# Project 2 — Face and Digit Classification

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## 1 Classification Algorithms

#### 1.1 Naive Bayes

The Naive Bayes algorithm classifies images by keeping track of two sets of data. First are the *prior probabilities*, which are determined by:

$$Prior(y) = Pr(Y = y) = \frac{\text{number of images with label} = y}{\text{total number of images}}$$
(1)

Our goal in using the Naive Bayes classifier is to compute the probability that a given image has a certain label, given that a set of features is observed. To compute these conditional probabilities, we introduce Bayes' Rule:

$$Pr(A \mid B) = \frac{Pr(B \mid A) Pr(A)}{Pr(B)}$$
 (2)

Here, A should refer to a *class*. The image classes are the set of all labels that can be given to an image. When classifying digits, these are the actual digits  $\{0, 1, \dots, 9\}$ . For faces, the classes are "not-face" and "face", where "not-face" is represented by 0 or False, and "face" is represented by 1 or True. We let Y, y refer to classes, and X, x refer to features, where capitals are random variables. X is the total number of features in an image. From (1), we have:

$$\Pr(Y = y \mid \bigcap_{i}^{N} X_i = x_i) = \frac{\Pr(\bigcap_{i}^{N} X_i = x_i \mid Y = y) \Pr(Y = y)}{\Pr(\bigcap_{i}^{N} X_i = x_i)}$$
(3)

Observing from (1) that Pr(Y = y) is the prior probability Prior(y), and assuming the feature probabilities are conditionally independent, we have:

$$\Pr(Y = y \mid \bigcap_{i}^{N} X_i = x_i) = \frac{\prod_{i}^{N} \Pr(X_i = x_i \mid Y = y) \cdot \Pr(y)}{\Pr(\bigcap_{i}^{N} X_i = x_i)}$$
(4)

By looking for the class which maximizes this value, we can simplify this further to get our final equation:

$$\underset{y}{\operatorname{argmax}}(\operatorname{Pr}(Y=y\mid\bigcap_{i}^{N}X_{i}=x_{i})) = \underset{y}{\operatorname{argmax}}(\Pi_{i}^{N}\operatorname{Pr}(X_{i}=x_{i}\mid Y=y)\cdot\operatorname{Prior}(y)) \tag{5}$$

$$= \underset{u}{\operatorname{argmax}} \log(\operatorname{Prior}(y) \cdot \Pi_{i}^{N} \operatorname{Pr}(X_{i} = x_{i} \mid Y = y)) \tag{6}$$

$$= \underset{y}{\operatorname{argmax}} (\log \operatorname{Prior}(y) + \log \sum_{i}^{N} \operatorname{Cond}(i, x_{i}, y)) \tag{7}$$

As stated previously, Prior(y) is computed by finding the proportion of images with label y compared to the total number of images.  $Cond(i, x_i, y)$ , the probability that the feature at index i has value  $x_i$ , given the label y, is given by:

$$Cond(i, x_i, y) = \frac{\text{number of times feature } i = x_i \text{ when label} = y}{\text{number of images where label} = y}$$
(8)

The Naive Bayes classifier also has a smoothing parameter k, so that Cond(i,  $x_i$ , y)  $\neq 0$ , and to avoid direct usage of raw estimates. Our final equation for Cond, which we use to compute the *conditional probabilities*, is:

$$Cond(i, x_i, y, k) = \frac{k + \text{number of times feature } i = x_i \text{when label} = y}{(\text{number of classes } \cdot k) + \text{number of images where label} = y}$$
(9)

The training period of the Naive Bayes classifier consists of computing Prior(y) and  $Cond(i, x_i, y, k)$  for all classes y, all pixel indices i, and all possible feature values  $x_i$ . This state is then used to classify images. The result of classification is the output of (7).

### 1.2 Perceptron

## 2 Implementation

#### 2.1 Features

Pixels were extracted to ternary features: 0 for an empty pixel, 1 for a "grey" pixel (represented by '+'), and 2 for a "black" pixel (represented by "#"). These were directly used in the case of the Perceptron algorithm, but for the Naive Bayes algorithm, the features were mapped to indicator triples  $[f(X_i), g(X_i), h(X_i)]$ , where  $f(X_i) = 1$  if  $X_i = 0$ ,  $g(X_i) = 1$  if  $X_i = 1$ ,  $h(x_i) = 1$  if  $X_i = 2$ , and zero otherwise.

#### 2.2 Results

- 2.2.1 Digit Classification
- 2.2.2 Face Classification
- 2.3 Obstacles