o-glassesX: Compiler Provenance Recovery with Attention Mechanism from a Short Code Fragment

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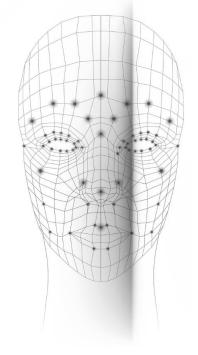
‡National Defense Academy, Kanagawa, Japan

§The University of Tokyo, Tokyo, Japan

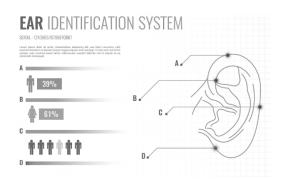
Introduction

Forensic Scientists

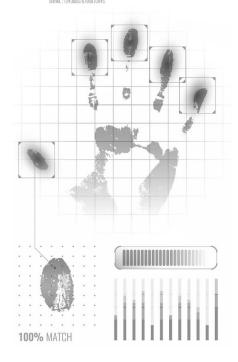




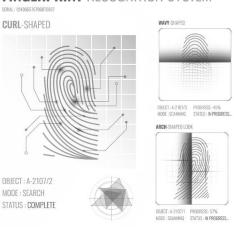




HAND RECOGNITION SYSTEM

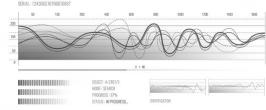


FINGERPRINT RECOGNITION SYSTEM

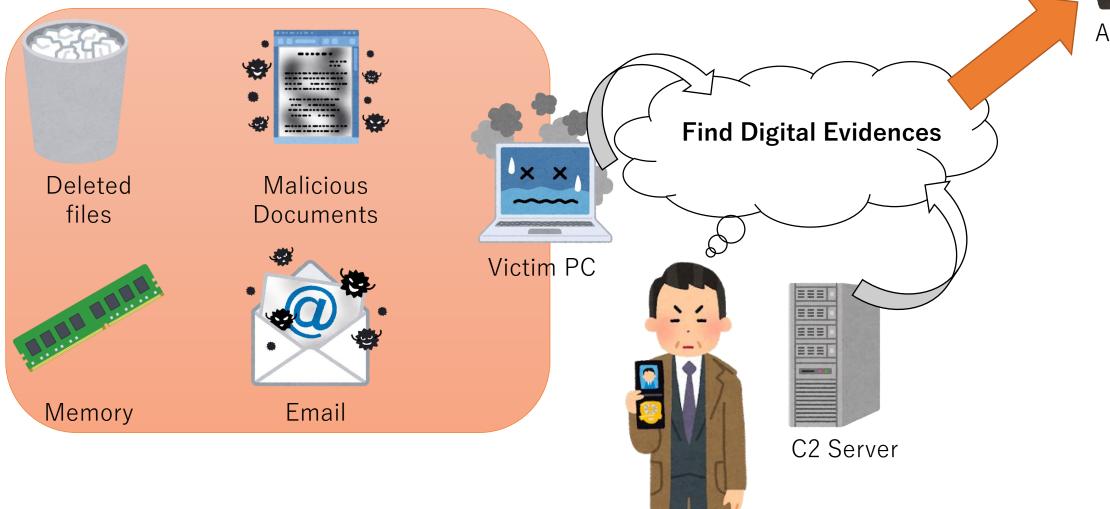








Computer Forensics

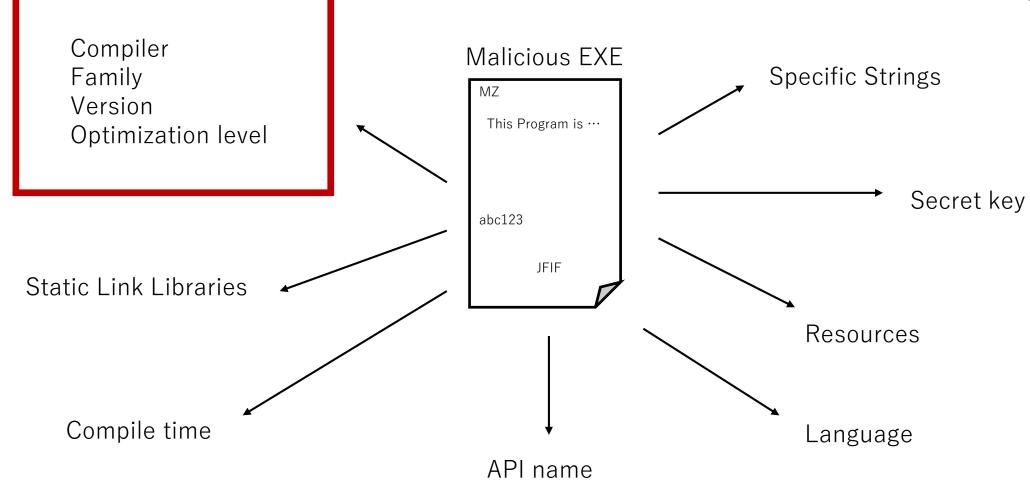


