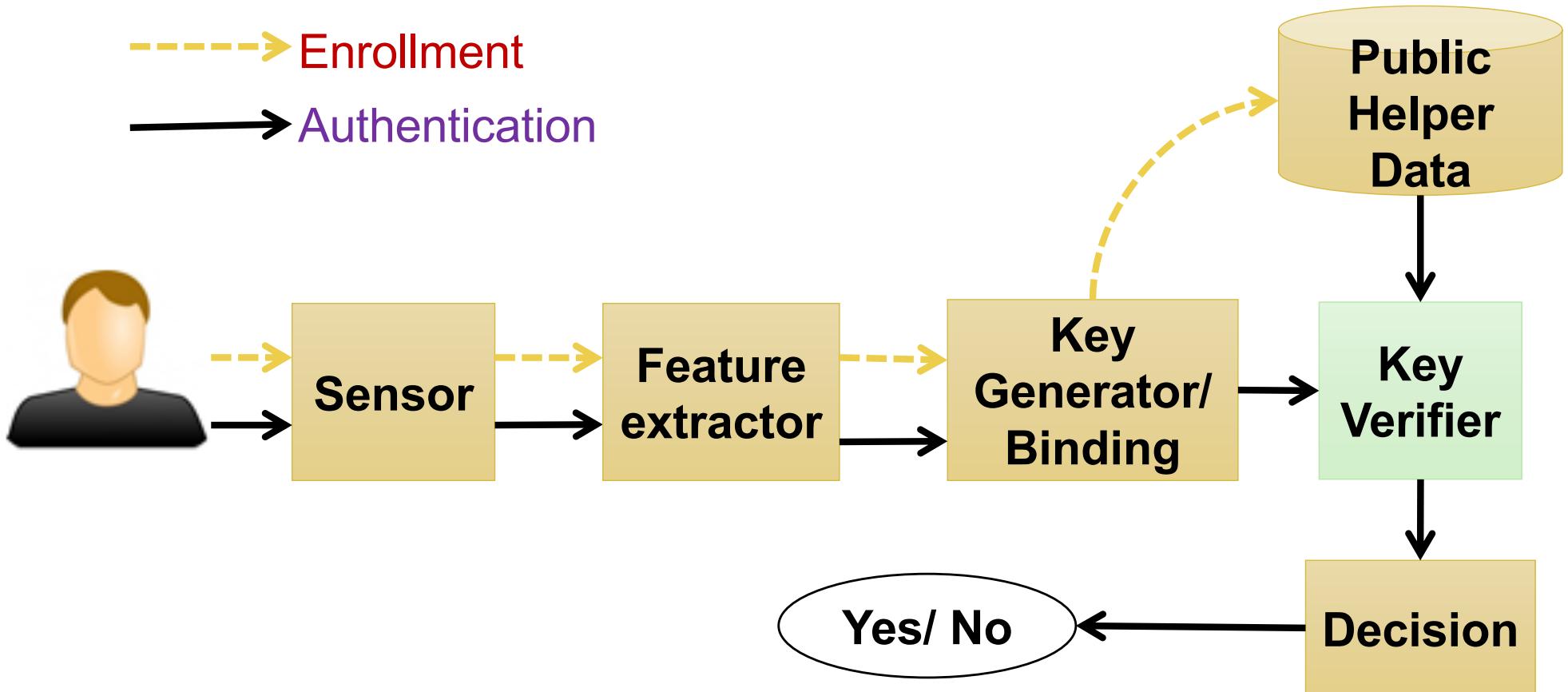


# Biometric Cryptosystem



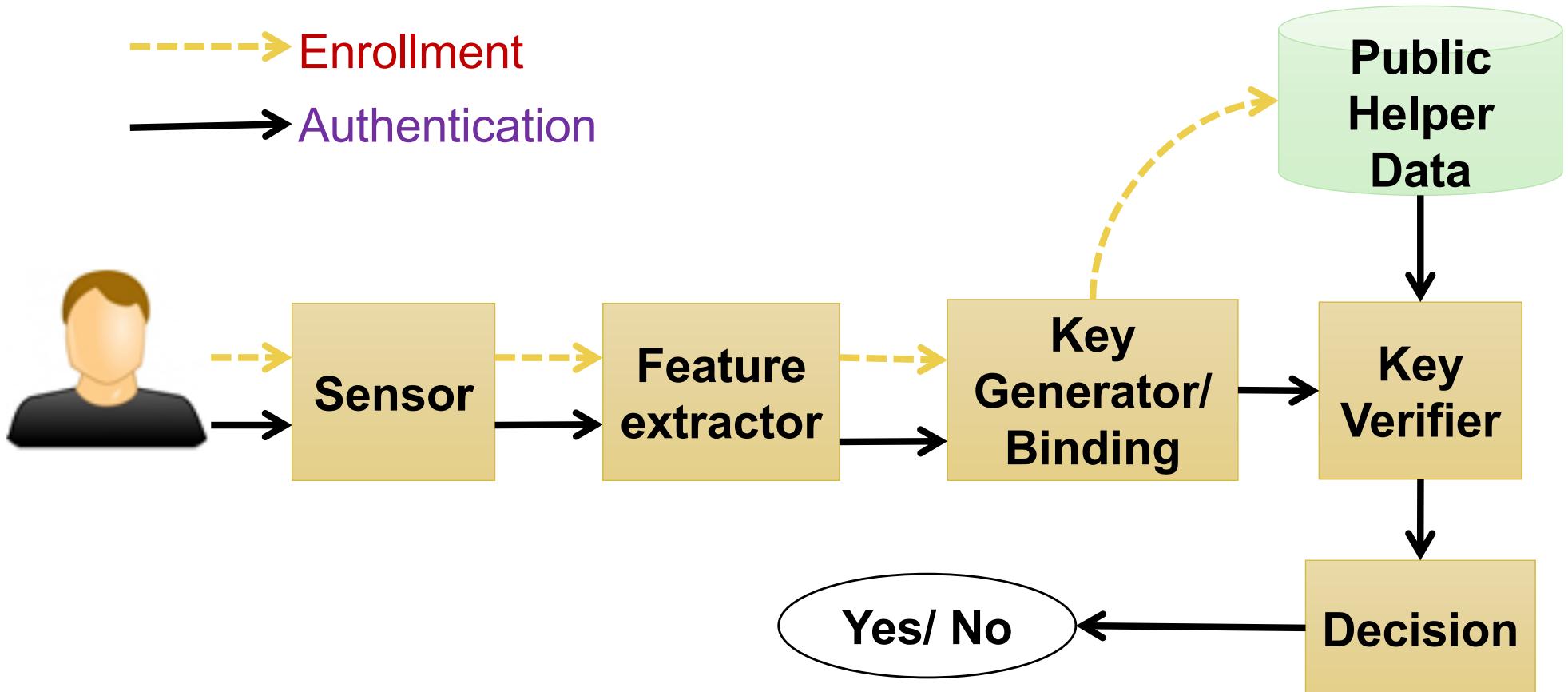
**Key generator/ Key binding module:** generating a key from the feature set or binding a key with the feature set

