# Algebra in Automata Theory

Ashwin Abraham

IIT Bombay

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#### **Monoids**

#### Definition

A monoid is a set A equipped with an associative binary operation  $\cdot: A^2 \to A$  with an identity  $e \in A$ .

For brevity, we refer to A as the monoid, and for  $a,b \in A$ , we denote  $\cdot(a,b)$  as ab. By associativity, we have (ab)c = a(bc) for all  $a,b,c \in A$ , and so we neglect to include the brackets, and write abc instead. An important corollary to the definition of monoids is the uniqueness of the identity.

## Corollary (Uniqueness of the Identity)

The identity element in a monoid is unique.

#### Proof.

This follows immediately from the definition of the identity. An element in e in a monoid A is an identity iff  $\forall x \in A, ex = xe = x$ . If e and e' are two identities, then we have ee' = e and ee' = e', ie e' = e.

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### Free Monoids

#### Example

The set of functions  $A^A$  from a set A to itself is a monoid under function composition. The identity function is the identity element of this monoid.

### Example (Free Monoid)

For any set  $\Sigma$ , the set of strings of elements of  $\Sigma$ , denoted by  $\Sigma^*$ , is a monoid with the associative binary operation being concatenation and identity  $\varepsilon$  (the empty string). This monoid is known as the free monoid over  $\Sigma$ .

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## Submonoids

### Definition (Submonoids)

A subset S of a monoid A is said to be a submonoid of A iff

- **0** *e* ∈ *S*

## Theorem (Submonoids are closed under intersection)

If K and G are two submonoids of a monoid A, then so is  $K \cap G$ .

## Definition (Submonoid generated by a set)

For a monoid A, the submonoid generated by a subset  $S \subseteq A$ , denoted by  $\langle S \rangle$ , is the smallest submonoid containing S, or equivalently, the intersection of all submonoids of A containing S.

The existence of such a submonoid is guaranteed by the closure of submonoids under intersection.

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### Generated Monoids

## Definition (Generated monoid)

A monoid A is said to be generated by a subset  $S \subseteq A$  iff  $\langle S \rangle = A$ .

## Definition (Finitely generated monoid)

A monoid is said to be finitely generated iff it is generated by a finite subset of itself.

### Example

For any alphabet  $\Sigma$ ,  $\Sigma^*=\langle \Sigma \rangle$ , ie the free monoid over  $\Sigma$  is generated by  $\Sigma$  itself.

# Homomorphisms and Isomorphisms

## Definition (Homomorphism between Monoids)

If A and B are two monoids, then a function  $f:A\to B$  is said to be a homomorphism from A to B iff  $\forall x,y\in A, f(xy)=f(x)f(y)$  and  $f(e_A)=e_B$ .

## Definition (Isomorphism between Monoids)

An isomorphism between A and B is a homomorphism that is bijective.

## Definition (Isomorphic Monoids)

Two monoids A and B are said to be isomorphic if there exists an isomorphism between them.

#### Theorem

If  $f: A \to B$  is an isomorphism from A to B then  $f^{-1}: B \to A$  is an isomorphism from B to A.

By the above theorem, it is easy to see that isomorphism is an equivalence relation on monoids.

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# **Monoid Congruences**

## Definition (Congruence)

An equivalence relation  $\sim$  over a monoid A is a right congruence iff  $\forall x,y,z\in A,\ x\sim y\implies xz\sim yz$ . Similarly,  $\sim$  is a left congruence iff  $\forall x,y,z\in A,x\sim y\implies zx\sim zy$ . We say  $\sim$  is a congruence iff it is both a left congruence and a right congruence.

#### Theorem

An equivalence relation  $\sim$  over a monoid A is a congruence iff  $\forall a, b, x, y \in A, a \sim b \land x \sim y \implies ax \sim by$ 

#### Proof.

If  $\sim$  is a congruence, then for any  $a,b,x,y\in A$  if  $a\sim b$  and  $x\sim y$ , then  $ax\sim bx$  and  $bx\sim by$ , ie  $ax\sim by$ . On the contrary, if  $\sim$  satisfies  $\forall a,b,x,y\in A, a\sim b\wedge x\sim y\implies ax\sim by$ , then for any  $x,y,z\in A$ , if  $x\sim y$ , then since  $z\sim z$ , we have  $xz\sim yz$  and  $zx\sim zy$ , ie  $\sim$  is a congruence.

