

# Minimum Spanning Tree

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- Spanning Tree of a graph is a Subgraph that is a tree and connect all the vertices together.
- A Single graph can have many different spanning tree.
- A Minimum Spanning Tree (MST) for a weighted, connected and undirected graph is a Spanning Tree with weight less than or equal to the weight of every other spanning tree.
- A MST has  $(V-1)$  edges where  $V$  = no of vertices.

## Safe Edge

An edge  $(u, v)$  is a safe for  $A$  if  $A \cup \{(u, v)\}$  is also a subset of some MST.

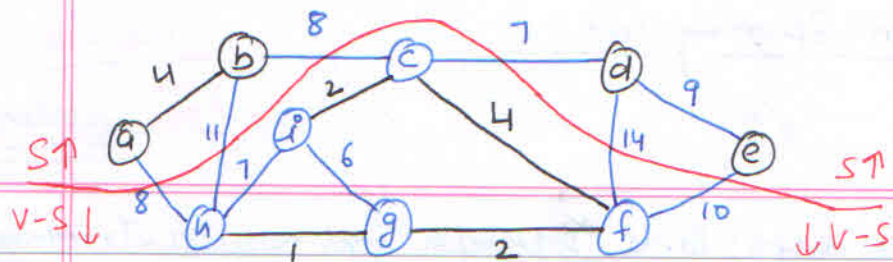
Definition - A cut  $(S, V-S)$  of  $G = (V, E)$  is a partition of  $V$ .

- An edge  $(u, v) \in E$  crosses the cut  $(S, V-S)$  if one of its endpoint is in  $S$  and other is in  $V-S$ .
- A cut that respects a set  $A$  of edges if no edge in  $A$  crosses the cut.
- An edge is a light edge crossing a cut if its weight is the minimum of any edge crossing the cut.

## Generic-MST( $G, w$ )

1.  $A = \emptyset$
2. While  $A$  does not form a Spanning tree
3. find an edge  $(u, v)$  that is safe for  $A$
4.  $A = A \cup \{(u, v)\}$
5. return  $A$

(AJAY RAWAT)



- Black vertices = Set  $S$
- Blue vertices =  $(V-S)$
- $(d,c)$  edge is the unique light edge crossing the cut.
- A subset  $A$  of the edges (Black) shaded, cut  $(S, V-S)$  respects  $A$  since no edge of  $A$  crosses the cut.

### Kruskal's Algorithm

- The Set  $A$  is a forest whose vertices are all those of the given graph.
- The safe edge added to  $A$  is always a least-weight edge in the graph that connect two distinct components.

### Steps

- 1) Sort all edges in non-decreasing order of their weight.
- 2) Pick the smallest edge. Check if it forms a cycle with the spanning tree formed so far.
- 3) If cycle is <sup>not</sup> formed include this edge, else discard it.
4. Repeat step 2,3 until there are  $(V-1)$  edges in the spanning tree.

### MST-Kruskal $(G, w)$

- |        |   |        |                 |
|--------|---|--------|-----------------|
| $O(1)$ | 1. $A = \emptyset$  | $O(1)$ |                 |
|        | 2. for each vertex $v \in G.V$                                      |        |                 |
| $O(1)$ | 3. make-set $(v)$   | $O(1)$ | ] $\forall  V $ |
| $ E $  | 4. Sort the edges of $G.E$ into nondecreasing order by weight $w$ . |        |                 |



5. for each edge  $(u, v) \in G, E$  taken in nondecreasing order by weight
6. if  $\text{find-SET}(u) \neq \text{find-SET}(v)$
7.  $A = A \cup \{(u, v)\}$
8.  $\text{UNION}(u, v)$
9. return  $A$

- It uses a disjoint-set data structure to maintain several disjoint sets of elements.

- Each set contains the vertices in one tree of the current forest.

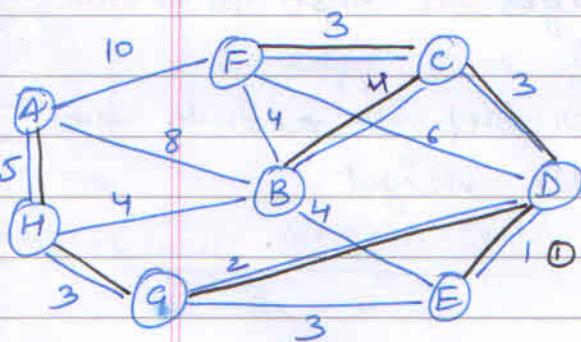
⇒ operations

Make-SET( $x$ ) - Create a new set whose only member is  $x$ .

find-Set( $x$ ) - returns a pointer to the representative of the set containing  $x$ .

UNION( $x, y$ ) - unites the sets that contain  $x$  and  $y$ , means  $S_x$  and  $S_y$  into a new set that is the union of the two sets.

