

### Unit 3

## Sorting and Searching

### 3.1 Concept of sorting:

Definition: Sorting is systematic arrangement of data

Sorting are of two types.

1) Internal sorting

2) External sorting

### 3.1.1 Internal Sorting

Internal sorting is a sorting in which the data resides in the main memory of the computer.

\* Various methods of internal sorting are

1) Bubble sort

2) Insertion sort

3) Selection sort

4) Quick sort

5) Radix sort and so on.

### External sorting:

For many applications it's not possible to store the entire data on main memory for too

- reasons
- i) amount of main memory available is smaller than amount of data.
  - ii) The main memory is a volatile device & thus will lost the data when power is shut down.
- \* To overcome these problems the data is stored on the secondary storage devices.
- \* The technique which is used to sort the data which resides on the secondary storage device are called external sorting.

### 3.1.2 Sort order:

- The sorting is a technique by which we expect the list of elements to be arranged as we expect.
- \* Sorting order is nothing but the arrangement of the elements in some specific manner.
- \* Usually it's of two types
- i) Ascending order
  - ii) Descending order.

Ascending order : The elements are arranged from low value to high value.

\* In other words elements are in increasing order.

Eg:- 10, 50, 40, 20, 30

can be arranged in ascending order after applying some sorting technique as

10, 20, 30, 40, 50.

Descending order: The elements are arranged from high value to low value.

\* In other words elements are in decreasing order.

\* It's a reverse of ascending order.

Eg:- 10, 50, 40, 20, 30

can be arranged in descending order after applying some sorting technique as

50, 40, 30, 20, 10.

### 3.1.3. Sort stability

The sorting stability means comparing the records of same value and keeping them in the same order even after sorting them.

Eg:- (Pune, Balgandharva)

(Pune, Shaniwarwada)

(Nasik, Panchavati)

(Mumbai, Gateway of India)

\* The ascending order for the alphabets will be  
M, N, P.

(mumbai, Gateway of India)

(Nasik, Panchavati)

(Pune, Bal Grandhara)

(Pune, Shaniwarwada)

### 3.14. Efficiency and Passes:

one of the major issue in sorting algorithm is its efficiency.

\* we denote the efficiency of sorting algorithm in terms of time complexity.

\* Time complexity is given in terms of big-O notation

\* there are  $O(n^2)$  &  $O(n \log n)$  time complexities.

\* Bubble sort, insertion sort, selection, shell sort has time complexity  $O(n^2)$ .

\* Merge sort, quick sort has time complexity  $O(n \log n)$ .

\* Quick sort is fastest algorithm

\* Bubble sort is slowest algorithm

Passes: The phases in which the elements are moved to acquire their proper position is called passes.

eg: - 10, 30, 20, 150, 40

Pass 1: 10, 20, 30, 150, 40

Pass 2: 10, 20, 30, 40, 150.

### Sorting techniques:

Sorting is an important activity and every time we insert or delete the data we need to sort the remaining + various alg are developed for sorting such as

1. Bubble sort
2. Selection sort
3. Insertion sort
4. Radix sort
5. Shell sort
6. Merge sort
7. Quick sort

3.2

### Bubble sort

This is the simplest kind of sorting method

+ we do this bubble sort procedure in several iteration which is called Passes

#### Algorithm:

1. Read the total number of elements say  $n$
2. Store the elements in the array.
3. Set the  $i = 0$ .
4. compare the adjacent elements.

5. Repeat step 4 for all  $n$  elements.

6. Increment the value of  $i$  by 1 and repeat steps 4, 5  
for  $i < n$

7. Print the sorted list of elements.

8. Stop.

e.g.: - consider 5 unsorted elements are

45, -40, 190, 99, 11.

\* first store those elements in an array  $a$ .

$a$
0   45
1   -40
2   190
3   99
4   11

Pass 1  
\* compare each element with neighbouring elements.

\* compare 45 and -40

\* since  $45 > -40$  interchange.

0	40
1	45
2	190
3	90
4	11

\* compare 45 and 190 that is  $a[1]$  and  $a[2]$

\* since 45 is smaller no interchange.

0	-40
1	45
2	190
3	90
4	11

- \* compare  $190 \geq 90$ . that is  $a[2] \geq a[3]$ ,
- \* since  $190$  is greater, interchange  $190 \leftrightarrow 90$ .

0	-40
1	45
2	90
3	190
4	11

- \* compare  $190 \geq 11$ .

Since  $190 > 11$ , interchange.

0	-40
1	45
2	90
3	11
4	190



After first pass the numbers are not sorted.  
so we go for pass 2.

### Pass 2

- \* compare  $-40 \geq 45$ , no interchange.

- \* compare  $45 \geq 90$ , no interchange.

- \* compare  $90 \geq 11$ .

Since  $90 > 11$ , interchange.

0	-40
1	45
2	11
3	90
4	190

- \* Compare 90 & 190, no interchange.
- \* After 2<sup>nd</sup> pass the numbers are not sorted so go for Pass 3.

### Pass 3

- \* Compare  $a[0]$  &  $a[1]$ , no interchange.  
-40 & 45

- \* Compare  $a[1]$  &  $a[2]$ , interchange.

$$45 > 11$$

-40
45
45
99
190

- \* Compare 45 & 99, no interchange.

