# Predicting Manufacturing Failures with Dense and Recurrent Neural Networks

September 28, 2019

# 1 Predicting Semiconductor Manufacturing Failures with Dense and Recurrent Neural Networks

In the previous two projects, after initial data exploration and preparation, I employed a number of machine learning techniques to predict semiconductor manufacturing failures. The following techniques were utilized: \* Logistic Regression \* Decision Tree \* Gradient Boosted Decision Tree \* Support Vector Classification

In the initial project, I generated a data flow diagram to detail the necessary steps to predict the manufacturing outcome and identify the most important features of a semiconductor manufacturing process (Figure 1). After doing some intial exploratory data analysis, it became clear that manufacturing failures are not as frequent as manufacturing successes. To overcome this, I used SMOTE to resample the training dataset and provide more failures to ensure a robust training model would be fit to the test dataset.

In this project, I continue to build on the earlier work. The exploratory data analysis, data preparation, and SMOTE resampling were retained for context. From there I employed a few different deep learning techniques such as Dense and Recurrent Neural Networks to determine the most optimal model to predict semiconductor manufacturing failures.

# 2 Import data

The data must be imported along with the labels of each column. For now I've just named the features: feature1, feature2, and so on. These data were merged with the classification column (that holds the manufacturing outcome) and the date. All NA values were set to NaN for future imputation.

```
In [1]: # import semiconductor manufacturing features and label data
   import pandas as pd
   import numpy as np
   url_vars = "https://archive.ics.uci.edu/ml/machine-learning-databases/secom/secom.data
   names = ["feature" + str(x) for x in range(1, 591)] # name each feature sequentially
   semi_vars = pd.read_csv(url_vars, sep=" ", names=names, na_values = "NaN") # read in

url_labs = "https://archive.ics.uci.edu/ml/machine-learning-databases/secom/secom_labelsemi_labs = pd.read_csv(url_labs,sep=" ",names = ["classification","date"],parse_dates
```

```
In [2]: # merge data and take a look at the first 5 rows
        semi = pd.merge(semi_vars, semi_labs,left_index=True,right_index=True)
        semi.head()
Out[2]:
           feature1
                      feature2
                                  feature3
                                              feature4
                                                         feature5
                                                                   feature6
                                                                              feature7
        0
             3030.93
                       2564.00
                                 2187.7333
                                             1411.1265
                                                           1.3602
                                                                       100.0
                                                                               97.6133
        1
            3095.78
                       2465.14
                                 2230.4222
                                             1463.6606
                                                           0.8294
                                                                       100.0
                                                                              102.3433
        2
            2932.61
                       2559.94
                                 2186.4111
                                             1698.0172
                                                           1.5102
                                                                       100.0
                                                                               95.4878
        3
            2988.72
                       2479.90
                                 2199.0333
                                              909.7926
                                                           1.3204
                                                                       100.0
                                                                              104.2367
        4
            3032.24
                       2502.87
                                 2233.3667
                                             1326.5200
                                                           1.5334
                                                                       100.0
                                                                              100.3967
           feature8
                      feature9
                                 feature10
                                                  feature583
                                                               feature584
                                                                            feature585
        0
             0.1242
                        1.5005
                                    0.0162
                                                      0.5005
                                                                   0.0118
                                                                                0.0035
             0.1247
        1
                        1.4966
                                   -0.0005
                                                      0.5019
                                                                   0.0223
                                                                                0.0055
                                             . . .
        2
             0.1241
                        1.4436
                                    0.0041
                                                      0.4958
                                                                   0.0157
                                                                                0.0039
        3
             0.1217
                                   -0.0124
                        1.4882
                                                      0.4990
                                                                   0.0103
                                                                                0.0025
        4
             0.1235
                                   -0.0031
                        1.5031
                                                      0.4800
                                                                   0.4766
                                                                                0.1045
           feature586
                        feature587
                                     feature588
                                                  feature589
                                                               feature590
                                                                            classification
        0
                2.3630
                                NaN
                                             NaN
                                                          NaN
                                                                       NaN
                                                                                         -1
        1
                             0.0096
                                          0.0201
                4.4447
                                                      0.0060
                                                                 208.2045
                                                                                         -1
        2
                3.1745
                             0.0584
                                          0.0484
                                                      0.0148
                                                                  82.8602
                                                                                          1
        3
                2.0544
                             0.0202
                                          0.0149
                                                      0.0044
                                                                  73.8432
                                                                                         -1
        4
               99.3032
                             0.0202
                                          0.0149
                                                      0.0044
                                                                  73.8432
                                                                                         -1
                          date
        0 2008-07-19 11:55:00
        1 2008-07-19 12:32:00
        2 2008-07-19 13:17:00
        3 2008-07-19 14:43:00
        4 2008-07-19 15:22:00
```