# Quotient Monoids over Congruences

#### Theorem

Given a congruence  $\sim$  over a monoid A, the congruence class containing the identity, [e] is a submonoid of A.

### Proof.

We have  $e \in [e]$  and for any  $x, y \in [e]$  we have  $x \sim e$  and  $y \sim e$ , and hence  $xy \sim e$ , ie  $xy \in [e]$ .

## Theorem (Quotient monoid over a congruence)

The set of congruence classes of a monoid A under a congruence  $\sim$  themselves form a monoid, under the operation  $\cdot$  where  $[x] \cdot [y] = [xy]$  with identity [e]. This monoid,  $A/\sim$ , is called the quotient of A over  $\sim$ .

#### Proof.

Note that [x][y] is well defined, because if [x] = [u] and [y] = [v] then  $x \sim u$  and  $y \sim v$ , and since  $\sim$  is a congruence, this means  $xy \sim uv$ , ie [xy] = [uv]. Now, since [e] is clearly an identity, it can be seen that the set of congruence classes forms a monoid.

# Myhill-Nerode Theorem

As we have already seen,  $\Sigma^*$  is a monoid under concatenation, with identity  $\varepsilon$ . It is known as the *free* monoid over  $\Sigma$ , as given any monoid N and a function  $f:\Sigma\to N$ , we can define a homomorphism  $\hat f:\Sigma^*\to N$  such that  $\hat f(a)=f(a)$  for each  $a\in\Sigma$ . There are many ways to characterize regular languages via monoids. One of them is by the Myhill-Nerode Theorem.

## Definition (Saturation)

An equivalence relation  $\sim$  over  $\Sigma^*$  is said to saturate a language  $L \subseteq \Sigma^*$  iff  $\forall x,y \in \Sigma^*, x \sim y \implies (x \in L \iff y \in L)$ .

## Corollary

An equivalence relation  $\sim$  over  $\Sigma^*$  saturates a language  $L \subseteq \Sigma^*$  iff for any  $x \in \Sigma^*$  either  $[x] \subseteq L$  or  $[x] \cap L = \emptyset$ , which occurs iff  $L = \bigcup_{x \in L} [x]$ .

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# Myhill-Nerode Theorem

## Theorem (Myhill-Nerode)

A language is regular iff there exists a right congruence of finite index saturating it.

#### Proof.

If  $\sim$  is a right congruence of finite index over  $\Sigma^*$  saturating  $L \subseteq \Sigma^*$ , then consider the DFA  $A = (\{[x] : x \in \Sigma^*\}, \Sigma, \delta, [\varepsilon], \{[x] : x \in L\})^a$  where  $\delta : \{[x] : x \in \Sigma^*\} \times \Sigma \to \{[x] : x \in \Sigma^*\}$  is such that for any  $x \in \Sigma^*$  and  $a \in \Sigma$ ,  $\delta([x], a) = [xa]$ . Note that since  $\sim$  is a right congruence, if [x] = [y], ie  $x \sim y$ , then  $xa \sim ya$ , ie [xa] = [ya], ie  $\delta$  is well defined. Clearly,  $\hat{\delta}([\varepsilon], w) = [w]$ , for any  $w \in \Sigma^*$ . Now,  $[w] \in \{[x] : x \in L\}$  iff there exists  $x \in L$  such that [w] = [x], ie  $w \sim x$ . Since  $\sim$  saturates L, this occurs iff  $w \in L$ .

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 $<sup>^{</sup>a}$ Since  $\sim$  has finite index, ie finite number of equivalence classes, the number of states of this DFA is indeed finite.