# Biometric Cryptosystem



**Key verifier:** regenerates the key from the query feature set and public helper data

# Biometric Cryptosystem

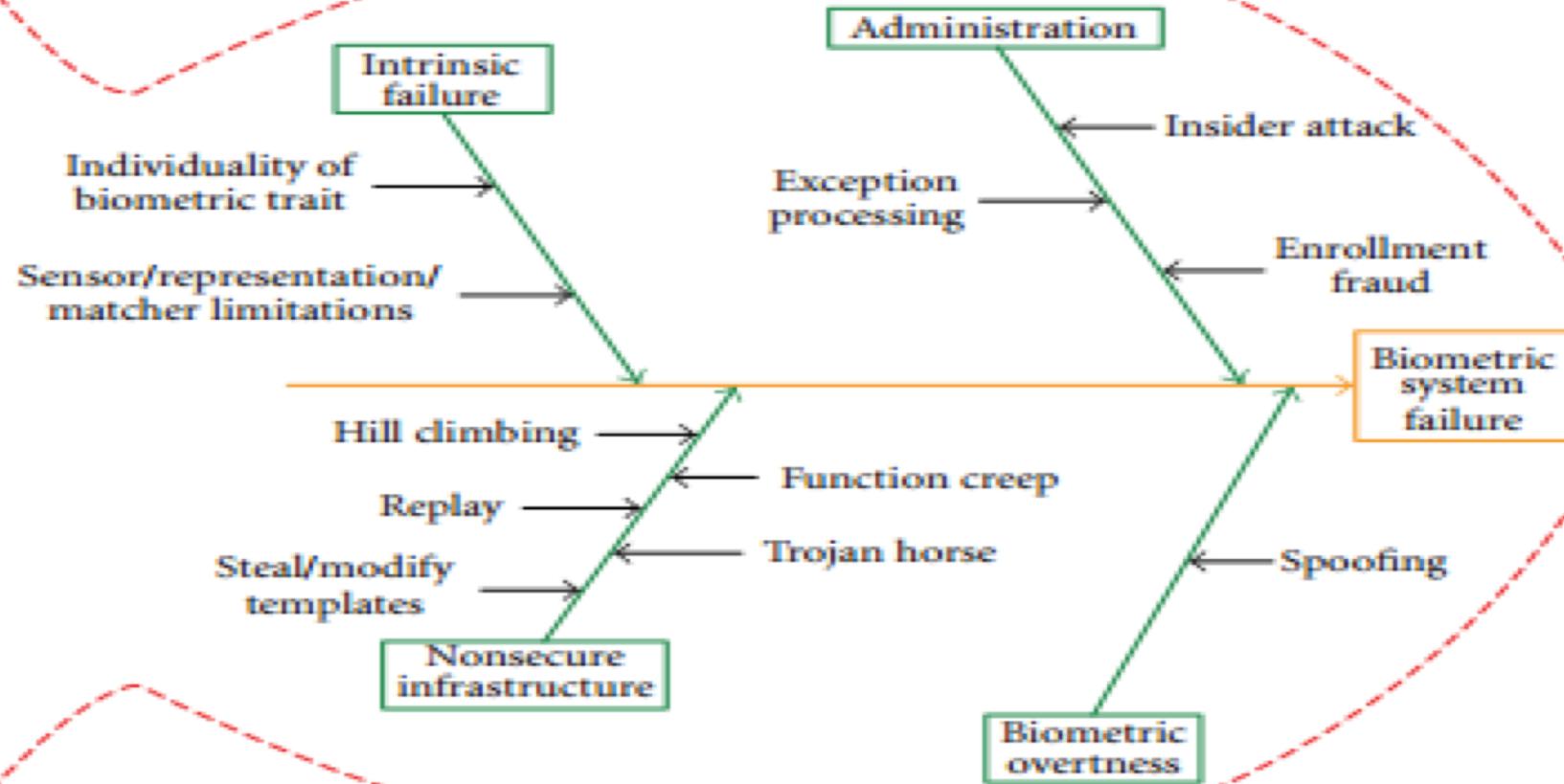


**Public helper data:** kind of public information about the biometric template. It is used to recover the key in the authentication process