- \* Compare 99 & 190, no interchange.

+ finally the last pass the array will hold all the sorted elements -40, 11, 45, 99, 190.

### Python Program:

```
def Bubble (arr,n):
    i = 0
    for i in range (n-1):
        for j in range (0,n-i-1):
```

```
if (arr[j] > arr[j+1]):
```

```
    temp = arr[j]
```

```
    arr[j] = arr[j+1]
```

```
    arr[j+1] = temp
```

```
print ("In Pass #", (i+1))
```

```
print (arr)
```

```
print ("Program for bubble sort")
```

```
print ("How many elements are there in array?")
```

```
n = int(input())
```

```
array = []
```

```
i = 0
```

```
for i in range(n):
```

```
    print ("Enter element in array")
```

```
item = int(input())
```

```
array.append(item)
```

```
print ("Original array is")
```

```
print (array)
```

```
print ("Sorted array is")
```

```
Bubble (array, n)
```

Output:

Program for bubble sort.

How many elements are there in array?

5 Enter elements in array.

Enter elements in array

10

Enter elements in array

20

Enter elements in array

50

Enter elements in array

40

Original array is

[30, 10, 20, 50, 40]

Sorted array is

Pass # 1

[10, 20, 30, 40, 50]

Pass # 2

[10, 20, 30, 40, 50]

Pass # 3

[10, 20, 30, 40, 50]

Pass # 4

[10, 20, 30, 40, 50]

### 3.3. Selection Sort :

\* Scan the array to find its smallest element & swap it with the first element.

\* Then starting with the second element scan the entire list to find the smallest element and swap it with the second element.

\* Then starting from the third element the entire list is scanned in order to find the next smallest element.

\* continuing this fashion we can sort the entire list  
 \* generally, on pass  $i$  ( $0 \leq i \leq n-2$ ) the smallest element  
 is searched among last  $(n-i)$  elements & is swapped  
 with  $A[i]$

$$A[0] \leq A[1] \leq \dots \leq A[i-1] \cdot | \quad A[i], \dots A[k] \dots A[n-1]$$

The list get sorted after  $n-1$  pass.       $A[k]$  is smallest  
 so swap  $a[i]$  &  $a[k]$

eg:- consider the element  $70, 30, 20, 50, 60, 10, 40$   
 we can store these elements in array  $A$  as:

70	30	20	50	60	10	40
$A[0]$	$A[1]$	$A[2]$	$A[3]$	$A[4]$	$A[5]$	$A[6]$

1st pass:

70	30	20	50	60	10	40
----	----	----	----	----	----	----

↑  
Run

scan for finding smallest element.

70	30	20	50	60	10	40
----	----	----	----	----	----	----

↑  
;

smallest element found.

now swap  $A[i]$  with smallest element. we get .

10	30	20	50	60	10	40
----	----	----	----	----	----	----

Pass 2

A[0]	A[1]	A[2]	A[3]	A[4]	A[5]	A[6]
10	30	20	50	60	70	40

i, min → Scan the array for smallest element

10	30	20	50	60	70	40
----	----	----	----	----	----	----

i ↑ smallest element.

Swap A[i] with smallest element, the array becomes

10	20	30	50	60	70	40
----	----	----	----	----	----	----

Pass 3

A[0]	A[1]	A[2]	A[3]	A[4]	A[5]	A[6]
10	20	30	50	60	70	40

i, min

Scan for smallest element in this list.

As there is no smallest element than 30 we will increment i pointer.

Pass 4

A[0]	A[1]	A[2]	A[3]	A[4]	A[5]	A[6]
10	20	30	50	60	70	40

i, min

smallest element is searched in this list.

10	20	30	50	60	70	40
----	----	----	----	----	----	----

i ↑ smallest element.

Swap A[i] with smallest element, the array becomes

10	20	30	40	60	70	50
----	----	----	----	----	----	----

5<sup>th</sup> Pass:

A[0]	A[1]	A[2]	A[3]	A[4]	A[5]	A[6]
10	20	30	40	60	70	50

$i$       Search smallest element in this list.

10	20	30	40	60	70	50
----	----	----	----	----	----	----

$i$        $\uparrow$  Smallest element.

Swap A[i] with smallest element. The array becomes .

10	20	30	40	50	70	60
----	----	----	----	----	----	----

Pass 6. a[0] a[1] a[2] a[3] a[4] a[5] a[6].

10	20	30	40	50	70	60
----	----	----	----	----	----	----

$i$        $\uparrow$  Smallest element

Swap A[i] with smallest element , the array becoming

10	20	30	40	50	60	70
----	----	----	----	----	----	----

This is a sorted array .

## Python program

```
def SelectionSort (arr, n):
    for i in range(n):
        min = i
        for j in range(i+1, n):
            if (arr[j] < arr[min]):
                min = j
        temp = arr[i]
        arr[i] = arr[min]
        arr[min] = temp
    print(arr)
print ("\\n Program for Selection sort")
print ("\\n How many elements are there in array?")
n = int(input())
array = []
i = 0
for p in range(n):
    print ("\\n Enter element in array")
    item = int(input())
    array.append(item)
print ("original array is (n)")
print (array)
print ("\\n sorted array is")
SelectionSort (array, n)
```

O/P

Program for Selection sort

How many elements are there in array?