# Myhill-Nerode Theorem

#### Proof.

On the other hand, if  $L \subseteq \Sigma^*$  is regular, ie it is recognized by the DFA  $(Q, \Sigma, \delta, q_0, F)$  where Q is a finite set of states, with  $q_0 \in Q$ ,  $F \subseteq Q$  and  $\delta: Q \times \Sigma \to Q$ . Consider the equivalence relation  $\sim$  on  $\Sigma^*$  where  $x \sim y$ iff  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ . This is a right congruence, as for any  $x, y, z \in \Sigma^*$ , if  $x \sim y$ , ie  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y) = q$ , then  $\hat{\delta}(q_0, xz) = \hat{\delta}(q_0, yz) = \hat{\delta}(q, z)$ . Furthermore,  $\sim$  saturates L, since if  $x \sim y$ , then  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ , and  $x \in L \iff \hat{\delta}(q_0, x) \in F \iff \hat{\delta}(q_0, y) \in F \iff y \in L$ . Furthermore, the index of  $\sim$  is at most |Q|, ie it is finite. This is as there exists an injection  $f:\{[x]:x\in\Sigma^*\}\to Q$  where  $f([x])=\hat{\delta}(q_0,x)$ . This is well defined, as if [x] = [y], then  $x \sim y$  and hence  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ , and is an injection, since if  $[x] \neq [y]$ , ie  $x \nsim y$ , then  $\hat{\delta}(q_0, x) \neq \hat{\delta}(q_0, y)$ , ie  $f([x]) \neq f([y])$ . Therefore, there exists a right congruence of finite index saturating L.

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# The Nerode Equivalence

### Definition (Nerode equivalence)

For any language  $L \subseteq \Sigma^*$ , we define the Nerode equivalence  $\sim_L$  on  $\Sigma^*$  such that for any  $x,y \in \Sigma^*$ ,  $x \sim_L y$  iff  $\forall z \in \Sigma^*, xz \in L \iff yz \in L$ .

#### Theorem

For any language  $L \subseteq \Sigma^*$ , the Nerode equivalence  $\sim_L$  is the coarsest<sup>a</sup> right congruence saturating it.

<sup>a</sup>An equivalence relation  $\sim$  is said to be coarser than  $\sim'$  iff  $\sim'\subseteq\sim$ 

### Corollary

A language  $L \subseteq \Sigma^*$ , is regular iff the Nerode equivalence  $\sim_L$  has finite index.

This corollary holds since if L is regular, then there exists a right congruence of finite index saturating it, and since  $\sim_L$  is at least as coarse as it, it too must have finite index. On the other hand, if  $\sim_L$  has finite index, then by the Myhill-Nerode Theorem, L must be regular.

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# The Nerode Equivalence

#### Proof.

We first have to show that  $\sim_L$  is a right congruence saturating L. This holds since for any  $x,y,z\in \Sigma^*$ , if  $xz\nsim_L yz$ , then  $\exists u\in \Sigma^*$  such that exactly one of xzu and yzu are in L. This means there exists  $v=zu\in \Sigma^*$  such that exactly one of xv and yv are in L, ie  $x\nsim_L y$ . Therefore,  $x\sim_L y\implies xz\sim_L yz$ , ie  $\sim_L$  is a right congruence. Also, if exactly one of x and y are in L, then there exists  $u=\varepsilon\in \Sigma^*$  such that exactly one of xu and yu are in xv0. Therefore,  $x\sim_L y$ 1 saturates xv2. Therefore, xv3 saturates xv4.

Now, it remains to show that for any right congruence  $\sim_L$  saturating L, and any  $x,y\in \Sigma^*$ ,  $x\sim y\implies x\sim_L y$ . This holds since if  $x\sim y$ , then for any  $z\in \Sigma^*$ ,  $xz\sim yz$  (since  $\sim$  is a right congruence), and since  $\sim$  saturates L, this means that  $xz\in L\iff yz\in L$  for any  $z\in \Sigma^*$ , ie  $x\sim_L y$ .

