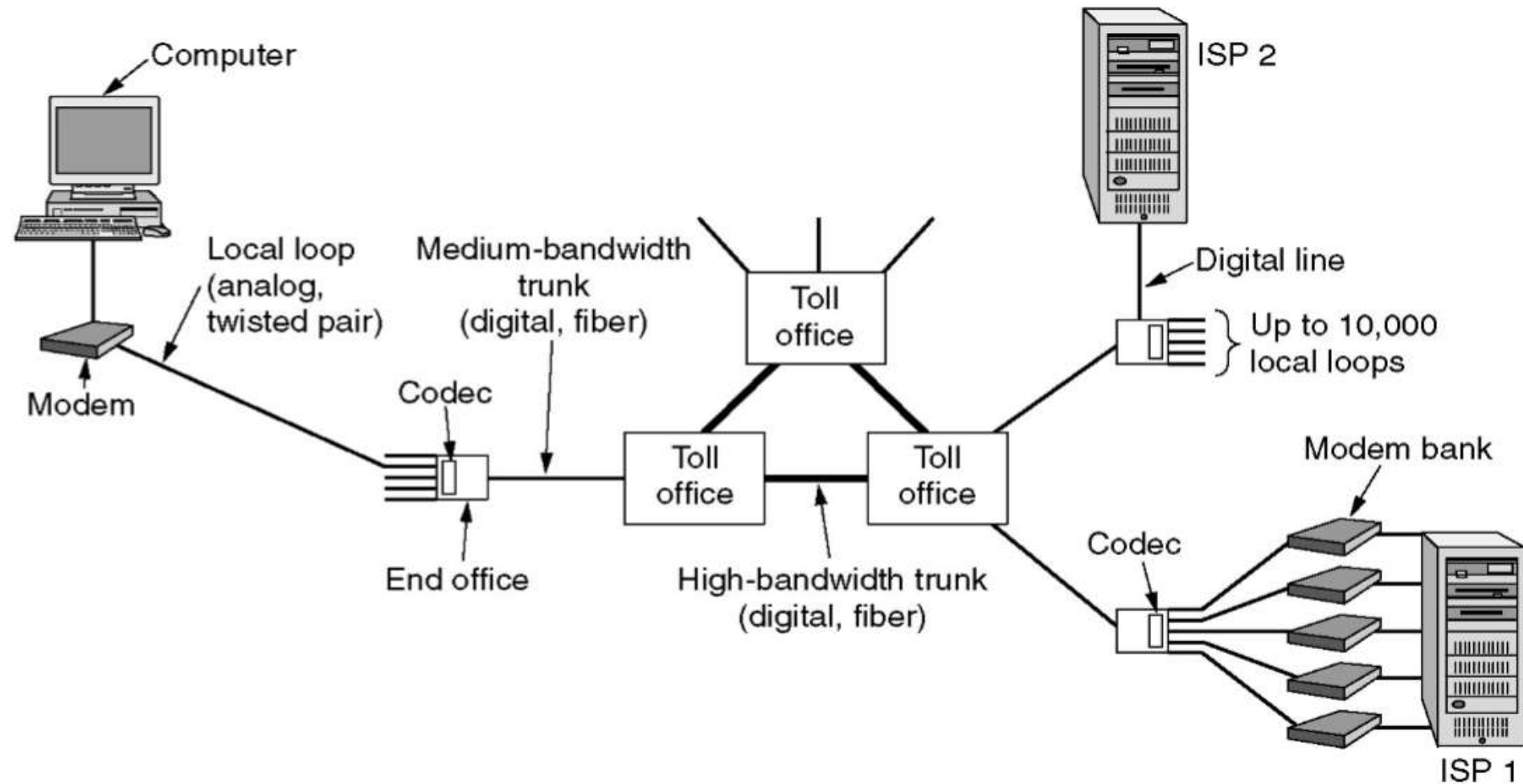


# ENCODING TECHNIQUES

# Analog and Digital Transmissions



- The use of both analog and digital transmissions for a computer to computer call. Conversion is done by the modems and codecs.

# Data Encoding Techniques

- Digital Data, Analog Signals [modem]
- Digital Data, Digital Signals [wired LAN]
- Analog Data, Digital Signals [codec]
  - Frequency Division Multiplexing (FDM)
  - Wave Division Multiplexing (WDM) [fiber]
  - Time Division Multiplexing (TDM)
  - Pulse Code Modulation (PCM) [T1]
  - Delta Modulation

# Digital Data, Analog Signals

- **[Example –modem]**
- Basis for analog signaling is a continuous, constant  
-frequency signal known as the carrier frequency
- Digital data is encoded by modulating one of the three characteristics of the carrier  
amplitude,  
frequency, or  
phase or some combination of these.

# Encoding & Modulation

- Interpreting signals at receiver needs to know
  - Timing of bits
    - when they start and end
    - Signal levels

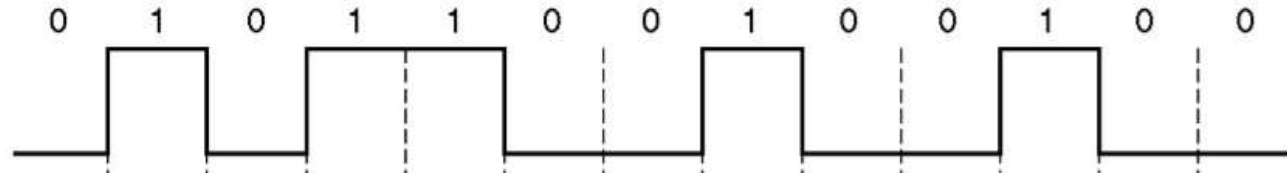
Factors affecting successful interpreting of incoming signals:

- **Signal to noise ratio:** increased SNR decreases error rate
- **Data rate:** increased data rate increases error rate
- **Bandwidth:** increased bandwidth allows increasing data rate
- **Encoding schema**

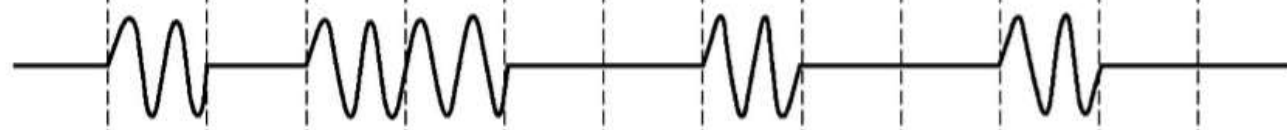
# Data Encoding/Modulation Evaluation Factors

- **Signal Spectrum**
  - Lack of high frequencies reduces required bandwidth
  - Lack of dc component is desirable, i.e. it should be avoided
  - Concentrate power in the middle of the bandwidth
- **Clocking, i.e. synchronizing transmitter and receiver**
  - External clock
  - Sync mechanism based on signal
- **Error detection**
  - Can be built into signal encoding
- **Signal interference and noise immunity**
  - Some codes are better than others
- **Cost and complexity**
  - Higher signal rate (& thus data rate) lead to higher costs
  - Some codes require signal rate greater than data rate

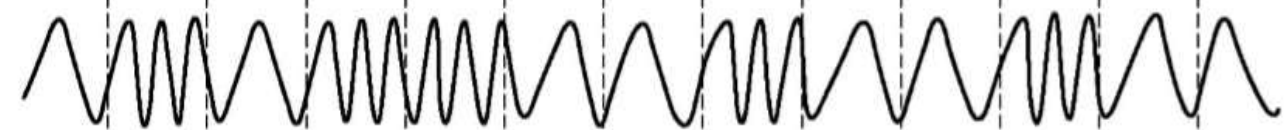
**A binary signal** (a)



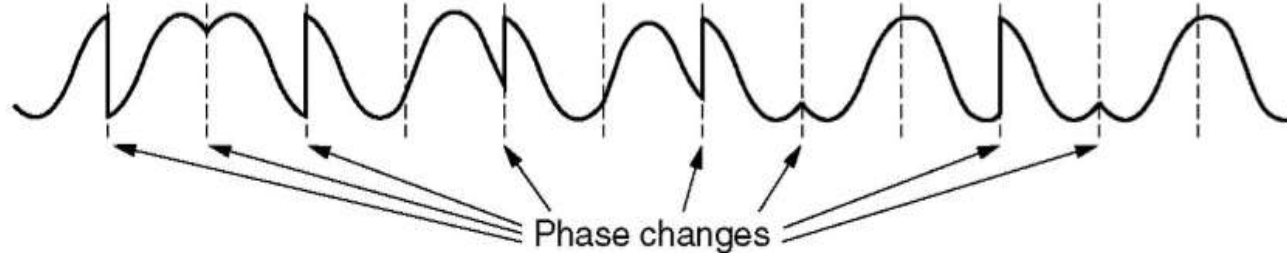
**Amplitude modulation** (b)



**Frequency modulation** (c)



**Phase modulation** (d)

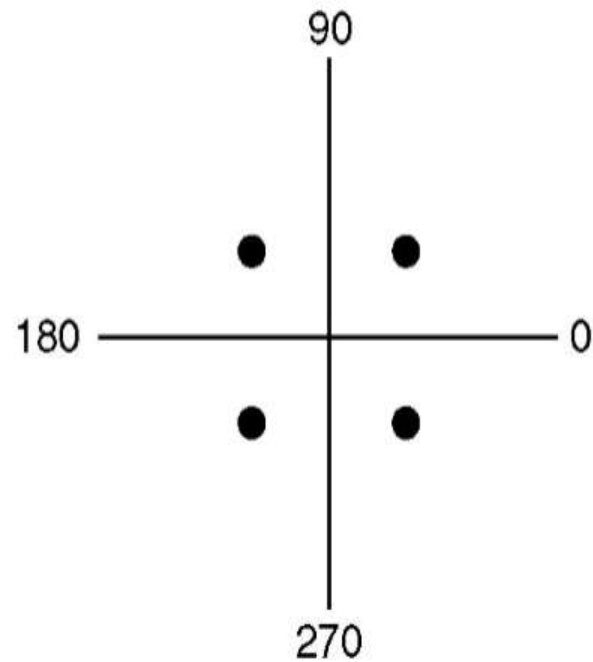


# Modems

- All advanced modems use a *combination of modulation techniques* to transmit multiple bits per baud.
- Multiple amplitude and multiple phase shifts are combined to transmit several bits per symbol.
- **QPSK (Quadrature Phase Shift Keying)** uses multiple phase shifts per symbol.
- **Modems** actually use **Quadrature Amplitude Modulation (QAM)**.
- These concepts are explained using constellation points where a point determines a specific amplitude and phase.

