



## Lecture II

2025-11-12

Population decoding; Optimal decoding methods:  
Bayesian inference, Maximum a posteriori, maximum  
likelihood; Spike-train decoding

- Online slides: [lec11-population\\_decoding.html](#)
- Code: [code11.ipynb](#)
- Homework: [hw11.pdf](#)

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# Population decoding

Benefits of multiple neurons:

- Reduction of uncertainty due to neuronal variability
- Ability to represent different stimulus attributes simultaneously

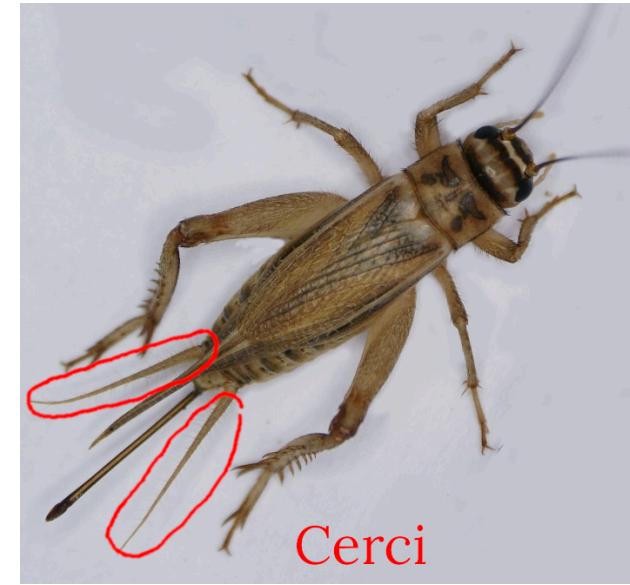
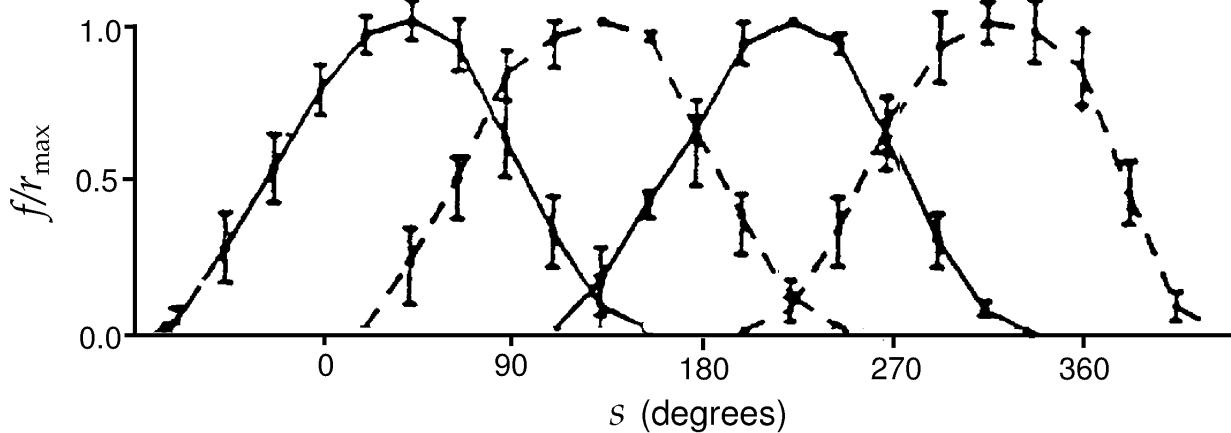
**Typically:** neurons have different but overlapping ranges of selectivity.

**Essential difference:** terms such as  $p[r|s]$  is replaced by  $p[\mathbf{r}|s]$ .

**Continue to apply:** ROC analysis, likelihood ratio test, Neyman-Pearson lemma ( $l(r)$  test is optimal in maximizing the power for a given size).

Discrimination → Extraction of continuous parameters

# Decoding direction in cricket cercal system

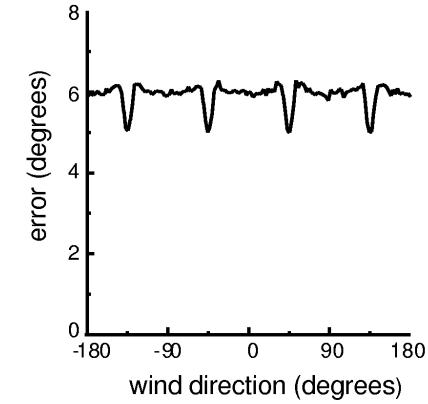
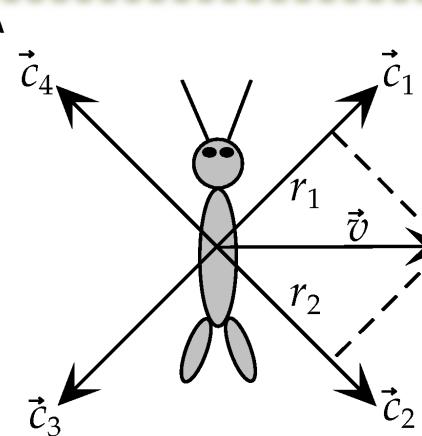