# Part 2: Biometric System Architecture

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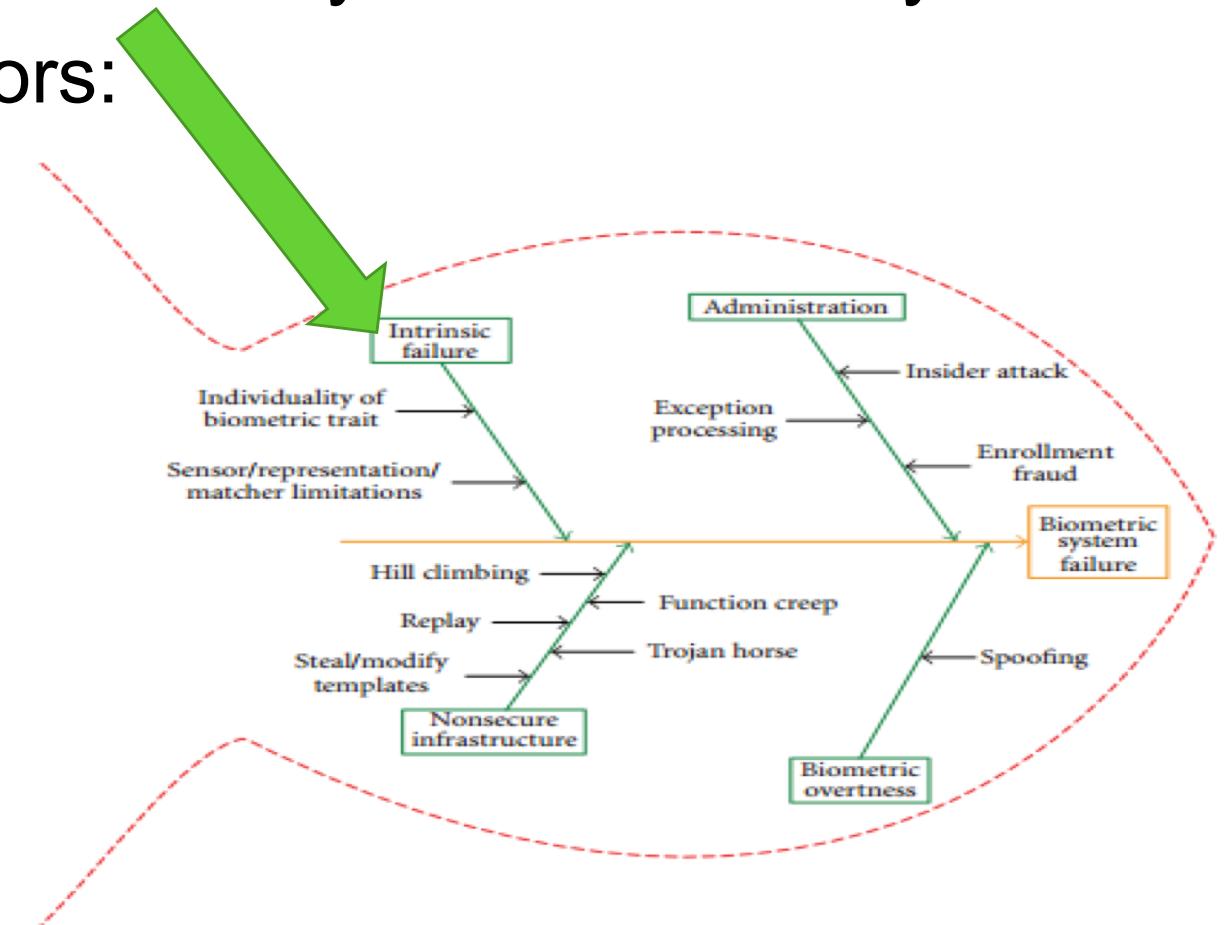
# Biometric System Failures



Jain, Anil K., Karthik Nandakumar, and Abhishek Nagar (2008): Biometric template security. *EURASIP Journal on advances in signal processing* (article No. 113)

# Biometric System Failures

- ❖ **Intrinsic failure** is the security lapse due to an incorrect decision made by the biometric system
- ❖ Two types of errors:
  - False accept
  - False reject