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## Minimal DFA

### Theorem (Minimal DFA)

If  $L \subseteq \Sigma^*$  is a regular language,  $(\{[x]_L : x \in \Sigma^*\}, \Sigma, \delta, [\varepsilon]_L, \{[x]_L : x \in L\})$  is the unique (upto isomorphism) minimal DFA recognizing L, where  $[x]_L$  denotes the equivalence class of the Nerode equivalence  $\sim_L$  containing x, and  $\delta$  is defined such that  $\delta([x]_L, a) = [xa]_L$  for any  $x \in \Sigma^*, a \in \Sigma$ .

#### Proof.

By the corollary presented earlier, since L is regular, the Nerode equivalence is of finite index, and hence this DFA indeed has a finite number of states. Also, since the Nerode equivalence is a right congruence,  $[x]_L = [y]_L \implies x \sim_L y \implies xa \sim_L ya \implies [xa]_L = [ya]_L$ , ie  $\delta$  is well defined. For this DFA,  $\hat{\delta}([\varepsilon]_L, w) = [w]_L$  and so a word w is accepted iff  $\hat{\delta}([\varepsilon], w) \in \{[x]_L : x \in L\}$ , which occurs iff  $\exists z \in L, z \sim_L w$ , and since  $\sim_L$  saturates L, this occurs iff  $w \in L$ . Therefore, the language recognized by this DFA is L.

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### Minimal DFA

## Proof (Contd.)

Now, for any DFA  $(Q, \Sigma, \delta, q_0, F)$  recognizing L, consider the equivalence  $\sim$  where for any  $x, y \in \Sigma^*$ ,  $x \sim y$  iff  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ . As shown earlier,  $\sim$  is a right congruence over  $\Sigma^*$  saturating L, and for any  $x, y \in \Sigma^*$ ,  $x \sim y \implies x \sim_L y$ . Consider the function  $f: \{[x]_L: x \in \Sigma^*\} \to 2^Q$  where  $f([x]) = \{\hat{\delta}(q_0, y): y \sim_L x\}$  for every  $x \in \Sigma^*$ . Note that  $|f([x])| \ge 1$  for each  $x \in \Sigma^*$ , since  $\hat{\delta}(q_0, x) \in f([x]_L)$  for every word x. Also for any  $x, y \in \Sigma^*$ , if  $f([x]_L) \cap f([y]_L) \ne \emptyset$ , then  $\exists u \sim_L x, v \sim_L y$  such that  $\hat{\delta}(q_0, u) = \hat{\delta}(q_0, v)$ , ie  $u \sim v$ , which implies that  $u \sim_L v$ , and hence  $x \sim_L y$ , ie  $[x]_L = [y]_L$ . From this, we deduce that  $|Q| \ge \sum_{C \in dom(f)} |f(C)|$ 

and since  $f(C) \geq 1$  for each equivalence class C, |Q| must be at least the index of  $\sim_L$ , which is the number of states in the previously constructed DFA. Therefore, the DFA constructed from the Nerode equivalence is minimal. Finally, we show that if equality holds, then the automaton is isomorphic to the one constructed from the Nerode equivalence.