Tuning curves for the four low-velocity interneurons of the cricket cercal system, D&A Fig 3.4, adapted from Theunissen and Miller, 1991

Sum of preferred wind vectors weighted by firing rates

$$\vec{v}_{\text{pop}} = \sum_{a=1}^4 \left( \frac{r}{r_{\max}} \right)_a \vec{c}_a$$

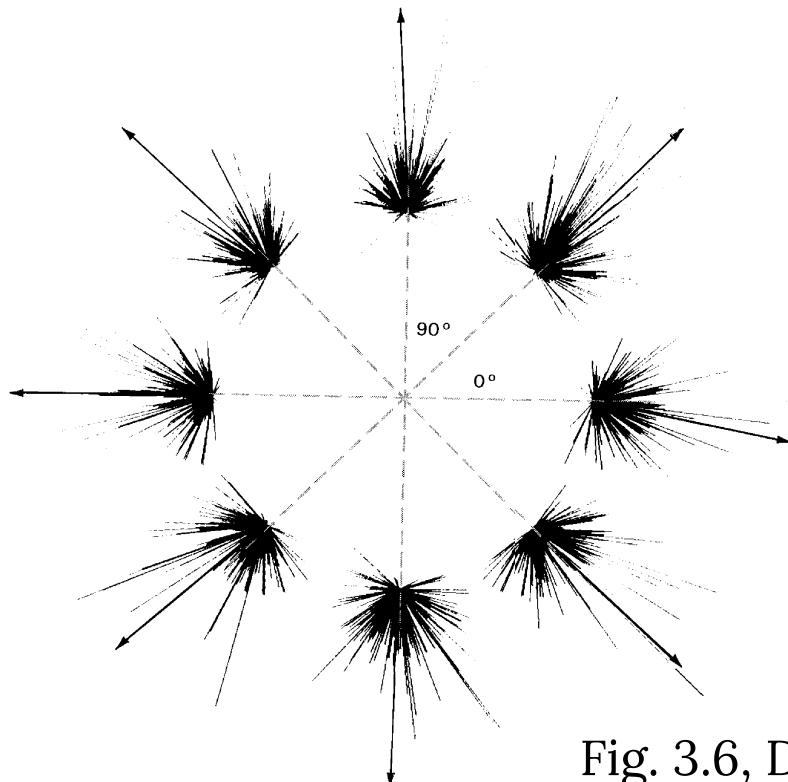
Vector method



# Monkey primary motor cortex (MI)

With nonzero offset rates  $r_0$ , full range:

$$\left( \frac{\langle r \rangle - r_0}{r_{\max}} \right)_a = \left( \frac{f(s) - r_0}{r_{\max}} \right)_a = \vec{v} \cdot \vec{c}_a \quad (3.23)$$



Assume uniform prefer directions  
and large  $N$

$$\vec{v}_{\text{pop}} = \sum_{a=1}^N \left( \frac{r - r_0}{r_{\max}} \right)_a \vec{c}_a$$

$$\Rightarrow \langle \vec{v}_{\text{pop}} \rangle = \sum_{a=1}^N (\vec{v} \cdot \vec{c}_a) \vec{c}_a$$

Fig. 3.6, D&A, adapted from Kandel et al, 2001

# Comparing the two cases for the vector method

## Cercal system

- Few neurons in Cartesian arrangement
- Rectified tuning curves sensitive to half of all angles
- Population vector ideally should give the correct wind direction

## Motor cortex

- Many neurons in random uniform distribution
- Offset tuning curves sensitive to all directions
- The population vector approximates motion directly at large  $N$  limit
- Encodes additional information: e.g., initial position of arm, movement velocity and acceleration...

# Optimal decoding methods

- **Bayesian inference**

Finding the minimum of a loss function expressing cost of estimation errors:  $s_{\text{bayes}} = \operatorname{argmin}_s \int ds L(s', s) p[s' | \mathbf{r}]$

- **Maximum a posteriori (MAP) inference**

Maximize conditional probability of the stimulus

$$s_{\text{MAP}} = \operatorname{argmax}_s p[s | \mathbf{r}]$$

- **Maximum likelihood**

Maximize the likelihood function

$$s_{\text{ML}} = \operatorname{argmax}_s p[\mathbf{r} | s]$$

which is the same as MAP when the prior  $p[s]$  is uniform.

# Bayesian loss functions

$L(s, s')$ : the “cost” of reporting  $s'$  for correct  $s$ . Minimize

$$\int ds L(s, s') p[s|{\mathbf r}]$$

gives the optimal estimate  $s_{\text{bayes}}$ .