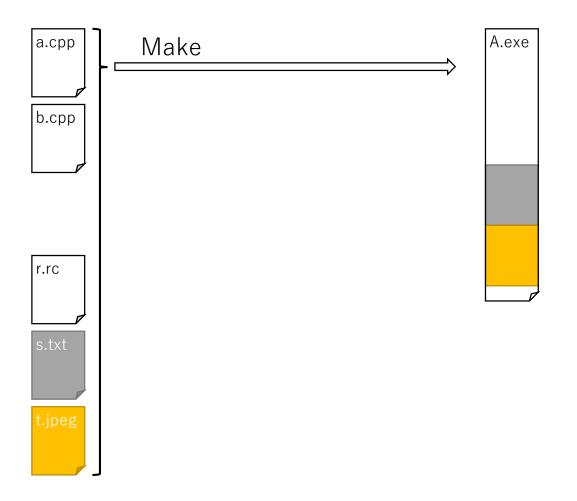


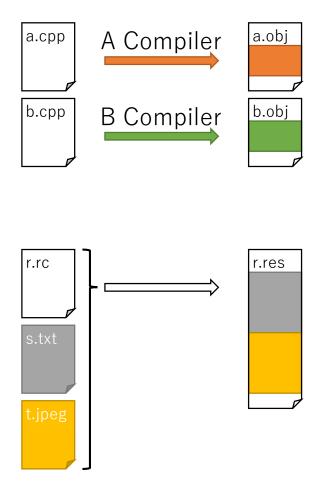
Author Identification



Compiler Provenance

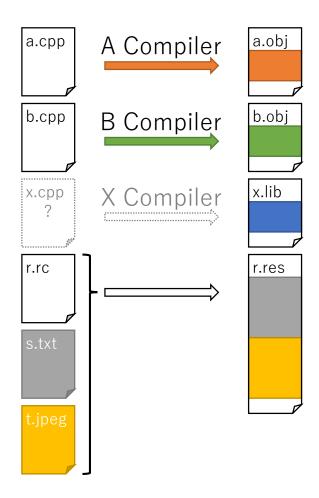






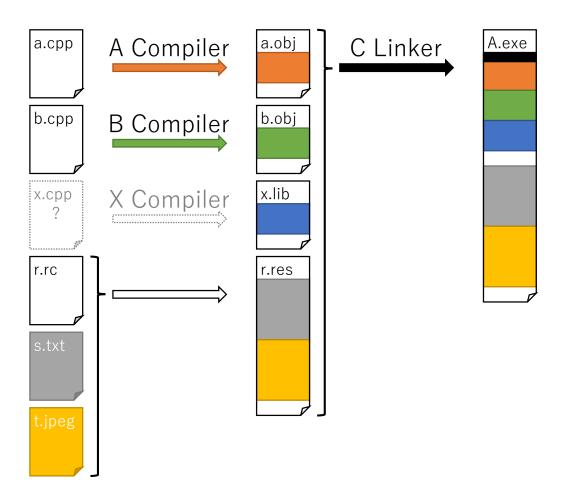


- A Compiler?
- B Compiler?

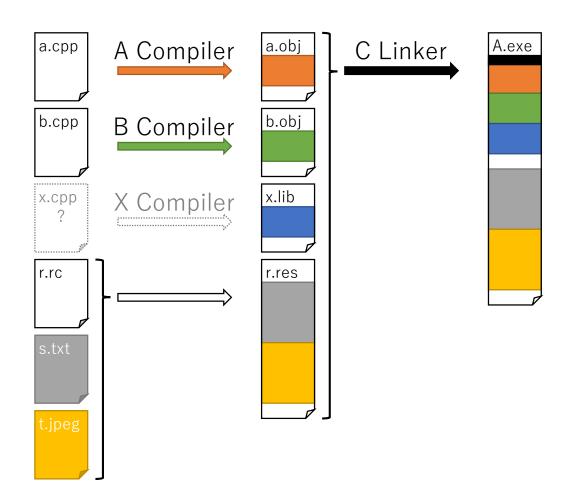




- A Compiler?
- B Compiler?
- X Compiler?



- A Compiler?
- B Compiler?
- X Compiler?
- C Linker?



What is the truth label of A.exe?

- A Compiler?
- B Compiler?
- X Compiler?
- C Linker?

What is the truth label of a.obj?

- A Compiler

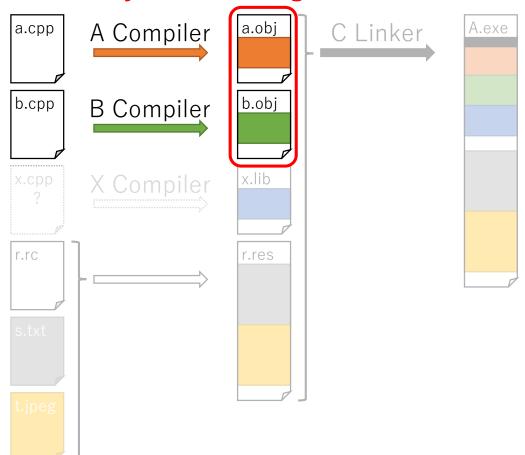
What is the truth label of b.obj?