## 3 Clean the dataset

[5 rows x 592 columns]

After taking a look at the first 5 rows, we can see that the data have been merged properly and get an idea of how the dataset is structured. There are a steps we can take right away to clean up the dataset a bit. I started by imputing the NaNs within each feature with the median of the respective feature. Furthermore, I edited the classification column to be more straightforward by changing the name of the column to outcome and setting the successful manufacturing outcomes as 0 and the failures as a 1.

```
# map the values of the outcome column to more interpretable 0 for success and 1 for f
semi['outcome'] = semi['outcome'].map({-1: 0, 1: 1})
```

## 4 Exploratory data analysis

Since the dataset describes the features that contribute to whether a semiconductor is successfully manufactured or not, I first wanted to see how the outcome was distributed. There is certainly a class imbalance within the manufacturing outcomes of this dataset with only about 100 failures out of over 1500 outcomes. This will be taken care of later on with SMOTE.

To get a general sense of the features in the dataset, I obtained the summary statistics and started to dig into a few features that seemed to have an interesting summary statistics. I plotted this histograms of a few features, feature 4 and 590 appear to be have a distribution that is skewed to the right and feature 6 appears to be made up of only one value for all runs. This is interesting to note because it will most likely be removed later since it is a constant that probably doesn't affect the manufacturing outcome.

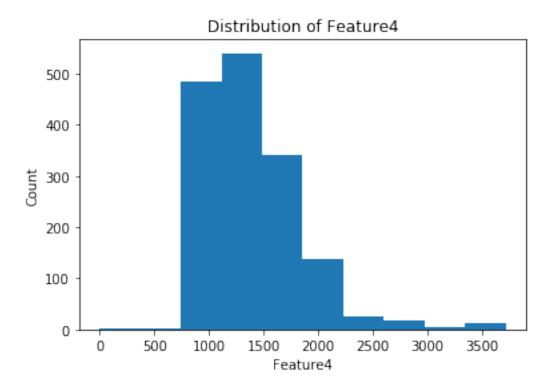
I also plotted the manufacturing outcome as a time series to determine if there was a specific time where there was any clustering of the failures around specific time frames. There are so many rows of data it's a bit hard to parse through but there does seem to be quite a few failures in August and September of 2008.