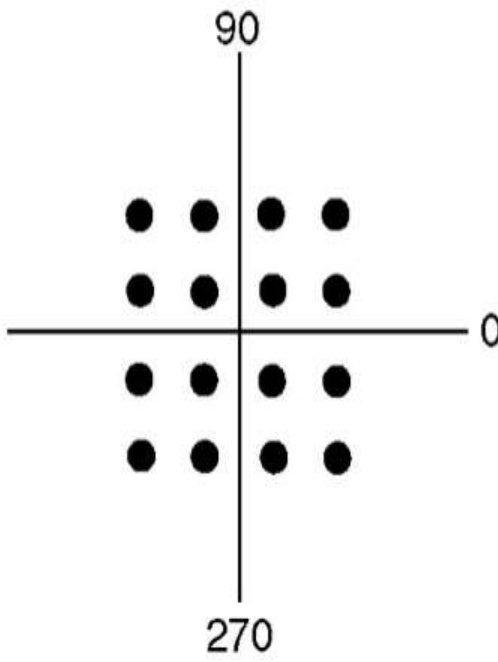


# Constellation Diagrams



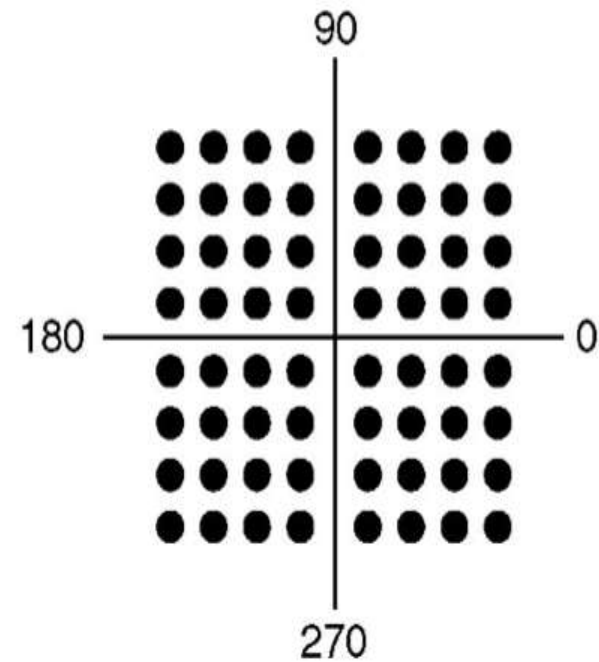
(a)

(a) QPSK.



(b)

(b) QAM-16.



(c)

(c) QAM-64.

# Digital Data, Digital Signals

[the technique used in a number of LANs]

- Digital signal – is a sequence of discrete, discontinuous voltage pulses.
- Bit duration :: the time it takes for the transmitter to emit the bit.
- Issues
  - Bit timing
  - Recovery from signal
  - Noise immunity

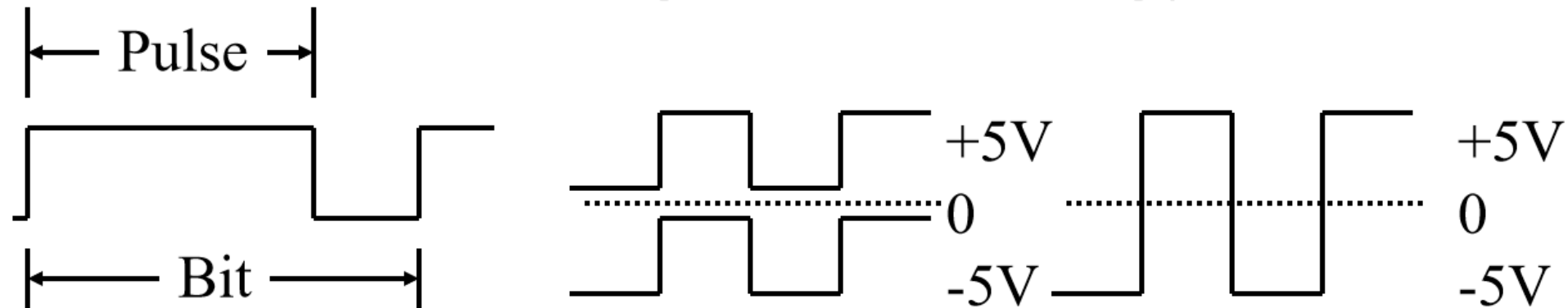
# Terminology

- **unipolar** – all signal elements have the same sign
- **polar** – one logic state represented by positive voltage and the other by negative voltage
- **data rate** – rate of data (  $R$  ) transmission in bits per second
- **duration or length of a bit** – time taken for transmitter to emit the bit ( $1/R$ )
- **modulation rate** – rate at which the signal level changes, measured in baud = signal elements per second.

# • **Encoding schemas:**

- – Nonreturn to Zero-Level (NRZ-L)
- – Nonreturn to Zero Inverted (NRZI)
- – Bipolar -AMI
- – Pseudoternary
- – Manchester
- – Differential Manchester
- – B8ZS
- – HDB3
- NRZ techniques
- Multilevel binary techniques
- Biphasic techniques Scrambling

# Coding Terminology



- ❑ **Signal element**: Pulse (of constant amplitude, frequency, phase)
- ❑ **Unipolar**: All positive or All negative voltage
- ❑ **Bipolar**: Positive and negative voltage
- ❑ **Mark/Space**: 1 or 0
- ❑ **Modulation Rate**:  $1/\text{Duration of the smallest element}$   
=Baud rate
- ❑ **Data Rate**: Bits per second
- ❑  $\text{Data Rate} = f_n(\text{Bandwidth, signal/noise ratio, encoding})$

# NRZ ( Non-Return-to-Zero) Codes

Uses two different voltage levels (one positive and one negative) as the signal elements for the two binary digits.

## NRZ-L ( Non-Return-to-Zero-Level)

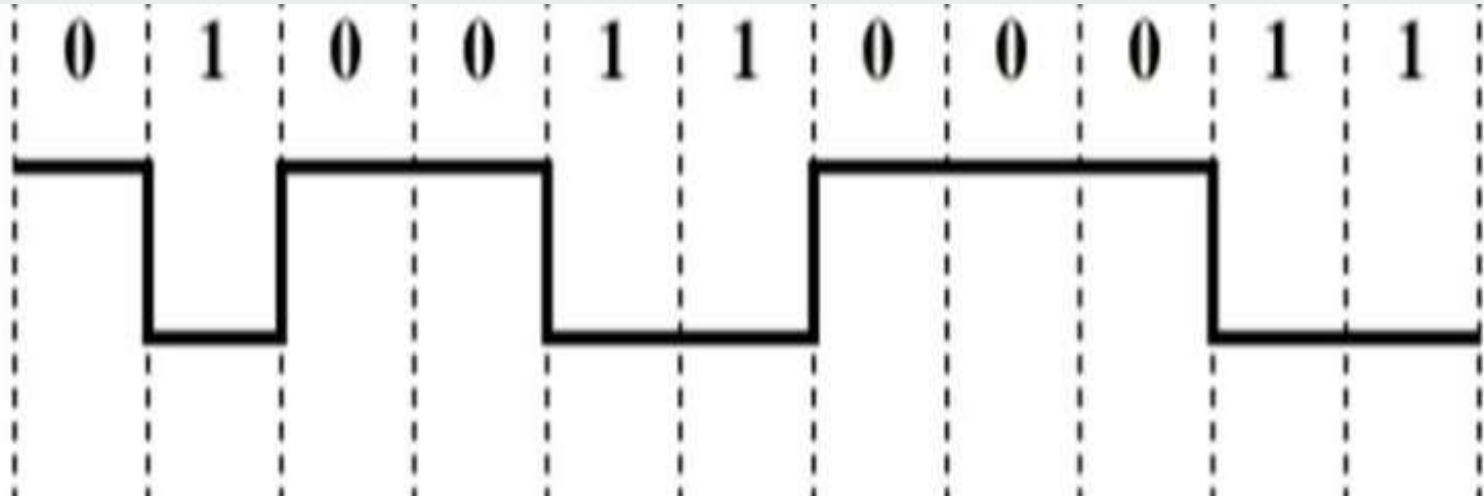
The voltage is constant during the bit interval.

1  $\Leftrightarrow$  negative voltage

0  $\Leftrightarrow$  positive voltage

**NRZ-L** is used for short distances between terminal and modem or terminal and computer.

**NRZ-L**



# NRZ ( Non-Return-to-Zero) Codes

## NRZ-I ( Non-Return-to-Zero-Invert on ones)

The voltage is constant during the bit interval.

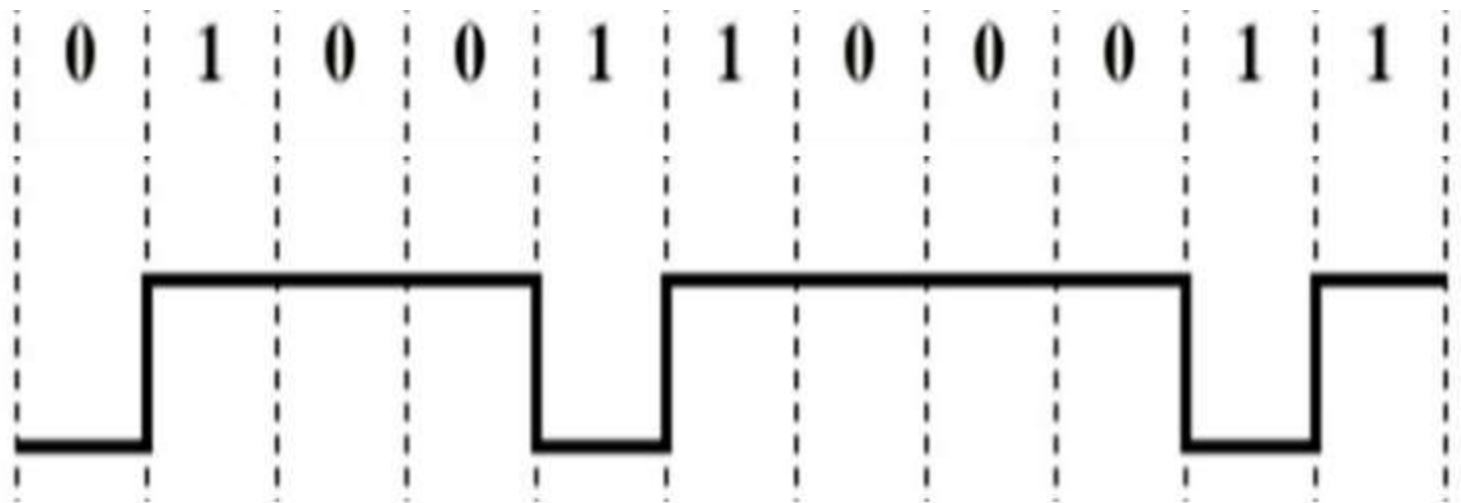
1  $\Leftrightarrow$  existence of a *signal transition* at the beginning of the bit time  
(either a low-to-high or a high-to-low transition)