- B Compiler

What is the truth label of x.lib?

- Hmm... I think VC, because MS provide it!

Easy to make the ground truth



What is the truth label of A.exe?

- A Compiler?
- B Compiler?
- X Compiler?
- C Linker?

What is the truth label of a.obj?

- A Compiler

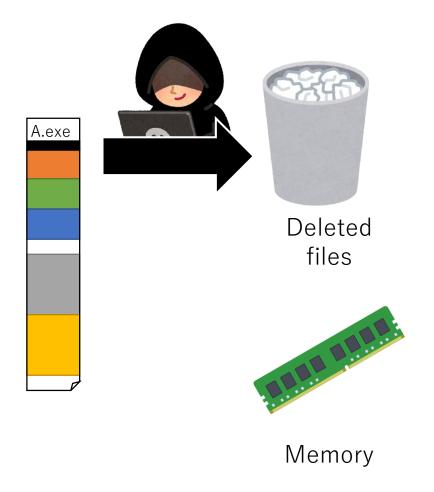
What is the truth label of b.obj?

- B Compiler

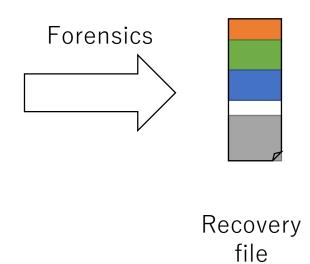
What is the truth label of x.lib?

- Hmm... I think VC, because MS provide it!

Fragmented Files



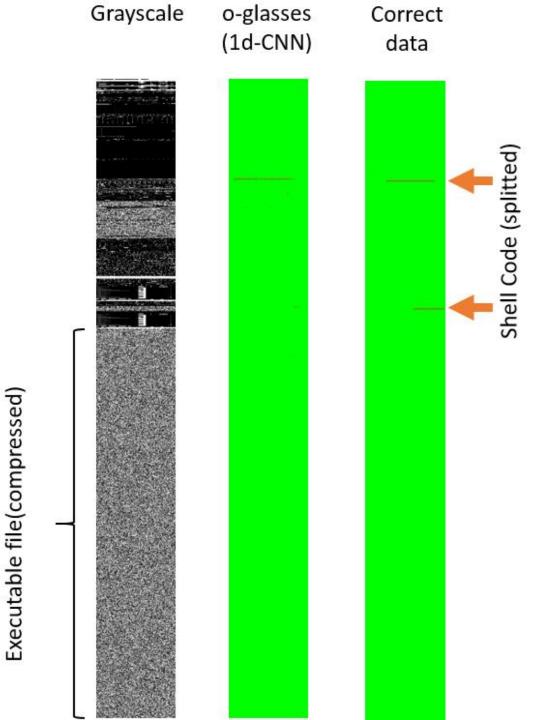
Collect as much of the attacker's trace as possible even from fragmented files.



Preliminaries

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x86 code (e.g., shellcode) detector [arXive.1806.05328] **Binary** Input : 16 x86 instructions Output : Program or not F1 : 0.9995 x86 instructions Convert Softmax 128-bit H N N H FFN length instructions



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x86 code (e.g., shellcode) detector [arXive.1806.05328]

Binary

Input : 16 x86 instructions

Output : Program or not

F1 : 0.9995

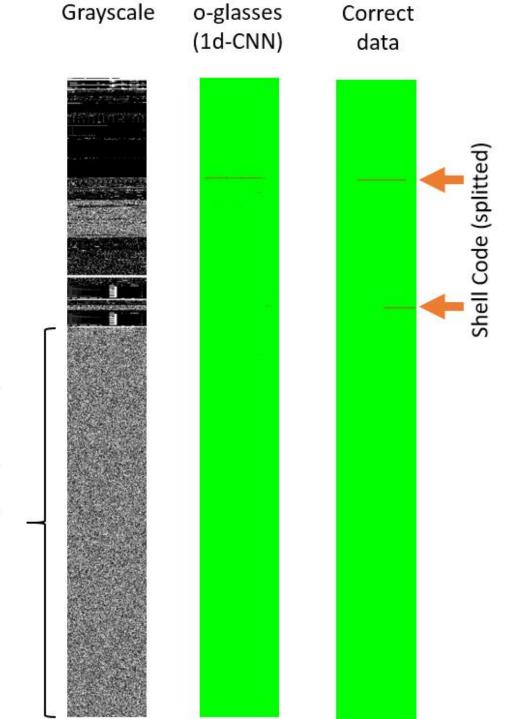
Instructions

Input : 16 x86 instructions

Output : Program or not

F1 : 0.9995

- Applying to compiler identification
- Black Box Problem



Executable file(compressed)

Attention Is All You Need

[Łukasz Kaiser et al., NIPS, 2017]

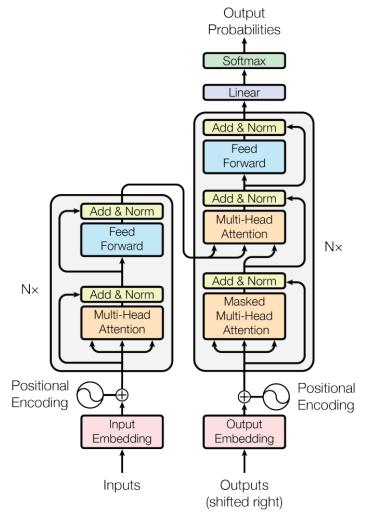


Figure 1: The Transformer - model architecture.