Example



- Sort the edges by decreasing weight

edge	$w_i$	Selection	edge	$w_i$	Selection
(D,E)	1	✓	(B,E)	4	X
(D,G)	2	✓	(B,F)	4	X
(E,G)	3	X	(B,H)	4	X
(C,D)	3	✓	(A,H)	5	✓
(G,H)	3	✓	(D,F)	6	X
(C,F)	3	✓	(A,B)	8	X
(B,C)	4	✓	(A,F)	10	X

$$\text{Total cost} = \sum w_i = 21$$

## Analysis

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Theorem - A Seq of 'm' Make-Set, UNION, find-Set operations, 'n' of which are make-Set operation can be performed on a disjoint Set forest with Union rank and path Compression in worst case time.  $O(m\alpha(n))$   
( $\alpha$  is the very slowly growing function)

- According to above theorem in Kruskal algo.
- V makeSet operation (n in above theorem)
- (V+E) Seq of make-set, Union, findSet operation (m in theorem)  
 $((V+E)\alpha(V))$
- As G is Connected we have  $|E| \geq V-1$  so disjoint Set operation take  $O(E\alpha(V))$  time.
- moreover  $\alpha(|V|) = O(\log V) = O(\log E)$
- Total running time of Kruskal<sup>algo</sup> is  $O(E \log E)$
- Observing that  $|E| < |V|^2$  we have  $\log |E| = O(\log V)$
- we can restate running time as  $O(E \log V)$
- \* - This algo works best if no of edges is kept to a min.
- Kruskal is greedy because at each step it add to the forest an edge of least possible weight.



## Prim's Algorithm

- The Set  $A$  forms a Single tree.
- The Safe edge added to  $A$  is always a least weight edge connecting the tree to a vertex not in the tree.
- Tree starts from an arbitrary root vertex ' $r$ ' and grows until the tree spans all the vertices in ' $V$ '.
- Each step adds to the tree  $A$  a light edges that connect  $A$  to an isolated vertex.
- When algorithm terminates, the edges in  $A$  form a <sup>minimum</sup> spanning tree.
- During execution, all vertices that are not in the tree reside in a min-priority queue  $Q$  based on a key attribute.
- For each  $v$ , attribute  $v.key$  is the minimum weight of any edge connecting  $v$  to a vertex in the tree.
- $v.key = \infty$ , if there is no such edge.
- $v.\pi$  names the parent of  $v$  in the tree.

## MST-PRIM ( $G, W, r$ )

(V) 1. for each  $u \in G.V$

2.  $u.key = \infty$

3.  $u.\pi = NIL$

4.  $r.key = 0$

O(V) 5.  $Q = G.V$

//  $Q$  is a min heap (priority queue)

$|V|$  6. while  $Q \neq \emptyset$   
 $O(\log V)$  7.  $u = \text{Extract-Min}(Q)$   
 $|E|$  8. for each  $v \in G.\text{Adj}[u]$   
 9. if  $v \in Q$  and  $w(u, v) < v.\text{key}$   
 10.  $v.\pi = u$   
 $(\log V)$  11.  $v.\text{key} = w(u, v)$  // Decrease Key operation

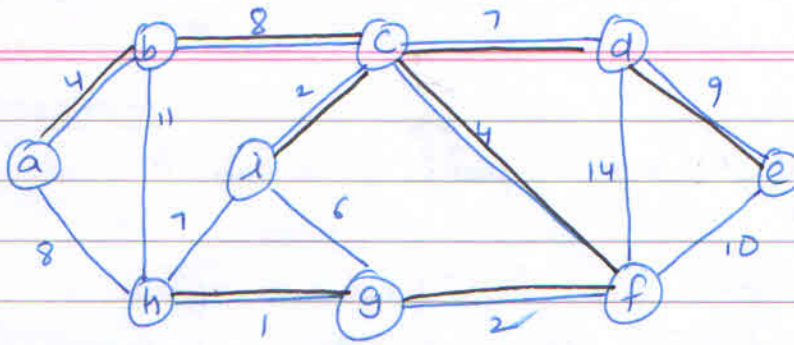
- Sum of the lengths of all adjacency lists is  $2|E|$ . (line 8-11)

Total time if we implement  $Q$  as a binary min-heap.

- line 1-5 (Build min heap)  $O(V)$  time
- while loop executes  $|V|$  times and each Extract Min take  $O(\log V)$  so total time is  $O(V \log V)$
- line 8-11 take  $O(E)$
- line 11 involves an implicit Decrease-Key operation on min-heap ( $O(\log V)$ ) time.

thus total time  $O(V \log V + E \log V) = O(E \log V)$

- We can improve the asymptotic running time of Prim's by using Fibonacci heaps.
  - Fibonacci heap holds  $|V|$  elements
  - Extract-Min operation takes  $O(\log V)$  amortized time
  - Decrease-Key operation takes  $O(1)$  amortized time
  - If we can Fibonacci heap to implement priority queue  $Q$  running time improves  $O(E + V \log V)$



### Prim's Algorithm

- Prim's uses a greedy since at each step it adds to the tree an edge that contributes the minimum amount possible to the tree's weight.