

JVM Troubleshooting MOOC:

Troubleshooting Memory Issues in Java Applications

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## Lesson 1

**HotSpot JVM Memory Management** 

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## Agenda

- 1. Automatic Memory Management
- Generational Garbage Collection and Memory Spaces in the HotSpot JVM
- 3. Garbage Collectors in the HotSpot JVM
- 4. Garbage Collection Changes Between Java 7, 8 and 9



# Lesson 1-1

**Automatic Memory Management** 

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### **Automatic Memory Management**

- Memory Management? process of allocating, determining when objects are not referenced, and then de-allocating them
- Explicit memory management in some programming languages
- HotSpot JVM employs automatic memory management
- Managed by a sub-system called the garbage collector
- The garbage collector in the HotSpot JVM automatically manages memory freeing the programmer from worrying about the object de-allocations



### Memory allocation examples

Example in C language:

```
//allocate memory
int* array = (int*)malloc(sizeof(int)*20);

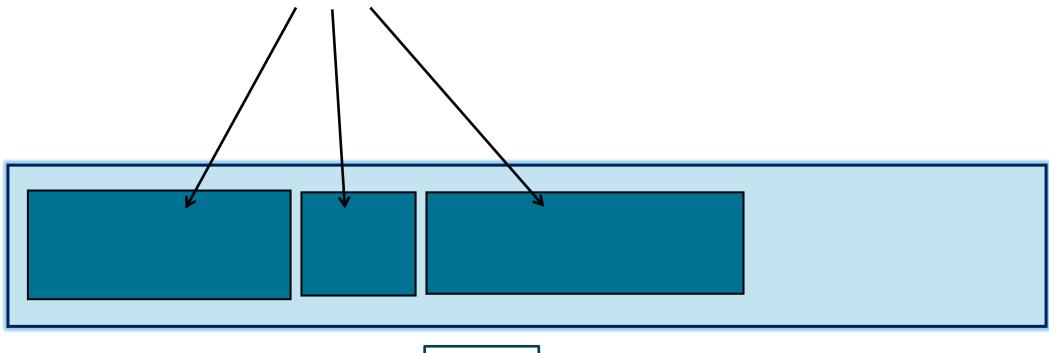
//explicitly deallocate memory
free(array);
```

Example in Java that employs automatic memory management:

```
//allocate memory for String object
String s = new String("Hello World");
//no need to explicitly free memory occupied by 's'. It would be released by the garbage collector when 's' goes out of scope.
```