5  
Enter element in array

30  
Enter element in array

10  
Enter element in array

20  
Enter element in array

40  
Original array is  
[30, 50, 10, 20, 40]

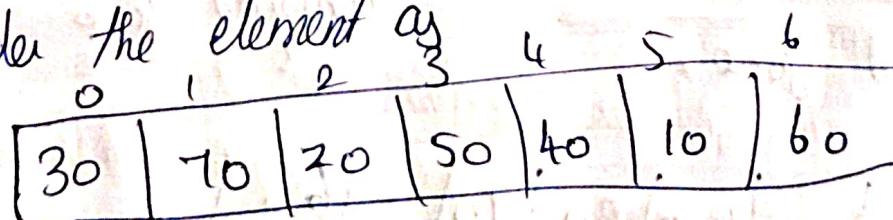
sorted array is  
[10, 20, 30, 40, 50]

### Insertion sort:

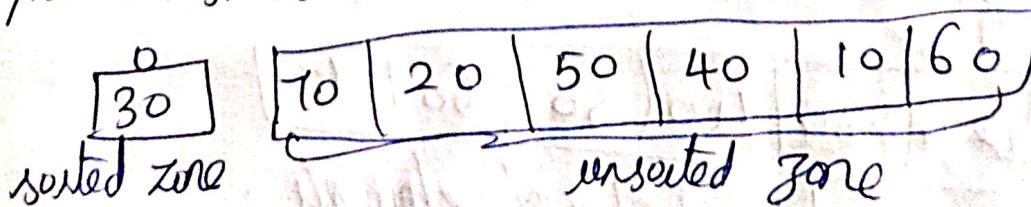
In this method the elements are inserted at their appropriate place.

\* Hence is the name insertion sort.

e.g.: consider the element as



The process starts with first element



Compare 70 with 30 and insert it at its position.

0	1	2	3	4	5	6
30	70	20	50	40	10	60

sorted zone                          unsorted zone

Compare 20 with the elements in sorted zone and insert it in that zone appropriate position.

0	1	2	3	4	5	6
20	30	70	50	40	10	60

sorted zone                          unsorted zone

Compare 50 with the elements in sorted zone & insert in appropriate position.

0	1	2	3	4	5	6
20	30	50	70	40	10	60

sorted zone                          unsorted zone

20	30	40	(50)	70	10	60
----	----	----	------	----	----	----

sorted zone                          unsorted zone

10	20	30	40	50	70	60
----	----	----	----	----	----	----

sorted zone                          inserted zone

10	20	30	40	50	60	70
----	----	----	----	----	----	----

sorted list of elements

## Algorithm

Although it is very natural to impl insertion using recursive alg but it is very efficient to impl it using bottom up approach.

Algorithm insert-sort ( $A[0..n-1]$ )

for  $i \leftarrow 1$  to  $n-1$  do

{   temp  $\leftarrow A[i]$

$j \leftarrow i - 1$

    while ( $j \geq 0$ ) AND ( $A[j] > temp$ ) do

        {

$A[j+1] \leftarrow A[j]$

$j \leftarrow j - 1$

        }

$A[j+1] \leftarrow temp$

    }

## Analysis:

when an array of element is almost sorted then it is best case complexity.

\* The best case time complexity of insertion sort is  $O(n)$ .

## Advantage of insertion sort:

- 1) Simple to implement.
- 2) Efficient when we want to sort small number of element.

- 3) more efficient than most other simple  $O(n^2)$  alg such as selection sort or bubble sort
- 4) This is stable
- 5) It is called in-place sorting alg. In-place sorting alg is an alg in which the i/p is overwritten by o/p and to execute the sorting method it does not require any more additional space.

Program :

```
def Insertsort (arr,n):
```

```
i=1
```

```
for i in range (n):
```

```
temp= arr [i]
```

```
j= i-1
```

```
while ((j >= 0) & (arr [j] > temp)):
```

```
arr [j+1] = arr [j]
```

```
j = j-1
```

```
arr [j+1] = temp
```

```
print (arr)
```

```
print ("\n Program for Insertion Sort")
```

```
print ("In Program how many elements are there in array?")
```

```
n = int (input ())
```

```
array = []
```

```
i=0
```

```
for i in range (n):
```

```
print ("Enter element in array ")
```

```
iItem = int(input())
array.append(iItem)
print("Original array is \n")
print(array)
print("\n Sorted array is ")
InsertSort(array, n)
```

O/P

Program for Insertion sort

How many elements are there in array?

5  
Enter element in array

30  
Enter element in array

10  
Enter element in array

50  
Enter element in array

40  
Enter element in array

20  
Original array is

[30, 10, 50, 40, 20]

Sorted array is

[10, 20, 30, 40, 50]

### 3-5 Merge sort

Merge sort is a sorting alg in which array is divided repeatedly. The sub arrays are sorted independently and then these subarrays are combined together to form a final sorted list.

Merge sort on an input array with  $n$  elements consists of three steps:

Divide: Partition array into two sublists  $S_1$  &  $S_2$  with  $\frac{n}{2}$  elements each.

Conquer: Then sort sublist  $S_1$  & sublist  $S_2$ .

