

## 7

### Mathematical Preliminaries

Internals of a graphics engine

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# Homogeneous Coordinates

- A point with Cartesian coordinates  $(x, y, z)$  can be expressed in homogeneous coordinates as  $(hx, hy, hz, h)$  where  $h$  is a **non-zero** real number.

`glVertex3f (10, 2, -3);`

`glVertex4f (10, 2, -3, 1);`

`glVertex4f (60, 12, -18, 6)`

`glVertex4f (-20, -4, 6, -2)`

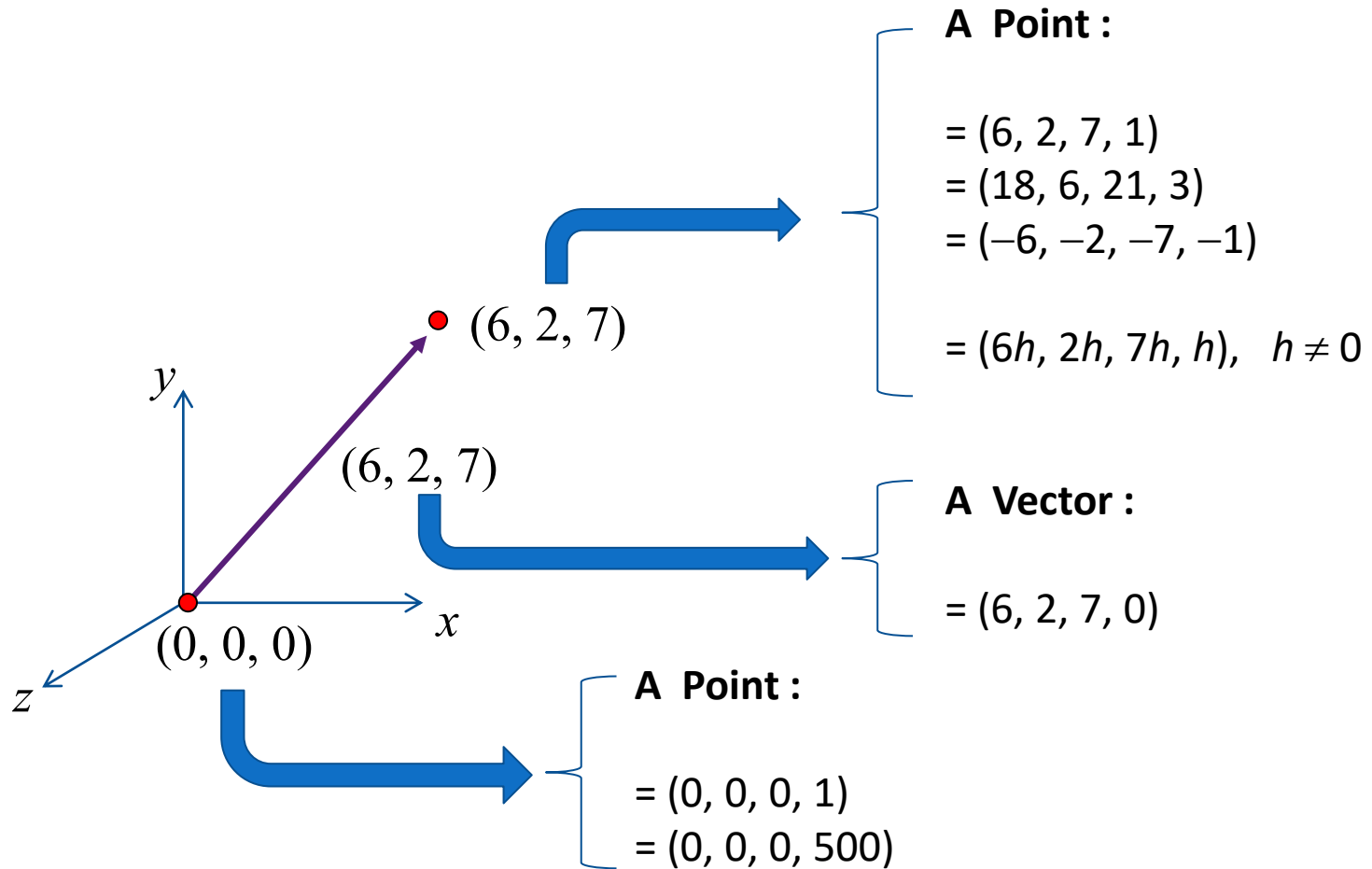
} Different representations  
of the same point

- To convert from homogeneous coordinates to Cartesian coordinates, divide the first three components by the fourth element:  $(a, b, c, d) \equiv (a/d, b/d, c/d)$

Example: The xyz coordinates of the point  $(12, -16, 1, 4)$  are  $(3, -4, 0.25)$

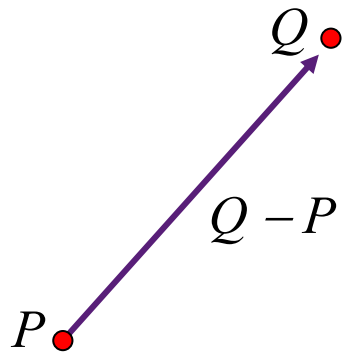
- A vector with components  $(x, y, z)$  is represented in homogeneous coordinates as  $(x, y, z, 0)$ .

# Points and Vectors in Homogeneous Coordinates



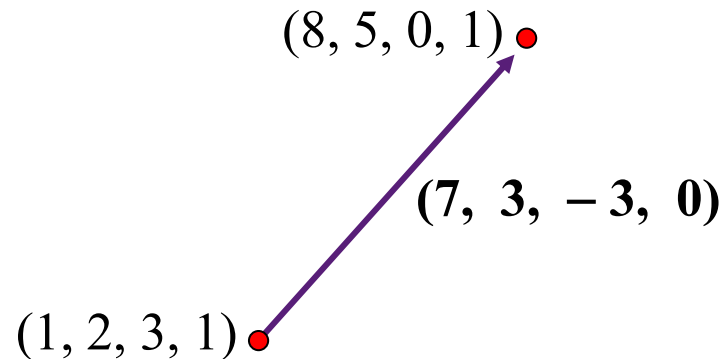
# Point Operations

The difference between two points is a vector.