#### **Object Allocations**

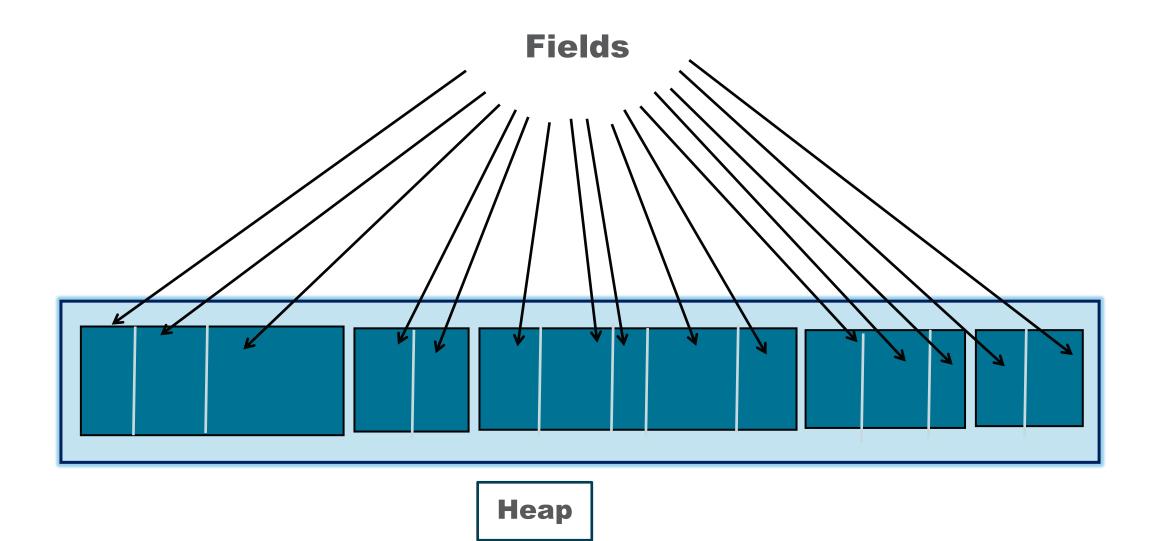






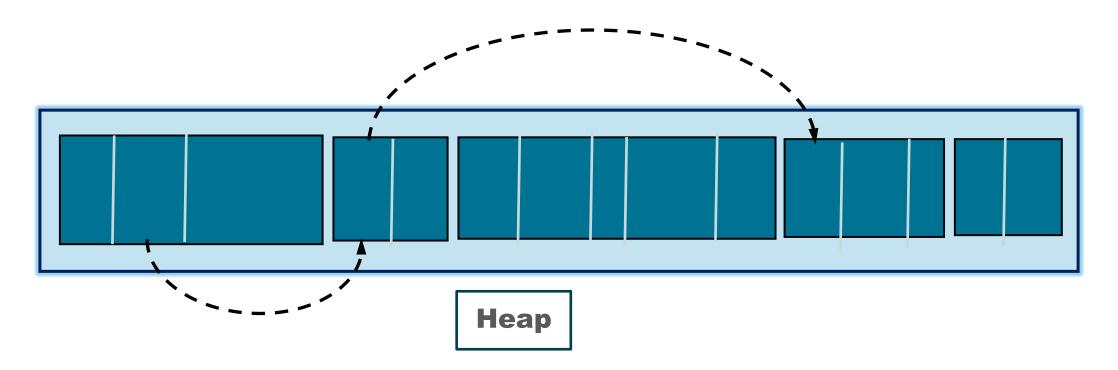
# **Object Allocations** Heap



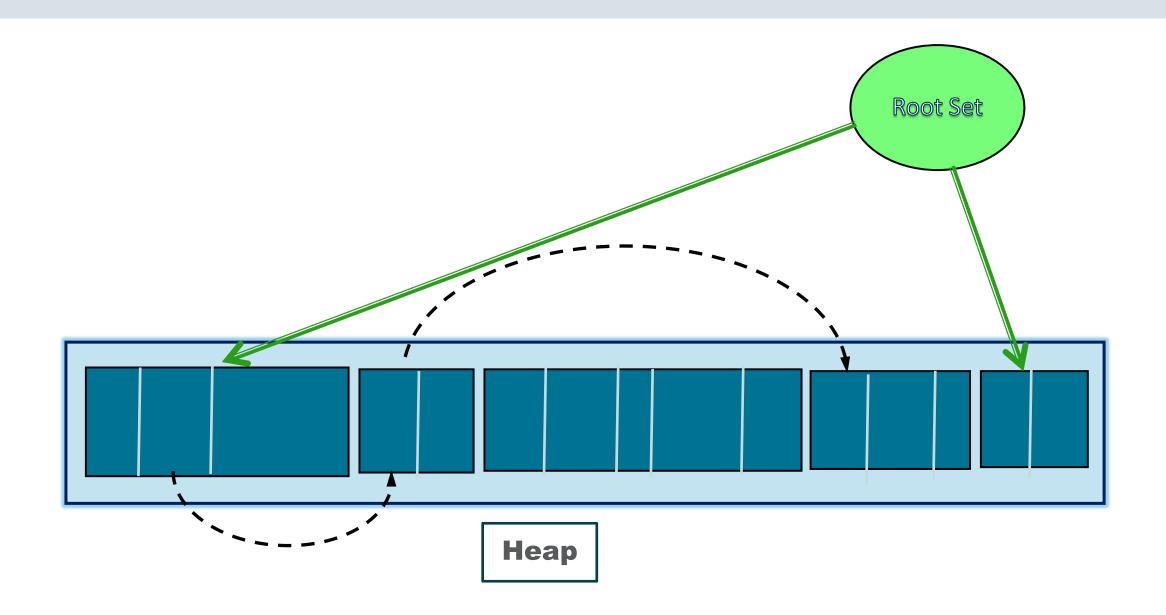




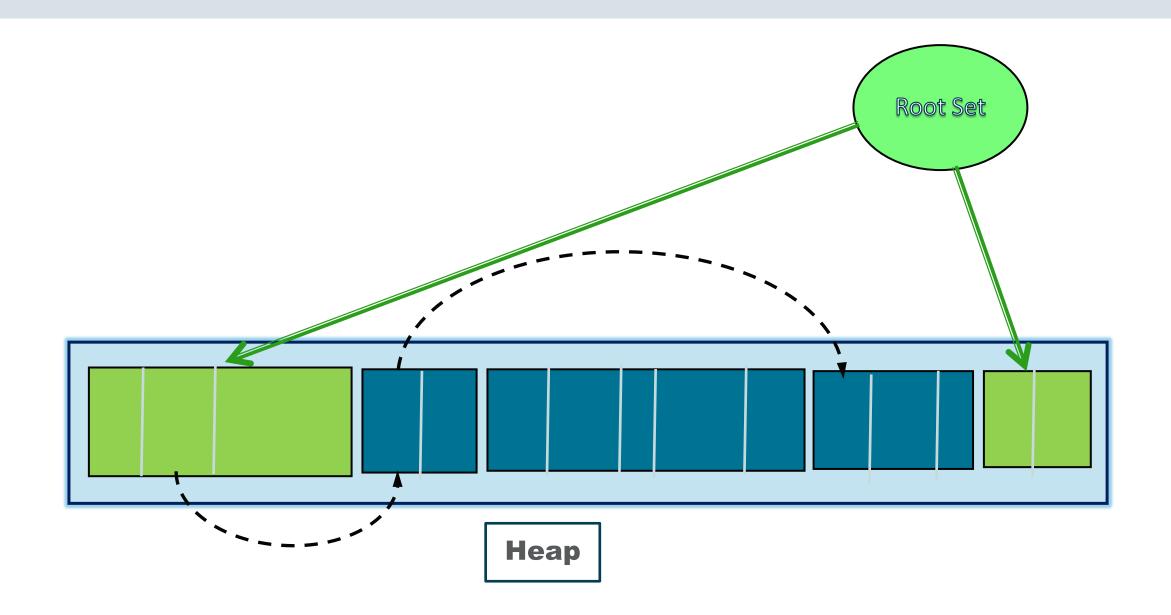
#### **References**



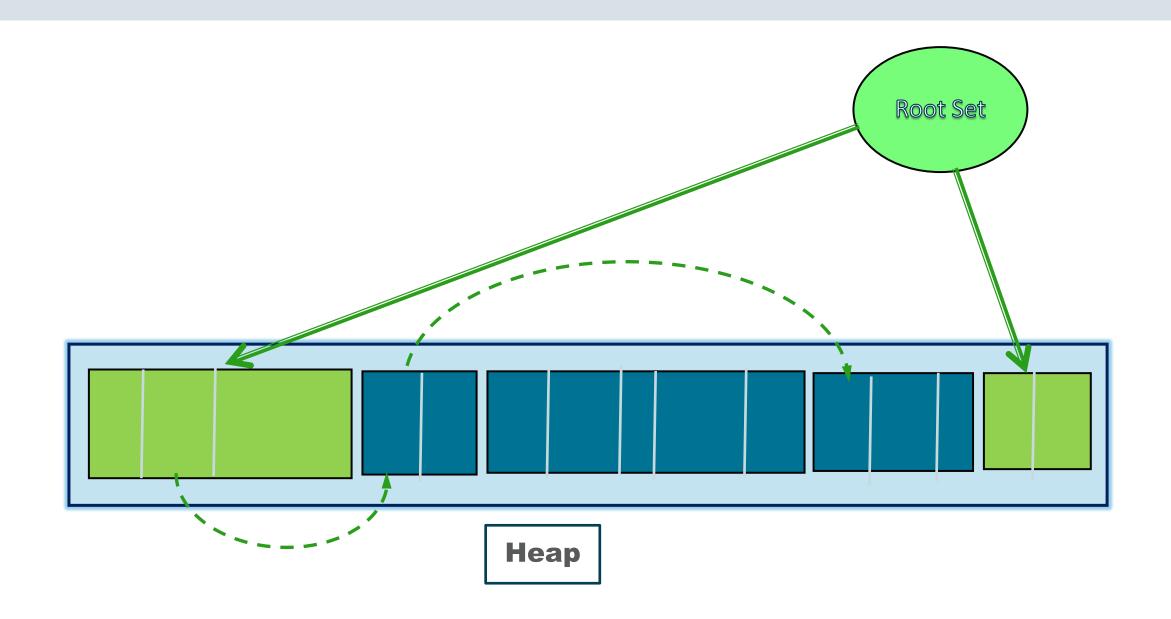




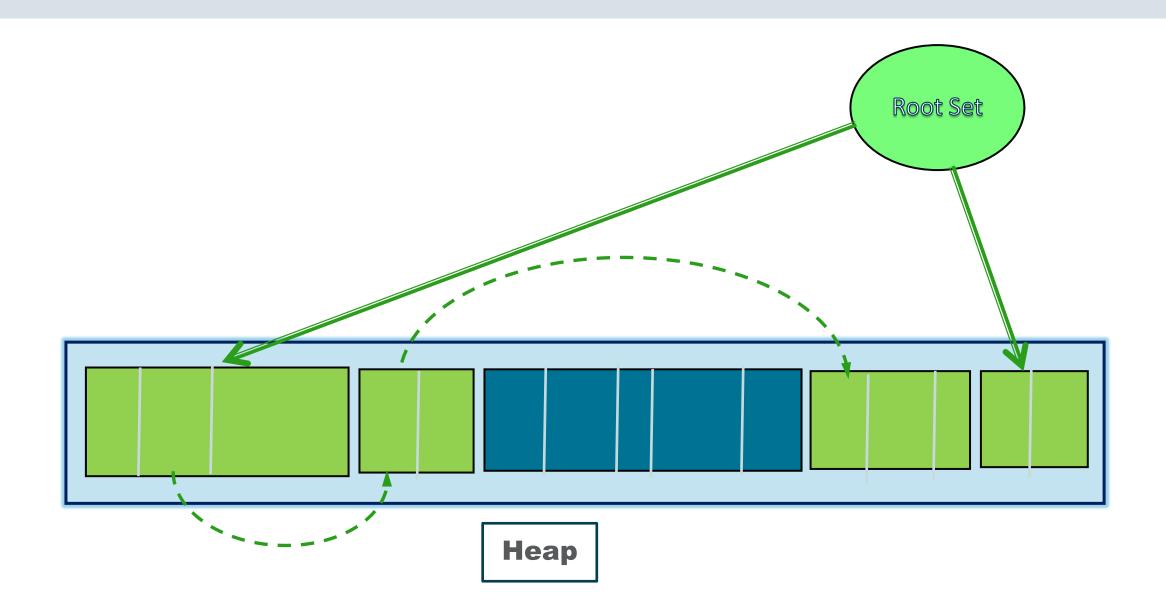












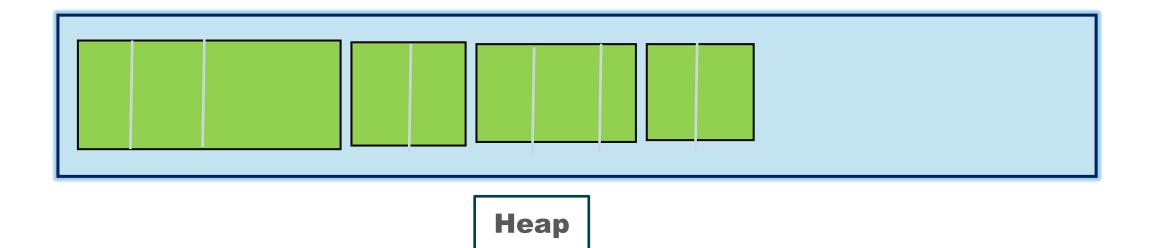


# Collect Garbage



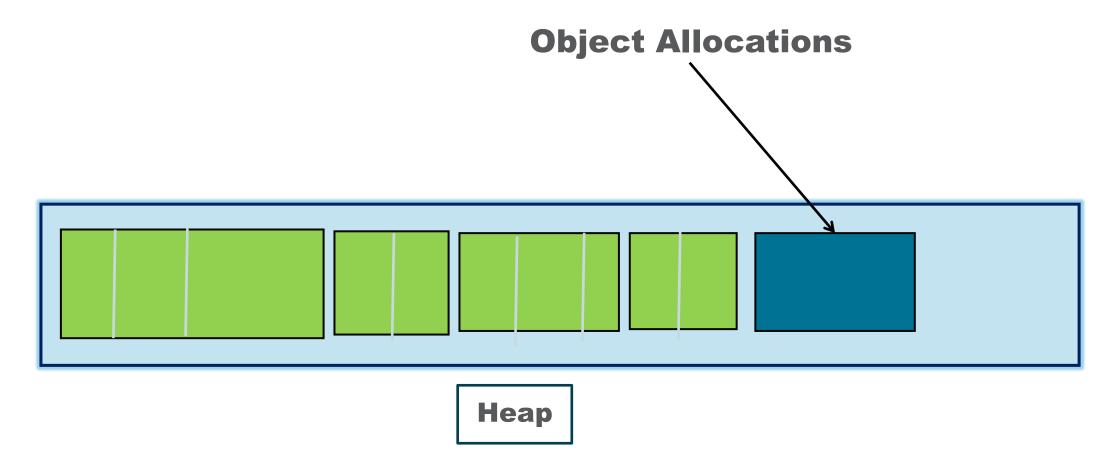


# Compact





#### **Allocations Continue**





### Garbage Collector

- Garbage collector is responsible for