Combine: merge  $S_1$  &  $S_2$  into a unique sorted group.

### Program:

Divide the lists into two sublists -

```
def merge_sort(A, l, r):
```

```
    if l >= r:
```

```
        return
```

```
    mid = (l+r)//2
```

```
    merge_sort(A, l, mid)
```

```
    merge_sort(A, mid+1, r)
```

```
    merge(A, l, r, mid)
```

```
# merge the list
```

```
def merge(A, l, r, mid):
```

# creating left & right sublists

Left = A[1: mid+1]

Right = A[mid+1: n+1]

i = 0

j = 0

k = 0

while i < len(Left) and j < len(Right):

if Left[i] <= Right[j]:

A[k] = Left[i]

i = i + 1

else:

A[k] = Right[j]

j = j + 1

k = k + 1

while i < len(Left):

A[k] = Left[i]

i = i + 1

k = k + 1

while j < len(Right):

A[k] = Right[j]

j = j + 1

k = k + 1

# Driver code

print ("\\n It program for merge sort")

print ("How many elements you want to sort?")

n = int(input())

A = []

i = 0

for i in range(n):

```
print ("Enter the elements :")
```

```
num = int(input())
```

```
A.append(num)
```

```
print ("original list is ...")
```

```
print(A)
```

```
merge_sort(A, 0, n-1)
```

```
print ("sorted list is ...")
```

```
print(A)
```

Q1 How many elements you want to sort?

5

Enter the elements :

30

Enter the element

10

Enter the element

40

Enter the element

50

Enter the element

20

Original list is ...

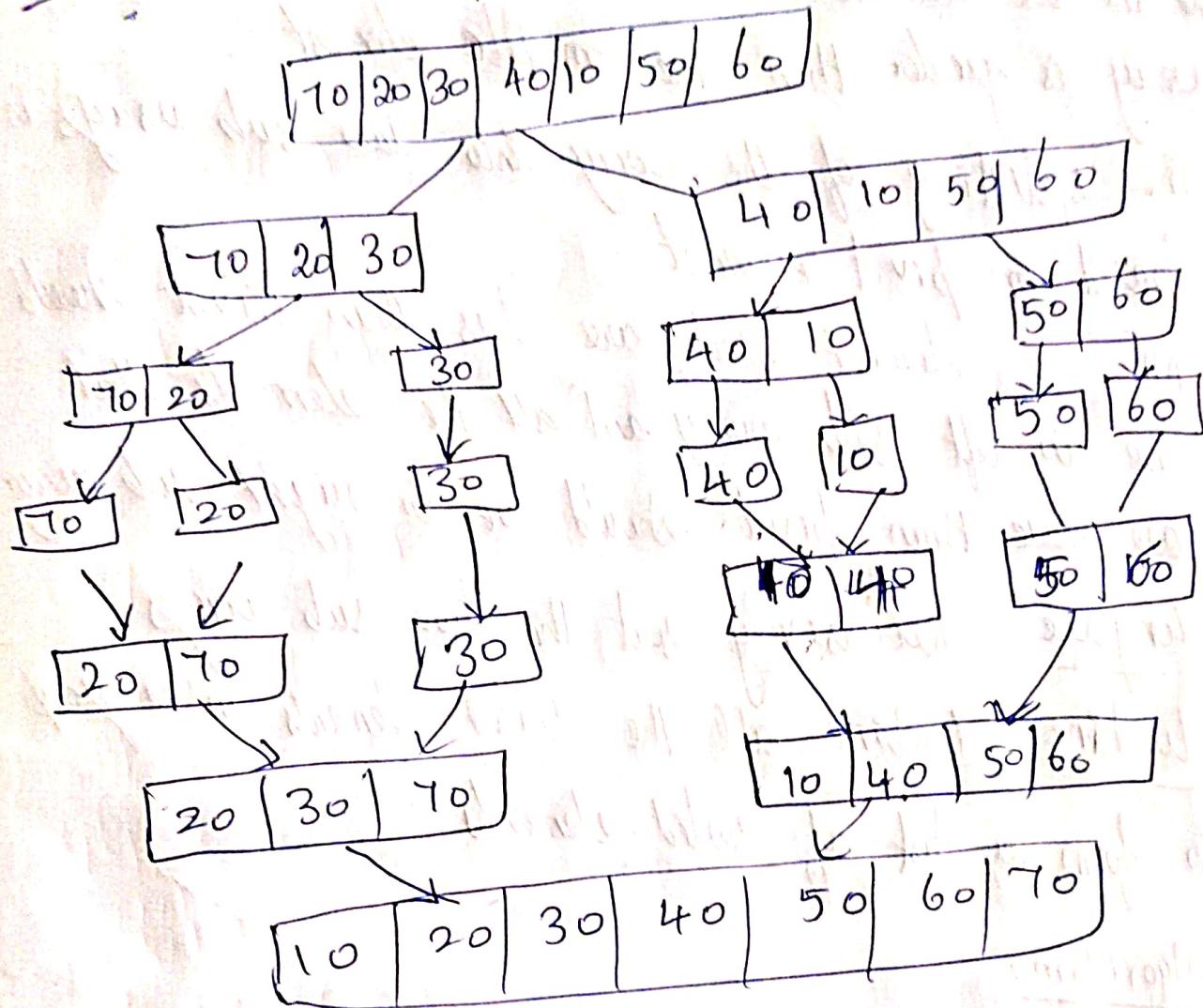
[30, 10, 40, 50, 20]

Sorted list is ...