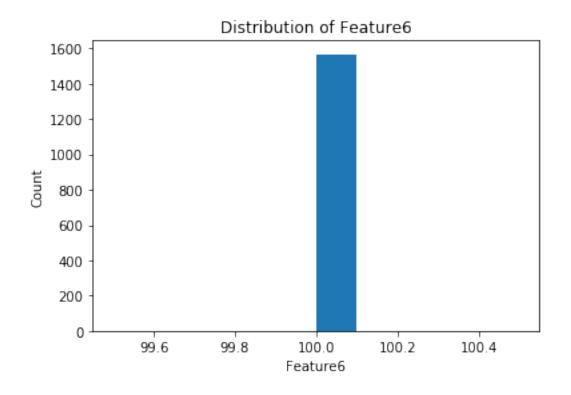
```
In [4]: from matplotlib import pyplot as plt
        # plot a histogram of the manufacturing success
        plt.hist(semi['outcome'])
       plt.title('Distribution of Manufacturing Success and Failures')
        plt.ylabel('Count')
        plt.xlabel('Manufacturing Outcome')
        plt.show()
<Figure size 640x480 with 1 Axes>
In [5]: # get the exact number of manufacturing success and failures
        semi['outcome'].value_counts()
Out[5]: 0
             1463
              104
       Name: outcome, dtype: int64
In [6]: # get the summary statistics for the entire dataset
        semi.describe()
Out [6]:
                  feature1
                               feature2
                                            feature3
                                                         feature4
                                                                      feature5
        count
              1567.000000 1567.000000 1567.000000 1567.000000 1567.000000
               3014.441551 2495.866110 2200.551958 1395.383474
                                                                      4.171281
        mean
                 73.480841
                                                                     56.103721
        std
                             80.228143
                                           29.380973
                                                       439.837330
        min
               2743.240000 2158.750000 2060.660000
                                                         0.000000
                                                                      0.681500
        25%
               2966.665000 2452.885000 2181.099950 1083.885800
                                                                      1.017700
```

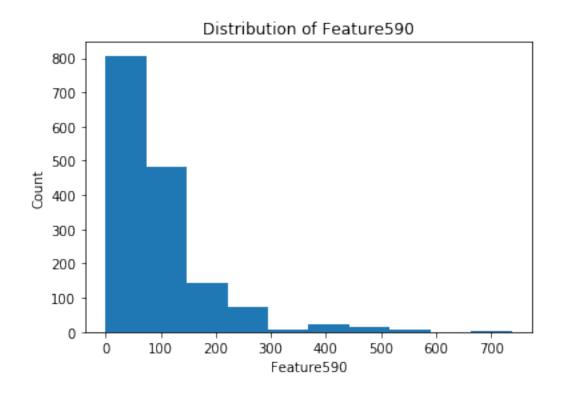
```
50%
                3011.490000
                              2499.405000
                                           2201.066700
                                                         1285,214400
                                                                           1.316800
        75%
                3056.540000
                              2538.745000
                                           2218.055500
                                                         1590.169900
                                                                           1.518800
                3356.350000
                              2846.440000
                                           2315.266700
                                                         3715.041700
                                                                       1114.536600
        max
                feature6
                              feature7
                                           feature8
                                                         feature9
                                                                      feature10
                  1567.0
                          1567.000000
                                                      1567.000000
        count
                                        1567.000000
                                                                    1567.000000
                   100.0
                           101.116476
                                           0.121825
                                                         1.462860
                                                                      -0.000842
        mean
                                                                                  . . .
        std
                     0.0
                              6.209385
                                           0.008936
                                                         0.073849
                                                                       0.015107
                                                                                  . . .
        min
                   100.0
                            82.131100
                                           0.000000
                                                         1.191000
                                                                      -0.053400
        25%
                   100.0
                            97.937800
                                           0.121100
                                                         1.411250
                                                                      -0.010800
        50%
                           101.512200
                                           0.122400
                   100.0
                                                         1.461600
                                                                      -0.001300
        75%
                   100.0
                           104.530000
                                           0.123800
                                                         1.516850
                                                                       0.008400
                   100.0
                           129.252200
        max
                                            0.128600
                                                         1.656400
                                                                       0.074900
                 feature582
                               feature583
                                             feature584
                                                          feature585
                                                                         feature586