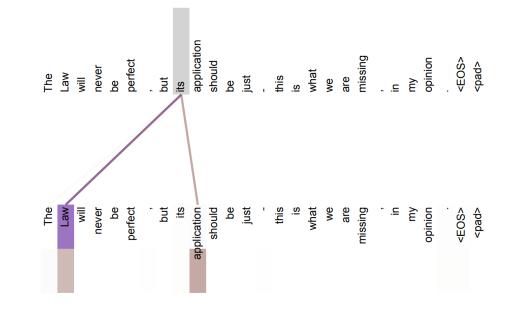
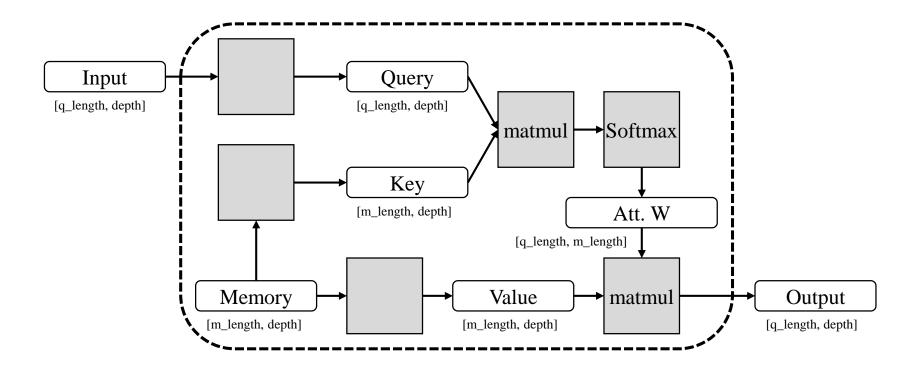
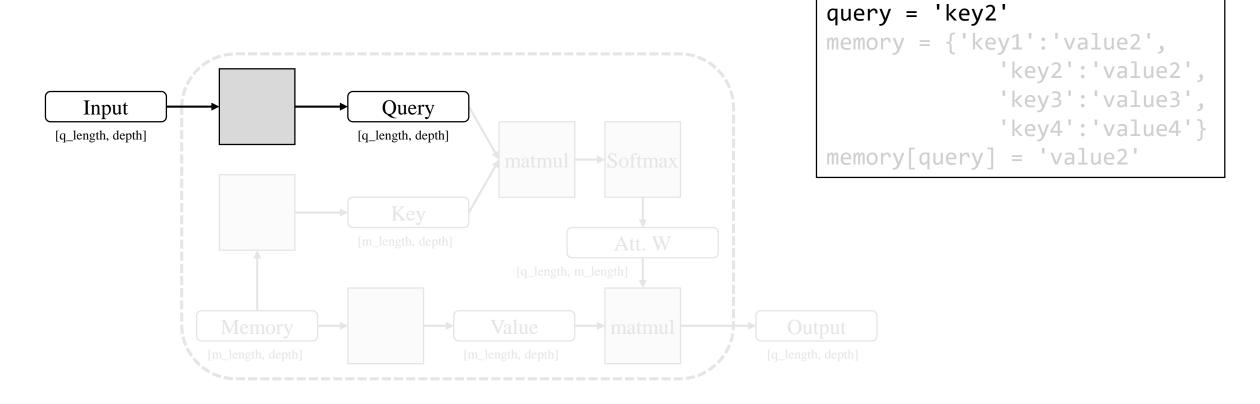
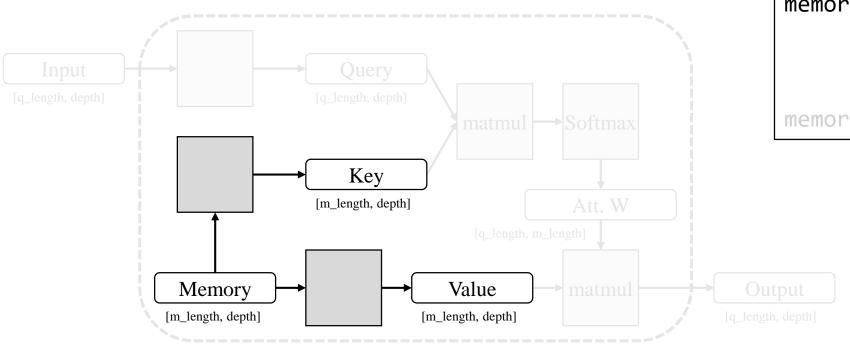