# Biometric System Failures

## ❖ False accept:

- Intra-user similarity: Lack of individuality or uniqueness in the biometric trait which can lead to large similarity between feature sets of different users

## ❖ FAR - false accept rate



Bob



Alice



# Biometric System Failures

## ❖ False reject:

- Intra-user variations: due to incorrect interaction by the user with the biometric or the noise introduced at the sensor



## ❖ FRR – false reject rate



Alice



Not  
Alice

# Biometric System Failures

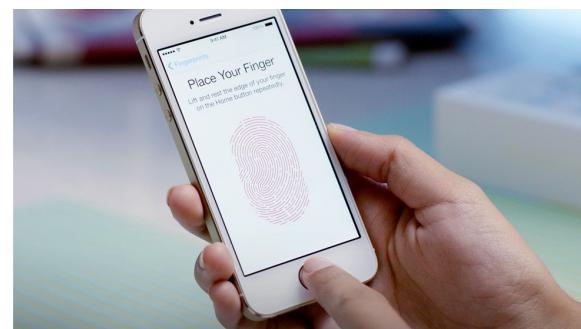
- ❖ **Intrinsic failures** can occur even when there is no explicit effort by an adversary to circumvent the system. So this type of failure is also known as **zero-effort attack**
- ❖ Reducing the probability of intrinsic failure through
  - New sensors: acquire the biometric traits of an individual in a more reliable, convenient, and secure manner
  - Development of invariant representation schemes, robust and efficient matching algorithms
  - Multi-biometric systems

# Biometric System Failures

- ❖ **Adversary attacks:** an adversary intentionally attack to the biometric system
- ❖ Three main classes:
  - Administration attack: due to improper administration of the biometric system (insider attack)
  - Non-secure infrastructure: hardware, software, and the communication channels
  - Biometric overtess: not distinguish between a live biometric presentation and an artificial spoof

# Effects of biometric system failure

- ❖ When a biometric system is compromised, it can lead to two main effects
  - Denial-of-service: a legitimate user is prevented from obtaining the service that he is entitled to
    - Sabotage the infrastructure
    - Modification of templates or the operating parameters (e.g., matching threshold)
  - Intrusion
    - Unauthorized access to personal information