                1567.000000
                              1567,000000
                                           1567.000000
                                                         1567,000000
                                                                       1567.000000
        count
                  82.403069
                                 0.500096
                                               0.015317
                                                             0.003846
                                                                           3.067628
        mean
        std
                  56.348694
                                 0.003403
                                               0.017174
                                                             0.003719
                                                                           3.576899
        min
                   0.000000
                                 0.477800
                                               0.006000
                                                             0.001700
                                                                           1.197500
        25%
                  72.288900
                                 0.497900
                                                             0.003100
                                                                           2.306500
                                               0.011600
        50%
                  72.288900
                                 0.500200
                                               0.013800
                                                             0.003600
                                                                           2.757650
        75%
                  72.288900
                                 0.502350
                                               0.016500
                                                             0.004100
                                                                           3.294950
                                 0.509800
        max
                 737.304800
                                               0.476600
                                                             0.104500
                                                                         99.303200
                 feature587
                               feature588
                                             feature589
                                                          feature590
                                                                            outcome
                1567.000000
                              1567.000000
                                           1567.000000
                                                         1567.000000
                                                                       1567.000000
        count
                   0.021458
                                 0.016474
                                               0.005283
                                                           99.652345
                                                                           0.066369
        mean
        std
                   0.012354
                                 0.008805
                                               0.002866
                                                           93.864558
                                                                           0.249005
        min
                  -0.016900
                                 0.003200
                                               0.001000
                                                             0.000000
                                                                           0.000000
        25%
                   0.013450
                                 0.010600
                                               0.003300
                                                            44.368600
                                                                           0.000000
        50%
                   0.020500
                                 0.014800
                                               0.004600
                                                           71.900500
                                                                           0.000000
        75%
                   0.027600
                                 0.020300
                                               0.006400
                                                           114.749700
                                                                           0.000000
                   0.102800
                                 0.079900
                                               0.028600
                                                           737.304800
                                                                           1.000000
        max
        [8 rows x 591 columns]
In [7]: # plot a histogram of the distributions of a few features
        # distribution of feature4
        plt.hist(semi.feature4)
        plt.title('Distribution of Feature4')
        plt.ylabel('Count')
        plt.xlabel('Feature4')
        plt.show()
        # distribution of feature6
        plt.hist(semi.feature6)
        plt.title('Distribution of Feature6')
        plt.ylabel('Count')
```

```
plt.xlabel('Feature6')
plt.show()

# distribution of feature590
plt.hist(semi.feature590)
plt.title('Distribution of Feature590')
plt.ylabel('Count')
plt.xlabel('Feature590')
plt.show()
```