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#### Minimal DFA

# Proof (Contd.)

If equality holds, then we must have  $|f([x]_I)| = 1$  for every  $x \in \Sigma^*$  and the range of f must cover Q. Therefore, for any words  $x, y \in \Sigma^*$ ,  $x \sim_L y \implies \hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ , ie  $\sim$  and  $\sim_L$  coincide. This means that the function  $f^*: \{[x]_I: x \in \Sigma^*\} \to Q$  such that  $f^*([x]_I) = \hat{\delta}(q_0, x)$  is a bijection. This function is well defined, since if  $[x]_{L} = [y]_{L}$ , then  $x \sim_{L} y$ , ie  $x \sim y$ , ie  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ , and it is an injection, since if  $f^*([x]_t) = f^*([y]_t)$ , then  $\hat{\delta}(q_0, x) = \hat{\delta}(q_0, y)$ , ie  $x \sim y$ , ie  $[x]_t = [y]_t$ . Since the range of f covers Q, for every  $q \in Q$  there exists  $x \in \Sigma^*$  such that  $q \in f([x])$ . Since  $f^*([x]_I) \in f([x]_I)$  and  $f([x]_I)$  is a singleton, we have  $f^*([x]_t) = q$ , which means that  $f^*$  is also a surjection, ie it is a bijection. Now, note that  $f^*([\varepsilon]_I) = \hat{\delta}(q_0, \varepsilon) = q_0$ , and  $f^*(\{[x]_L: x \in L\}) = \{\hat{\delta}(q_0, x): x \in L\} = F$ . Equality holds in the previous equation, since  $f^*$  is a bijection, which means for every  $g \in F$ , there is some  $x \in \Sigma^*$  such that  $f([x]_t) = \hat{\delta}(q_0, x) = q$ . Since  $\hat{\delta}(q_0, x) \in F$ , we get  $x \in L$ . Finally, note that  $f^*([xa]_I) = \hat{\delta}(q_0, xa) = \delta(f^*([x]_I), a)$ , all of which show that  $f^*$  is an isomorphism between the two automata.

# Monoids as Recognizers of Languages

# Definition (Language recognized by a Monoid)

Given a monoid M and a subset  $X \subseteq M$ , and a homomorphism  $h: \Sigma^* \to M$ , we call the language  $h^{-1}(X) \subseteq \Sigma^*$  as the language recognized by X with respect to h. We say that a language  $L \subseteq \Sigma^*$  is recognized by a monoid M if there exists  $X \subseteq M$  and a homomorphism  $h: \Sigma^* \to M$  such that L is recognized by X with respect to h.

#### Theorem

A language  $L \subseteq \Sigma^*$  is regular iff it is recognized by a finite monoid.

To prove this, we will introduce a few important congruences and monoids.

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# The Syntactic Congruence

## Definition (Syntactic Congruence)

For any language  $L \subseteq \Sigma^*$ , we define the syntactic congruence  $\sim_L$  such that  $x \sim_L y \iff \forall u, v \in \Sigma^*, uxv \in L \iff uyv \in L$ .

#### Theorem

The syntactic congruence  $\sim_L$  is the coarsest congruence saturating L.

### Proof.

Firstly, note that if for any  $x,y,z\in \Sigma^*$ , if  $x\sim_L y$ , then  $xz\sim_L yz$  and  $zx\sim_L zy$ , ie  $\sim_L$  is a congruence. This is because if  $xz\nsim_L yz$ , then  $\exists u,v\in \Sigma^*$  such that exactly one of uxzv and uyzv are in L, which means  $\exists u,v'=zv\in \Sigma^*$  such that exactly one of uxv' and uyv' are in L, and similarly if  $zx\nsim_L zy$  then  $x\nsim_L y$ . Note that if  $x\sim_L y$ , then  $\varepsilon x\varepsilon\in L\iff \varepsilon y\varepsilon\in L$ , ie  $x\in L\iff y\in L$ , ie  $\sim_L$  saturates L.

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# Syntactic Monoids

## Proof (Contd.)

Now, if  $\sim$  is any congruence saturating L, then for any  $x,y\in \Sigma^*$ , if  $x\sim y$ , then for every  $u,v\in \Sigma^*$ ,  $uxv\sim uyv$  (since  $\sim$  is a congruence). Now, since  $\sim$  saturates L,  $uxv\sim uyv\implies (uxv\in L\iff uyv\in L)$ . Therefore,  $x\sim y\implies \forall u,v\in \Sigma^*, uxv\in L\iff uyv\in L$ , ie for every  $x,y\in \Sigma^*, x\sim y\implies x\sim_L y$ .

## Definition (Syntactic Monoid)

The quotient monoid  $\Sigma^*/\sim_L$  where  $\sim_L$  is the syntactic congruence of L over  $\Sigma^*$  is known as the syntactic monoid of L.

#### Theorem

Every language  $L \subseteq \Sigma^*$  is recognized by its syntactic monoid.