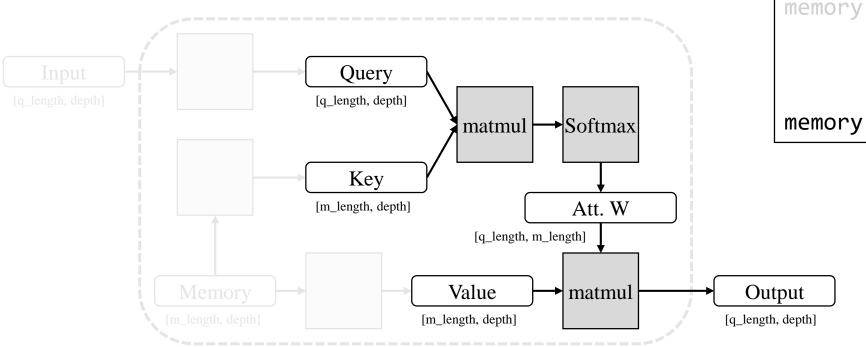
Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BL	EU	Training Cost (FLOPs)			
Model	EN-DE	EN-FR	EN-DE	EN-FR		
ByteNet [15]	23.75					
Deep-Att + PosUnk [32]		39.2		$1.0 \cdot 10^{20}$		
GNMT + RL [31]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$		
ConvS2S [8]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$		
MoE [26]	26.03	40.56	$2.0 \cdot 10^{19}$	$1.2 \cdot 10^{20}$		
Deep-Att + PosUnk Ensemble [32]		40.4		$8.0 \cdot 10^{20}$		
GNMT + RL Ensemble [31]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$		
ConvS2S Ensemble [8]	26.36	41.29	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$		
Transformer (base model)	27.3	38.1		10^{18}		
Transformer (big)	28.4	41.0	2.3 \cdot	10^{19}		

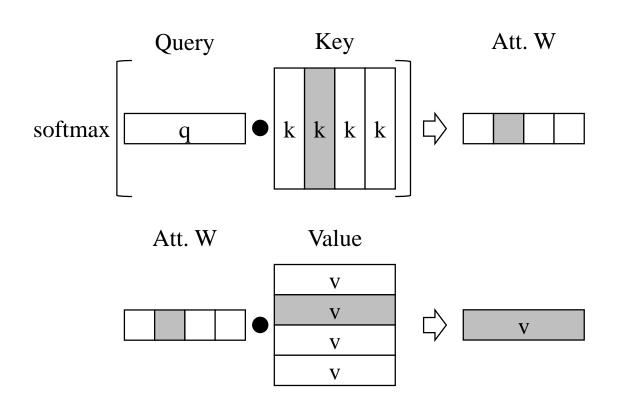


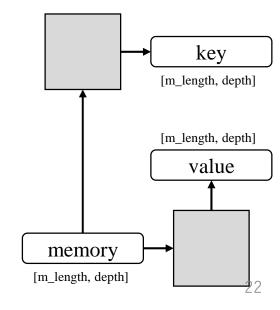






Dot-Product Attention vs. Dictionary Object





Self-Attention Query Input [q_length, depth] [q_length, depth] matmul - Softmax The will never be perfect be perfect its should be just this is what we are missing Key [m_length, depth] Att. W [q_length, m_length] Output Input Value matmul [q_length, depth] [m_length, depth] [m_length, depth]

Positional Encoding (PE)

PE (Positional Encoding) adds information about the word position to the input word vectors for learning the context of words.