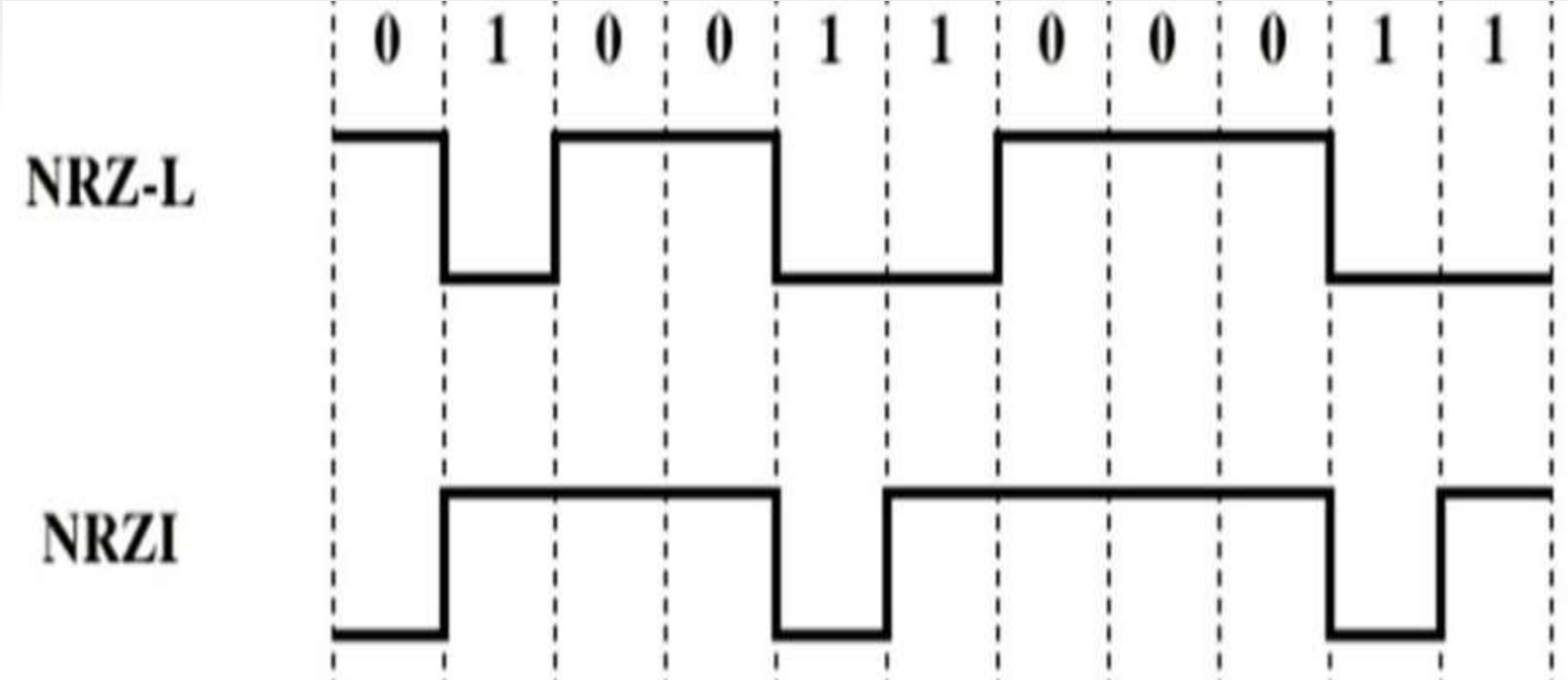
0  $\Leftrightarrow$  **no** *signal transition* at the beginning of the bit time

NRZI is a **differential encoding** *scheme* (i.e., the signal is decoded by comparing the polarity of adjacent signal elements.)



**NRZI**





# Bi –Phase Codes

- Bi-phase codes** – require at least one transition per bit time and may have as many as two transitions.
- the maximum modulation rate is twice that of NRZ
  - greater transmission bandwidth is required.

Advantages:

Synchronization – with a predictable transition per bit time the receiver can “synch” on the transition [**self-clocking**].

No d.c. component

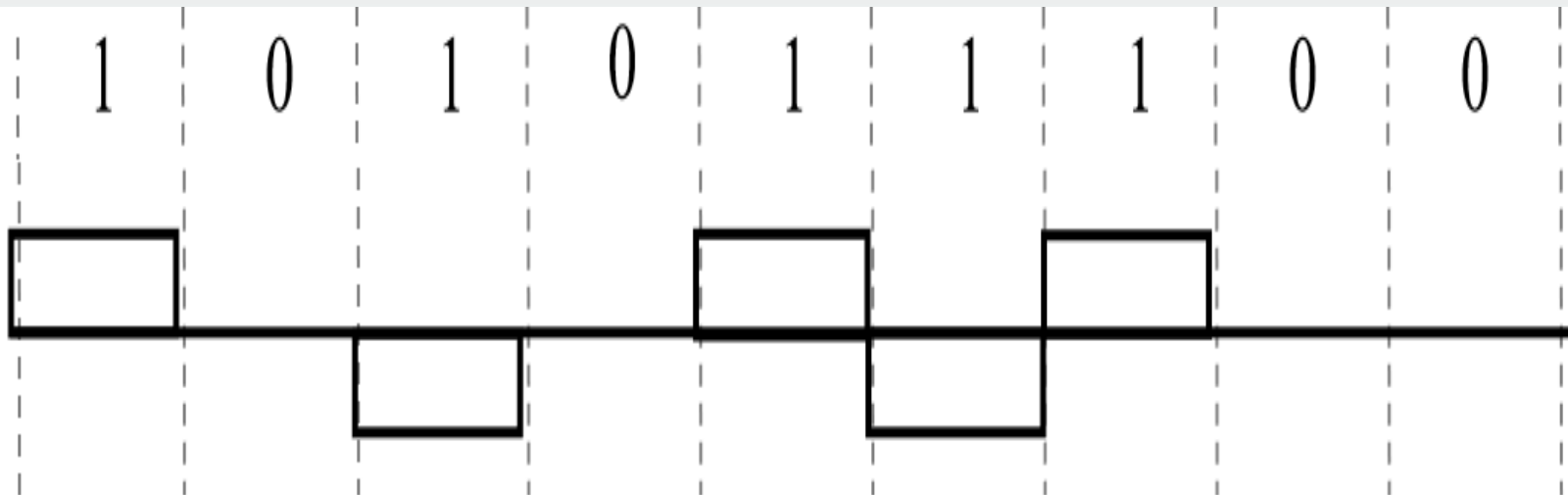
Error detection – the absence of an expected transition can be used to detect errors.

# Bi-Polar Encoding

$1 \Leftrightarrow$  **alternating**  $+1/2$  ,  $-1/2$  voltage  
 $0 \Leftrightarrow$  **0** voltage

- Has the same issues as NRZI for a long string of 0's.
- A systemic problem with polar is the polarity can be backwards.

Bipolar  
Encoding



# Bi Bi-phase

□ **Manchester:** Used in Ethernet

0 = High to low transition in middle

1 = Low to high transition in middle

□ **Differential Manchester:**

Used in Token Ring

Always a transition in middle

0 = transition at beginning

1 = no transition at beginning

1. No DC
2. Clock sync
3. Error detection
4. 1 bit/Hz,
5. baud rate  
=  $2 \times$  bit rate

# Manchester Encoding

- There is **always** a mid-bit transition {which is used as a clocking mechanism}.
- The **direction** of the mid-bit transition represents the digital data.

1  $\Leftrightarrow$  **low-to-high** transition

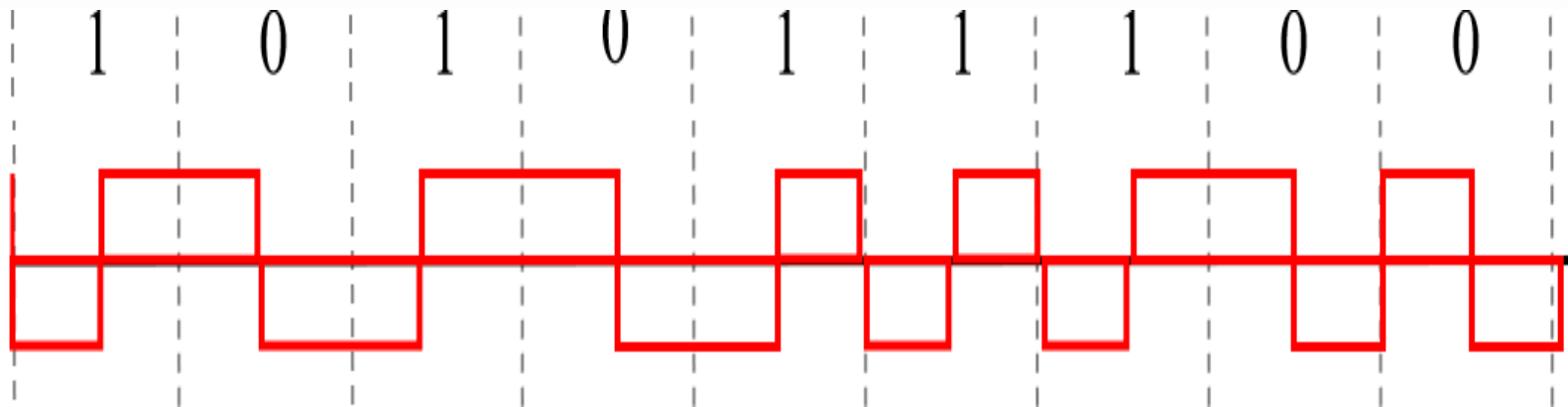
0  $\Leftrightarrow$  **high-to-low** transition

**Textbooks  
disagree  
on this  
definition!!**

Consequently, there may be a second transition at the beginning of the bit interval.

Used in 802.3 baseband coaxial cable and CSMA/CD twisted pair.