#### Proof.

Take  $[L]_L = \{[x]_L : x \in L\} \subseteq \Sigma^* / \sim_L$  and  $h : \Sigma^* \to \Sigma^* / \sim_L$  as the homomorphism such that  $h(w) = [w]_L$ . Then  $h^{-1}([L]_L) = L$ .

# Syntactic Monoids

#### Theorem

If a language  $L=h^{-1}(X)$  where  $X\subseteq M$  is a subset of a monoid M and  $h:\Sigma^*\to M$  is a homomorphism, then there is a homomorphism  $h_L:h(\Sigma^*)\to\Sigma^*/\sim_L$  such that  $h_L\circ h$  is the canonical homomorphism mapping an element of  $\Sigma^*$  to the equivalence class of  $\sim_L$  containing it.

#### Proof.

Let  $\eta_L: \Sigma^* \to \Sigma^*/\sim_L$  denote the canonical homomorphism, satisfying  $\eta_L(x) = [x]_L$  for any  $x \in \Sigma^*$ . Consider the equivalence relation  $\sim_h$  over  $\Sigma^*$  where  $x \sim_h y$  iff h(x) = h(y). It is easy to see that this is a congruence saturating L, which means that  $x \sim_h y \implies x \sim_L y$ . Define  $h_L$  to be such that  $h_L(m) = [h^{-1}(m)]_L$ , for any  $m \in h(\Sigma^*)$ . Note that since  $m \in h(\Sigma^*)$ ,  $h^{-1}(m)$  is non-empty, and if  $x, y \in h^{-1}(m)$ , then h(x) = h(y) = m, ie  $x \sim_h y$  which means that  $x \sim_L y$ , ie  $[x]_L = [y]_L$ . Therefore,  $h_L$  is well defined.  $h_L$  is also a homomorphism from  $h(\Sigma^*)$  to  $\Sigma^*/\sim_L$ .

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# Syntactic Monoids

## Proof (Contd.)

To see this, note that  $h_L(e) = h_L(h(\varepsilon)) = [\varepsilon]_L$  and if  $p, q \in h(\Sigma^*)$ , say p = h(x) and q = h(y) for some  $x, y \in \Sigma^*$ , then  $h_L(pq) = h_L(h(x)h(y)) = h_L(h(xy)) = [xy]_L = h_L(p)h_L(q)$ . Now, for any  $x \in \Sigma^*$ ,  $h_L(h(x)) = [h^{-1}(h(x))]_L = [x]_L$ , ie  $h_L \circ h = \eta_L$ .

## Corollary

The syntactic monoid of a language is the smallest monoid recognizing it.

#### Proof.

We have already shown that the syntactic monoid of a language L recognizes it and for any other monoid M recognizing it with subset X and homomorphism h, we have shown that there exists a homomorphism  $h_L:h(\Sigma^*)\to \Sigma^*/\sim_L$  such that  $h_L\circ h=\eta_L$ , where  $\eta_L$  is the canonical homomorphism from  $\Sigma^*$  to  $\Sigma^*/\sim_L$ .  $\eta_L$  is a surjection, and therefore,  $h_L$  must be a surjection from  $h(\Sigma^*)$  to  $\Sigma^*/\sim_L$ . Hence  $|h(\Sigma^*)| \geq |\Sigma^*/\sim_L|$ , ie  $|M| \geq |\Sigma^*/\sim_L|$ . If L is regular, ie the syntactic monoid is finite, then it is the unique minimal monoid recognizing L upto isomorphism.

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### Transition Monoids

## Definition (Transition Monoid)

The transition monoid of a DFA  $(Q, \Sigma, \delta, q_0, F)$  is the submonoid of  $Q^Q$  generated by  $\{\hat{\delta}_a : a \in \Sigma\}$ , where  $\hat{\delta}_a(q) = \delta(q, a)$  for any  $q \in Q, a \in \Sigma$ .