For  $L(s, s') = (s - s')^2$ , we get the mean

$$s_{\text{bayes}} = \int ds p[s|{\mathbf r}] s.$$

For  $L(s, s') = |s - s'|$ ,  $s_{\text{bayes}}$  is the median of  $p[s|{\mathbf r}]$ .

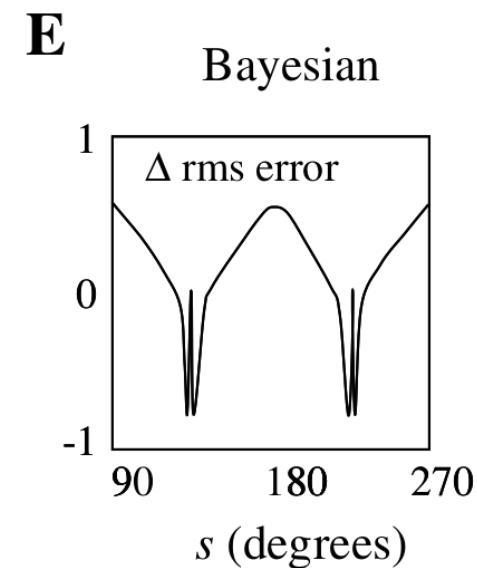
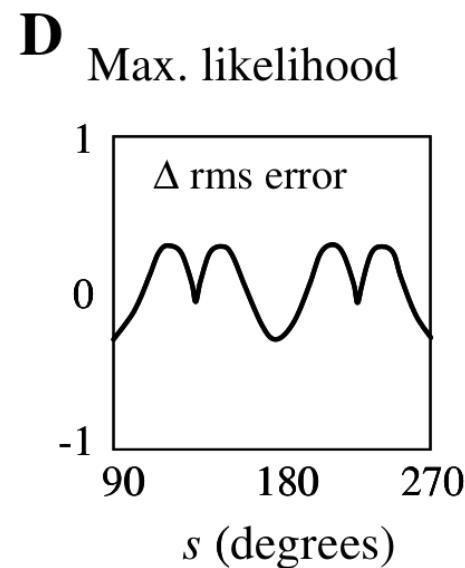
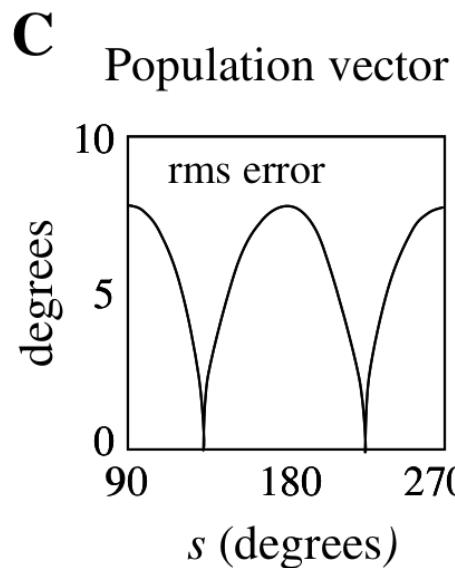
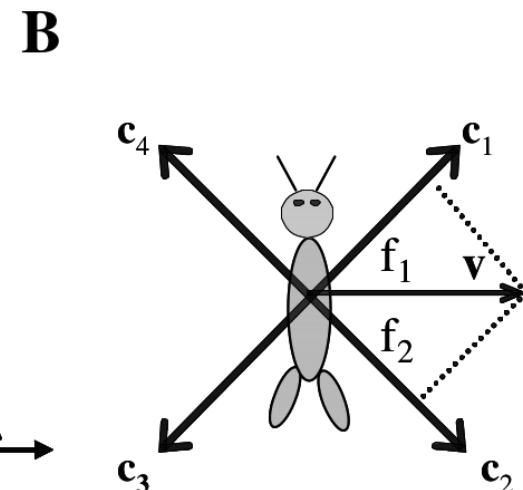
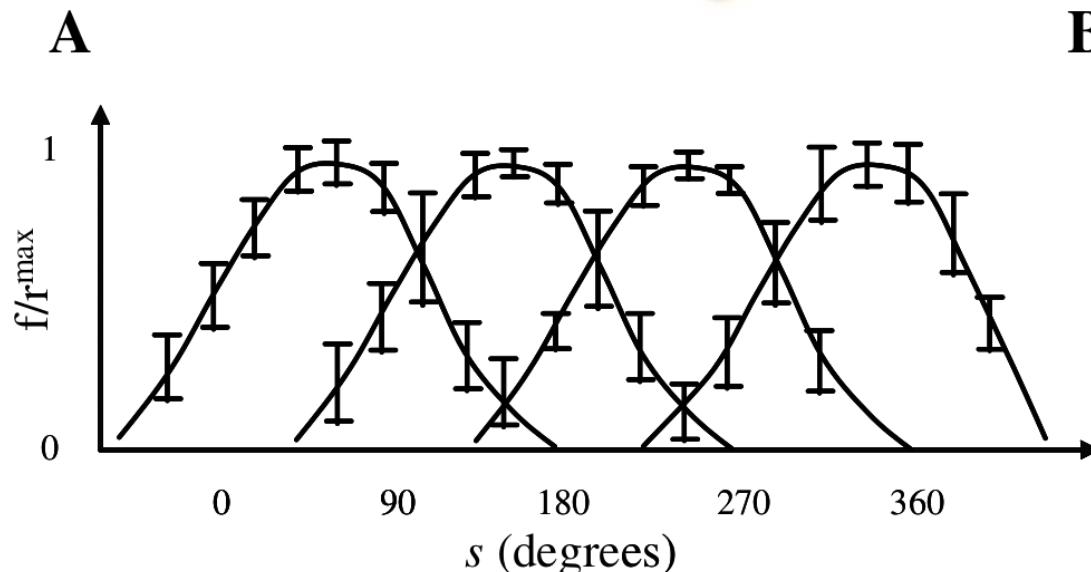
# Maximum a posteriori and likelihood