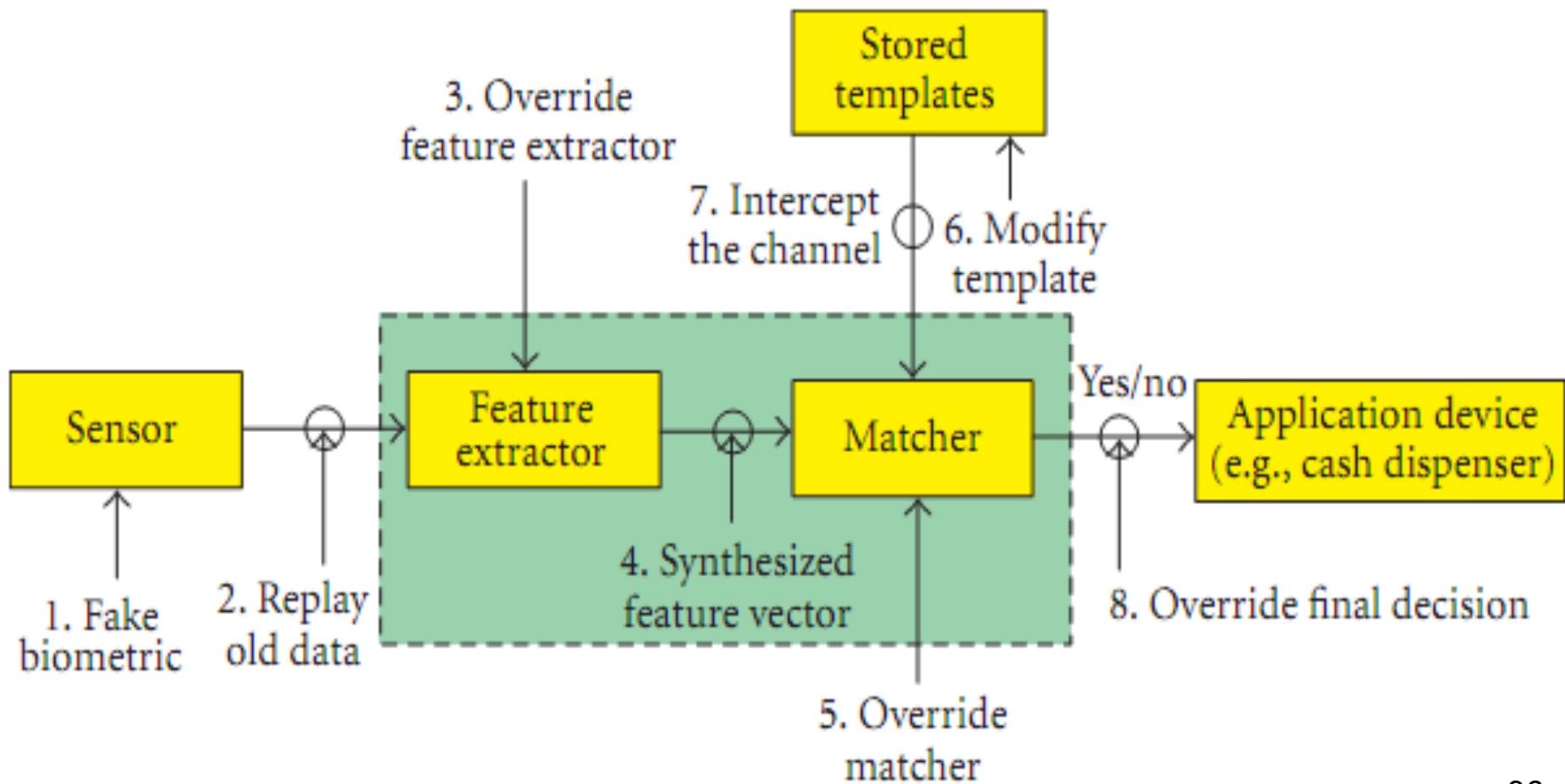


# Part 2: Biometric System Architecture

- ❖ Biometric Authentication System
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# Countering adversary attacks

- ❖ Adversary attacks generally exploit the system vulnerabilities at one or more modules or interfaces



# Countering adversary attacks

- ❖ Attacks at the user interface
- ❖ Attacks at the interface between modules
- ❖ Attacks on the software modules
- ❖ Attacks on the template database

# Countering adversary attacks

## ❖ Attacks at the user interface:

- The sensor is unable to distinguish between fake and genuine biometric traits
- Countermeasure: develop hardware as well as software solutions that are capable of performing liveness detection