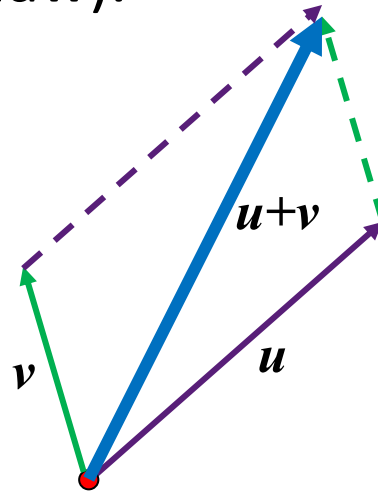
Example:

Note: If using homogeneous coordinates, the fourth element for both points must be 1.

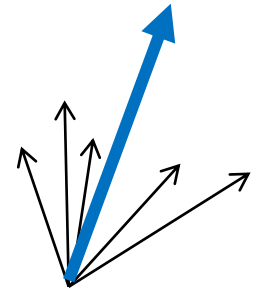
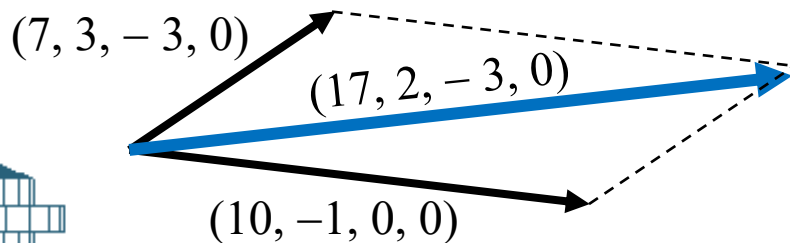


# Vector Operations

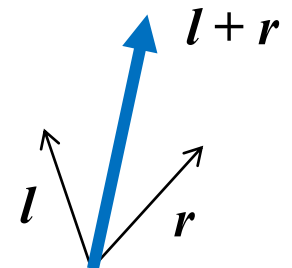
The sum of two vectors is a vector (obtained using parallelogram law).



Example:



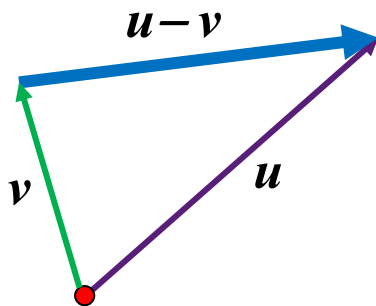
Adding several vectors at a point gives a vector in the average direction.



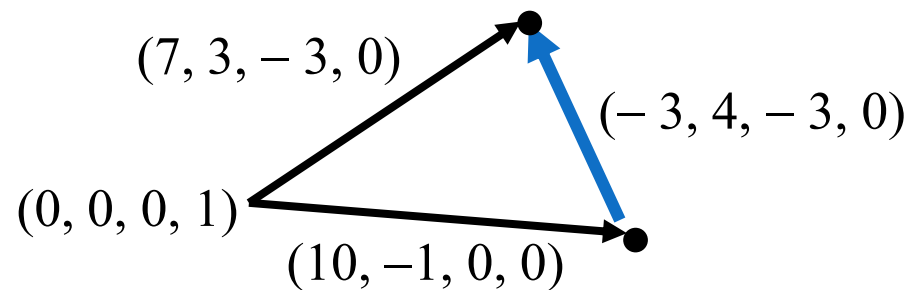
If the vectors have equal magnitude, their sum is a vector that makes equal angles with both vectors.

# Vector Operations

The difference between two vectors is also a vector.

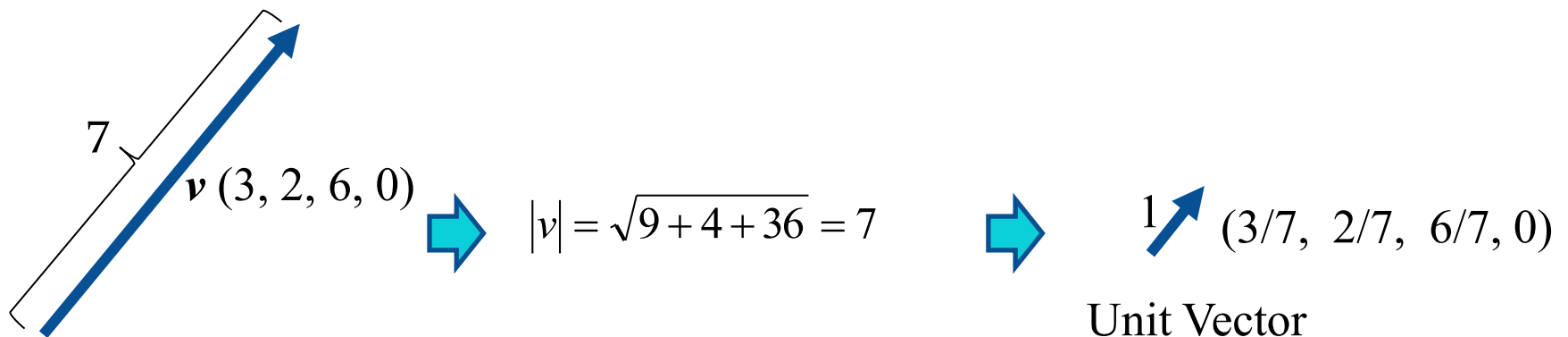


Example:



# Unit Vector

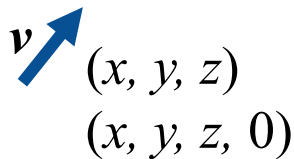
- If  $\mathbf{v} = (x, y, z)$  denotes a vector, its magnitude is given by  $|\mathbf{v}| = \sqrt{x^2 + y^2 + z^2}$
- A **unit vector** is a vector with magnitude 1.
- **Normalization** is the process of converting a vector to a unit vector by dividing each of its components by its magnitude.