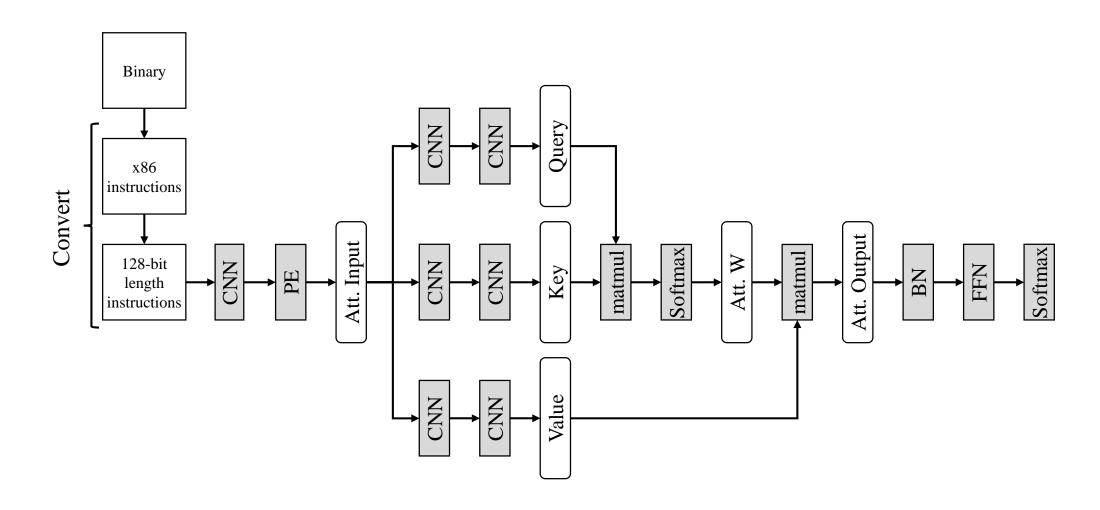
$$Y(X) = X + \alpha PE$$

$$PE_{(pos,2i)} = \sin(pos/10000^{2i/d_{model}})$$

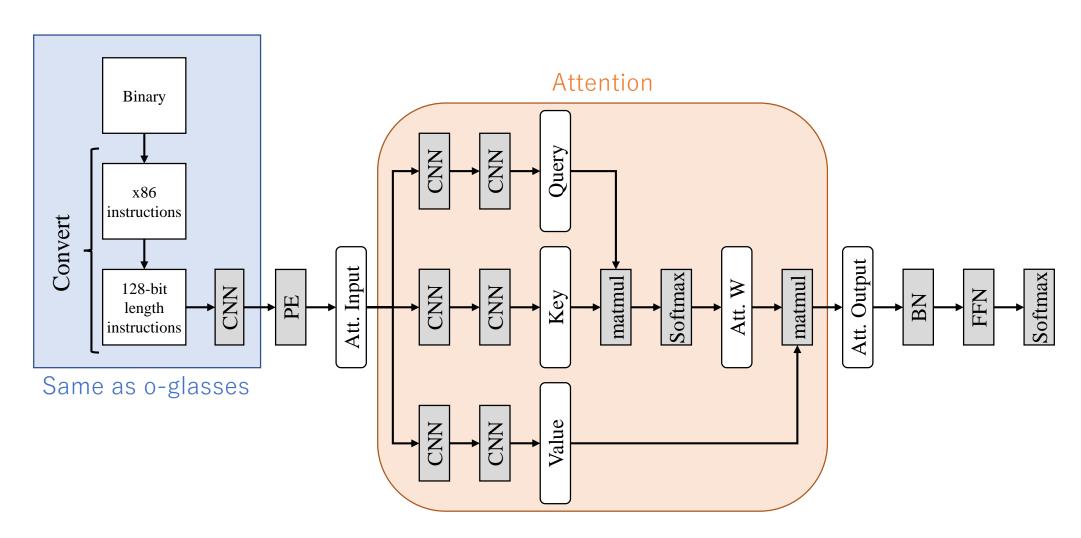
$$PE_{(pos,2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

Proposed Method

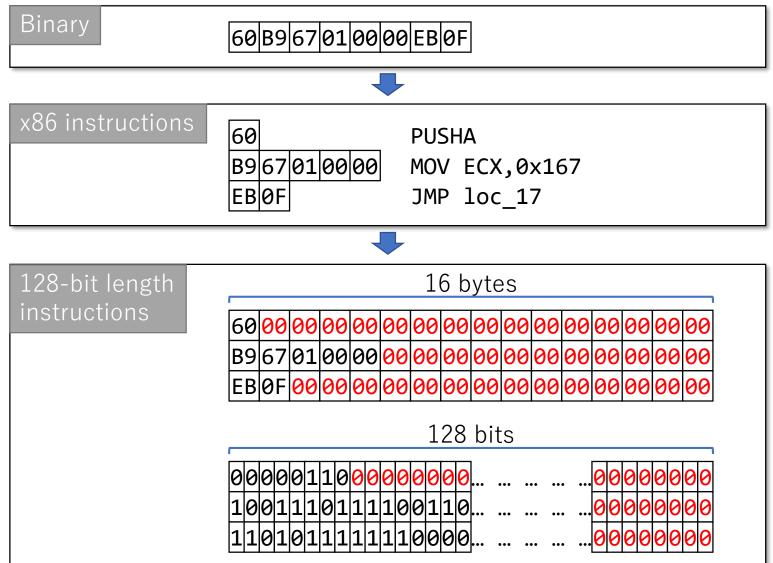
o-glassesX

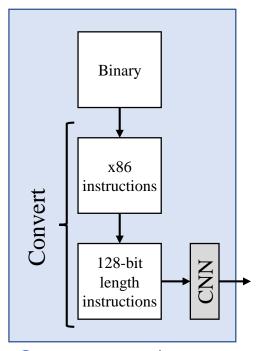


o-glassesX



Preprocessing details



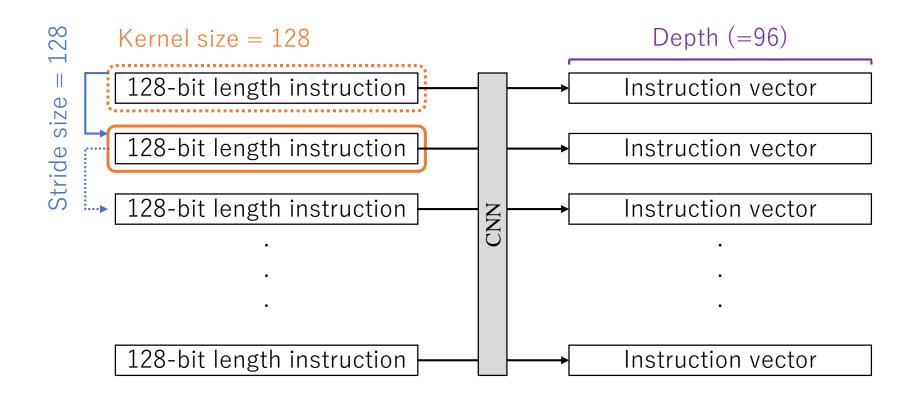


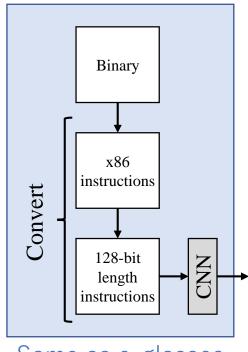
Same as o-glasses

The 1st CNN Layer

Each unit in CNN has specially local connections to the input units, called a Kernel. Every kernel shares the weight parameters with the others in the same layer.