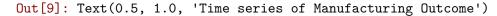
```
In [8]: # set the date column to be in the datetime format for time series analysis
        semi.loc[:, 'date'] = pd.to_datetime(semi.loc[:, 'date'])
        semi.set_index('date', inplace = True) # set index on dataset
        print(semi.head()) # print the beginning of the manufacturing dates
        print(semi.tail()) # print the end of the manufacturing dates
                     feature1 feature2
                                           feature3
                                                      feature4 feature5 \
date
2008-07-19 11:55:00
                      3030.93
                                2564.00 2187.7333 1411.1265
                                                                  1.3602
2008-07-19 12:32:00
                      3095.78
                                2465.14 2230.4222 1463.6606
                                                                  0.8294
2008-07-19 13:17:00
                      2932.61
                                2559.94
                                         2186.4111 1698.0172
                                                                  1.5102
2008-07-19 14:43:00
                      2988.72
                                2479.90
                                         2199.0333
                                                      909.7926
                                                                  1.3204
2008-07-19 15:22:00
                      3032.24
                                2502.87
                                         2233.3667
                                                     1326.5200
                                                                  1.5334
                     feature6 feature7 feature8 feature9 feature10
date
2008-07-19 11:55:00
                        100.0
                                97.6133
                                            0.1242
                                                      1.5005
                                                                 0.0162
2008-07-19 12:32:00
                        100.0 102.3433
                                            0.1247
                                                      1.4966
                                                                -0.0005
2008-07-19 13:17:00
                        100.0
                                95.4878
                                            0.1241
                                                      1.4436
                                                                 0.0041
2008-07-19 14:43:00
                        100.0 104.2367
                                            0.1217
                                                      1.4882
                                                                -0.0124
2008-07-19 15:22:00
                        100.0 100.3967
                                            0.1235
                                                      1.5031
                                                                -0.0031
                     feature582 feature583 feature584 feature585 \
date
                                     0.5005
2008-07-19 11:55:00
                        72.2889
                                                  0.0118
                                                              0.0035
2008-07-19 12:32:00
                       208.2045
                                     0.5019
                                                  0.0223
                                                              0.0055
2008-07-19 13:17:00
                        82.8602
                                     0.4958
                                                  0.0157
                                                              0.0039
2008-07-19 14:43:00
                        73.8432
                                     0.4990
                                                  0.0103
                                                              0.0025
2008-07-19 15:22:00
                        72.2889
                                     0.4800
                                                  0.4766
                                                              0.1045
                     feature586
                                 feature587 feature588
                                                          feature589
date
2008-07-19 11:55:00
                         2.3630
                                     0.0205
                                                  0.0148
                                                              0.0046
2008-07-19 12:32:00
                         4.4447
                                     0.0096
                                                  0.0201
                                                              0.0060
2008-07-19 13:17:00
                         3.1745
                                     0.0584
                                                  0.0484
                                                              0.0148
2008-07-19 14:43:00
                         2.0544
                                     0.0202
                                                  0.0149
                                                              0.0044
2008-07-19 15:22:00
                        99.3032
                                     0.0202
                                                  0.0149
                                                              0.0044
                     feature590
                                 outcome
date
2008-07-19 11:55:00
                        71.9005
                                       0
2008-07-19 12:32:00
                       208.2045
                                       0
2008-07-19 13:17:00
                        82.8602
                                       1
2008-07-19 14:43:00
                        73.8432
                                       0
2008-07-19 15:22:00
                        73.8432
                                       0
[5 rows x 591 columns]
```

