

# Reading-13

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# 1 Axiom of choice

Suppose  $\mathcal{C}$  is a non empty collection of sets then the Cartesian product of all sets in  $\mathcal{C}$  is written as:

$$\prod_{C \in \mathcal{C}} C$$

If  $\mathcal{C}$  is an infinite collection of sets then we need infinite ordered tuple of elements. Then we need a function  $\alpha : \mathcal{C} \rightarrow \bigcup \mathcal{C}$ . Then for each  $C \in \mathcal{C}$  we have  $\alpha(C) \in C$ . The function  $\alpha(C)$  should give the  $C$ -th coordinate of the infinite tuple. We can formally define this as:

## Definition 1: Cartesian Product for infinite sets

Let  $\mathcal{C}$  denote a non empty collection of sets. The Cartesian product  $\prod_{C \in \mathcal{C}} C$  is the set of all functions  $\alpha : \mathcal{C} \rightarrow \bigcup \mathcal{C}$  with the property  $\alpha(C) \in C$ .

We can use this definition to redefine the Cartesian product, even for finitely many sets. We can define an ordered pair  $(a, b) \in A \times B$  as  $(\alpha(A), \alpha(B)) \in A \times B$ .

The problem arises when for an infinite number of sets in  $\mathcal{C}$  we cannot show (using the standard axioms of set theory) that:

$$\prod_{C \in \mathcal{C}} C \neq \emptyset$$

For this we need a new axiom.

## Axiom 1: Axiom of Choice

The Cartesian product of any non-empty collection of non-empty sets is non-empty.

This axiom is also equivalent to the existence of a choice function for every non-empty collection of set  $\mathcal{C}$ .

## 2 Zorn's Lemma and the Well-Ordering Theorem

Another statement about partially ordered set is logically equivalent to Axiom of choice.

### Theorem 1: Zorn's Lemma

Let  $A$  be a partially ordered set with order relation  $\preceq$ . Suppose that every chain in  $A$  has an upper bound in  $A$ , then  $A$  has a maximal element.

A Corollary that follows from Zorn's Lemma is:

### Corollary 1

Suppose that  $A$  is a partially ordered set with order relation  $\preceq$  then every chain  $\mathcal{C}$  in  $A$  is contained in a maximal chain  $\mathcal{M}$ .

*Proof.* Let  $\mathcal{C}$  be an arbitrary chain in  $A$ . Consider the set  $\Gamma$  of all chains  $\mathcal{C}$  in  $A$ . The subset relation  $\subseteq$  relation on  $\mathcal{P}(A)$  giving the order relation between elements of  $\Gamma$ . Now suppose we have a chain  $\mathcal{D}$  in the ordered set  $\Gamma$ . We can show that  $\mathcal{D}$  is an upper bound in  $\gamma$

If we define  $\mathcal{C}_0 = \bigcup \mathcal{D}$ , the union of all the, clearly for each  $\mathcal{C}' \in \mathcal{D}$  we have  $\mathcal{C}' \subseteq \mathcal{C}_0$ . Since  $\mathcal{C}_0$  contains every chain of  $\mathcal{D}$ , each of which contains  $\mathcal{C}$  we know that  $\mathcal{C}_0$  contains  $\mathcal{C}$ . Therefore  $\mathcal{C}_0$  is an upper bound on  $\mathcal{D}$  by definition. If we can verify  $\mathcal{C}_0 \in \Gamma$  that means  $\mathcal{C}_0$  is a chain in  $A$

Suppose we have  $a_1, a_2 \in \mathcal{C}_0$ . By construction each element of  $a_1$  and  $a_2$  belong to a chain in  $\mathcal{D}$ , so we can write  $a_1 \in \mathcal{C}_1$  and  $a_2 \in \mathcal{C}_2$ . Since  $\mathcal{D}$  is a chain with respect to the relation  $\subseteq$ , we can either have  $\mathcal{C}_1 \subseteq \mathcal{C}_2$  or we can have  $\mathcal{C}_2 \subseteq \mathcal{C}_1$ . Without loss of generality we say  $\mathcal{C}_1 \subseteq \mathcal{C}_2$ . Thus both  $a_1, a_2 \in \mathcal{C}_2$ . Since  $\mathcal{C}_2$  is a chain, the elements in  $\mathcal{C}_2$  are comparable with  $\preceq$ , this verifies that  $\mathcal{C}_0$  is a chain in  $A$ , as  $\mathcal{C}_0 \in \Gamma$ .

Now if we apply Zorns lemma to  $\Gamma$  which is ordered by  $\subseteq$ , there is a chain  $\mathcal{M} \in \Gamma$ , maximal with respect to the containment relation  $\subseteq$ . This is a chain in  $A$  with respect to the ordering  $\preceq$ , as it is maximal with with respect to  $\subseteq$  all chains  $\mathcal{C}$  of  $A$  are contained in  $\mathcal{M}$  and it is not properly contained in any chain.

□

The well ordering theorem is another statement known to be equivalent to axiom of choice. We can define the WOP of  $\mathbb{N}$  more generally.

**Definition 2: Well ordering**

Suppose  $A$  is a set with order relation  $\preceq$ . The ordered set  $A$  is said to be well ordered if every non empty subset of  $A$  has a least element with respect to the relation  $\preceq$

This leads to the well ordering theorem:

**Theorem 2: Well-Ordering Theorem**

Every non empty set has a well ordering.

In other words, if  $A$  is a non empty set then there is a an order relation  $\preceq$  on  $A$ , such that  $A$  is well ordered with respect to  $\preceq$ . This means that the set  $\mathbb{R}$  has as well ordering, not with respect to the relation  $\leq$  as this fails for any open interval in  $\mathbb{R}$  but according to *well ordering theorem* there exists a relation  $\preceq$  on  $\mathbb{R}$  on which every subset of  $\mathbb{R}$  will have a least element with respect to  $\preceq$  which is not the relation  $\leq$ .

The 3 statements Axiom of choice, Well-Ordering Theorem, Zorn's Lemma are all logically equivalent.