[10, 20, 30, 40, 50]

eg:- Consider the following elements for sorting using merge sort  $70, 20, 30, 40, 10, 50, 60$

soln Now we will split this list into two sublists.

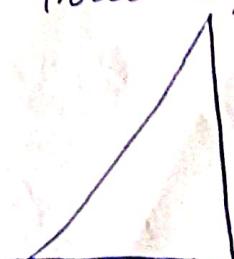


### 3.6. Quick sort

Quick sort is a sorting alg that uses the divide & conquer strategy. In this method division is dynamically carried out. The three steps of quick sort are as follows.

Ele that are less than pivot

Pivot element



Elements that are greater than pivot.

Divide:

- \* Split the array into two sub arrays that each element in the left sub array is less than or equal the middle element and each element in the right sub array is greater than the middle element.
- \* The splitting of the array into two sub arrays is based on pivot element.
- \* All the elements that are less than pivot should be in left sub array and all the elements that are more than pivot should be in right sub array.

Conquer: Recursively sort the two sub arrays.

Combine: Combine all the sorted elements in a group to form a list of sorted elements.

## Searching Techniques

If we want to find particular record efficiently from the given list of elements then there are various methods of searching that element.

- \* This is called searching method.
- \* Various alg based on these search method are known as searching alg.

### Basic characteristics:

1. Should be efficient.
2. Less number of computations.
3. Space occupied by searching alg must be less.

### most commonly used alg:

1. Sequential or linear search
2. Indexed sequential search
3. Binary search.

### Linear search

Sequential search is technique in which the given list of element is scanned from beginning.

\* The key element is compared with every element of

the list. If found the searching is stopped otherwise it will be continued to the end of the list.

Although this is a simple method, there are some unnecessary comparison involved in this method.

\* Time complexity of this algorithm is  $O(n)$ .

\* The time complexity will increase linearly with the value of  $n$ .

array :-	roll no	Name	marks
	15	Anur	96
	2	Mand	40
	13	Lalita	81
	1	Madhav	50
	12	Anu	78
	3	Jaya	94

\* Search student roll no 12 then array - roll no will see the every record whether it is of roll no = 12.

\* We obtain such a record at array [4] location.

### Python Pgm

```
def search(arr,x):
```

```
    for i in range(len(arr)):
```

```
        if arr[i]==x:
```

```
            return i
```

```
    return -1
```

```
print ("In How many elements are there in array?")
```

```
n = int(input())
array.append(item)
print("Resultant array is \n")
print(array)
print("Enter key element to be searched")
key = int(input())
location = search(array, key)
print("The element is present at index : ", location)
```

O/P How many elements are there in array?

5  
Enter element in array

30  
Enter element in array

10  
Enter element in array

40  
Enter element in array

50  
Enter element in array

20

Resultant array is  
[30, 10, 40, 50, 20]

Enter key element to be searched

40  
the element is present in index 2

### 3.10 Binary search:

Binary search is a searching alg in which the list of elements is divided into two subsets and key element is compared with the middle element.

\* If match found the location of middle element is

\* returned, otherwise search into either of the halves  
depending upon the result produced through the match.

Algorithm for Binary search:

1. If ( $\text{low} > \text{high}$ )
2. return
3.  $\text{mid} = (\text{low} + \text{high}) / 2$
4. If ( $x == a[\text{mid}]$ )
5. return ( $\text{mid}$ )
6. if ( $x < a[\text{mid}]$ )
7. Search for  $x$  in  $a[\text{low}]$  to  $a[\text{mid}-1]$ ;

8. else
9. Search for  $x$  in  $a[\text{mid}+1]$  to  $a[\text{high}]$

e.g.: -40, 11, 33, 37, 42, 45, 99, 100

Search 99 using binary search!

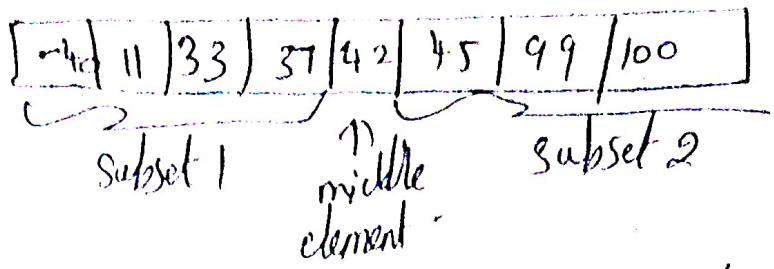
0	1	2	3	4	5	6	7
-40	11	33	37	42	45	99	100

Step 1 Now the key element to be searched is 99  
 $\therefore \text{Key} = 99$

Step 2 Find the middle element of the array  
Compare with key.

$$42 < 99$$

Now handle only subset 2



again divide subset 2 into 2 & find mid of subset 2.  
 mid is 99 & match is found at the  $\frac{7}{2}$ th pos.,  
 in array [6].