# Unit Vector

Given a *unit* vector  $\mathbf{v} = (x, y, z)$  along a particular direction, a vector with magnitude  $k$  in that direction is obtained as

$$\mathbf{w} = k \mathbf{v}$$

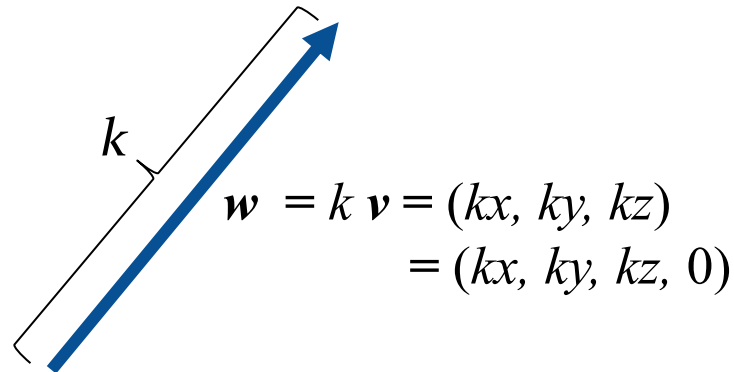


A blue arrow representing a unit vector  $\mathbf{v}$  pointing upwards and to the right. To its right are the coordinates  $(x, y, z)$  and  $(x, y, z, 0)$ .

$$\mathbf{v} \begin{pmatrix} x, y, z \\ (x, y, z, 0) \end{pmatrix}$$

Unit Vector

$$x^2 + y^2 + z^2 = 1.$$



A blue arrow representing a vector  $\mathbf{w}$  pointing upwards and to the right. A bracket along its length is labeled  $k$ . To its right are the coordinates  $\mathbf{w} = k \mathbf{v} = (kx, ky, kz)$  and  $= (kx, ky, kz, 0)$ .

$$\mathbf{w} = k \mathbf{v} = (kx, ky, kz) \\ = (kx, ky, kz, 0)$$



# Vector Dot Product

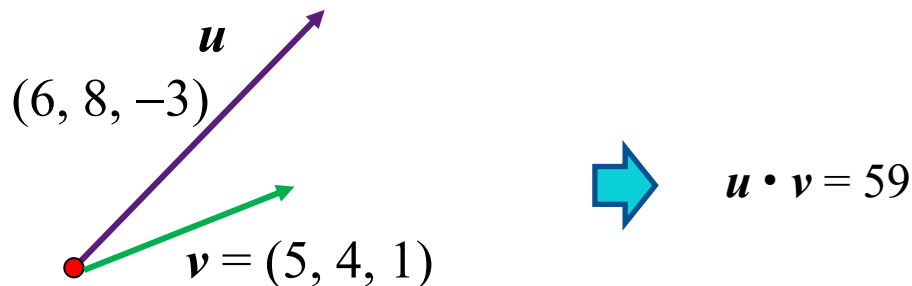
- The **dot product** of two vectors

$$\mathbf{v}_1 = (x_1, y_1, z_1) \quad \text{and}$$

$$\mathbf{v}_2 = (x_2, y_2, z_2) \quad \text{is given by}$$

$$\mathbf{v}_1 \bullet \mathbf{v}_2 = x_1x_2 + y_1y_2 + z_1z_2$$

- The dot product is a *scalar value*, not a vector.



# Angle between two vectors

If  $\mathbf{v}_1$  and  $\mathbf{v}_2$  denote two vectors, then the angle  $\phi$  between them is given by the following equation:

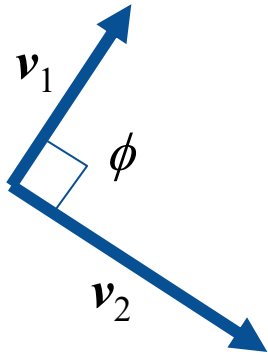
$$\cos \phi = \left( \frac{\mathbf{v}_1}{|\mathbf{v}_1|} \right) \bullet \left( \frac{\mathbf{v}_2}{|\mathbf{v}_2|} \right) = \text{The dot product of the corresponding } \mathbf{unit} \text{ vectors}$$

Example: Compute the angle between the vectors  $(2, 3, 3)$  and  $(1, 1, 0)$ :

- Normalize both vectors:  $(0.426, 0.64, 0.64)$ ,  $(0.707, 0.707, 0)$
- Compute the dot product:  $0.754$  ( $= \cos \phi$ )
- $\phi = \cos^{-1}(0.754) = 41.06$  Degr.

# Orthogonality of Vectors

- Two vectors  $\mathbf{v}_1$ ,  $\mathbf{v}_2$  are perpendicular (orthogonal) to each other if and only if  $\mathbf{v}_1 \bullet \mathbf{v}_2 = 0$ .

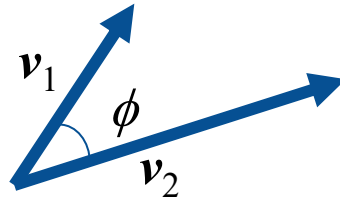


$$\mathbf{v}_1 \bullet \mathbf{v}_2 = 0 \iff \cos \phi = 0 \iff \phi = \pm 90 \text{ degs}$$

- Example: Show that the vectors  $(5, 2, -8)$  and  $(2, 7, 3)$  are perpendicular.
  - Compute the dot product:  $10 + 14 - 24 = 0$
  - Since the dot product is 0, the vectors are orthogonal to each other. (There is no need to normalize the vectors)

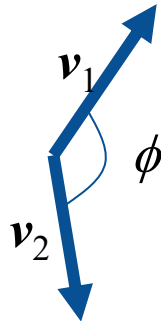
# Relative Orientation

- A vector  $\mathbf{v}_1$  is said to be oriented towards another vector  $\mathbf{v}_2$  if  $\mathbf{v}_1 \bullet \mathbf{v}_2 > 0$ .