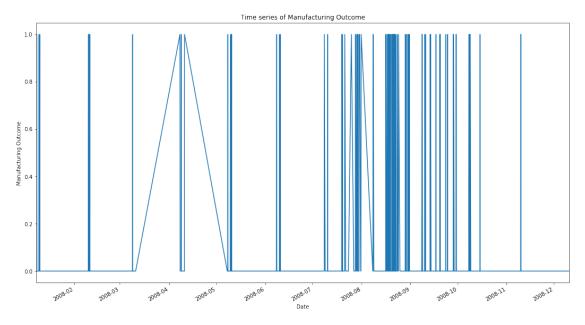
feature1 feature2

feature3

feature4 feature5 \

```
date
                                2464.36 2179.7333 3085.3781
2008-10-16 15:13:00
                      2899.41
                                                                  1.4843
2008-10-16 20:49:00
                      3052.31
                                2522.55 2198.5667 1124.6595
                                                                  0.8763
2008-10-17 05:26:00
                      2978.81
                                2379.78 2206.3000 1110.4967
                                                                  0.8236
2008-10-17 06:01:00
                      2894.92
                                2532.01 2177.0333 1183.7287
                                                                  1.5726
2008-10-17 06:07:00
                                2450.76 2195.4444 2914.1792
                      2944.92
                                                                  1.5978
                     feature6 feature7 feature8 feature9 feature10
date
2008-10-16 15:13:00
                        100.0
                                82.2467
                                           0.1248
                                                      1.3424
                                                                -0.0045
                                                                         . . .
2008-10-16 20:49:00
                        100.0
                                98.4689
                                           0.1205
                                                      1.4333
                                                                -0.0061
2008-10-17 05:26:00
                        100.0
                                99.4122
                                           0.1208
                                                      1.4616
                                                                -0.0013
                                98.7978
2008-10-17 06:01:00
                                                                -0.0072
                        100.0
                                           0.1213
                                                      1.4622
2008-10-17 06:07:00
                        100.0
                                85.1011
                                           0.1235
                                                      1.4616
                                                                -0.0013
                     feature582 feature583 feature584 feature585
date
2008-10-16 15:13:00
                       203.1720
                                     0.4988
                                                  0.0143
                                                              0.0039
2008-10-16 20:49:00
                        72.2889
                                                  0.0131
                                     0.4975
                                                              0.0036
2008-10-17 05:26:00
                        43.5231
                                     0.4987
                                                  0.0153
                                                              0.0041
2008-10-17 06:01:00
                        93.4941
                                     0.5004
                                                  0.0178
                                                              0.0038
                       137.7844
2008-10-17 06:07:00
                                     0.4987
                                                  0.0181
                                                              0.0040
                     feature586 feature587 feature588 feature589
date
                                     0.0068
2008-10-16 15:13:00
                         2.8669
                                                  0.0138
                                                              0.0047
2008-10-16 20:49:00
                         2.6238
                                     0.0068
                                                  0.0138
                                                              0.0047
2008-10-17 05:26:00
                         3.0590
                                     0.0197
                                                  0.0086
                                                              0.0025
2008-10-17 06:01:00
                         3.5662
                                     0.0262
                                                  0.0245
                                                              0.0075
2008-10-17 06:07:00
                         3.6275
                                     0.0117
                                                  0.0162
                                                              0.0045
                     feature590
                                 outcome
date
2008-10-16 15:13:00
                       203.1720
                                       0
2008-10-16 20:49:00
                       203.1720
                                       0
2008-10-17 05:26:00
                        43.5231
                                       0
2008-10-17 06:01:00
                        93.4941
                                       0
2008-10-17 06:07:00
                       137.7844
[5 rows x 591 columns]
In [9]: # plot the manufacturing outcome as a time series
        ax = plt.figure(figsize=(18, 10)).gca() # define plot
        semi.outcome.plot(ax = ax) # plot manufacturing outcome
        ax.set_xlabel('Date')
        ax.set_ylabel('Manufacturing Outcome')
        ax.set_title('Time series of Manufacturing Outcome')
```





# 5 Prepare the data for modeling

After cleaning and getting to know the dataset a bit more, I now need to start preparing the dataset for modeling. First, I identify the outcome column as the target we are trying to predict and determine that the features will be made up of the rest of the columns in the dataset.

Next, the dataset is split up into training and test datasets further subsetted by their features and targets. The test dataset is made up of 20% of the original dataset.

test\_size=0.2, random\_state=6)

#### 6 SMOTE

I use the SMOTE method to resample the training dataset and increase the number of failures within the dataset. This allows the model to train on more data that can help predict failures better. Because we used SMOTE, the modeling we perform will be a bit more representative of predicting manufacturing failures.

```
from imblearn.over_sampling import SMOTE
    # handle class imbalance using SMOTE
    sm = SMOTE(random_state=42)
    X_res, y_res = sm.fit_sample(feat_train, target_train)

/Users/caseythayer/anaconda3/lib/python3.6/site-packages/sklearn/utils/validation.py:761: Database column_or_1d(y, warn=True)
```