For constant  $p[s]$ ,

$$\begin{aligned}s_{\text{MAP}} &= \underset{s}{\operatorname{argmax}} p[s|\mathbf{r}] = \underset{s}{\operatorname{argmax}} \frac{p[\mathbf{r}|s] p[s]}{p[\mathbf{r}]} \\ &= \underset{s}{\operatorname{argmax}} p[\mathbf{r}|s] = s_{\text{ML}}\end{aligned}$$

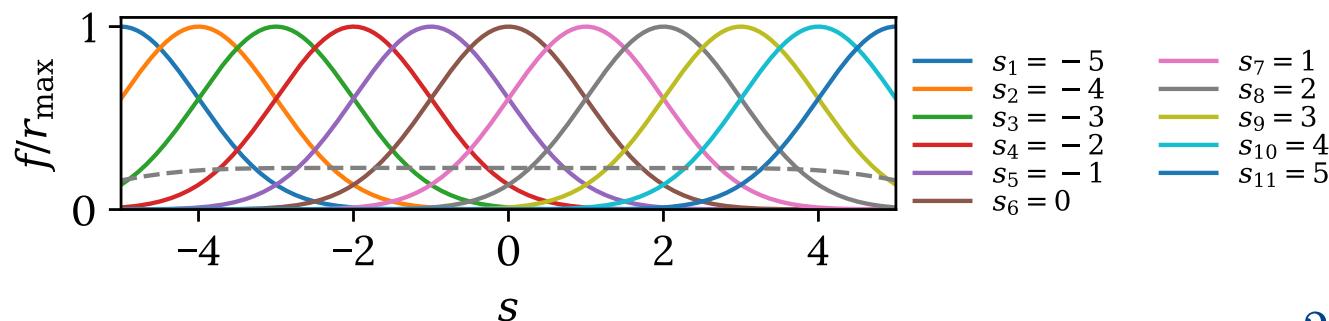
The maxima are generally obtained by taking derivatives with respect to  $s$  and setting them to zero.

# Application to cercal system



Pouget et al (2003)