  - Memory allocation
  - Keeping the referenced objects in memory
  - Reclaiming the space used by unreachable objects
- Unreachable objects are called garbage
- This whole process of reclaiming memory is garbage collection



### Summary: Section 1

- HotSpot JVM uses automatic memory management
- Memory is automatically managed by a sub-system called the garbage collector
- Garbage collector is responsible for
  - Allocations
  - Keeping the alive objects in memory
  - Reclaiming the space used by unreachable objects



## Lesson 1-2

Generational Garbage Collection and Memory Spaces in the HotSpot JVM

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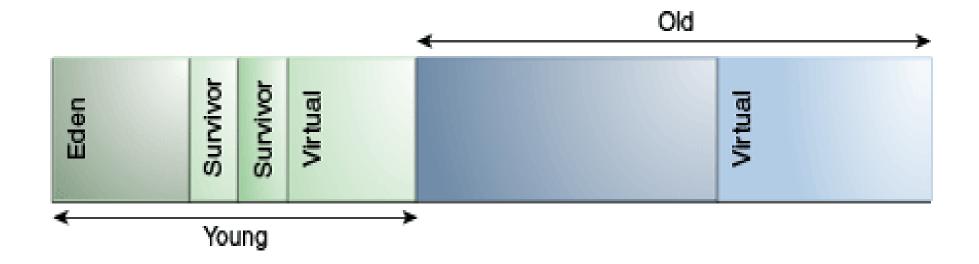


## Generational Garbage Collection

- Memory space is divided into generations
- Separate pools holding objects of different age ranges
- Based on hypothesis:
  - Most allocated objects die young
  - Few references from older to younger objects exist
- To take advantage of this hypothesis, heap is divided into two generations
  - Young: small and collected frequently
  - Old : larger and occupancy grows slowly
- Minor(young) and Major(Full) collections