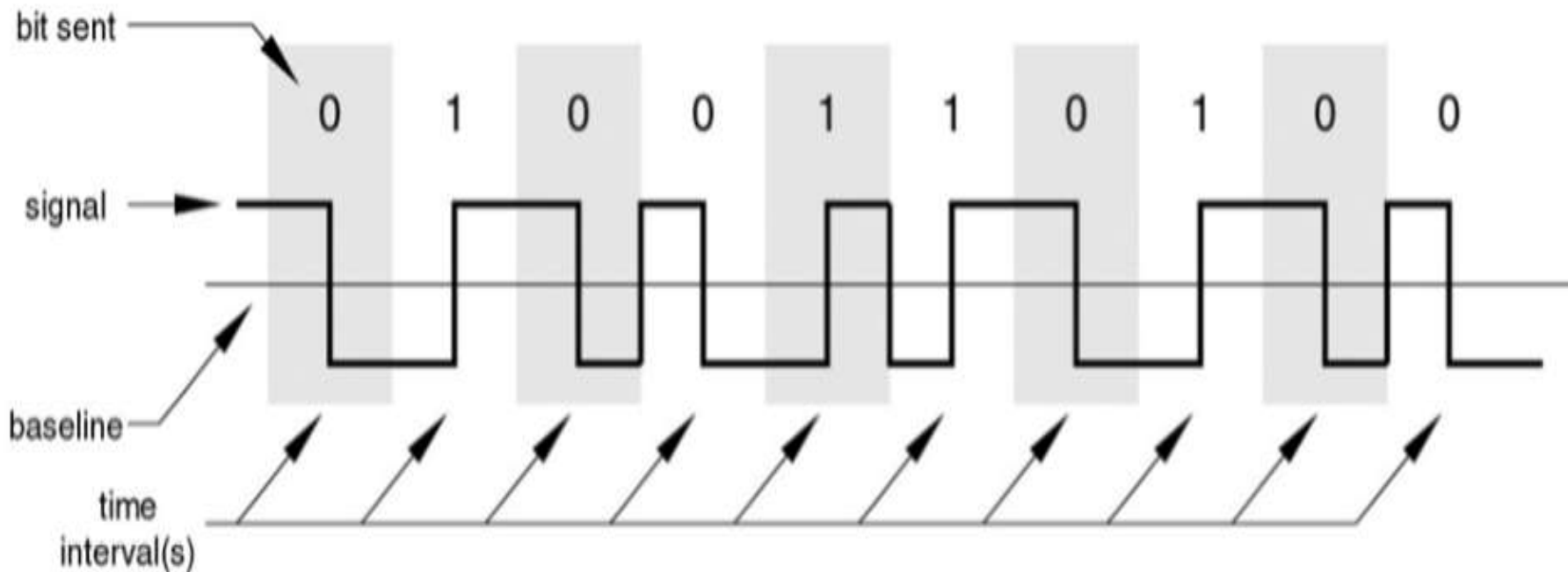
Manchester  
Encoding





# Manchester Encoding

## Manchester Encoding



# Differential Manchester Encoding

- mid-bit transition is **ONLY** for clocking.

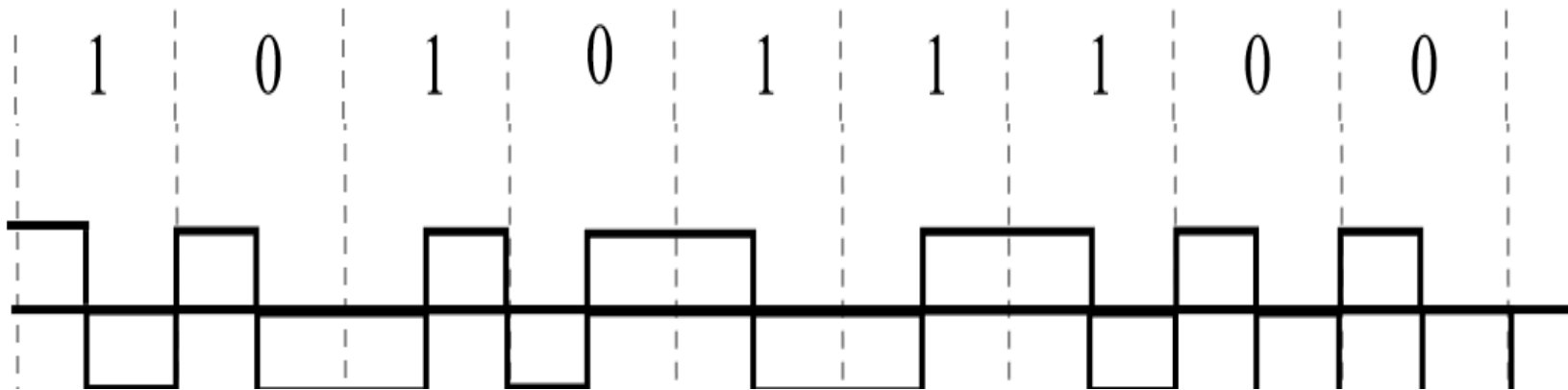
1  $\Leftrightarrow$  **absence** of transition at the beginning of the bit interval  
0  $\Leftrightarrow$  **presence** of transition at the beginning of the bit interval

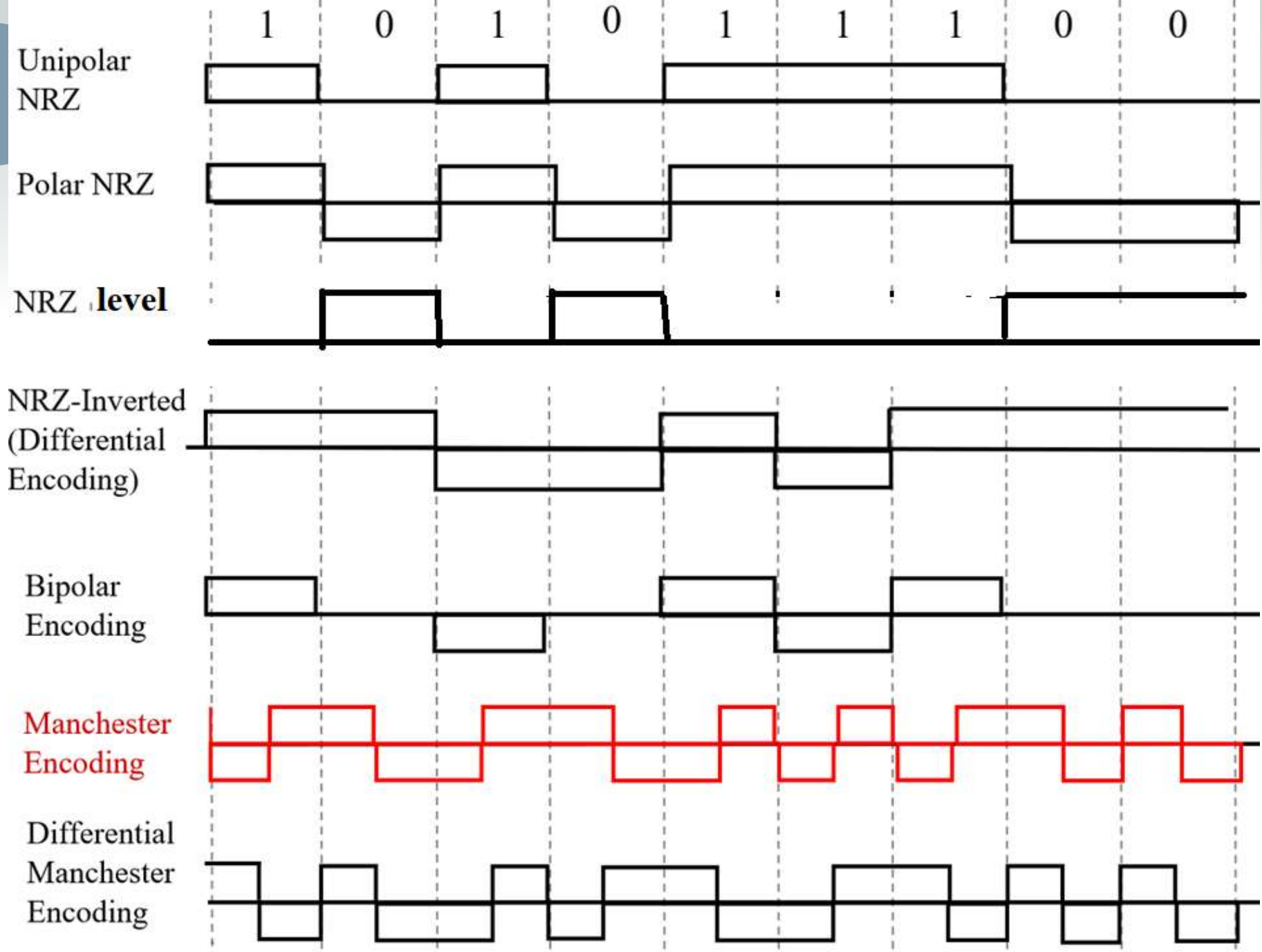
Differential Manchester is both differential and bi-phase.  
Note – the coding is the opposite convention from NRZI.

Used in 802.5 (token ring) with twisted pair.

- \* Modulation rate for Manchester and Differential Manchester is **twice** the data rate  $\rightarrow$  inefficient encoding for long-distance applications.

Differential  
Manchester  
Encoding





# Multilevel Binary Techniques

- **Bipolar-AMI Encoding**

- zero represented by no line signal
- one represented by positive or negative pulse
- one pulses alternate in polarity
- No loss of synchronization if a long string of ones (zeros still a problem)

- **Multilevel Binary Techniques**

- **Pseudoternary Encoding**

- One represented by absence of line signal
- Zero represented by alternating positive and negative
- No loss of sync if a long string of zeros (ones still a problem)
- No advantage or disadvantage over bipolar-AMI

# Multi-level Binary Encoding

## **Bipolar-AMI:**

0 = no line signal

1 = +ve or -ve for successive 1's

## **Pseudo-ternary:**

0 = +ve or -ve for successive 0's

1 = no line signal

No advantage over AMI

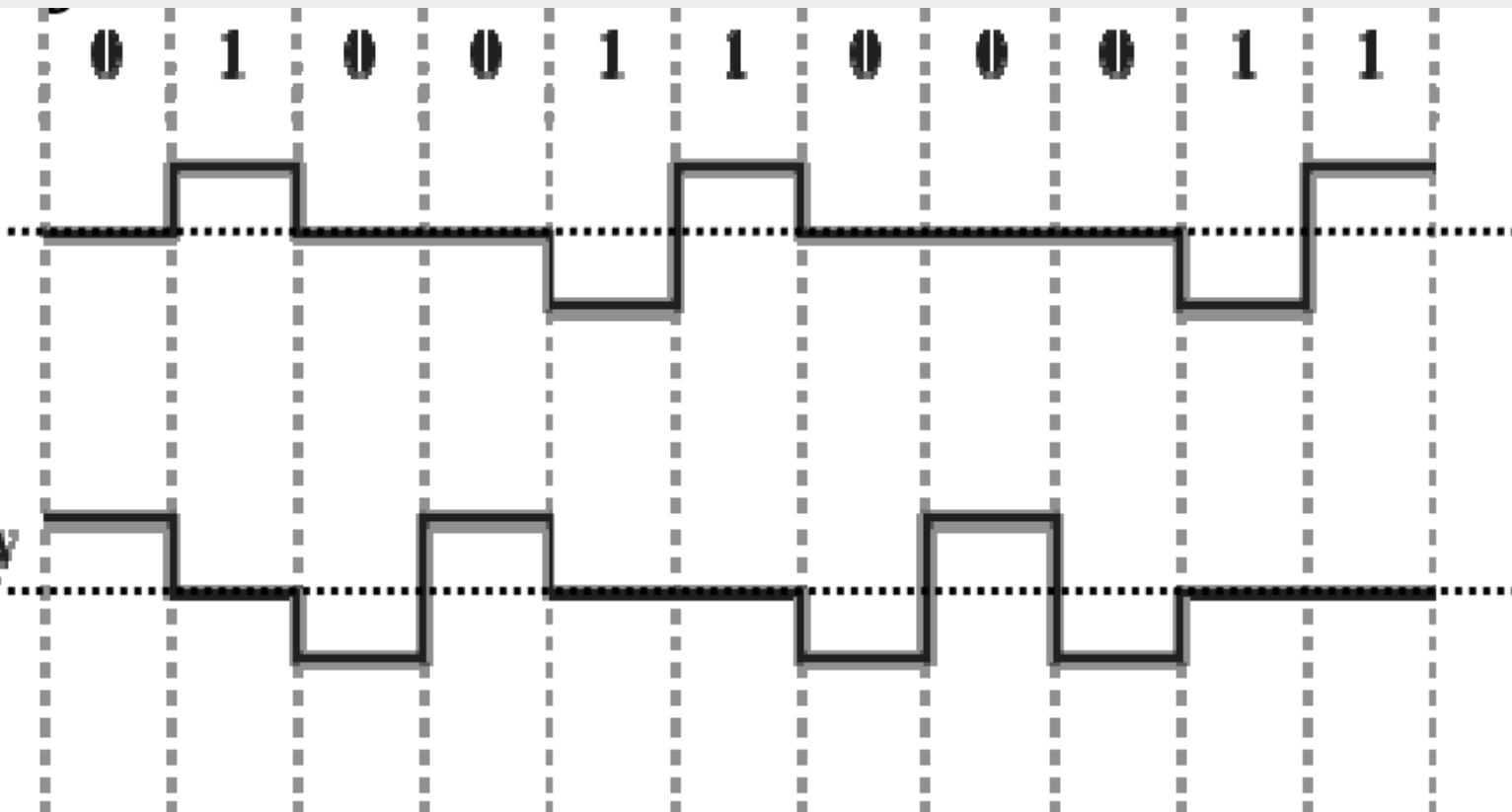
1. No loss of sync with 1's
2. zeros are a problem
3. No net dc component
4. Error detection  
Noise  $\Rightarrow$  violation
5. Two bits/Hz
6. 3 dB higher S/N
7. 2b/Hz. Not 3.16 b/Hz