### Program

```
def BinSearch (arr, key, low, high):
    if (high >= low):
        m = (low + high) // 2
        if (arr[m] == key):
            return m
        elif (arr[m] > key):
            return BinSearch (arr, key, low, m-1)
        else:
            return BinSearch (arr, key, m+1, high)
    else:
        return -1.
```

### Driver code:

```
print ("In How many elements are there in Array ?")
```

```
n = int (input ())
```

```
array = []
```

```
i = 0
```

```
for i in range (n):
```

```
print ("Enter element in array")
item = int (input ())
array.append (item)
print ("Resultant array is \n")
print (array)
print ("Enter the key element to be searched : ")
key = int (input ())
location = BinRsearch (array, key, 0, len (array)-1)
if location != -1:
    print ("The element is present at index : ", location)
else:
    print ("The element is not present in the list")
```

Ques How many elements are there in array?

5  
Enter element in array

10  
Enter element in array

20  
Enter element in array

30  
Enter element in array

40  
Enter element in array

Resultant array is

[10, 20, 30, 40, 50]

Enter the key element to be searched :

20  
The element present at index : )

### 3.11. Hashing :

Hashing is an effective way to reduce the number of comparisons.

- \* e.g. manufacturing company has inventory file that consists of less than 1000 parts. Each part is having 7 digit unique no.
- \* The no. is called key and the particular key record consists of the part name.
- \* If 1000 parts then 1000 array element can be stored.
- \* array will be indexed from 0 to 999.
- \* Single key is 7 digit convert to 3 digit by taking only last three digit of key.

Position	Key	Record
0	4967000	
1		
2	8421002	

4957391	396	4618316
	397	44957397
	398	
	399	1286399
	400	
	401	
	402	

990	00000990
991	00000991
992	
993	

- \* 1<sup>st</sup> key 196700 is stored at 0<sup>th</sup> position
- \* 2<sup>nd</sup> key 842100 is stored in the 2<sup>nd</sup> position by taking last 3 no's.

\* This method of searching is called hashing.

+ The function that convert the key (4 digit) into array position is called hash function.

Here hash fun is  $h(\text{key}) = \text{key} \% 100$ .

### Basic concept of Hashing

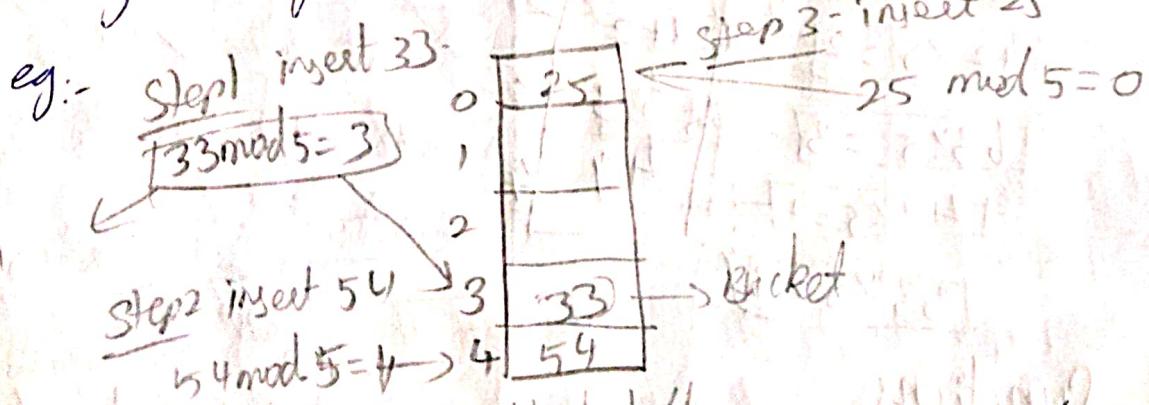
1. Hash table: Hash table is data structure used for storing and retrieving data quickly.

\* Every entry in the hash table is made using hash function.

### Hash function:

+ Hash function is used to place data in hash table -

\* Similarly hash fun is used to retrieve data from hash table.



Hash fun

Bucket : The hash fun  $H(\text{key})$  is used to map several dictionary entries in the hash table. Each position of the hash table is called bucket.

4) Collision collision is situation in which hash function returns the same address for more than one record.

0	25
1	
2	
3	33
4	55

if we want to insert 55

then  $55 \bmod 5 = 0$   
but 0<sup>th</sup> position is already taken  
with 25, now 55 is dominating  
same location. this is,  
Hence collision occur.

5) Probe: Each calculation of an address & test for success is known as a probe.

6) Synonym: The set of keys that has to same location are called synonyms.

\* in above given table computation 25 & 55 are synonym.

7) overflow: when hash table becomes full & new records need to be inserted then it is called overflow.

$25 \times .5 = 0$	$\rightarrow$	25	0
$31 \times .5 = 1$	$\rightarrow$	31	1
$42 \times .5 = 2$	$\rightarrow$	42	2
$63 \times .5 = 3$	$\rightarrow$	63	3
$49 \times .5 = 4$	$\rightarrow$	49	4
$33 \times .5 = 3$			

## Hash functions

There are various types of hash function or hash methods which is used to place the elements in hash table.

## Hash functions

Division method

multiplication method.

Extraction method

mid square method

folding & universal method.

### Division method

The hash function depends upon the remainder of division.

- \* The divisor is table length.
- \* If the record 54, 72, 89, 37 is to be placed in hash table and if the size of table is 10 then.