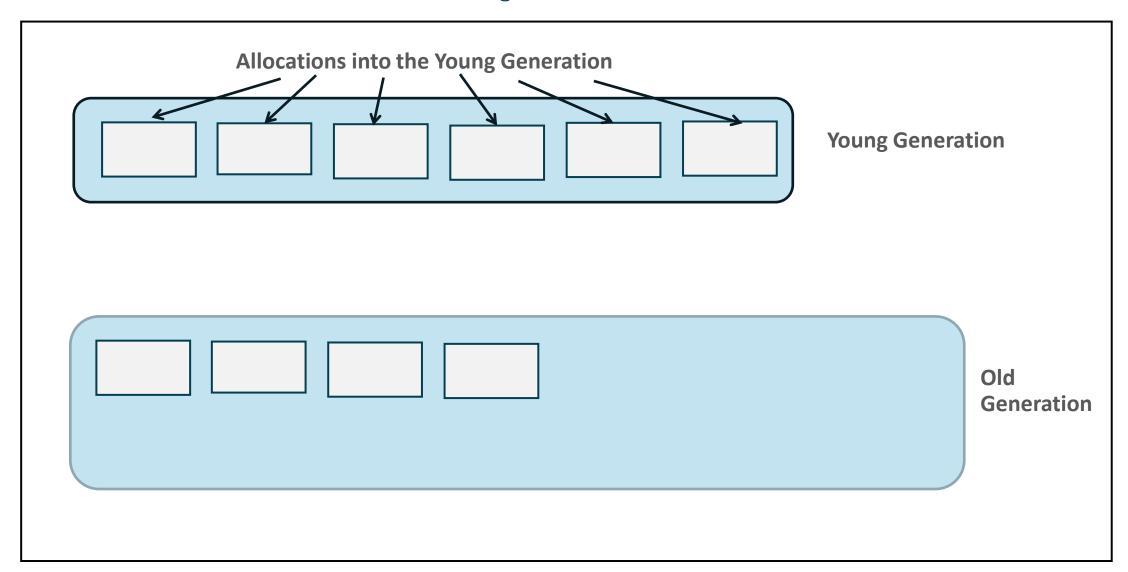


# Young and Old Generation



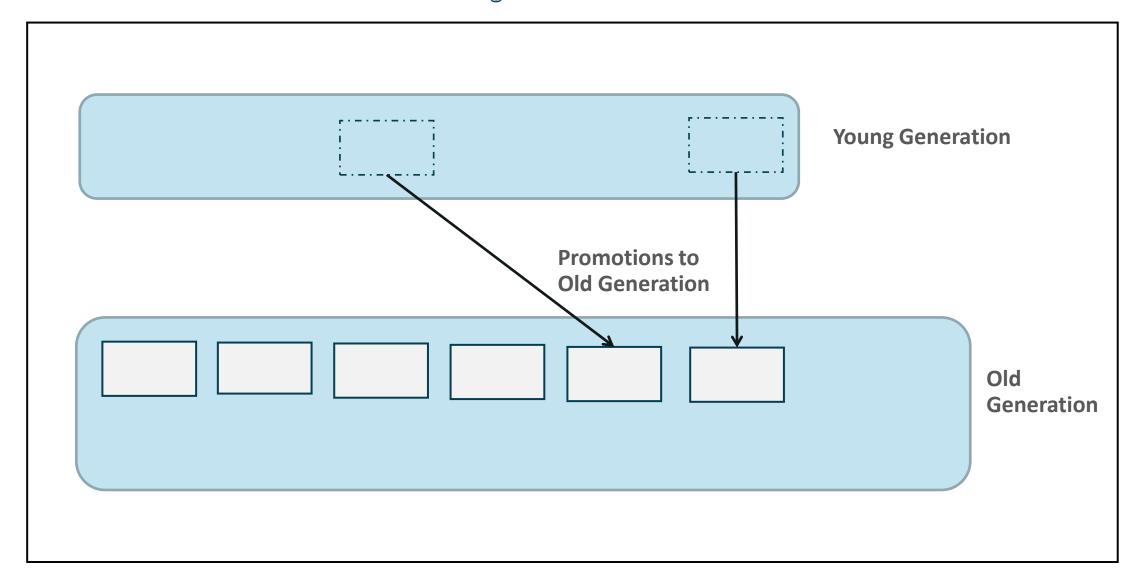


#### **Before Young Collection**





#### **After Young Collection**





#### **Permanent Generation**

- HotSpot JVM prior to JDK 8 had a third generation called Permanent Generation
- Used for:
  - JVM internal representation of classes and their metadata
  - Class statics
  - Interned strings
- Contiguous with the Java Heap



#### Metaspace

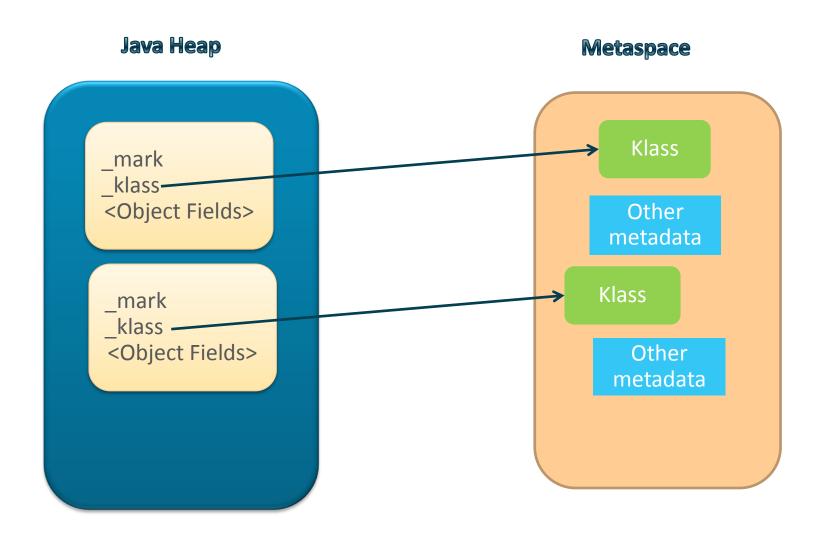
- JDK 8 does not have Permanent Generation
- Class metadata is stored in a new space called Metaspace
- Not contiguous with the Java Heap
- Metaspace is allocated out of native memory
- Maximum space available to the Metaspace is the available system memory
- This can though be limited by MaxMetaspaceSize JVM option