Each kernel covers a single instruction by adjusting the hyperparameters.





Same as o-glasses

Evaluation

Training Dataset

Collecting source code files from GitHub Compiling various compilers and options

Total : 19 labels Compiler : 4 families

Visual C++, GCC, Clang and Intel C++ Compiler

Opt. level : 2 types

maximum or not

CPU Arc. : 2 types

x86 or x86-64

TABLE II. DATASET COMPILATION SETTINGS.

Compiler	Version	Arch	nitecture	Optimization Level				
family		x86	x86-64	Low	High			
VC	2003	√		-Od	-Ox			
VC	2017	\checkmark	✓	-Od	-Ox			
GCC	6.3.0	\checkmark	\checkmark	-O0	-O3			
Clang	5.0.2	\checkmark	\checkmark	-O0	-O3			
ICC	19.0.0.117	✓	✓	-O0	-O3			

			Label	#Binaries	#Code
	7	98x	VC17,32,none(Od)	1,170	369,605
	/C2017	×	VC17,32,max(Ox)	1,147	255,143
	VC	x86-64	VC17,64,none(Od)	1,456	540,568
		x8(VC17,64,max(Ox)	1,242	542,020
	~	98x	VC03,32,none(Od)	1,350	292,277
	/C2003		VC03,32,max(Ox)	1,306	270,743
	VC	x86-64	-	-	-
		x8(-	-	-
J		x86	GCC,32,none(O0)	2,111	227,004
Program	C		GCC,32,max(O3)	1,844	239,821
Prog	\mathcal{G}	x86-64	GCC,64,none(O0)	1,582	283,276
	·	x8(GCC,64,max(O3)	1,580	287,775
		x86	Clang,32,none(O0)	1,205	101,024
	Clang	×	Clang,32,max(O3)	1,196	86,521
	Ü	x86-64	Clang,64,none(O0)	1,892	332,278
		x8(Clang,64,max(O3)	1,883	246,500
		x86	ICC,32,none(Od)	1,761	1,494,677
	Ç	×	ICC,32,max(Ox)	1,724	1,161,499
	Ι	x86-64	ICC,64,none(Od)	1,796	1,419,705
		x86	ICC,64,max(Ox)	1,728	1,046,958
			Others	101	912,855
			Total	28,074	10,110,249

4-fold Cross Validation (Input: 64 instructions)