Hash function.

$$h(\text{key}) = \text{record} \% \text{table size}$$

$$4 = 54 \% 10$$

$$12 = 72 \% 10$$

$$9 = 89 \% 10$$

$$7 = 37 \% 10$$

Hash table

0	
1	
2	72
3	
4	54
5	
6	
7	37
8	
9	89

### Multiplicative hash function:

i. Multiply the key 'k' by a constant A where  $A$  is in range  $0 < A < 1$ . Then extract fractional part of  $A$ .

2. multiply this fractional part by  $m$  & take the floor.

$$h(k) = m \lceil kA \rceil$$

$\lceil$  fractional part.

Donald Knuth suggested to use  $\alpha = 0.6180339887$ .

e.g:- Let key  $K = 107$ , assume  $m = 50$ .

$$\alpha = 0.6180339887$$

$$h(K) = m * \{107 + 0.6180339887\}$$

$$= 50 * 66.12$$

$$= 50 * 0.12 \rightarrow \text{Fractional Part.}$$

$$= b$$

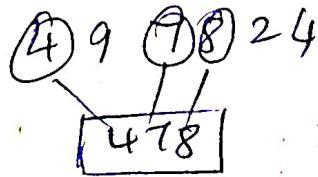
$$\boxed{h(K) = b}$$

That means 107 can be placed at index 6 in hash table.

### Extraction

In this method some digits are extracted from the key to form the address location in hash table.

e.g:- Suppose first, third & fourth digit from left is selected for hash key.



At 478 the hash table of size 1000 the key can be stored.

### Mid Square

1. Square the key.

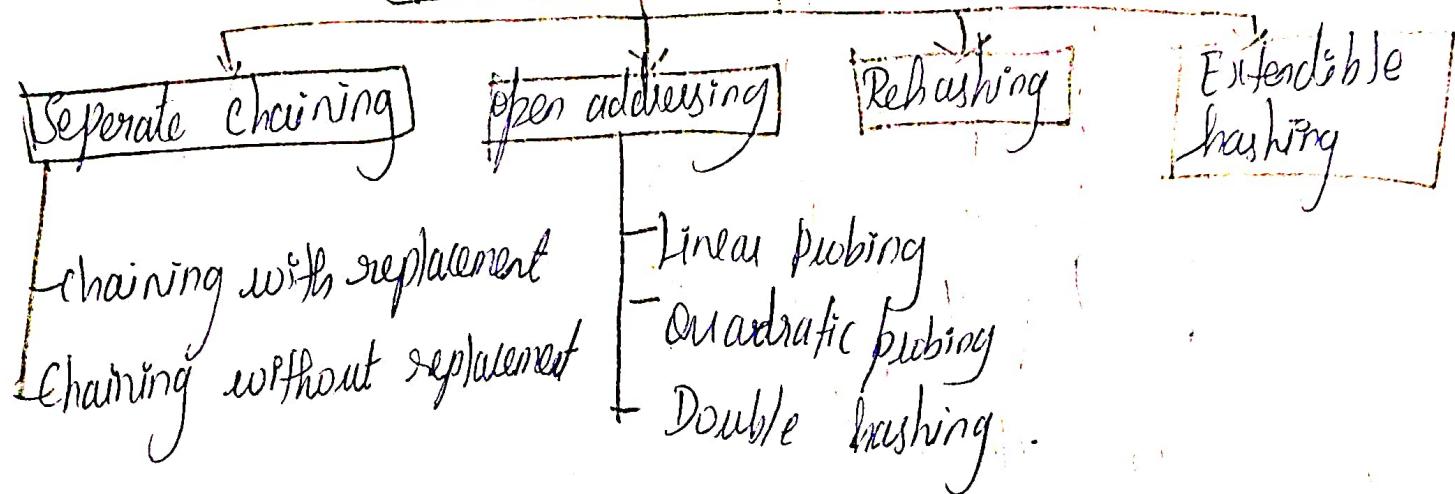
2. Extract the middle part of the result. This will indicate the location of key element in hash table.

$$\text{Key} = 3111 \quad (3111)^2 = 9678321$$

## Collision Handling

If collision occur then it should be handled by applying some techniques, such techniques are called collision handling techniques.

### Collision Resolution tech.



### Chaining

#### 1. chaining without replacement

A separate chain table is maintained for colliding data. When collision occurs we store the second colliding data by linear probing method. The address of this colliding data can be stored with first colliding element of the chain table, without replacement.