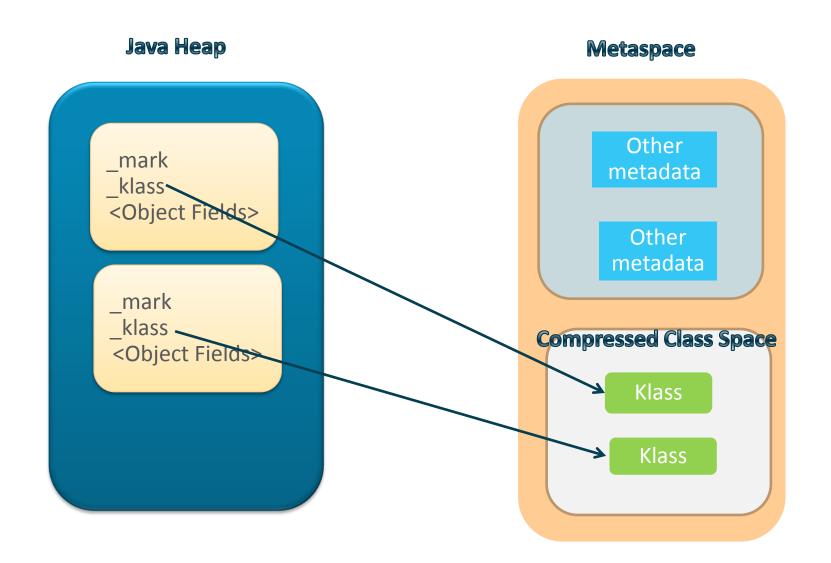
#### Compressed Class Space

- If UseCompressedClassesPointers is enabled then two separate areas of memory are used for the classes and its metadata
  - Metaspace
  - Compressed class space
- 64-bit class pointers are represented with 32-bit offsets
- Class metadata referenced by the 32-bit offsets is stored in the Compressed Class Space
- By default compressed class space is sized at 1GB
- MaxMetaspaceSize sets an upper limit on the committed size of both of these spaces.











#### Code Cache

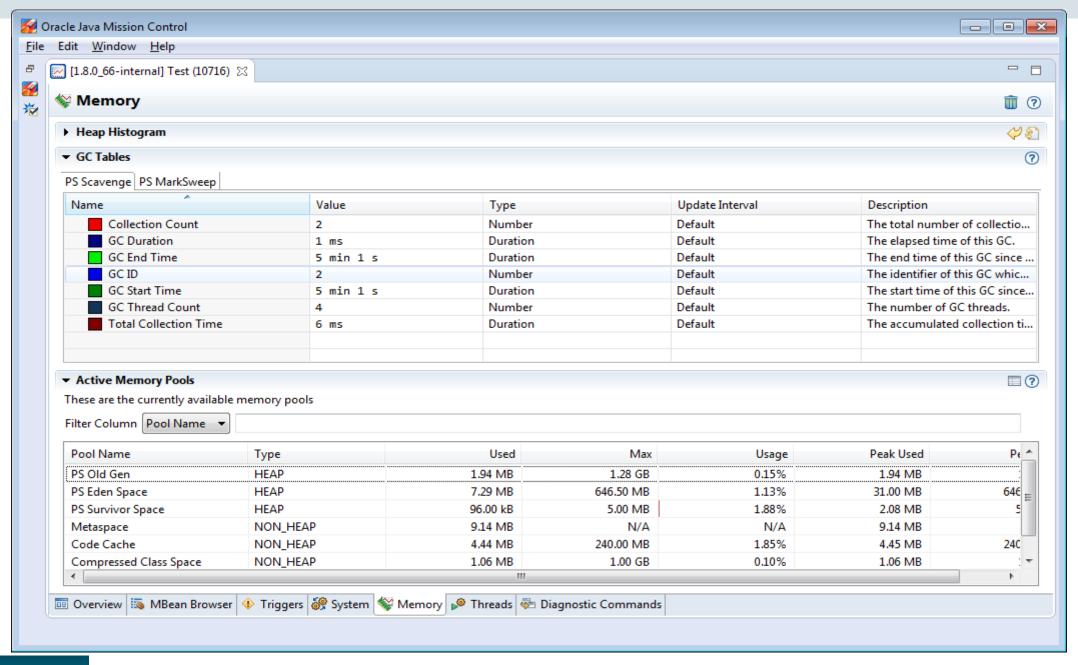
- Code Cache is used to store the compiled code generated by the Just-intime compilers
- It is allocated out of native memory
- Managed by the Code Cache Sweeper