## Bipolar-AMI

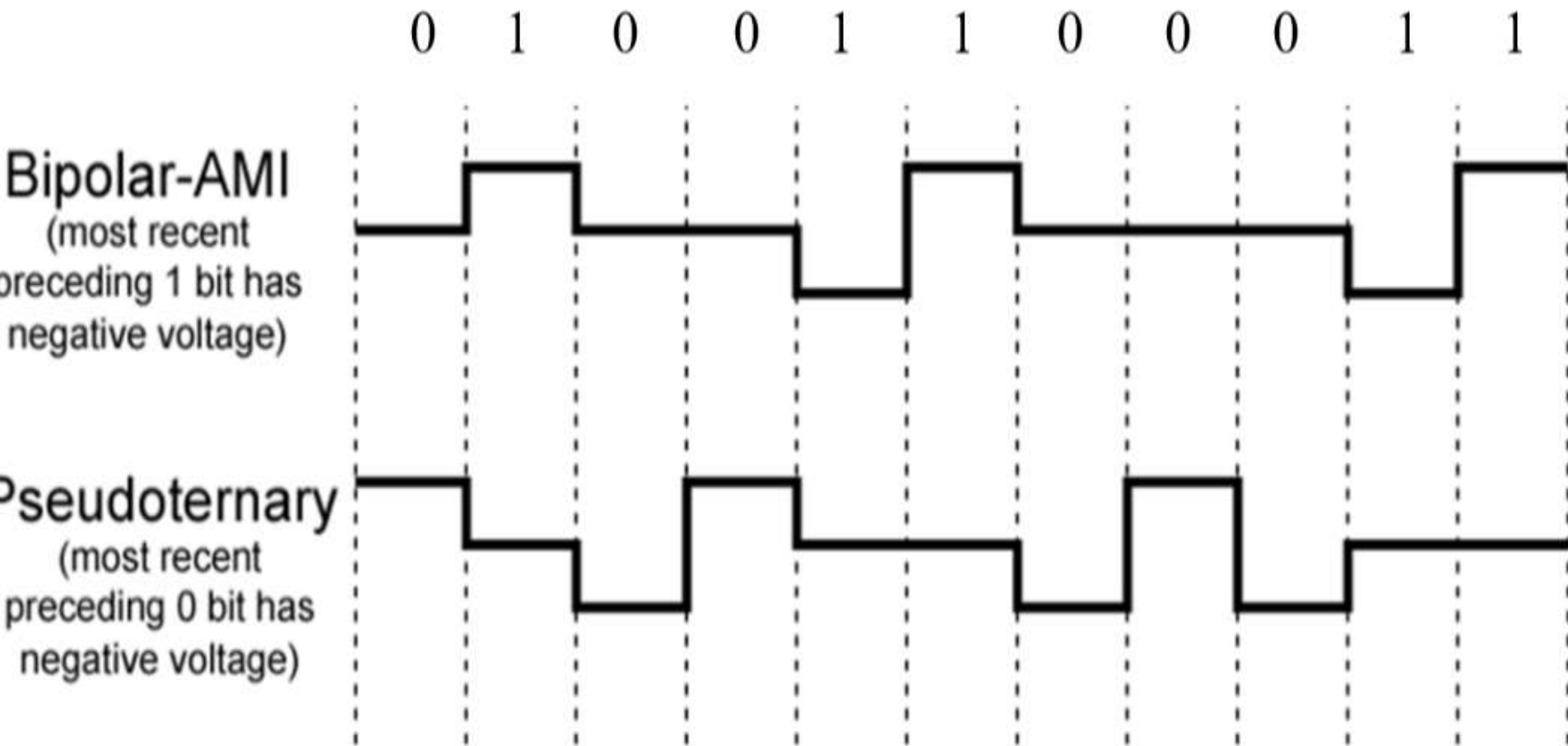
(most recent preceding 1 bit has negative voltage)

## Pseudoternary

(most recent preceding 0 bit has negative voltage)



# Multilevel Binary Techniques





# Scrambling

## □ Bipolar with 8-Zero Substitution (B8ZS):

Same as AMI, except eight 0's replaced w two code violations  
 $0000\ 0000 = 000V\ 10V1$

<b>B8ZS</b>			0	0	0	0	0	0	0
last pluse		+	0	0	0	+	-	0	-
		-	0	0	0	-	+	0	+

## □ High Density Bi-polar w 3 Zeros (HDB3): Same as AMI, except that four 0's replaced with one code violation

$0000 = 000V$  if odd number of ones since last substitution  
 $100V$  otherwise

<b>HDB3</b>		0	0	0	0
		0	0	0	V ; # of non zero since last substitution is odd
		B	0	0	V ; Even