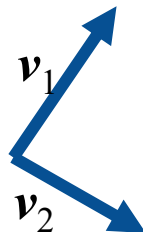
$$\cos \phi > 0$$
$$\phi < \pi/2$$

- A vector  $\mathbf{v}_1$  is said to be oriented in the opposite direction as another vector  $\mathbf{v}_2$  if  $\mathbf{v}_1 \bullet \mathbf{v}_2 < 0$ .



$$\cos \phi < 0$$
$$\phi > \pi/2$$

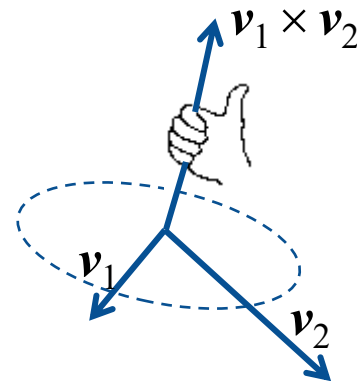
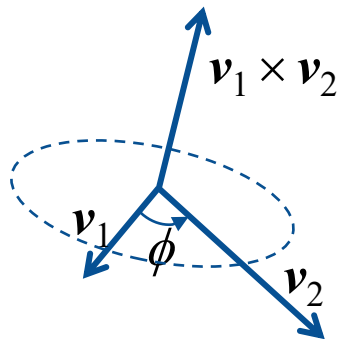
- The third possibility is that the vectors are orthogonal.



$$\cos \phi = 0$$
$$\phi = \pi/2$$

# Vector Cross Product

- The cross product of two vectors  $\mathbf{v}_1 = (x_1, y_1, z_1)$  and  $\mathbf{v}_2 = (x_2, y_2, z_2)$  is a *vector* given by  $\mathbf{v}_1 \times \mathbf{v}_2 = (y_1 z_2 - y_2 z_1, z_1 x_2 - z_2 x_1, x_1 y_2 - x_2 y_1)$ .
- The above vector is perpendicular to both  $\mathbf{v}_1$  and  $\mathbf{v}_2$ . The direction of  $\mathbf{v}_1 \times \mathbf{v}_2$  is given by the right-hand rule



# Vector Cross Product

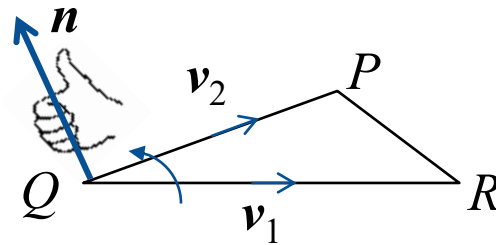
If  $\phi$  is the angle between the vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$ ,

$$\sin \phi = \left\| \left( \frac{\mathbf{v}_1}{|\mathbf{v}_1|} \right) \times \left( \frac{\mathbf{v}_2}{|\mathbf{v}_2|} \right) \right\|$$

If  $\mathbf{v}_1$  and  $\mathbf{v}_2$  are parallel, then  $\mathbf{v}_1 \times \mathbf{v}_2$  is a zero vector.

# Surface Normal Vector: Triangle

- Consider a triangle with vertices  $P = (x_1, y_1, z_1)$ ,  $Q = (x_2, y_2, z_2)$  and  $R = (x_3, y_3, z_3)$ .



- We form two vectors at Q:  $\mathbf{v}_1 = R - Q$ , and  $\mathbf{v}_2 = P - Q$ .

$$\mathbf{v}_1 = (x_3 - x_2, y_3 - y_2, z_3 - z_2), \quad \mathbf{v}_2 = (x_1 - x_2, y_1 - y_2, z_1 - z_2)$$

- The cross product  $\mathbf{v}_1 \times \mathbf{v}_2$  gives the normal vector for the plane of the triangle. The normal vector is denoted by  $\mathbf{n}$ .

$$\mathbf{n} = ( (y_3 - y_2)(z_1 - z_2) - (y_1 - y_2)(z_3 - z_2), \quad (z_3 - z_2)(x_1 - x_2) - (z_1 - z_2)(x_3 - x_2), \\ (x_3 - x_2)(y_1 - y_2) - (x_1 - x_2)(y_3 - y_2) )$$

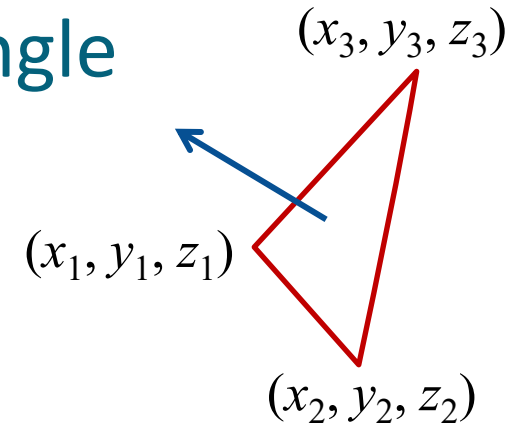
$$= ( y_1(z_2 - z_3) + y_2(z_3 - z_1) + y_3(z_1 - z_2), \quad z_1(x_2 - x_3) + z_2(x_3 - x_1) + z_3(x_1 - x_2), \\ x_1(y_2 - y_3) + x_2(y_3 - y_1) + x_3(y_1 - y_2) )$$