## 7 Simple Neural Network Model

In [12]: import imblearn

To predict semiconductor manufacturing failures, I built a simple neural network model. A neural network is made up of an input layer, a hidden layer, and an output layer. The input layer is made up of the features from the dataset and these are fed into the hidden layer. In this case the simple model I built contains only one hidden layer and I utilized the relu activation function. The output layer has 2 units such that the a binary classification of either a manufacturing failure or success is returned when training the model. I trained the model with 5 epochs and evaluated the accuracy by applying the trained model to the test data. An accuracy of around 90% is very good for a simple neural network model. It's possible that adding more hidden layers may result in overfitting. I will next build a dense neural network model to determine if more layers do a better job of predicting manufacturing failures.

```
In [13]: # TensorFlow and tf.keras
         import tensorflow as tf
         from tensorflow import keras
         # build simple neural network
         model = keras.Sequential([
             keras.layers.Flatten(), # flatten the input layer
             keras.layers.Dense(10, activation=tf.nn.relu), # hidden layer
             keras.layers.Dense(2, activation=tf.nn.relu) # output layer with two units
         ])
         # compile the simple neural network model
         model.compile(optimizer='adam',
                       loss='sparse_categorical_crossentropy',
                       metrics=['accuracy'])
         # train simple neural network model on the resampled training data with 5 epochs
         model.fit(X_res, y_res, epochs=5)
         # evaluate the model with the test data
         test_loss, test_acc = model.evaluate(feat_test, target_test)
         # print the accuracy
         print('Test accuracy: ', test_acc)
```

```
/Users/caseythayer/anaconda3/lib/python3.6/site-packages/h5py/__init__.py:36: FutureWarning: C
from ._conv import register_converters as _register_converters
Couldn't import dot_parser, loading of dot files will not be possible.
WARNING:tensorflow:From /Users/caseythayer/anaconda3/lib/python3.6/site-packages/tensorflow/py
Instructions for updating:
Colocations handled automatically by placer.
Epoch 1/5
Epoch 2/5
Epoch 3/5
Epoch 4/5
Epoch 5/5
Test accuracy: 0.8980892
```

### 8 Dense Neural Network (DNN)

The dense neural network (DNN) that I built contains 5 hidden dense layers between the input and output layers. I increased the number of units in each hidden layer and employed mostly relu activation functions and two sigmoid activation functions. Once the model is trained and applied to the test data, the accuracy is about 1% which is very bad. It's interesting to see that the accuracy on the training data is higher, around 50% accurate. It looks like the in this case, adding additional layers resulted in overfitting.

```
# evaluate the DNN by applying to the test data
  test_loss, test_acc = model.evaluate(feat_test, target_test)
  # print the accuracy
  print('Test accuracy: ', test_acc)
Epoch 1/5
Epoch 2/5
Epoch 3/5
Epoch 4/5
Epoch 5/5
Test accuracy: 0.06369427
```

## 9 Recurrent Neural Network (RNN)

Recurrent Neural Networks (RNN) operate differently than the simple neural network and DNN by ingesting it's own outputs as inputs. RNNs are often referred to as having "memory" because of this feature and sequential information is preserved making it a great option for building predictive models with time series data.

In order to build an RNN with the semiconductor manufacturing data and predict failures, the training and test features had to be reshaped to be fed into the RNN input layer. The Long Short Term Memory (LSTM) layer helps to preserve the error that is backpropagated through layers and time. This layer also helps the model learn over longer time steps. In this case, I build an RNN with an input layer, one LSTM layer, one dense hidden layer, and an output layer. This model performs decently, with about 60% accuracy on the test dataset. The RNN does not perform as well as the simple neural network but better than the DNN, it may be more useful if we were trying to determine when manufacturing failures would occur given a specific range of time or a question that requires the sequences of the data to be conserved throughout analysis.

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In [15]: # reshape the resampled training features to feed into RNN
    X_res = X_res.reshape((X_res.shape[0], 1, X_res.shape[1]))

# convert test features from a dataframe to an array
    feat_test = feat_test.as_matrix()

# reshape the test features to feed into RNN
    feat_test = feat_test.reshape((feat_test.shape[0], 1, feat_test.shape[1]))
```

/Users/caseythayer/anaconda3/lib/python3.6/site-packages/ipykernel\_launcher.py:5: FutureWarning