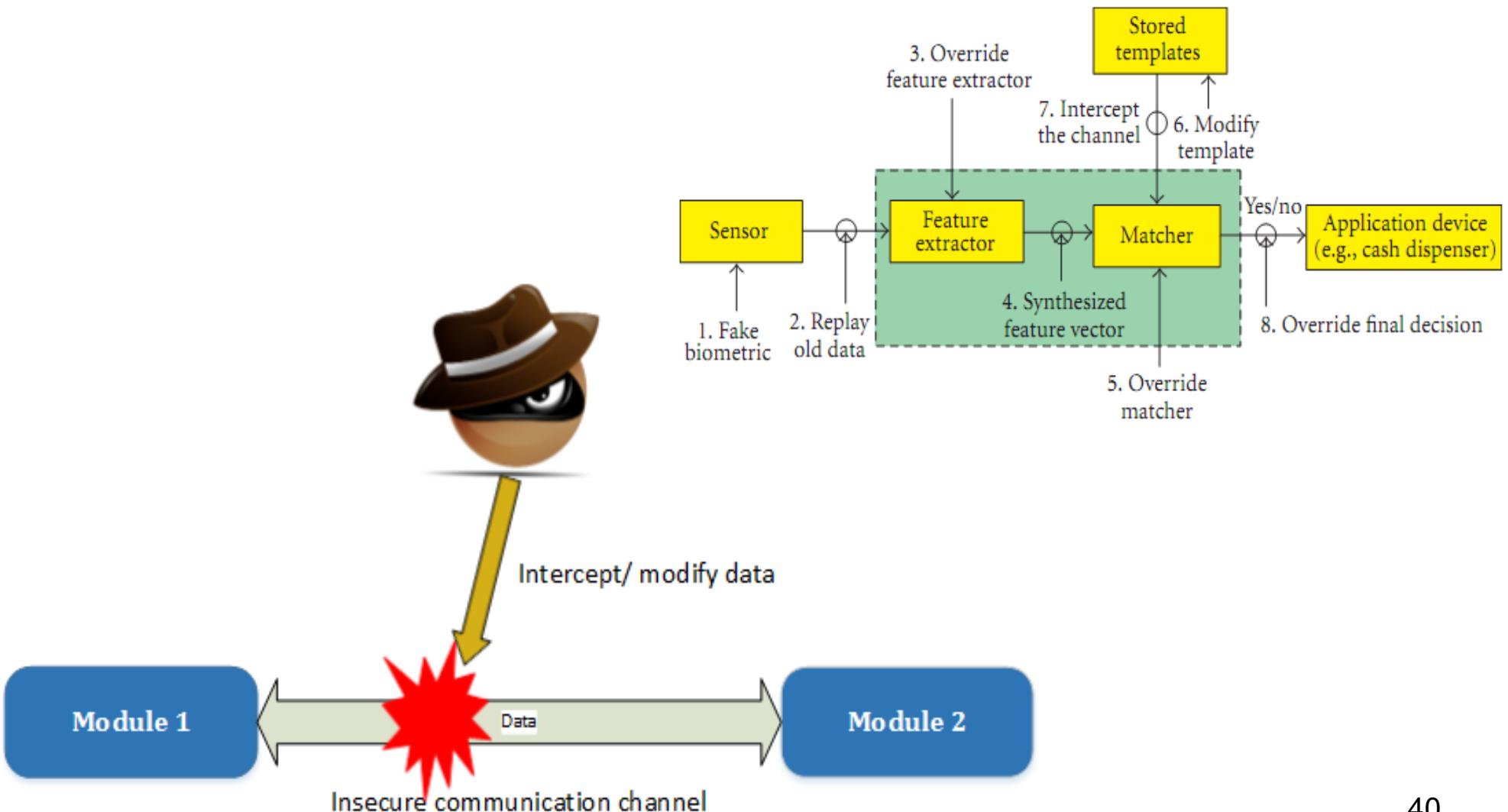
# Countering adversary attacks

## ❖ **Attacks at the interface between modules:**

- Sabotage or intrude on the communication interfaces between different modules
- If the channel is not secured physically or cryptographically, an adversary may also intercept and/or modify the data being transferred
- Countermeasure: secure a channel by cryptography combining with time-stamps or a challenge/response mechanism

# Countering adversary attacks

## ❖ Attacks at the interface between modules:



# Countering adversary attacks

## ❖ Attacks on the software modules:

- The executable program at a module can be modified such that it always outputs the values desired by the adversary
- Countermeasure: Secure code execution practices or specialized hardware which can enforce secure execution of software should be used