The draw back of this method is finding next empty location.

eg :- 131, 3, 4, 21<sub>2</sub>, 6, 71, 8, 9 .

index	Data	chain
0	-1	-1
1	131	2
2	21	5
3	3	-1
4	4	-1
5	61	-1
6	6	-1
7	71	-1
8	8	-1
9	9	-1

Chaining with replacement :

eg :- 131, 21, 31, 4, 5, 2

index	Data	chain
0	-1	-1
1	131	2
2	21	3
3	31	-1
4	4	-1
5	5	-1
6		
7		
8		
9		

now next element to insert is 2, but the index 2 is filled with 21 so replace the 21 to next empty position & 2 will be placed on place of 21

index	Data	chain
0	-1	-1
1	131	-1
2	2	-1
3	31	-1
4	4	-1
5	5	-1
6	21	-1
7	-1	-1
8	-1	-1
9	-1	-1

## open addressing

open addressing is a collision handling tech in which the entire hash table is searched in systematic way for empty cell to insert new item if collision occurs various tech used are -

- 1) linear probing
- 2) quadratic probing
- 3) double hashing

## i) linear probing

when collision occurs is when 2 records demand for the same location in the hash table, then the collision can be solved by placing second record linearly down whenever the empty location is found.

e.g :- 131, 21, 31, 4, 5, 61, 7, 8.

index	data
0	
1	131
2	21
3	31
4	4
5	5
6	61
7	7
8	8
9	

### Quadratic probing

Quadratic probing operates by taking the original hash value & adding successive values of an arbitrary quadratic polynomial to the starting value. This method uses formula -

$$h_i(\text{key}) = (\text{hash}(\text{key}) + i^2) \mod m$$

m is table size or any prime no:-

e.g :- Insert elements in hash table with table size 10.

37, 90, 55, 22, 11, 17, 49, 87.

$$37 \mod 10 = 7$$

$$90 \mod 10 = 0$$

$$55 \mod 10 = 5$$

$$22 \mod 10 = 2$$

$$11 \mod 10 = 1$$

now 17  $\mod 10 = 7$  collision occurs.

the bucket 7 has already 37. Now apply quadratic probing

$$i = 0, 1, 2, 3, \dots$$

$$(17 + 0^2) \% 10 = 7$$

$$(17 + 1^2) \% 10 = 8 \text{ when } i=1$$

the bucket 8 is empty hence place element at index 8.

Then comes 49 will be placed at index 9.

now 87.  $(87 + 0) \% 10 = 7$

$$(87 + 1) \% 10 = 8 \text{ already occupied}$$

$$(87 + 2) \% 10 = 1 \text{ already } "$$

$$(87 + 3) \% 10 = 6. " "$$

so 87 at 6<sup>th</sup> index.

### 3) Double hashing

Double hashing is tech in which a second hash fun is applied to the key when collision occurs. By applying the second hash fun we will get the number of position from the pt of collision to insert.

2 important rules : 1) It must never evaluate to zero.

2) make sure that all cells can be probed.

$$H_1(\text{key}) = \text{key} \% \text{tableSize}$$

$$H_2(\text{key}) = m - (\text{key} \% m)$$

0	90
1	11
2	22
3	33
4	44
5	55
6	66
7	77
8	88
9	99

1 Smaller no. small prime no of the table.

Consider no. 31, 90, 45, 22, 17, 49, 55.

insert 31, 90, 45, 22.

Now insert 17 it collide with 31.

$$H_1(H) = 17 \% 10 = 7$$

$$H_2(\text{key}) = M - (\text{key} \% m)$$

$$= 10 - (17 \% 7) = 4$$

so take 4 jumps & place at index 1

Now insert 55 & 49.

$$H_1(55) = 55 \% 10 = 5 \quad \text{collision.}$$

$$H_2(55) = 10 - (55 \% 10) = 10 - 5 = 5$$

jump from 55 to one index & it takes

6 th index to place 55.

0	90
1	17
2	22
3	
4	
5	45
6	55
7	37
8	
9	49

## Load factor

Load factor is a measure that helps in deciding when to increase the capacity of hash table.

formula to calculate load factor.

$$\text{Load factor} = \frac{\text{initial capacity of hash table}}{\text{no. of elements stored}}$$

e.g.: initial capacity of hash table is 12 & load factor of hash table is 0.75. Then load factor is  $12 \times 0.75 = 9$ .

\* So at most 9 elements can be stored in hash table.

- \* If we want to insert 10<sup>th</sup> entities add double the size of hash table  
 $9 \times 2 = 18$ .
- \* Load factor need to be kept low, so time complexity is O(1).

## Rehashing

Rehashing is a technique in which the table size is resized. i.e. the size of table is doubled by creating new table. It is preferable the total size of table is prime number. Situation for rehashing.

- \* when table is completely full
  - \* with quadratic probing when the table is filled half.
  - \* when insertion fail due to overflow.
- In this situation we have to transfer entries from old table to new table  
 Consider. 37, 90, 55, 22, 17, 49 & 87.

$$37 \div 10 = 3$$

$$90 \div 10 = 9$$

$$55 \div 10 = 5$$

$$22 \div 10 = 2$$

$$17 \div 10 = 1$$

$$49 \div 10 = 4$$

Now the table is almost full we try to insert more element collection will occur & further insertion fail. Hence rehash by doubling the size. The old size is 10 & double

the size becomes 20. But 20 is not prime  $10\%$   
 we will prefer to make the table size as 23  
 and now hash function will be

$$H(\text{key}) = \text{key} \bmod 23$$

$$37 \bmod 23 = 14$$

$$90 \bmod 23 = 21$$

$$55 \bmod 23 = 9$$

$$22 \bmod 23 = 22$$

$$17 \bmod 23 = 17$$

$$49 \bmod 23 = 3$$

$$81 \bmod 23 = 18$$

0
1
2
3 49
4
5
6
7
8
9 55
10
11
12
13
14 31
15
16
17 17
18 87
19
20
21 90
22 22