## **Native Memory**

- Available system memory
- Not managed by the JVM memory management







#### Summary: Section 2

- Memory space is divided into memory pools
- Java Heap
  - Young generation
  - Old generation
- Classes and metadata space
  - Permanent Generation (before JDK 8)
  - Metaspace (metaspace + compressed class space) (JDK 8 onwards)
- CodeCache
- Native Memory



# Lesson 1-3

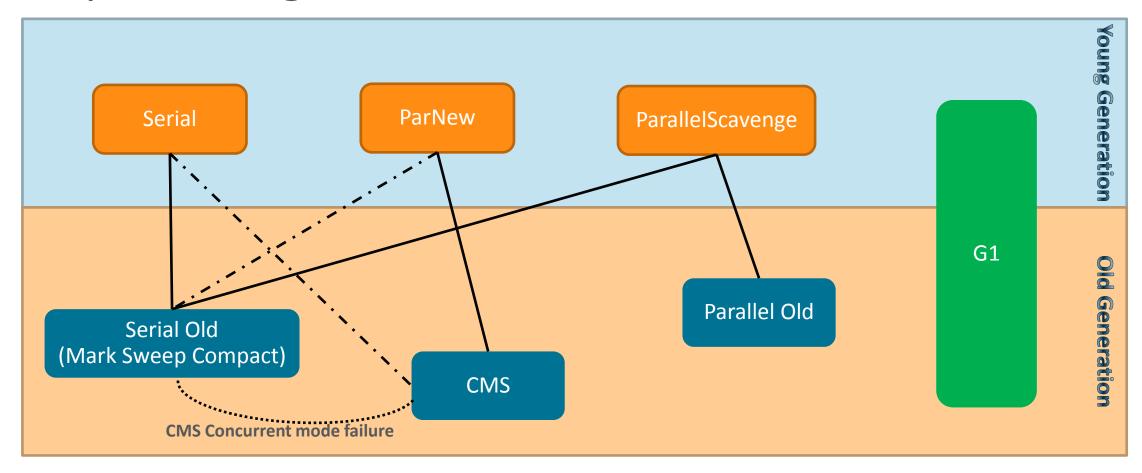
Garbage Collectors in the HotSpot JVM

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# **HotSpot Garbage Collectors**



- - - Combination not available in 9



# HotSpot Garbage Collection Types

#### Young Generation Collection

- Serial is a stop-the-world, copying collector that uses a single GC thread
- ParNew is a stop-the-world, copying collector that uses multiple GC threads
- Parallel Scavenge is a stop-the-world, copying collector that uses multiple GC threads

#### Old Generation Collection

- Serial Old is a stop-the-world, mark-sweep-compact collector that uses a single GC thread
- CMS is a mostly concurrent, low-pause collector
- Parallel Old is a compacting collector that uses multiple GC threads
- **G1** is the Garbage First collector for large heaps and provides reliable short GC pauses
  - Has generations but uses different memory layout

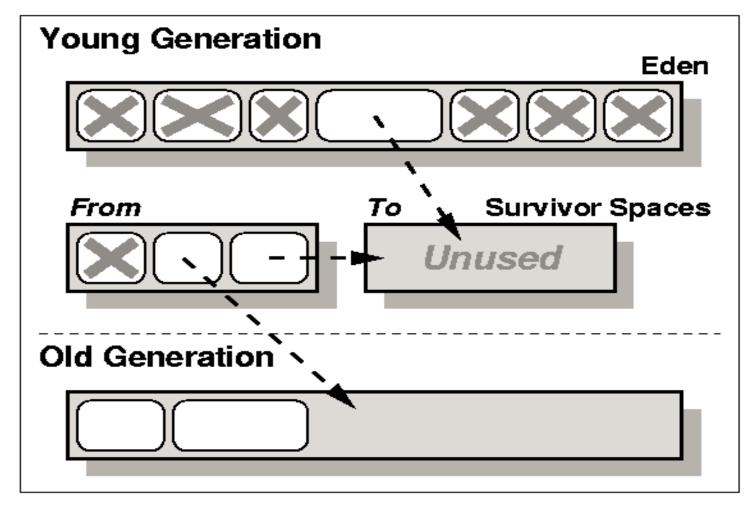


## How to enable the Collectors

- UseSerialGC: Serial + Serial Old
- UseParNewGC: ParNew + Serial Old
- UseConcMarkSweepGC: ParNew + CMS + Serial Old. CMS is used most of the time to collect the old generation. Serial Old is used when a concurrent mode failure occurs.
- UseParallelGC: Parallel Scavenge + Parallel Old
- UseG1GC : G1 GC for both generations