```
In [16]: # build RNN model
      model = keras.models.Sequential()
      model.add(keras.layers.SimpleRNN(128, input_shape=(X_res.shape[1], X_res.shape[2]), res.shape[2]), res.shape[2])
      model.add(keras.layers.LSTM(4))
      model.add(keras.layers.Dense(1, activation='relu'))
      model.add(keras.layers.Dense(1, activation='sigmoid'))
      # compile RNN model
      model.compile(loss='binary_crossentropy', optimizer='adam', metrics=['accuracy'])
      # print RNN model summary table
      print(model.summary())
      # train RNN
      model.fit(X_res, y_res, validation_data=(feat_test, target_test), epochs=3, batch_size
      # evaluate the RNN by applying the trained model to the test data
      scores = model.evaluate(feat_test, target_test, verbose=0)
      # print the accuracy
      print("Test Accuracy: ", scores[1])
Layer (type) Output Shape Param #
______
simple_rnn (SimpleRNN) (None, 1, 128)
_____
1stm (LSTM)
                   (None, 4)
                                     2128
-----
dense_7 (Dense)
             (None, 1)
dense_8 (Dense)
             (None, 1)
______
Total params: 94,167
Trainable params: 94,167
Non-trainable params: 0
None
Train on 2338 samples, validate on 314 samples
WARNING:tensorflow:From /Users/caseythayer/anaconda3/lib/python3.6/site-packages/tensorflow/py
Instructions for updating:
Use tf.cast instead.
Epoch 1/3
Epoch 2/3
Epoch 3/3
```

Test Accuracy: 0.6369427

#### 10 Conclusion

In the previous two projects, I've performed a number of analyses and built many different models for predicting semiconductor manufacturing failures. I started by creating a data flow diagram that details the necessary steps to predict the manufacturing outcome. Next, I did some exploratory data analysis, where I found that there are very few manufacturing failures compared to successes. I used SMOTE to resample the training dataset and provide more failures to train on. I then applied the following machine learning techniques:

- Logistic Regression
- Decision Tree
- Gradient Boosted Decision Tree
- Support Vector Classification

This project focused on leveraging deep learning to predict semiconductor manufacturing failures. I maintained much of the work I did previously (data flow diagram, importing data, exploratory data analysis, resampling the training data with SMOTE, etc) for clarity and consistency sake. I took the resampled training data and built three different neural networks.

The simple neural network contained an input layer, a hidden layer, and an output layer. The model performed well with an accuracy of about 90% on the test data. This model is the most accurate and it's fairly simple to build. However, it's difficult to extrapolate specific process steps that may be leading to failures when applying a neural network because it's considered a "black box". The weights and biases are updated and feed forward through the network to end up with an output of a predicted manufacturing outcome.

The dense neural network (DNN) included additional dense hidden layers with larger units within each one. I employed the relu activation function for most of the layers and the sigmoid activation function for the last two layers. I was curious to see if adding more layers resulted in overfitting but the DNN performed very poorly, with around 1% accuracy on the test dataset. It seems like adding more dense layers resulted in overfitting since the accuracy on the training dataset was a higher (around 50%).

The recurrent neural network (RNN) is different than the other two models because it includes a Long Short Term Memory (LSTM) layer that preserves the error backpropagated through time and layers. The RNN allows for the model to handle sequential and time series data. I built an RNN with an input layer, a LSTM layer, a dense hidden layer, and an output layer. The model performed decently with an accuracy of about 60%. This model doesn't seem to fit our question quite as well as the other models I've built. The dataset does have time series data that could be fed into an RNN if we were looking to answer a question that was more dependent on sequential manufacturing data or by the timing of semiconductor manufacturing failures.

Final conclusions \* The simple neural network performed the best (about 90% accurate). \* The RNN does not seem extremely necessary for predicting the semiconductor manufacturing outcome and the model performs decently (about 60% accurate). \* These deep learning models are useful in dealing with high dimensional data with many features. However, they are known as "black boxes" and do not provide many benefits in terms of feature engineering and finding the key process steps that may be leading to the failures. \* It seems as though the feature engineering performed in my previous projects would be a more useful starting point for optimizing the

manufacturing process but as more semiconductors are manufactured and the data continues to grow, the neural networks would be useful to track the failures within the manufacturing facility. \* Would recommend the manufacturer focus on optimizing the features that emerged in the last project and then continuing to track the manufacturing performance with the simple neural network because it's able to learn throughout many different interactions with highly dimensional data and is fairly simple to build.