We take the binary operation of  $Q^Q$  to be flipped function composition, ie for  $f,g\in Q^Q$ ,  $fg=g\circ f$ . The transition monoid has underlying set  $\{\hat{\delta}_x:x\in\Sigma^*\}$  where  $\hat{\delta}_x(q)=\hat{\delta}(q,x)$  for any  $q\in Q,x\in\Sigma^*$ .  $\hat{\delta}_\varepsilon$  is the identity function, and  $\hat{\delta}_x\hat{\delta}_y=\hat{\delta}_{xy}$ . Note that the transition monoid is finite.

#### Theorem

The language of any automaton is recognized by its transition monoid.

#### Proof.

For a DFA  $(Q, \Sigma, \delta, q_0, F)$  with transition monoid T, take the subset  $X = \{f \in T : f(q_0) \in F\}$  and homomorphism  $h : \Sigma^* \to T$  such that  $h(x) = \hat{\delta}_x$ .  $h^{-1}(X)$  is the language of this DFA.

### Transition Monoids

## Theorem (Isomorphism between Syntactic and Transition Monoids)

If a language  $L \subseteq \Sigma^*$  is regular, then its syntactic monoid is isomorphic to the transition monoid of the minimal DFA recognizing it.

### Proof.

For a regular language L, let  $\sim_L$  denote the syntactic congruence and  $\sim$ denote the Nerode equivalence. Let T denote the transition monoid of the minimal DFA recognizing L. Consider the function  $f: \Sigma^*/\sim_I \to T$  such that for any  $x \in \Sigma^*$ ,  $f([x]_I) = \hat{\delta}_x$ . This is well defined as for any  $x, y, p, q \in \Sigma^*$ , if  $[x]_t = [p]_t$  and [y] = [q], ie  $x \sim_L p$  and  $y \sim q$ , then  $yx \sim qx$ , and  $qx \sim_L qp$ , which implies that  $qx \sim qp$  (since  $\sim_L \subseteq \sim$ ) Therefore,  $yx \sim qp$ , ie [yx] = [qp], ie  $\hat{\delta}_x(y) = \hat{\delta}_p(q)$ . Also, for any  $x,y\in\Sigma^*$ , if  $f([x]_I)=f([y]_I)$ , then for any  $u\in\Sigma^*$ ,  $\hat{\delta}_x(u)=\hat{\delta}_v(u)$ , ie [ux] = [uy], ie for any  $u, v \in \Sigma^*$ ,  $uxv \in L \iff uyv \in L$ , ie  $x \sim_L y$  and hence  $[x]_t = [y]_t$ , ie f is an injection. Since f is clearly a surjection, which means f is a bijection. Now,  $f([x]_I [y]_I) = f([xy]_I) = \hat{\delta}_{xy} = \hat{\delta}_x \hat{\delta}_y$  $= f([x]_L)f([y]_L)$ , hence f is an isomorphism between  $\Sigma^*/\sim_L$  and T.

# Syntactic Monoids and Transition Monoids

#### Theorem

A language L is regular iff its syntactic monoid is finite.

#### Proof.

If L is regular, then its syntactic monoid is isomorphic to the transition monoid of the minimal DFA recognizing L, and hence it is finite. On the other hand, if the syntactic monoid of L is finite, then the DFA  $(\Sigma^*/\sim_L, \Sigma, \delta, [\varepsilon]_L, \{[x]_L : x \in L\})$ , where  $\delta([x]_L, a) = [xa]_L$  for any  $x \in \Sigma^*, a \in \Sigma$  recognizes the language L, ie L is regular.

This construction works for any language recognized by a finite monoid. If  $L=h^{-1}(X)$  where X is a subset of a finite monoid M and  $h:\Sigma^*\to M$  is a homomorphism, then L is accepted by the DFA  $(M,\Sigma,\delta,h(\varepsilon),X)$ , where  $\delta(m,a)=mh(a)$  for any  $m\in M, a\in\Sigma$ . It is easy to see that  $\hat{\delta}(h(\varepsilon),w)=h(w)$  which is in X iff  $w\in L$ . With these results, it is quite easy to show that a language is regular iff it is accepted by a finite monoid.

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# Star-Free Languages

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