# Surface Normal Vector: Triangle

Input: 3 vertices of a triangle.

```
void normal(float x1, float y1, float z1,
           float x2, float y2, float z2,
           float x3, float y3, float z3 )
{
    float nx, ny, nz;
    nx = y1*(z2-z3)+ y2*(z3-z1)+ y3*(z1-z2);
    ny = z1*(x2-x3)+ z2*(x3-x1)+ z3*(x1-x2);
    nz = x1*(y2-y3)+ x2*(y3-y1)+ x3*(y1-y2);

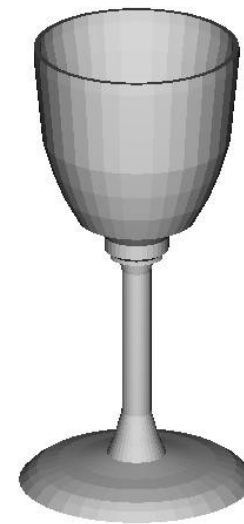
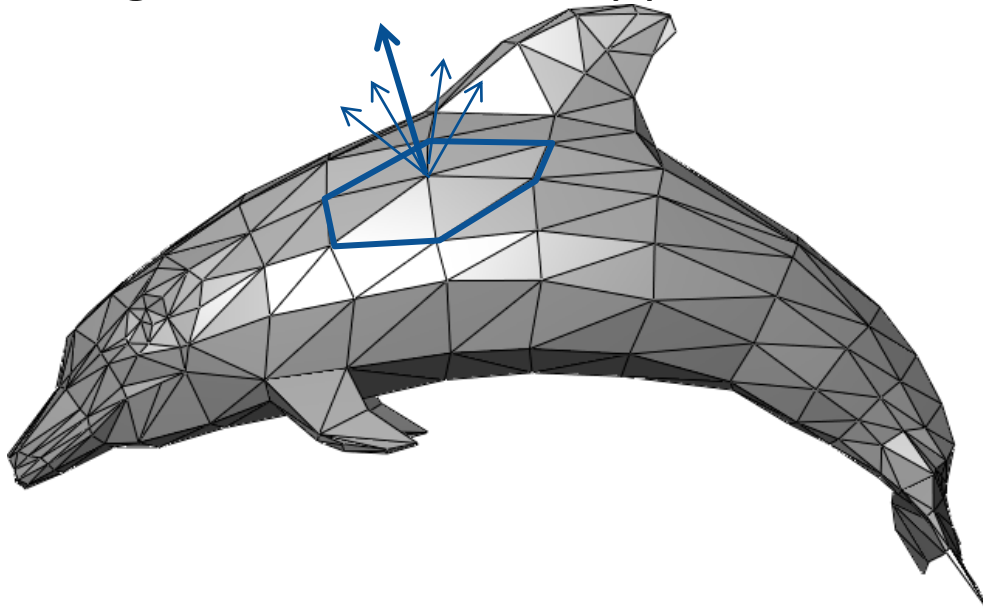
    glNormal3f(nx, ny, nz);
}
```



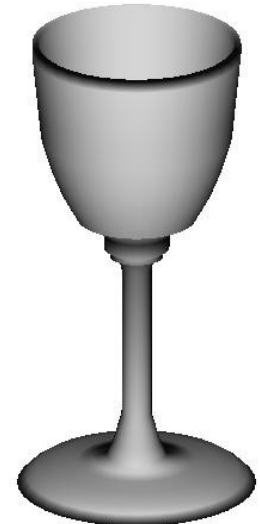


# Face Normal vs. Vertex Normal

- Face normal: Assigning a single normal vector to a triangle gives a nearly uniform shade of colour to the whole triangle. The polygonal structure of the object becomes clearly visible.
- Vertex normal: The surface normal vectors at a vertex are all added together to get the average normal vector at that vertex. This gives a smoother appearance of the surface.



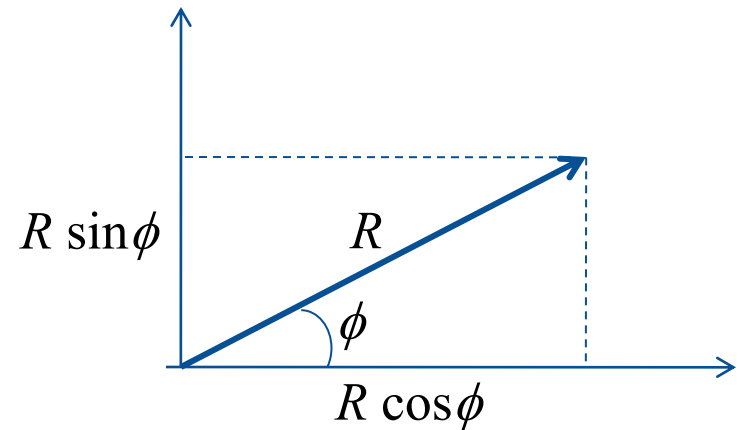
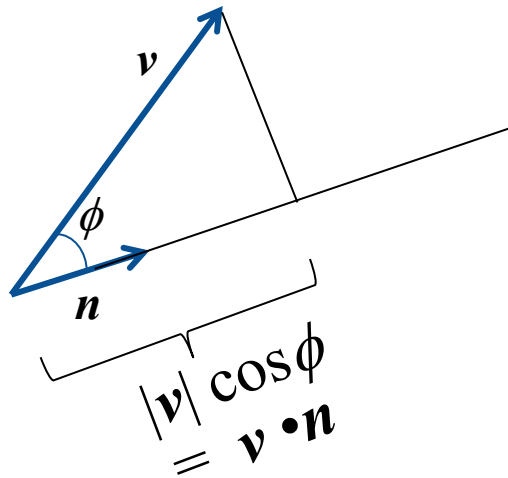
Face  
Normal



Vertex  
Normal

# Projection of a Vector

Often, it is required to compute the component (projection) of a vector  $\mathbf{v}$  along the direction of another unit vector  $\mathbf{n}$ .



Projections along  
orthogonal directions

- The length of the projection of  $\mathbf{v}$  along  $\mathbf{n}$  is  $\mathbf{v} \cdot \mathbf{n}$
- The projected vector is  $(\mathbf{v} \cdot \mathbf{n}) \mathbf{n}$  (slide 7)

# Matrices

- OpenGL uses 4x4 matrices for representing transformations.
- A 4x4 matrix may be stored in a two-dimensional array  $a[i][j]$ :  $i$  = row index (0..3),  $j$  = column index (0..3).
- Alternatively, the matrix can be stored in a single array  $m[k]$ ,  $k = 0..15$ , in either row-major order or column-major order. OpenGL always stores matrices in column-major order.