# Non-directional continuous variables



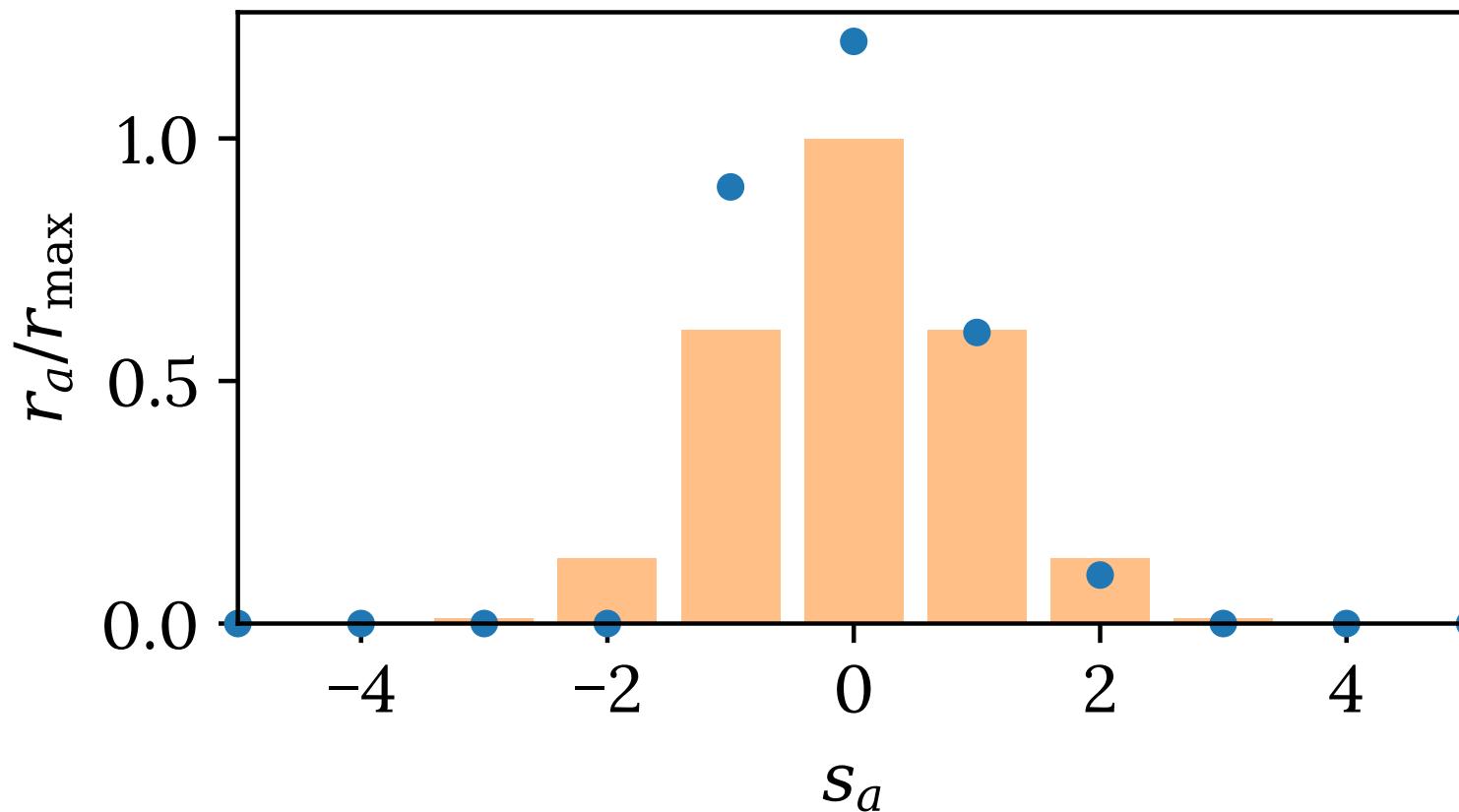
Gaussian tuning curves:  $f_a(s) = r_{\max} \exp \frac{-(s - s_a)^2}{2\sigma_a^2}$ .

For given  $s$ , the firing rate  $r_a$  is measured by counting spikes  $n_a$  within interval  $T$ :  $r_a = n_a/T$  where for large  $T$ ,  $\langle r_a \rangle \rightarrow f_a(s)$ .  
For finite  $T$ , Poisson model gives

$$P[r_a|s] = \frac{(f_a(s)T)^{r_a T}}{(r_a T)!} \exp(-f_a(s)T)$$

# Example response

When  $s = 0$ ,



Orange bars show the expected activity rates of the cells of different  $s_a$ .

# Independent neuron assumption

Population firing rate probability

$$P[\mathbf{r}|s] = \prod_{a=1}^N \frac{(f_a(s)T)^{r_a T}}{(r_a T)!} \exp(-f_a(s)T)$$

Taking logarithm,

$$\ln P[\mathbf{r}|s] = T \sum_{a=1}^N r_a \ln(f_a(s)) + \dots$$

The ellipsized is independent of  $s$ , including  $f(s) = \sum_a f_a(s)$ .

ML condition:  $\sum_{a=1}^N r_a \frac{f'_a(s_{\text{ML}})}{f_a(s_{\text{ML}})} = 0 \quad \Rightarrow s_{\text{ML}} = \frac{\sum_a r_a s_a / \sigma_a^2}{\sum_a r_a / \sigma_a^2}$

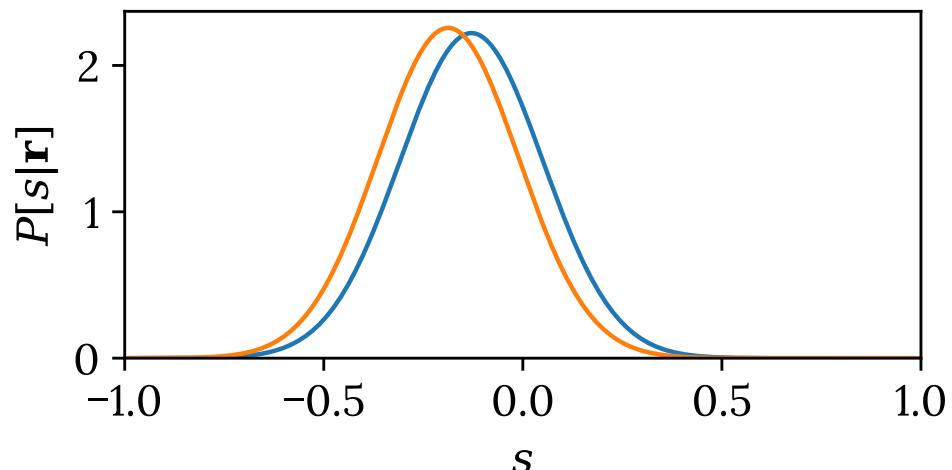
for Gaussian  $f_a$ , or  $s_{\text{ML}} = \frac{\sum_a r_a s_a}{\sum_a r_a}$  if all widths are the same.

