

# Attention is All You Need!

Week #5 - 17 Oct '24 NLP Lab

#### NLP Lab Project : Build your own GPT

Text Processing and Bag of Words Mode1

Theory of Word Embeddings: Word2Vec, GloVe

Transformer Model, Advanced Transformers: GPT, BERT

Custom Language Model using Prompt Engineering, RAG

N-grams and

TF-IDF

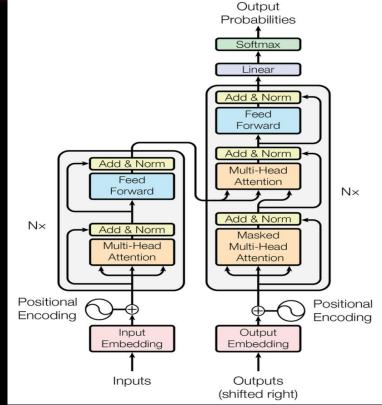
RNNs: LSTM, GRU; Attention Mechanisms

Fine-Tuning, SFT, Continued Pretraining

### 1. Transformer Model

#### **1.1 Transformer Architecture**

- Encoder & Decoder Stacks
- Attention
  - Scaled Dot-Product Attention
  - Multi-Head Attention
- Point-wise Feed-forward Networks
- Embeddings and Softmax
- Positional Encoding



#### 1.2 Encoder & Decoder Stacks

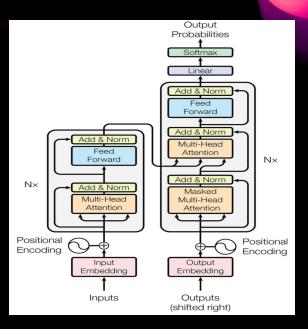
#### **Encoder:**

- Composed of a stack of N = 6 identical layers.
- Each layer has two sub-layers :
  - o multi-head self-attention mechanism
  - position- wise fully connected feed-forward network
- Residual Connection is applied around each of the two sub-layers.

LayerNorm(x + Sublayer(x))

#### **Decoder:**

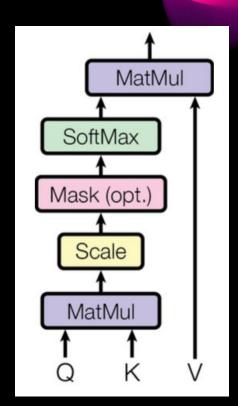
- Composed of a stack of N = 6 identical layers.
- In addition to the sub-layers in each encoder layer, the decoder inserts a third sub-layer, which performs multi-head attention over the output of the encoder stack.
- The self-attention sub-layer in the decoder stack is modified (combined with fact that the output embeddings are
  offset by one position) to prevent positions from attending to subsequent positions.



#### 1.3 Scaled Dot-Product Attention

$$Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$$

- Dot-product attention is identical to this, except for the scaling factor of 1/√d<sub>ν</sub>.
- The authors suspected that for large values of d<sub>k</sub>, the dot products grow large in magnitude, pushing the softmax function into regions where it has extremely small gradients 4. To counteract this effect, we scale the dot products by 1/√d<sub>k</sub>.



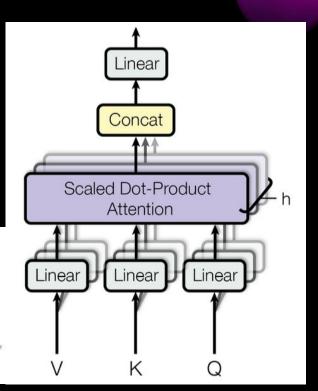
#### 1.4 Multi-Head Attention

 Multi-head attention allows the model to jointly attend to information from different representation subspaces at different positions.

$$MultiHead(Q, K, V) = Concat(head_1, ..., head_h)W^O$$

$$where head_i = Attention(QW_i^Q, KW_i^K, VW_i^V)$$

Where the projections are parameter matrices  $W_i^Q \in \mathbb{R}^{d_{\text{model}} \times d_k}$ ,  $W_i^K \in \mathbb{R}^{d_{\text{model}} \times d_k}$ ,  $W_i^V \in \mathbb{R}^{d_{\text{model}} \times d_v}$  and  $W^O \in \mathbb{R}^{hd_v \times d_{\text{model}}}$ .



### **Applications of Attention in our Model**

- Encoder Decoder attention layers: Queries from the decoder later; memory keys and values from the output of the encoder.
- Encoder contains self attention layers: All the keys, values and queries come from the same place (the output of the previous layer)
- Decoder contains self attention layers: Allows each position in the decoder to attend all positions in the decoder up and including that position

#### 1.5 Point-wise Feed-Forward Networks

 In addition to attention sub layers, each of the layers in the encoder and decoder contains a fully connected FFN.

Consists of 2 linear transformations with a ReLU activation in between.

$$FFN(x) = max(0, xW_1 + b_1)W_2 + b_2$$

### 1.6 Embeddings & Softmax

• Learned embeddings is used to convert the input and the output tokens to vectors.

 The usual learned linear transformation and softmax function are used to convert the decoder output to predicted next-token probabilities.

 Same weight matrix is shared between the two embedding layers and the pre-softmax linear transformation

#### 1.7 Positional Encoding

• Since the model contains no recurrence and no convolution, we need to inject some information about relative or absolute position of the tokens in the sequence.

 That is why we add positional encodings to the input embeddings at the bottom of the encoder and the decoder stacks.

The original paper used sine and cosine functions of different frequencies.

$$\begin{split} PE_{(pos,2i)} &= sin(pos/10000^{2i/d_{\rm model}}) \\ PE_{(pos,2i+1)} &= cos(pos/10000^{2i/d_{\rm model}}) \end{split}$$

#### 1.8 Why Self-Attention

- Computational Complexity: O(1) per layer; efficient for short sequences.
- Parallelization: High parallelization allows simultaneous processing.
- Long-Range Dependencies: Short paths facilitate easier learning of dependencies.
- Model Interpretability: Provides clear attention distributions for better insights.

## 1.9 Advantages of Transformer over other Sequence models

- Parallelization: Transformers process entire sequences simultaneously due to self-attention
- Memory Efficiency: Transformers use positional encodings to retain order without requiring explicit memory structures like RNNs/LSTMs, reducing the memory overhead.
- Transformers generalize better with attention mechanisms and multi-head architecture, learning more nuanced relationships across the entire dataset.
- Transformers scale better with longer sequences due to direct relationships formed via attention heads, which maintain information from all parts of the sequence.

## Test you knowledge!