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix}$$

$$\begin{bmatrix} m_0 & m_1 & m_2 & m_3 \\ m_4 & m_5 & m_6 & m_7 \\ m_8 & m_9 & m_{10} & m_{11} \\ m_{12} & m_{13} & m_{14} & m_{15} \end{bmatrix}$$

(Row Major Order)

$$\begin{bmatrix} m_0 & m_4 & m_8 & m_{12} \\ m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \end{bmatrix}$$

(Column Major Order)



OpenGL

# Matrices

- Identity Matrix

$$I = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- For any matrix **A**, **AI = IA = A**

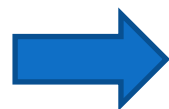
- OpenGL Example:

```
float matrix[16]={0.5, 3.0, 0.1, 0, 0, 10., 6.0, 0,  
                 8.0, 1.0,-4.2, 0, -2.0, 0, 9.0, 1.0};
```

```
glMatrixMode(GL_MODELVIEW);
```

```
glLoadIdentity();
```

```
glMultMatrixf(matrix);
```



$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Matrix Product

The multiplication of a 4x4 matrix and a 4x1 vector gives a 4x1 vector.

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00}x + a_{01}y + a_{02}z + a_{03} \\ a_{10}x + a_{11}y + a_{12}z + a_{13} \\ a_{20}x + a_{21}y + a_{22}z + a_{23} \\ a_{30}x + a_{31}y + a_{32}z + a_{33} \end{bmatrix}$$

Example:

$$\begin{bmatrix} 3 & 0 & 1 & 1 \\ -2 & 1 & 5 & 0 \\ 1 & -1 & 2 & 1 \\ 0 & 4 & 1 & -3 \end{bmatrix} \begin{bmatrix} 2 \\ 7 \\ -2 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ -7 \\ -8 \\ 23 \end{bmatrix}$$

# Matrix Product

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} b_{00} & b_{01} & b_{02} & b_{03} \\ b_{10} & b_{11} & b_{12} & b_{13} \\ b_{20} & b_{21} & b_{22} & b_{23} \\ b_{30} & b_{31} & b_{32} & b_{33} \end{bmatrix} = \begin{bmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{bmatrix}$$

$$c_{12} = a_{10} b_{02} + a_{11} b_{12} + a_{12} b_{22} + a_{13} b_{32}$$

General formula:  $c_{ij} = \sum_{k=0}^3 a_{ik} b_{kj}$

Example:

$$\begin{bmatrix} 1 & 0 & 1 & 2 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & -1 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0.7 & 0 & 0.7 & 0 \\ 0 & 1 & 0 & 0 \\ -0.7 & 0 & 0.7 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1.4 & 2 \\ 0 & 1 & 0 & 0 \\ 1.4 & 0 & 0 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Matrix multiplication is **non-commutative**. In general,  $AB \neq BA$



# Transformation Matrix

The transformation of a point  $(x, y, z, 1)$  to another point  $(x', y', z', 1)$  can be expressed as a matrix-vector multiplication:

$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

Transformed  
point

A general  
transformation  
matrix

Input point

# Translation Matrix

- The translation of a point  $(x, y, z, 1)$  by  $(a, b, c)$  yields another point  $(x+a, y+b, z+c, 1)$

$$\begin{bmatrix} x+a \\ y+b \\ z+c \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Translation  
Matrix

- OpenGL function: `glTranslatef(a, b, c)`



# Translation Matrix

The translation matrix has no effect on a vector  $(x, y, z, 0)$ :

$$\begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}$$

Translation  
Matrix

# Scale Matrix

- The scaling of a point  $(x, y, z, 1)$  by factors  $(a, b, c)$  yields another point  $(xa, yb, zc, 1)$

$$\begin{bmatrix} xa \\ yb \\ zc \\ 1 \end{bmatrix} = \begin{bmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Scale Matrix

- OpenGL function: `glScalef(a, b, c)`

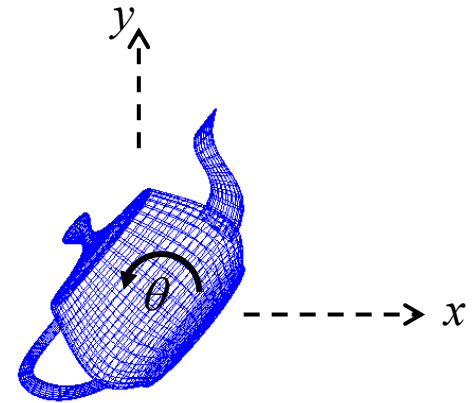
# Rotation About the Z-axis

- Equations:

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$



- Matrix Form: 
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 0, 1)`

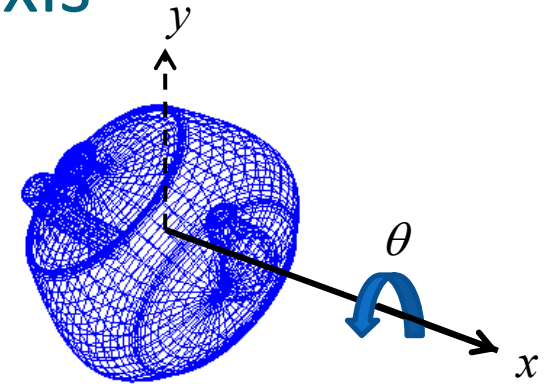
# Rotation About the X-axis

- Equations:

$$x' = x$$

$$y' = y \cos \theta - z \sin \theta$$

$$z' = y \sin \theta + z \cos \theta$$



- Matrix Form: 
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 1, 0, 0)`

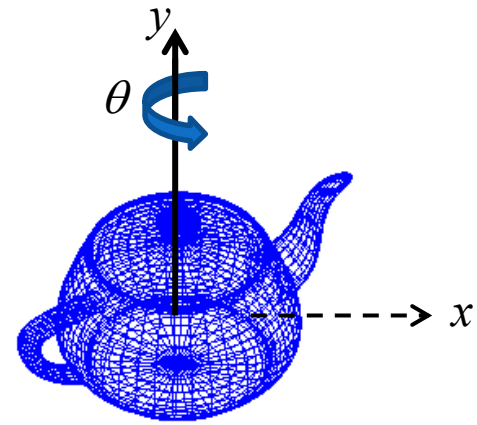
# Rotation About the Y-axis

- Equations:

$$x' = x \cos \theta + z \sin \theta$$

$$y' = y$$

$$z' = -x \sin \theta + z \cos \theta$$



- Matrix Form: 
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 1, 0)`

# Custom Transformations

User-defined transformations can be represented in matrix form and applied with other transforms.

```
float myMatrix[16]={0.5, 3.0, 0.1, 0,  
                    0, 10., 6.0, 0,  
                    8.0, 1.0,-4.2, 0,  
                    -2.0, 0, 9.0, 1.0};
```



```
glMatrixMode(GL_MODELVIEW);  
glLoadIdentity();  
gluLookAt(...)  
glPushMatrix();  
    glTranslatef(5, 2, -3);  
    glMultMatrixf(myMatrix);  
    glRotatef(25, 0, 1, 0);  
    glutSolidTeapot(1);  
glPopMatrix();
```

$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Teapot rotated → transformed using myMatrix → translated

# Affine Transformation

- A general linear transformation followed by a translation is called an affine transformation.
- Matrix form:

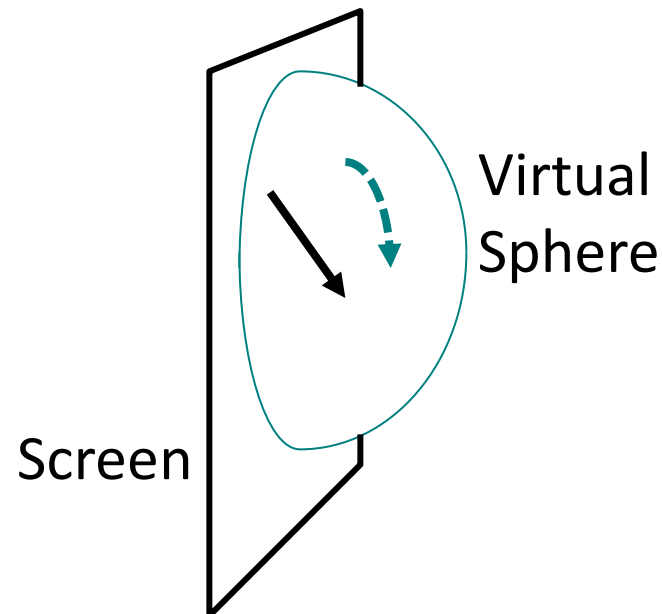
$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

- Translation, rotation, scaling and shear transformations are all affine transformations.
- Under an affine transformation, line segments transform into line segments, and parallel lines transform into parallel lines.

# Virtual Trackball

- A user interface for drag-rotating an object.
- Assume that the objects displayed on the screen are attached to a virtual sphere.
- When the mouse is dragged from one point to another on the screen, a corresponding path of rotation is generated on the sphere.