# MAP condition

A. posteriori

$$\ln p[s|\mathbf{r}] = T \sum_{a=1}^N r_a \ln (f_a(s)) + \ln p[s] + \dots$$
$$\Rightarrow T \sum_{a=1}^N \frac{r_a f'_a(s_{\text{MAP}})}{f_a(s_{\text{MAP}})} + \frac{p'[s_{\text{MAP}}]}{p[s_{\text{MAP}}]} = 0$$

$$\Rightarrow s_{\text{MAP}} = \frac{T \sum r_a s_a / \sigma_a^2 + s_{\text{prior}} / \sigma_{\text{prior}}^2}{T \sum r_a / \sigma_a^2 + 1 / \sigma_{\text{prior}}^2}$$



Blue: without prior;  
Orange: with a Gaussian prior  
 $(s_{\text{prior}}, \sigma_{\text{prior}}^2) = (-2, 1)$

# Bias and variance

## Bias

Difference between average of  $s_{\text{est}}$  across trials with the given stimulus  $s$ .

$$b_{\text{est}}(s) = \langle s_{\text{est}} \rangle - s$$

## Variance

How much the estimate varies about its mean value.

$$\sigma_{\text{est}}^2(s) = \langle (s_{\text{est}} - \langle s_{\text{est}} \rangle)^2 \rangle$$

## Trial-average square estimation error

$$\langle (s_{\text{est}} - s)^2 \rangle = \langle (s_{\text{est}} - \langle s_{\text{est}} \rangle + b_{\text{est}}(s))^2 \rangle = \sigma_{\text{est}}^2(s) + b_{\text{est}}^2(s)$$

# Spike-train decoding

Given response spike-train, estimate the input stimulus

## Linear estimate of stimulus

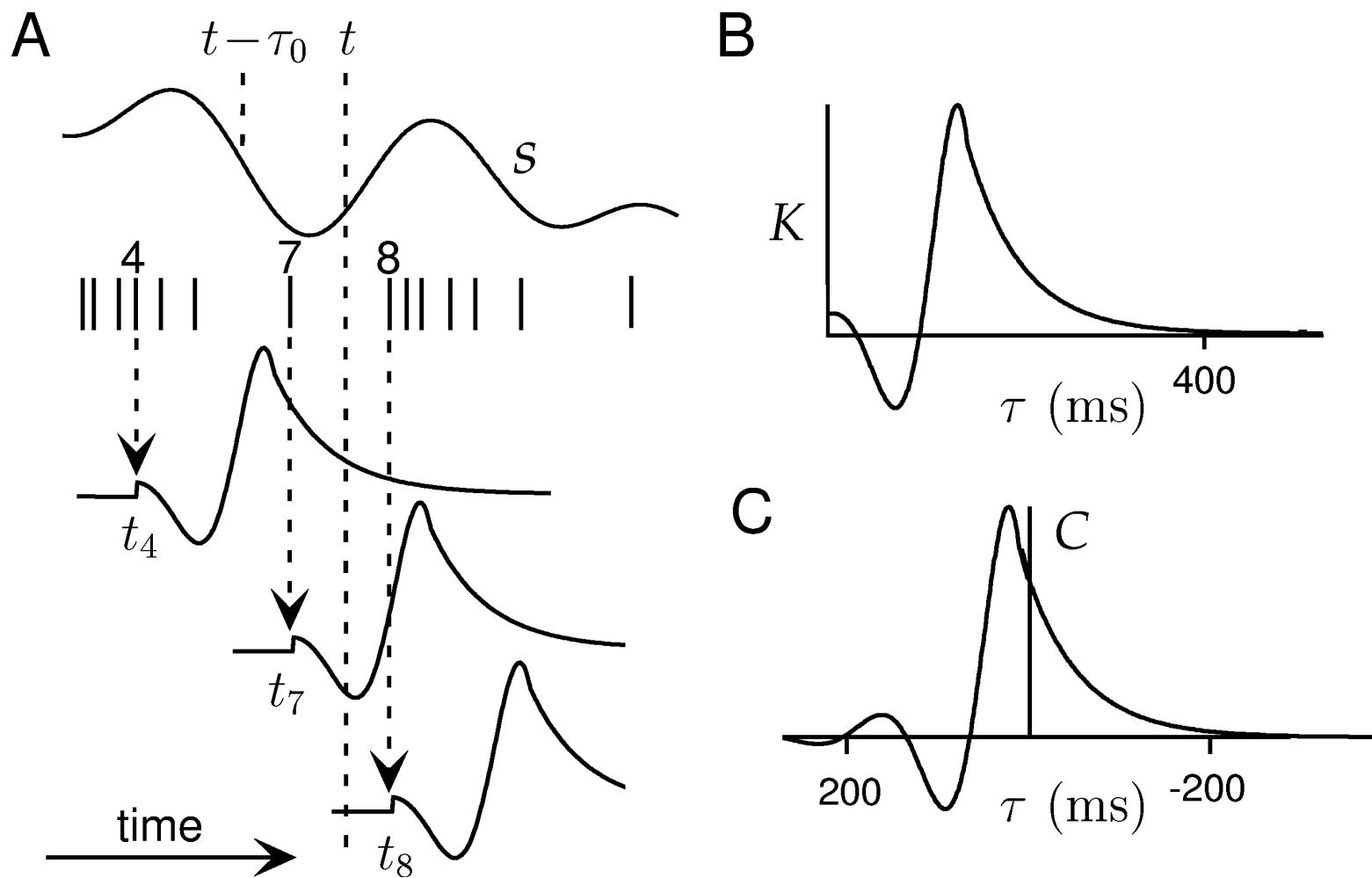
With a prediction delay  $\tau_0$ , estimated stimulus:

$$s_{\text{est}}(t - \tau_0) = \sum_{i=1}^n K(t - t_i) - \langle r \rangle \int_{-\infty}^{\infty} d\tau K(\tau)$$

where  $K$  is the kernel for spike contributions. Last term ensures time average of  $s_{\text{est}}$  is zero. For causal kernel,  $K(\tau \leq 0) = 0$ .  
Using  $\rho(t) = \sum \delta(t - t_i)$ :

$$s_{\text{est}}(t - \tau_0) = \int_{-\infty}^{\infty} d\tau (\rho(t - \tau) - \langle r \rangle) K(\tau)$$

# Spike-train decoding illustrated



D&A Fig. 3.13

# Optimal kernel construction

Minimizing the error of prediction,  $E \equiv$

$$\frac{1}{T} \int_0^T dt \left\langle \left( \int_{-\infty}^{\infty} d\tau (\rho(t - \tau) - \langle r \rangle) K(\tau) - s(t - \tau_0) \right)^2 \right\rangle$$

Resulting condition for  $K$ ,  $\partial E / \partial K(\tau) = 0$

$$\Rightarrow \int_{-\infty}^{\infty} d\tau' Q_{\rho\rho}(\tau - \tau') K(\tau') = Q_{rs}(\tau - \tau_0) \quad (3.54)$$

similar to the construction of LNP kernel with STA ...

However, unlike the stimulus in STA, we do not have control over the spike train to make it “white”.

# The auto- and cross-correlations

## Autocorrelation of the spike train

$$Q_{\rho\rho}(\tau - \tau') = \frac{1}{T} \int_0^T dt \langle (\rho(t - \tau) - \langle r \rangle) (\rho(t - \tau') - \langle r \rangle) \rangle$$

## Correlation between firing rate and stimulus

$$Q_{rs}(\tau - \tau_0) = \langle r \rangle C(\tau_0 - \tau) = \frac{1}{T} \left\langle \sum_{i=1}^n s(t_i + \tau - \tau_0) \right\rangle$$

where  $C$  is the spike-trigger average as discussed in Lecture 4.

# For uncorrelated spike train

– tends to occur at low rate limit

We have  $Q_{\rho\rho} = \langle r \rangle \delta(\tau)$  and the kernel becomes

$$K(\tau) = \frac{1}{\langle r \rangle} Q_{rs}(\tau - \tau_0) = \frac{1}{\langle n \rangle} \left\langle \sum_{i=1}^n s(t_i + \tau - \tau_0) \right\rangle,$$

that is, adding up stimulus around each spike time shifted by  $\tau_0$ .

For causal system, spiking depends only on past stimulus. Thus, without auto-correlated stimulus,  $K(\tau > \tau_0) = 0$ .

For causal decoding, we can only use past spikes in prediction, that is,  $K(\tau < 0) = 0$ . Therefore, if there is no delay  $\tau_0 = 0$ , we have  $K(\tau) = 0$  for all values of  $\tau$ .