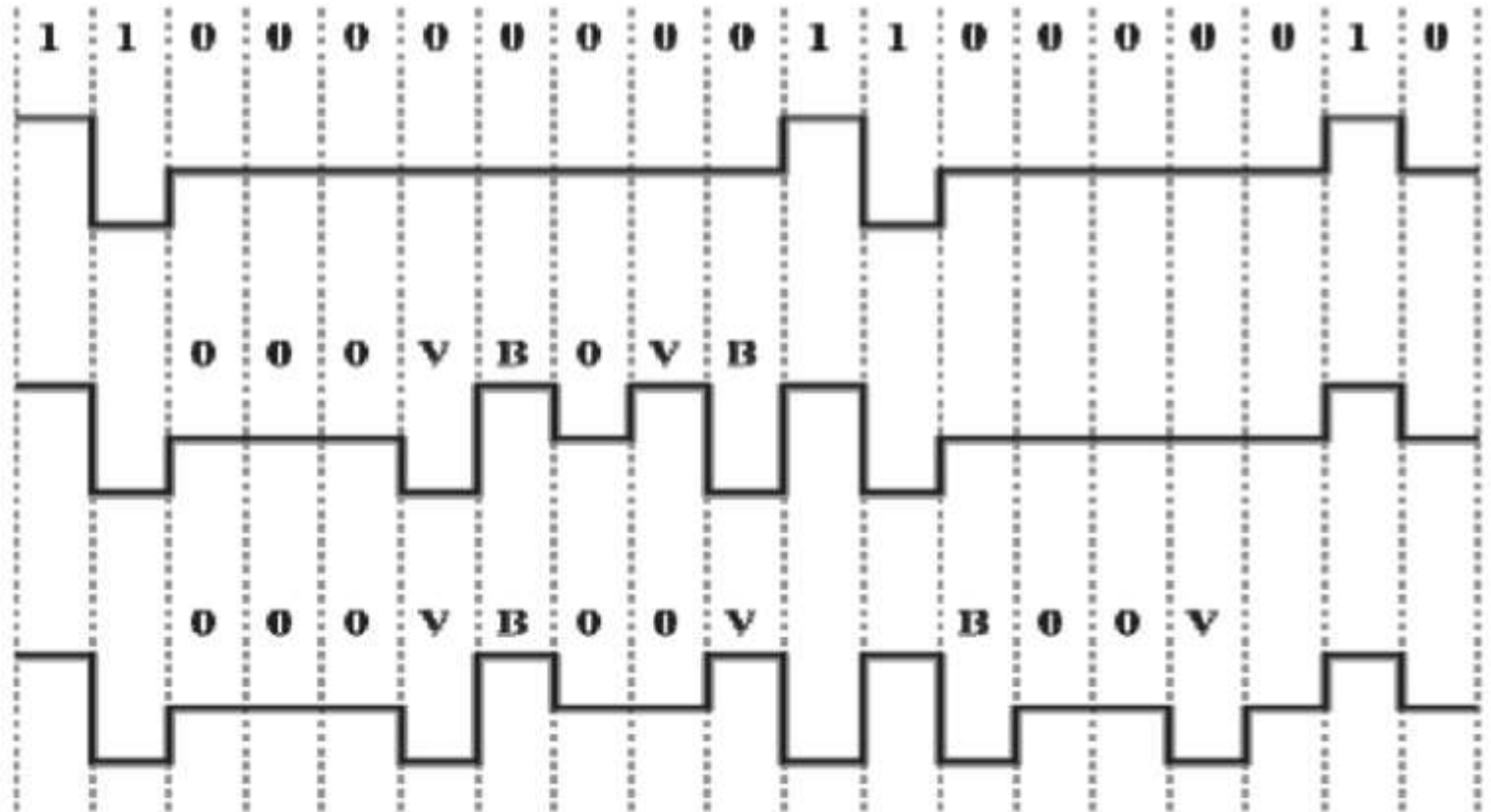
**Bipolar-AMI**

**B8ZS**

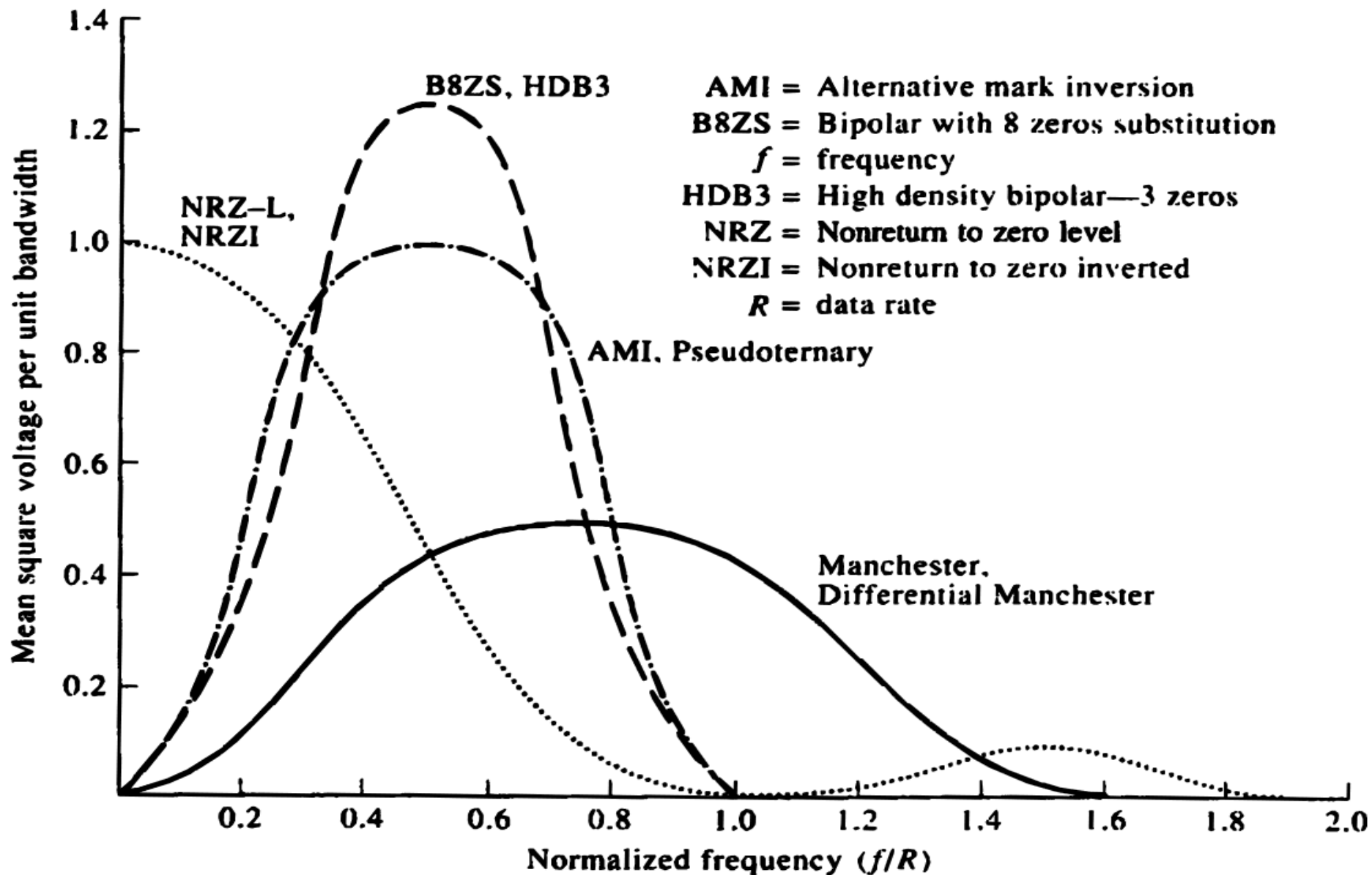
**HDB3**

(odd number of 1s  
since last substitution)

0 = Valid bipolar signal  
V = Bipolar violation



# Signal Spectrum

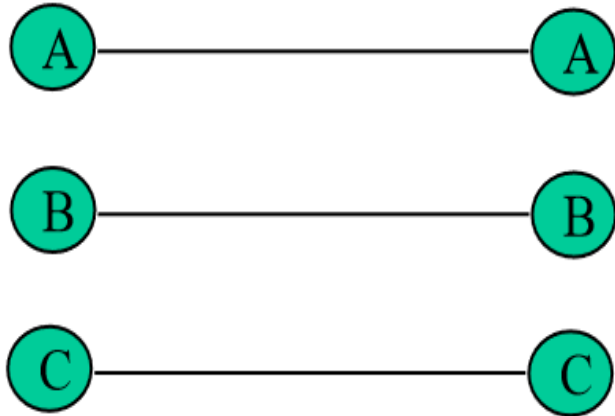


# Analog Data, Digital Signals [Example –PCM (Pulse Code Modulation)]

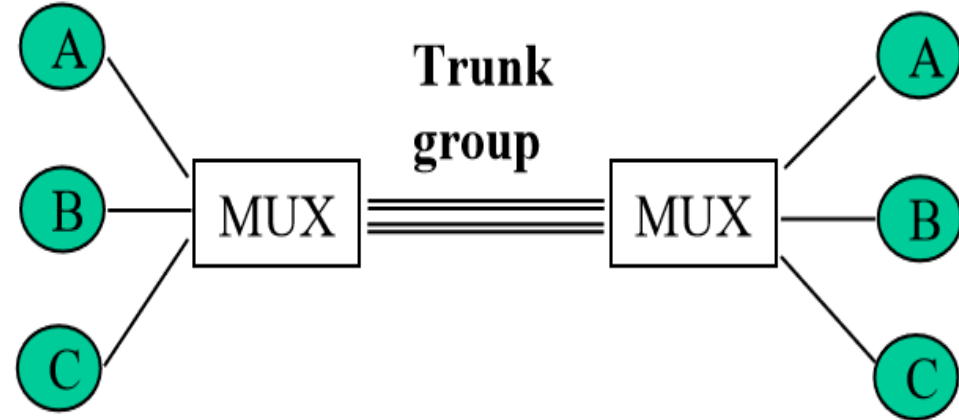
- The most common technique for using **digital signals to encode analog data** is PCM.
- Example: To transfer **analog voice signals off a local loop to digital end office** within the phone system, one uses a codec.
- Because voice data limited to frequencies below 4000 HZ, a codec makes 8000 samples/sec. (i.e., 125 microsec/sample).

# Multiplexing

(a)

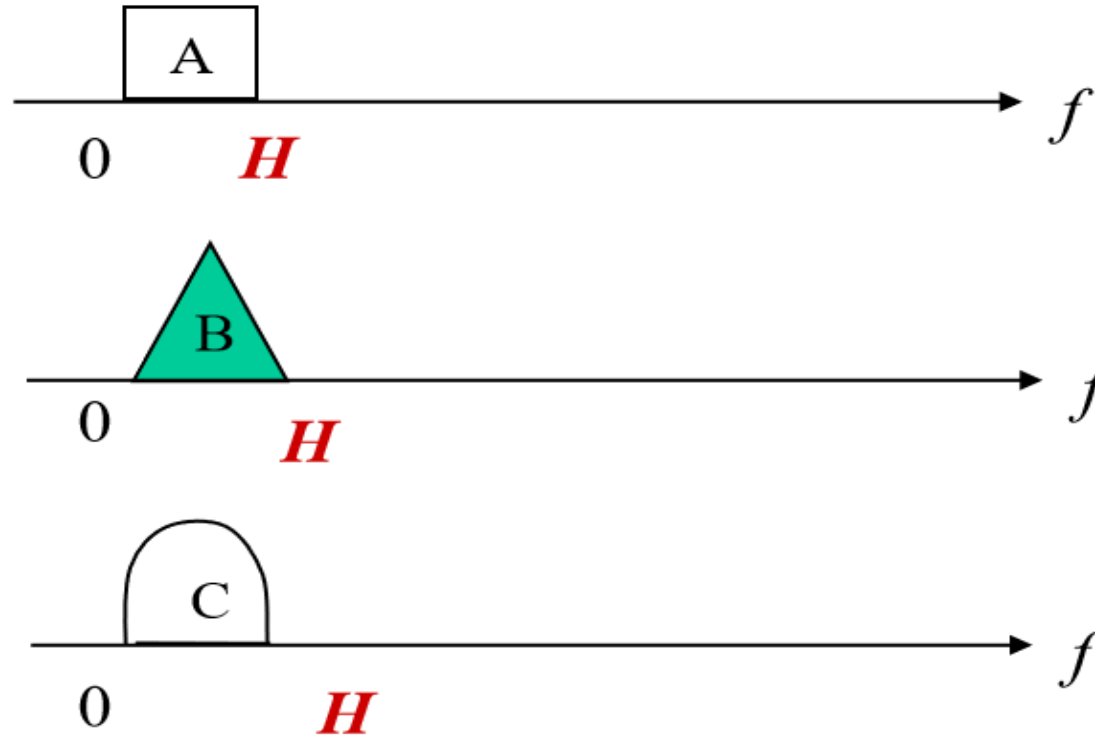


(b)