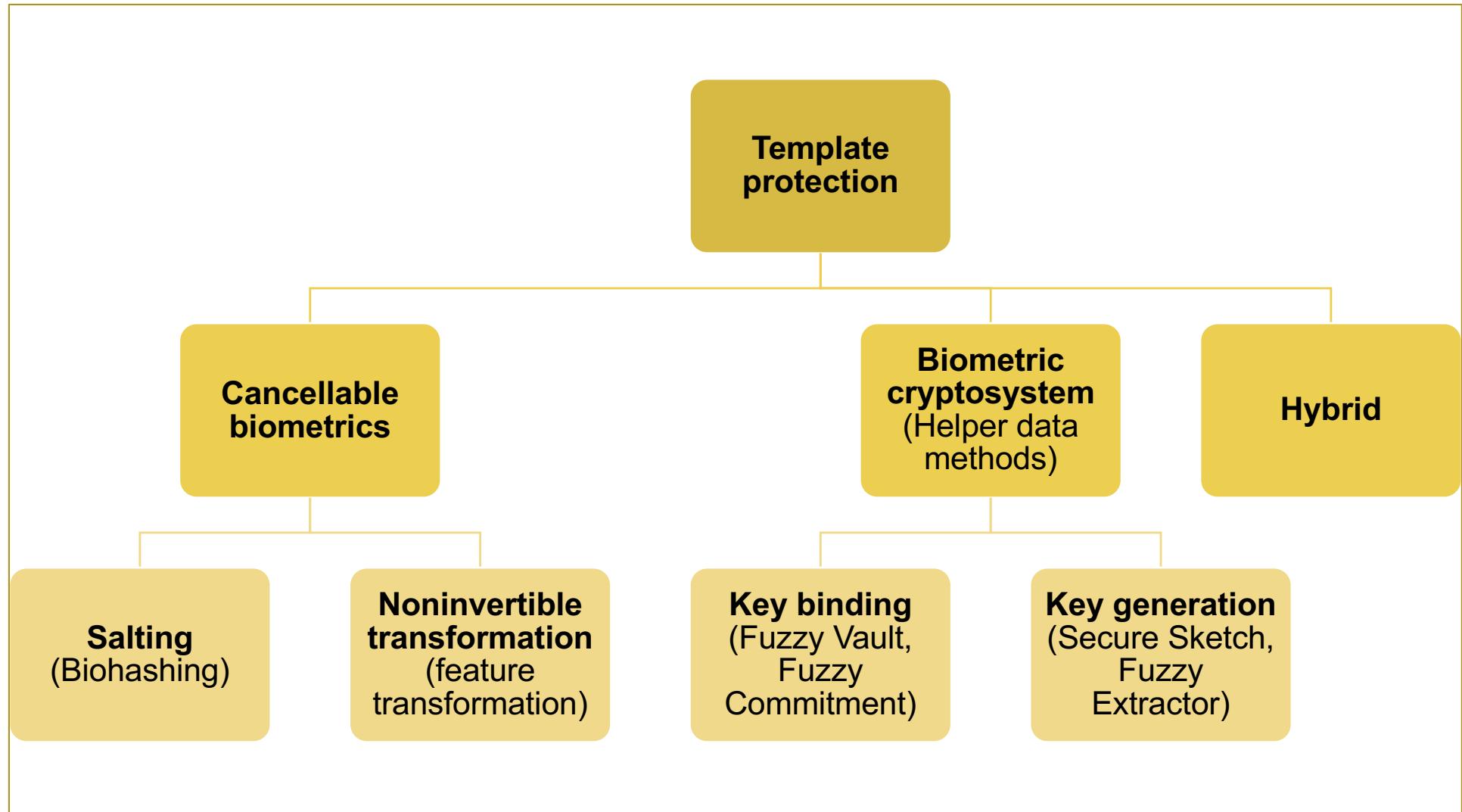
# Countering adversary attacks

## ❖ Attacks on the template database:

- The most potentially damaging attack on a biometric system
- A template can be replaced by an impostor's template to gain unauthorized access
- A physical spoof can be created from the template to gain unauthorized access to the system (as well as other systems which use the same biometric trait)
- The stolen template can be replayed to the matcher to gain unauthorized access

For the remaining parts, we particularly focus on template protection approaches

# Template protection



Thank you!

