

## 2. Principle - wise (as per National Voluntary Guidelines - NVGs) BR Policy/policies

## (a) Details of compliance (Reply in Y/N)

No.	Questions	P1	P2	P3	P4	P5	P6	P7	P8	P9
1	Do you have a policy / policies for	Y	Y	Y	Y	Y	Y	Y	Y	Y
		P1 Environment, Health and Safety Policy P2 Corporate Social Responsibility Policy P3 Sexual Harassment of Women at the Workplace Policy P4 Anti-Corruption Policy P5 Whistle Blower Protection Policy P6 Group Social Policy P7 Group Human Rights Policy P8 Hitachi ABB's Code of Conduct P9 Hitachi ABB Supplier Code of Conduct								
2	Has the policy being formulated in consultation with the relevant stakeholders?	Yes								
3	Does the policy conform to any national/international standards? If yes, specify? (50 words)	Yes								
4	Has the policy being approved by the Board? Is yes, has it been signed by MD/owner/CEO/appropriate Board Director?	Few of the policies have been approved by the Board and other Group policies are adopted by the Company.								
5	Does the Company have a specified committee of the Board/ Director/ Official to oversee the implementation of the policy	The Company has a committee for CSR and Sexual Harassment of Women at the Workplace. For the other policies, the Company has adequate internal control on its implementation.								
6	Indicate the link for the policy to be viewed online?	Please refer to the section on 'Links to the Company Policies and Programs' section of the detailed BRR document								
7	Has the policy been formally communicated to all relevant internal and external stakeholders?	Yes All of the policies are available to the stake holders through the Company's web page								
8	Does the Company have in-house structure to implement the policy/ policies?	Yes								
9	Does the Company have a grievance redressal mechanism related to the policy/policies to address stakeholders' grievances related to the policy/policies?	Yes								
10	Has the Company carried out independent audit/evaluation of the working of this policy by an internal or external agency?	No								

## (b) If answer to the question at serial number 1 against any principle, is 'No', please explain why: (Tick up to 2 options)

No.	Questions	P1	P2	P3	P4	P5	P6	P7	P8	P9
1	The Company has not understood the Principles	NA								
2	The Company is not at a stage where it finds itself in a position to formulate and implement the policies on specified principle	NA								
3	The Company does not have financial or manpower resources available for the task	NA								
4	It is planned to be done within next 6 months	NA								
5	It is planned to be done within the next 1 year	NA								
6	Any other reason (please specify)	NA								

## 3. Governance related to BR

(a)	Indicate the frequency with which the Board of Directors, Committee of the Board or CEO to assess the BR performance of the Company. Within 3 months, 3-6 months, Annually, More than 1 year	Annually								
(b)	Does the Company publish a BR or a Sustainability Report? What is the hyperlink for viewing this report? How frequently it is published?	Yes, FY 2019 being the first financial period, the Company had published Business Responsibility report for FY 2019 in FY 2020 forming part of Annual report published in FY 2020. <a href="https://www.hitachiabb-powergrids.com/in/en/investor-relations/financial-results--reports-and-presentations">https://www.hitachiabb-powergrids.com/in/en/investor-relations/financial-results--reports-and-presentations</a>								

## SECTION E: PRINCIPLE-WISE PERFORMANCE

Principle 1	
1	Does the policy relating to ethics, bribery and corruption cover only the Company? Yes/No. Does it extend to the Group/Joint Ventures/ Suppliers/Contractors/NGOs/Others?
	No It extends to the Group/Joint Ventures, Suppliers, Contractors, NGOs and Others.
2	How many stakeholder complaints have been received in the past financial year and what percentage was satisfactorily resolved by the management? If so, provide details thereof, in about 50 words or so
	436. 100% was replied to.