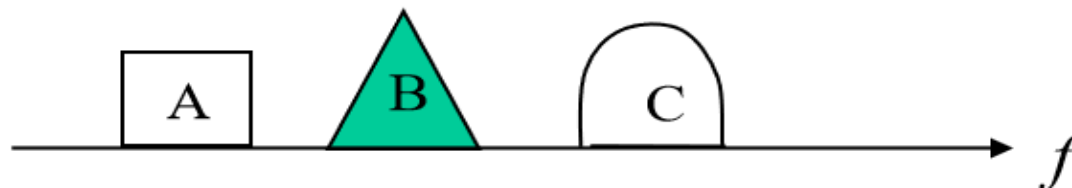


# Frequency-division Multiplexing

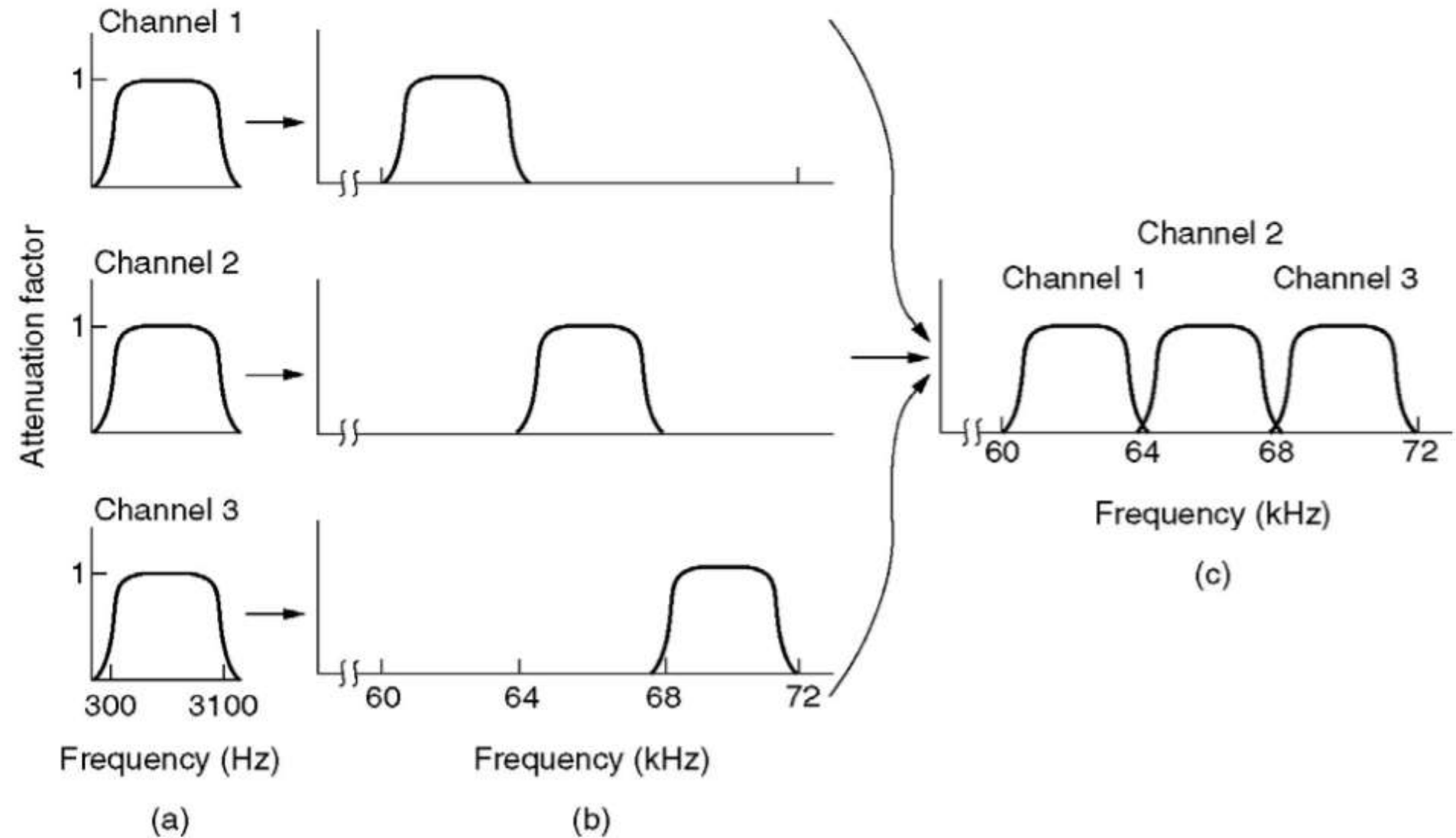
(a) Individual signals occupy  $H$  Hz



(b) Combined signal fits into channel bandwidth



# Frequency-division Multiplexing

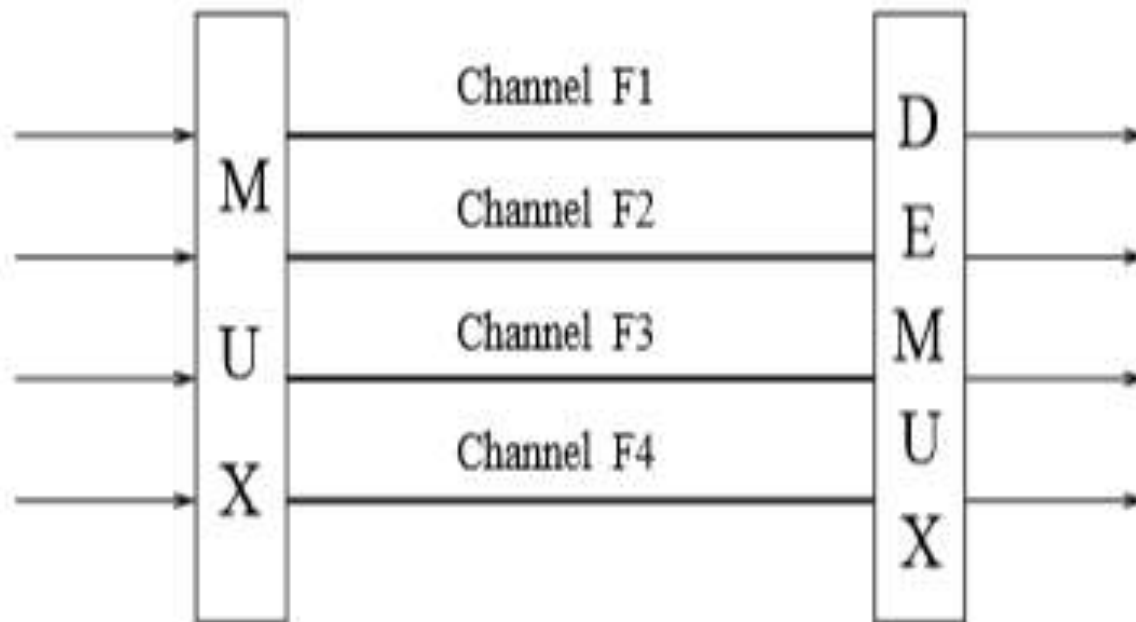


- (a) The original bandwidths. (b) The bandwidths raised in frequency. (c) The multiplexed channel.

# Broadband vs. baseband

Presence or absence of carrier wave: allows many channels to co-exist at the same time

→ frequency division multiplexing (FDM)



Ex.: AM radio (535 kHz–1705 kHz)

→ tuning to specific frequency: Fourier transform

→ coefficient of Fourier transform!



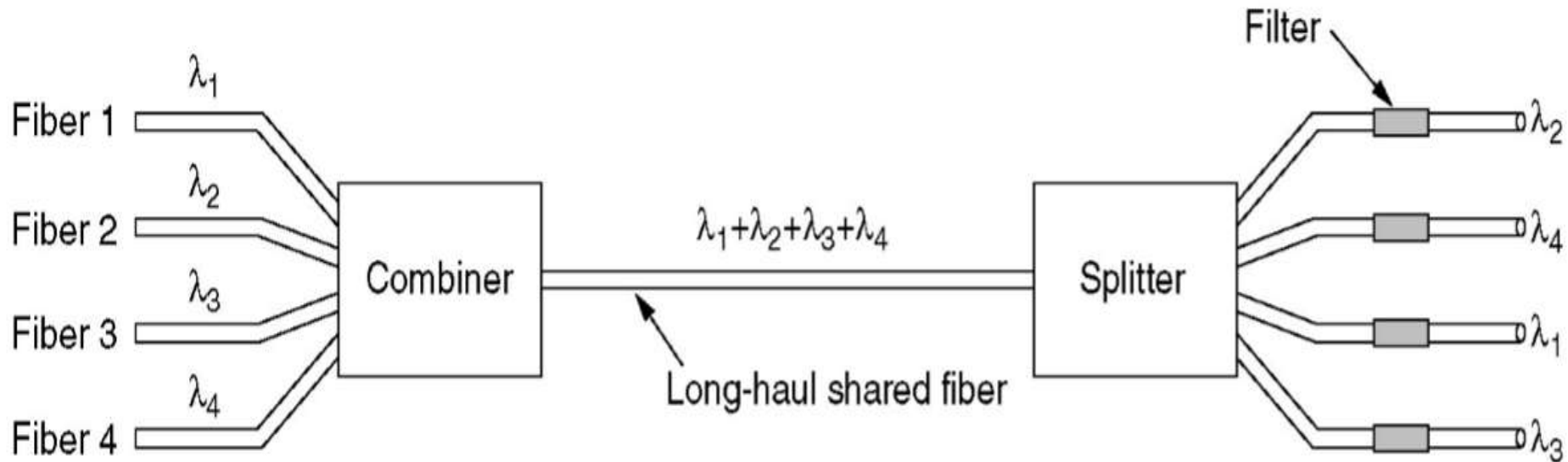
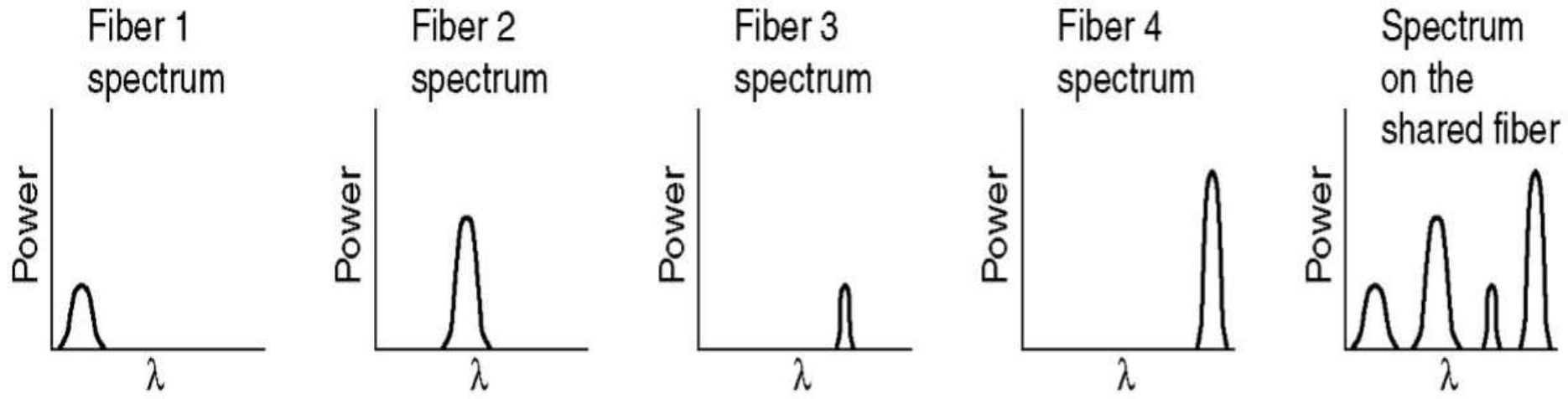
Ex.: FM radio

- 88 MHz–108 MHz
- 200 kHz slices
- how might it work?
- better or worse than AM?