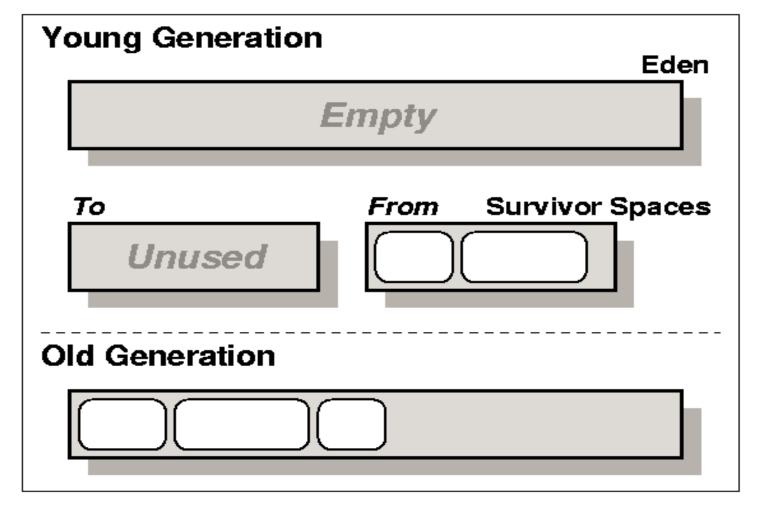


# **Young Collections**





# **Young Collections**





## Mark-Sweep-Compact Collector

- -XX:+UseSerialGC or –XX:+UseParNewGC selects Serial Old collector for old generation
- Stop-the-world old generation collector.
- Old is collected using mark-sweep-compact.
- Mark phase: marks all live objects.
- Sweep phase: sweeps over the heap identifying garbage.
- Slide phase: the GC performs a sliding compaction, sliding the live objects towards the start of the Heap.



### Parallel Collector

- -XX:+UseParallelGC
  - Young Generation collected with Parallel Scavenge
  - Old Generation collected with Parallel collector
- It is also called the throughput collector
- Stop-the-world collections
- Default on Server type machines up until JDK 9
- Collection is performed in parallel on multiple cores



## Concurrent Mark Sweep Collector

- -XX:+UseConcMarkSweepGC
  - Young gen ParNew collector
  - Old gen CMS collector
- Low-latency collector, Mostly concurrent
- No heap compaction fragmentation
- Free lists link unallocated regions
- Allocations expensive as compared to bump-the-pointer allocations
- Additional overhead on young collections
- Larger heap size requirement and floating garbage
- Deprecated in Java 9



## G1 Collector

- Server-style garbage collector, targeted for multi-processor machines with large memories
- Meets garbage collection (GC) pause time goals with a high probability, while achieving high throughput.
- Better GC ergonomics
- Low pauses without fragmentation
- Parallelism and concurrency in collections
- G1 is a compacting collector.
- Fully supported in Oracle JDK 7 update 4 and later releases
- Default collector in JDK 9



## Summary: Section 3

- Serial Collector
- Parallel Collector throughput collector
- Low Pause Collectors
  - G1 Garbage Collector default in Java 9
  - CMS deprecated in Java 9



### References

- GC Tuning Guide
  - https://docs.oracle.com/javase/9/gctuning/toc.htm
- Books
  - Garbage Collection: Algorithms for Automatic Dynamic Memory Management. Wiley,
     Chichester, July 1996. With a chapter on Distributed Garabge Collection by R. Lins.
     Richard Jones, Antony Hosking, and Elliot Moss.
  - The Garbage Collection Handbook: The Art of Automatic Memory Management. CRC
     Applied Algorithms and Data Structures. Chapman & Hall, January 2012



# Lesson 1-4

Garbage Collection Changes Between Java 7, 8 and 9

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# JDK 7 Changes



## Permanent Generation Partially Removed

- In JDK 7, some things were moved out of PermGen
  - Symbols were moved to native memory
  - Interned strings were moved to the Java Heap
  - Class statics were moved to the Java Heap
- Might contribute to slight increase in the young collections pause times
- Can revert this change with –XX:+JavaObjectsInPerm



# JDK 8 Changes



## Permanent Generation Removed

- Permanent Generation has been removed in JDK 8
- PermGen tuning options are now obsolete in JDK 8



## MetaSpace in JDK 8

- Metaspace added in JDK 8
- Classes and metadata stored in the Metaspace
- It replaces PermGen
- New Metaspace tuning JVM options added
  - MetaspaceSize
  - MaxMetaspaceSize



## Class Unloading Related Changes

- Until JDK 8u40, G1 unloads classes only at Full GCs
  - Classes don't get unloaded during the young or mixed collections and a Full GC is required to unload classes
- G1 supports concurrent unloading of classes from JDK 8



# JDK 9 Changes



# G1 Garbage Collector

- JDK 9 makes the G1 Garbage collector the default garbage collector
- It is surely possible to explicitly pick the garbage collector of your choosing
- For example:
  - -XX:+UseParallelGC would select Parallel GC as the garbage collector



## CMS Collector

- JDK 9 deprecates the CMS garbage collector
- Warning message is issued when -XX:+UseConcMarkSweepGC is used
- Planned for removal in a future major release



## GC Combinations Removed in Java 9

- DefNew + CMS : -XX:-UseParNewGC -XX:+UseConcMarkSweepGC
- ParNew + SerialOld : -XX:+UseParNewGC
- ParNew + iCMS : -Xincgc
- ParNew + iCMS : -XX:+CMSIncrementalMode -XX:+UseConcMarkSweepGC
- DefNew + iCMS : -XX:+CMSIncrementalMode -XX:+UseConcMarkSweepGC
   -XX:-UseParNewGC
- CMS foreground : -XX:+UseCMSCompactAtFullCollection
- CMS foreground : -XX:+CMSFullGCsBeforeCompaction
- CMS foreground : -XX:+UseCMSCollectionPassing