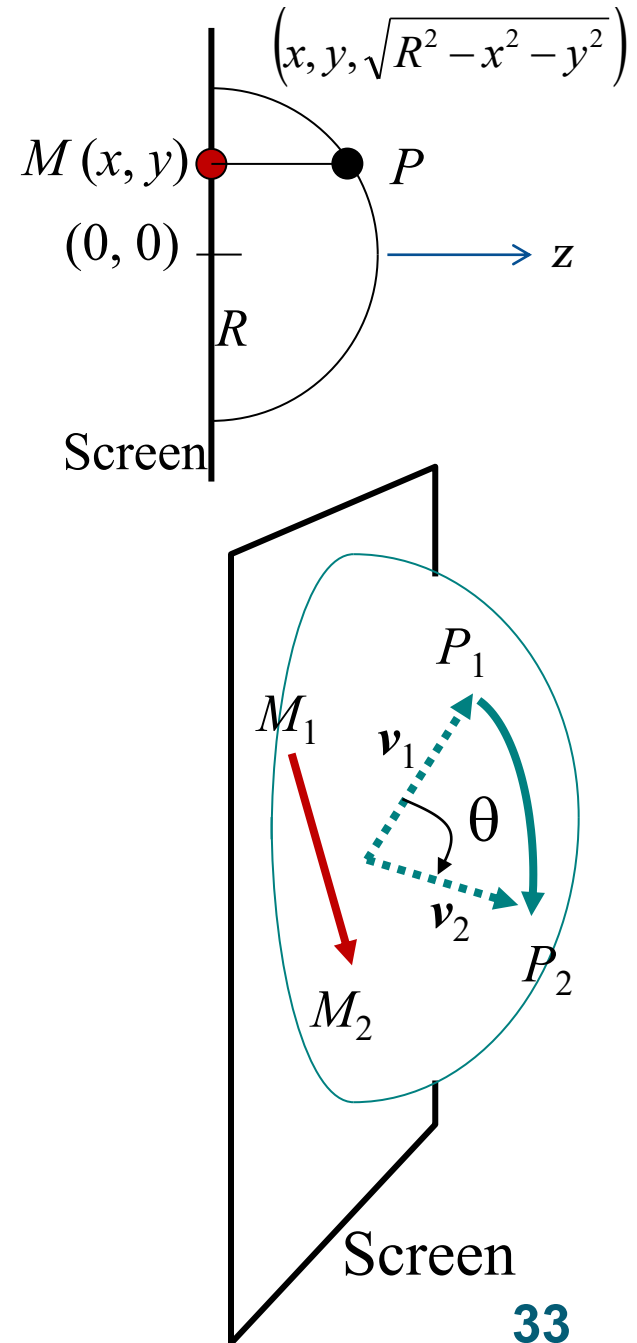
→ Mouse Drag  
- - - - - Rotation





# Virtual Trackball

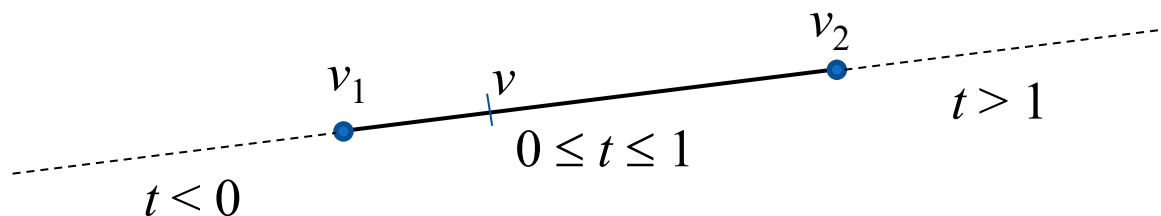
- Let  $M_1M_2$  be the path through which the mouse is dragged, and  $P_1, P_2$ , the corresponding points on the virtual sphere.
- The angle of rotation is the angle between unit vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$   
$$\theta = \cos^{-1}(\mathbf{v}_1 \bullet \mathbf{v}_2)$$
- The axis of rotation is the axis perpendicular to both  $\mathbf{v}_1$  and  $\mathbf{v}_2$ , given by  $\mathbf{v}_1 \times \mathbf{v}_2 = (l, m, n)$
- Use `glRotatef( $\theta, l, m, n$ )` to rotate the object.



# Linear Interpolation

Linear interpolation is useful in computing an in-between value, given the values  $v_1$ ,  $v_2$  of some attribute at the end points of a path.

$$v = (1-t) v_1 + t v_2, \quad 0 \leq t \leq 1.$$

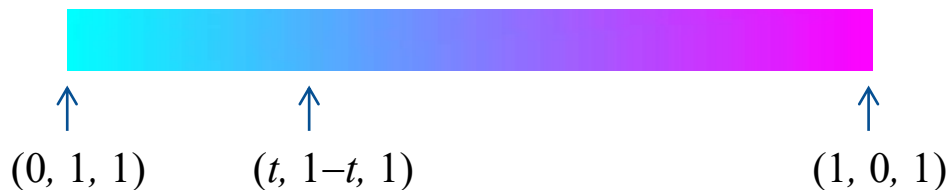


Example:

$$v_1 = (0, 1, 1)$$

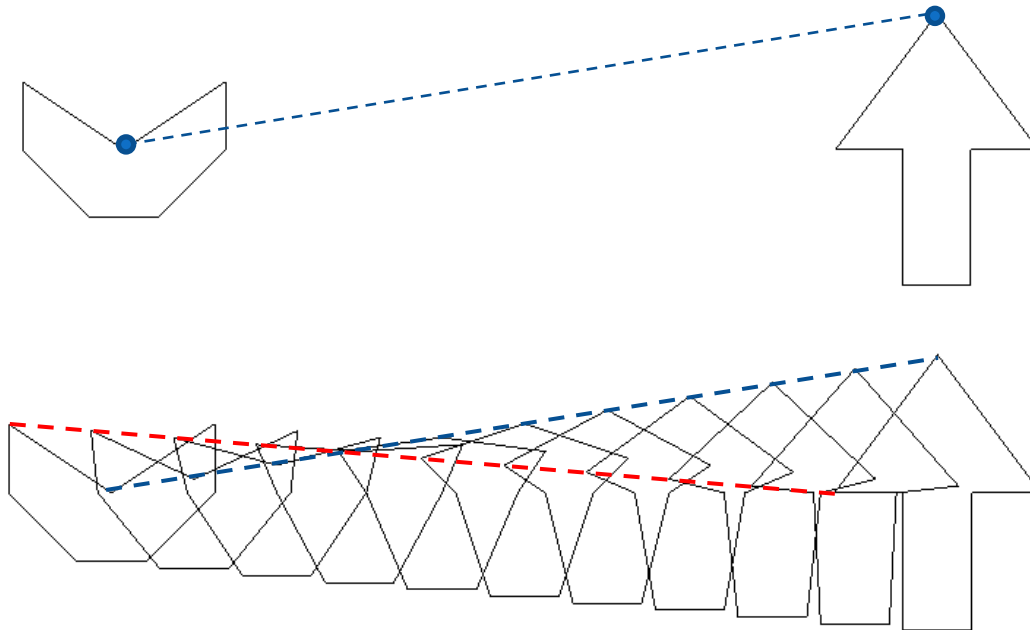
$$v_2 = (1, 0, 1)$$

$$\begin{aligned} v &= (1-t)(0, 1, 1) + t(1, 0, 1) \\ &= (t, 1-t, 1) \end{aligned}$$



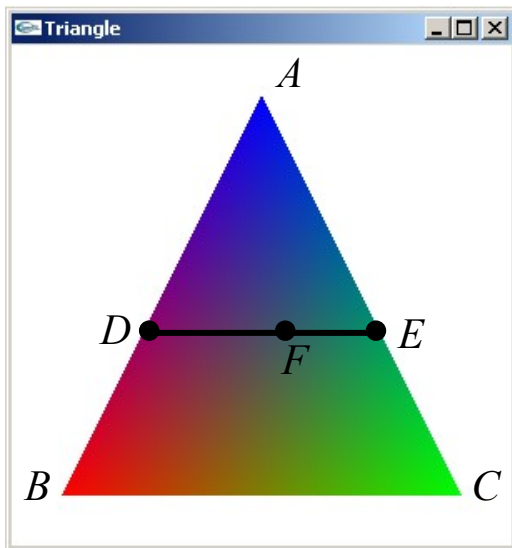
# Linear Interpolation

Interpolating between corresponding points of two shapes generates a **shape-tween**.



# Bi-Linear Interpolation

- Given the values of an attribute (such as colour) at the vertices of a triangle, bi-linear interpolation is used to obtain the values at the interior points.



$$\begin{aligned} D &= (1-t) A + t B \\ E &= (1-t) A + t C \end{aligned} \quad 0 \leq t \leq 1$$

$$F = (1-s) D + s E \quad 0 \leq s \leq 1$$



$$F = (1-k_1-k_2) A + k_1 B + k_2 C$$

Convex  
Combination

- Interpolate along the two edges  $AC$ ,  $BC$  using a single parameter  $t$ , to get  $D$ ,  $E$ .
- Interpolate along  $DE$  using a second parameter  $s$ , to get  $F$