# Acausal kernel with “colored” spike train

Solving by Fourier transformation

$$K(\tau) = \frac{1}{2\pi} \int d\omega \tilde{K}(\omega) \exp(-i\omega\tau).$$

Multiplying Eq. (3.54) by  $\exp(i\omega\tau)$  and integrating over  $\tau$ , we get

$$\begin{aligned} \int_{-\infty}^{\infty} d\tau \exp(i\omega\tau) \int_{-\infty}^{\infty} d\tau' Q_{\rho\rho}(\tau - \tau') K(\tau') &= \int_{-\infty}^{\infty} d\tau Q_{rs}(\tau - \tau_0). \\ \Rightarrow \tilde{Q}_{\rho\rho}(\omega) \tilde{K}(\omega) &= \exp(i\omega\tau_0) \tilde{Q}_{rs}(\omega) \end{aligned}$$

which becomes algebraic, and we have the solution

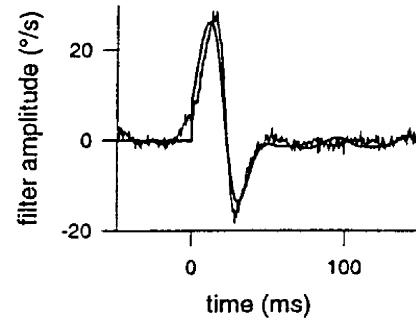
$$\tilde{K}(\omega) = \frac{\tilde{Q}_{rs}(\omega) \exp(i\omega\tau_0)}{\tilde{Q}_{\rho\rho}(\omega)}.$$

in the Fourier space.  $K(\tau)$  can then be obtained by the Fourier transform.

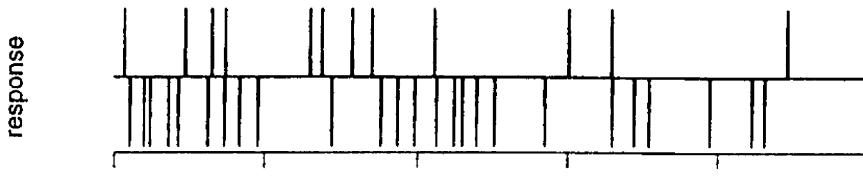
# Take care of the causality

- Truncation – After obtaining  $K(\tau)$ , use  $\Theta(\tau)K(\tau)$ , where  $\Theta(\tau) = \mathbf{I}(\tau > 0)$  is the step function. → Not optimal but close
  - Use causal basis functions (instead of the Fourier basis) for expansion and optimization. → Optimal
  - Fixed at a conforming functional form with finite number of parameters and optimize with respect to these parameters. → Not optimal but simple
  - Use techniques involving spectral factorization of  $\tilde{Q}_{\rho\rho}(\omega)$ . → Optimal (Wiener-Hopf filter)
- \* Note: All these are within the linear theory of neural processing...

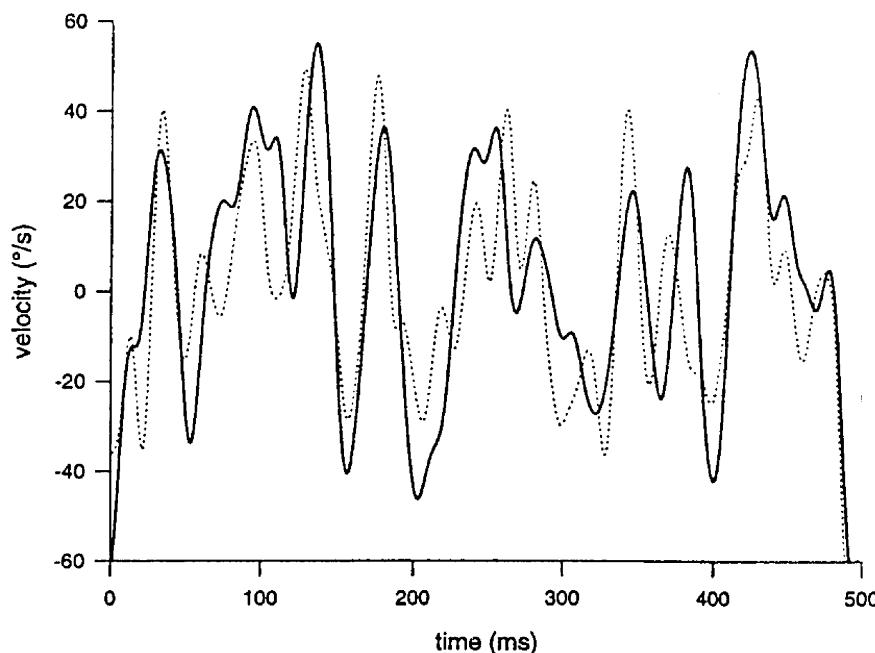
# Example: decoding fly Hi neuron



Upper panel: the decoding kernel.  
jagged curve: optimal acausal filter;  
the smooth curve: from causal set  
of basis functions.



Middle panel: typical responses of H1 to the stimuli  $s(t)$  (upper) and  $-s(t)$  (bottom)



Lower panel: dashed line: actual stimulus; solid line: estimated stimulus from the optimal linear reconstruction using the acausal filter