Ex.: Digital radio

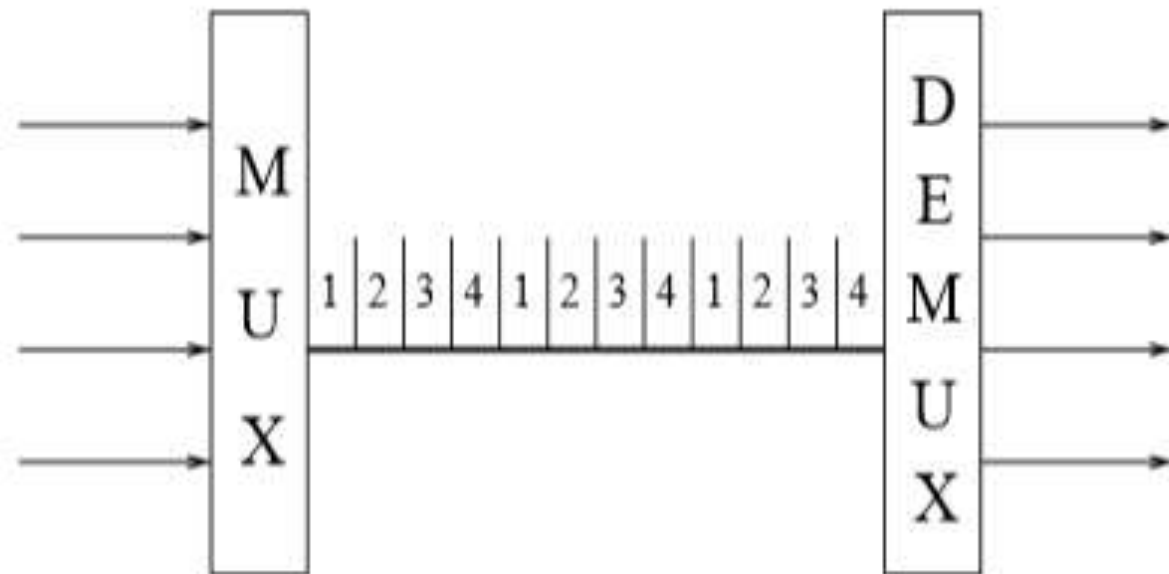
- digital audio radio service
- GEO satellites (a.k.a. satellite radio)
- uses 2.3 GHz spectrum (a.k.a. S-band)
- e.g., XM, Sirius

# Wavelength Division Multiplexing



In the absence of carrier wave, can still use multiplexing:

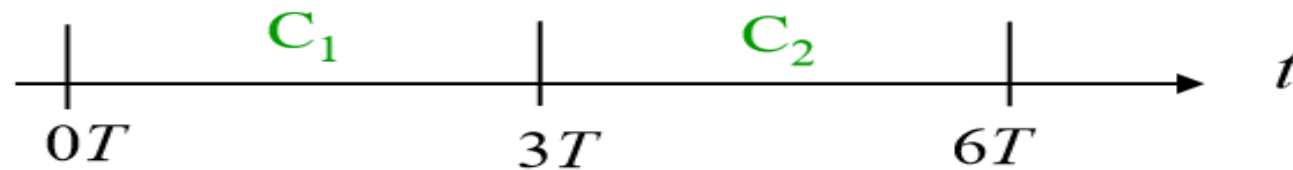
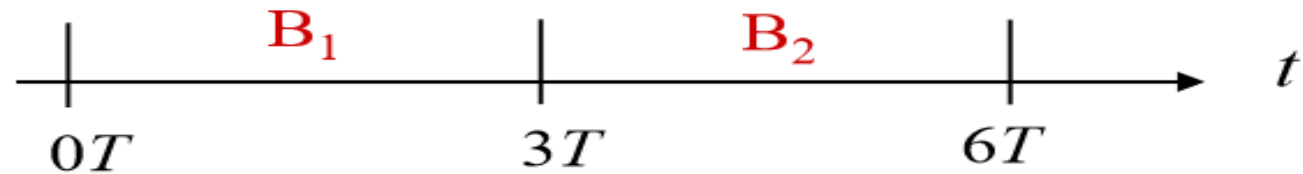
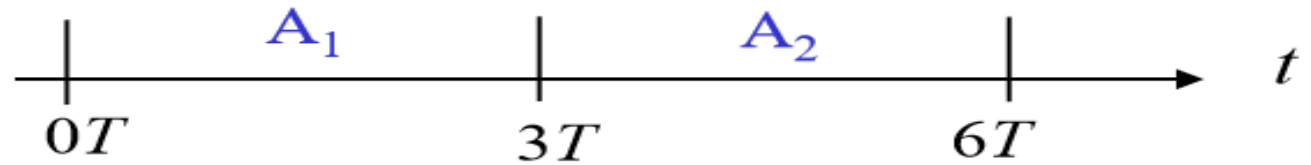
→ time-division multiplexing (TDM)



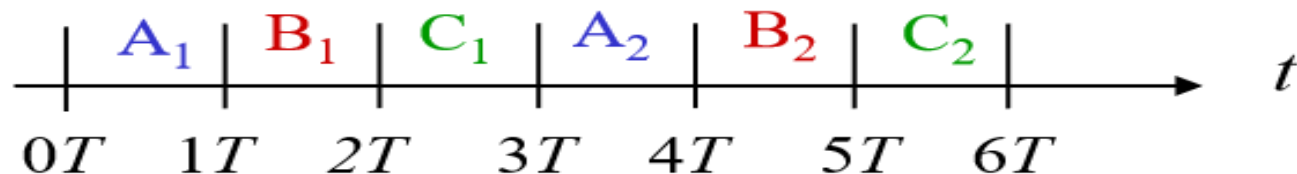
- digital transmission of digital data  
→ e.g., telephony backbone network
- digital transmission of analog data  
→ PCM (e.g., PC sound cards), modem

# Time-division Multiplexing

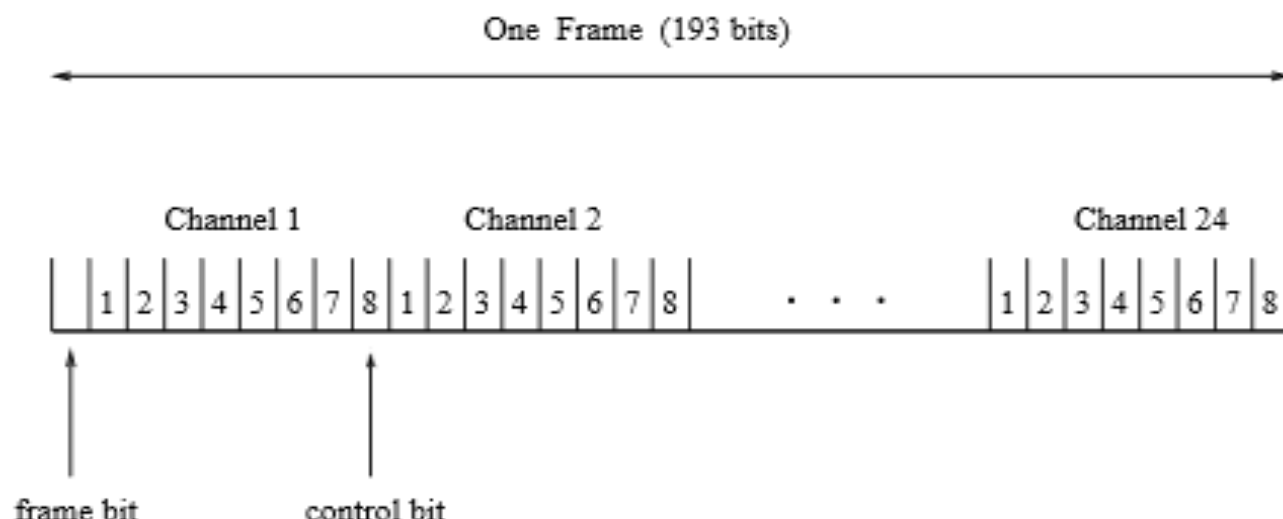
(a) Each signal transmits 1 unit every  $3T$  seconds



(b) Combined signal transmits 1 unit every  $T$  seconds



## Example: T1 carrier (1.544 Mbps)



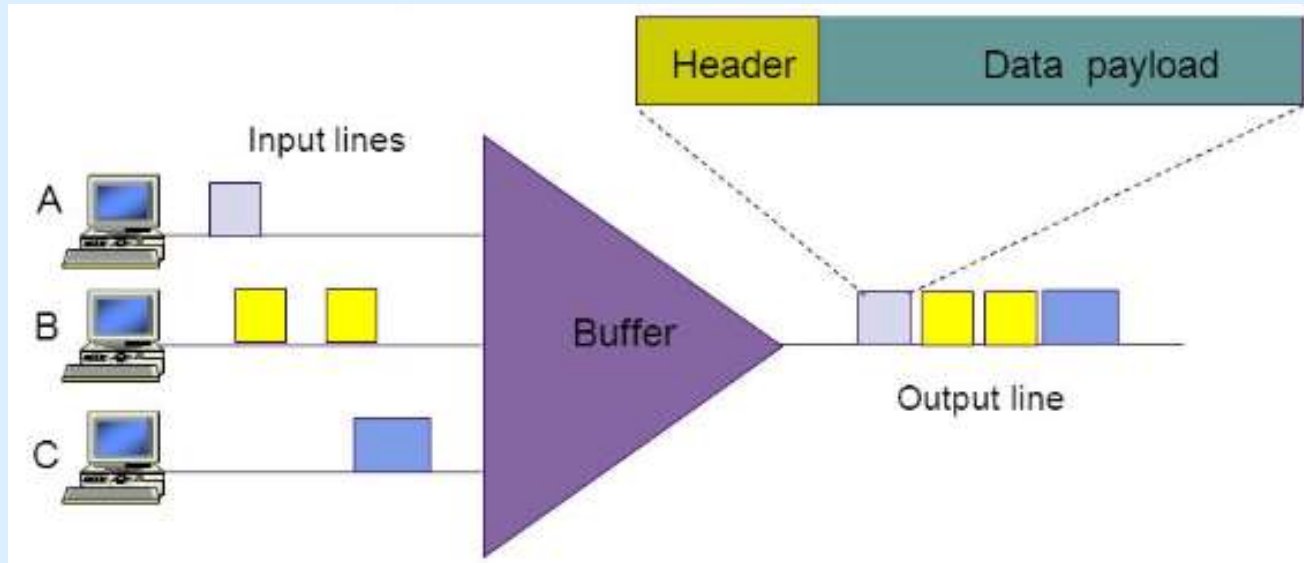
- 24 simultaneous users
- 7 bit quantization

Assuming 4 kHz telephone channel bandwidth, Sampling Theorem dictates 8000 samples per second.

→ 125  $\mu$ sec inter-sample interval

$$\text{Bandwidth} = 8000 \times 193 = 1.544 \text{ Mbps}$$

# Statistical Multiplexing



- It is a technique in which information from **multiple logical channels can be transmitted across a single physical channel**.
- It dynamically **allocates bandwidth** only to **active input channels**, making better use of available bandwidth.
- It **allowing more devices to be connected** than with other multiplexing techniques.
- Also referred to as statistical time-division multiplexing or stat mux.

# Pulse Code Modulation (PCM)

- Analog signal is sampled.
- Converted to discrete-time continuous amplitude signal (Pulse Amplitude Modulation)
- Pulses are quantized and assigned a digital value.
- - A 7-bit sample allows 128 quantizing levels.

# Pulse Code Modulation (PCM)

- PCM uses **non-linear encoding**,  
i.e., amplitude spacing of levels is non-linear.
  - There is a **greater number of quantizing steps for low amplitude**.
  - This reduces **overall signal distortion**.
- This introduces quantizing error (or noise).
- PCM pulses are then encoded into a digital bit stream.
- $8000 \text{ samples/sec} \times 7 \text{ bits/sample} = 56 \text{ Kbps}$  for a single voice channel.



# Delta Modulation (DM)

- The basic idea in delta modulation is to approximate the derivative of analog signal rather than its amplitude.
- The analog data is approximated by a staircase function that moves up or down by one quantization level at each sampling time. The output of DM is a single bit.
- PCM preferred because of better SNR characteristics.

# Delta Modulation

DCC 6<sup>th</sup> Ed. W.Stallings