	Predict			V	'C				G	CC			Cla	ang			IC	CC		Others			
Train		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	R	P	F1
VC03,32,none(Od) 1	.9604	.0355	.0026	.0002	.0002	.0002	.0001	.0000	.0000	.0000	.0001	.0000	.0000	.0000	.0001	.0002	.0000	.0000	.0004	.9500	.9604	.9552
VC17,32,none(Od) 2	.0463	.9517	.0002	.0007	.0001	.0001	.0000	.0000	.0000	.0000	.0001	.0000	.0000	.0000	.0000	.0002	.0000	.0000	.0006	.9625	.9517	.9570
VC03,32,max(0	Ox) 3	.0026	.0001	.9875	.0061	.0001	.0003	.0000	.0002	.0000	.0000	.0000	.0002	.0000	.0000	.0000	.0022	.0000	.0000	.0006	.9786	.9875	.9830
VC17,32,max(0	Ox) 4	.0004	.0010	.0144	.9774	.0001	.0005	.0000	.0001	.0000	.0001	.0001	.0008	.0000	.0001	.0000	.0044	.0000	.0000	.0007	.9887	.9774	.9830
VC17,64,none(Od) 5	.0002	.0001	.0002	.0001	.9978	.0008	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0001	.0000	.0001	.0001	.0001	.0004	.9977	.9978	.9977
VC17,64,max(0	Ox) 6	.0002	.0001	.0004	.0004	.0013	.9931	.0000	.0000	.0000	.0005	.0000	.0000	.0001	.0006	.0000	.0001	.0000	.0023	.0008	.9955	.9931	.9943
GCC,32,none(C	00) 7	.0002	.0000	.0001	.0000	.0000	.0000	.9973	.0009	.0004	.0001	.0003	.0004	.0000	.0000	.0002	.0000	.0000	.0000	.0000	.9972	.9973	.9973
GCC,32,max(C	93) 8	.0001	.0000	.0005	.0002	.0000	.0000	.0011	.9921	.0000	.0003	.0002	.0051	.0000	.0000	.0000	.0004	.0000	.0000	.0000	.9946	.9921	.9933
GCC,64,none(C	90)	.0001	.0001	.0000	.0000	.0000	.0000	.0008	.0000	.9970	.0006	.0000	.0000	.0010	.0002	.0000	.0000	.0001	.0000	.0000	.9979	.9970	.9975
GCC,64,max(C	1 03)	.0000	.0000	.0000	.0000	.0001	.0002	.0001	.0006	.0003	.9800	.0000	.0003	.0003	.0168	.0000	.0001	.0001	.0012	.0000	.9819	.9800	.9809
Clang,32,none(O0) 11	.0003	.0002	.0000	.0000	.0000	.0000	.0004	.0004	.0000	.0001	.9972	.0006	.0007	.0000	.0001	.0000	.0000	.0000	.0000	.9959	.9972	.9965
Clang,32,max(C	D3) 12	.0001	.0000	.0006	.0011	.0000	.0001	.0004	.0068	.0000	.0003	.0006	.9869	.0000	.0019	.0000	.0012	.0000	.0000	.0000	.9790	.9869	.9829
Clang,64,none(O0) 13	.0000	.0000	.0000	.0000	.0000	.0001	.0000	.0000	.0009	.0003	.0010	.0000	.9973	.0003	.0000	.0000	.0000	.0001	.0000	.9979	.9973	.9976
Clang,64,max(0	D3) 14	.0000	.0000	.0001	.0001	.0001	.0006	.0000	.0001	.0002	.0147	.0000	.0015	.0002	.9810	.0000	.0001	.0001	.0013	.0001	.9796	.9810	.9803
ICC,32,none(O	d) 15	.0001	.0000	.0001	.0000	.0000	.0000	.0002	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.9994	.0002	.0000	.0000	.0000	.9995	.9994	.9994
ICC,32,max(O	x) 16	.0002	.0001	.0029	.0028	.0000	.0001	.0000	.0003	.0000	.0001	.0000	.0005	.0000	.0000	.0001	.9930	.0000	.0001	.0000	.9916	.9930	.9923
ICC,64,none(O	d) 17	.0000	.0000	.0000	.0000	.0001	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.9996	.0001	.0000	.9995	.9996	.9995
ICC,64,max(O	x) 18	.0000	.0000	.0001	.0000	.0001	.0016	.0000	.0001	.0000	.0012	.0000	.0000	.0000	.0013	.0000	.0001	.0002	.9952	.0000	.9948	.9952	.9950
Others	19	.0000	.0000	.0000	.0001	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.0000	.9997	.9964	.9997	.9980

Comparison of Performance of Related Work

	<u> </u>								
		o-gla	ıssesX	o-glas	ses[23]	Rosenblum's[29]	ORIG	IN[26]	BinComp[25]
		(L=64)	(L=16)	(L=64)	(L=16)		(SVM)	(CRF)	
	All components	.9884 (19)	.9555 (19)	.9421 (19)	.9323 (19)	.924 (3)	.604 (18)	.918 (18)	.801 (6)
acy	Compiler family	.9886 (4)	.9670 (4)	.9140 (4)	.9271 (4)	.924 (3)	.983 (3)	.999 (3)	_
iii	Optimization	.9989 (2)	.9943 (2)	.9830 (2)	.9864 (2)	_	.971 (2)	.999 (2)	.917 (2)
3	Architecture	.9997 (2)	.9985 (2)	.9959 (2)	.9940 (2)	_	_	_	_
4	Code/Non-code	.9999 (2)	.9995 (2)	.9991 (2)	.9987 (2)	_	_	_	_
	ML model	Attention	Attention	CNN	CNN	CRF	SVM	CRF	(k-means)
	Features	64 Instructions	16 Instructions	64 Instructions	16 Instructions	Byte Seq.	1 Function	Function Seq.	1 File
	#Samples	1,793,478	1,900,000	471,124	1,886,521	81,886,169	955,000	955,000	1,177
e	#Binaries	28,074	28,074	28,074	28,074	1,119	2,686	2,686	1,177
tas	_∞ VC	6	6	6	6	1	6	6	2
Da	suoita GCC Clang	4	4	4	4	1	8	8	2
	Ed Clang	4	4	4	4	-	-	-	-
	≧ ICC	4	4	4	4	1	4	4	2
	# Non-code	1	1	1	1	-	-	-	-
K-fo	old cross-validation	4	4	4	4	(Anomalous)	(Anomalous)	(Anomalous)	10

Calculating 'Why' with the Attention Mechanism

	ENTER 0x558b, 0x8	.222
	MOV [EDX+0xc], ECX	.115
	CMP DWORD [EBP+0x10], 0x80	.029
	JNZ 0x40001b7	.016
	MOV EAX, [EBP+0x8]	.019
	MOV ECX, [EAX+0xc]	.079
	MOV [EBP-0x8], ECX	.036
Input	MOV EDX, [EBP-0x8]	.041
Inf	SHR EDX, 0x10	.063
	AND EDX, 0xff	.255
	MOV EAX, [EDX*4+0x0]	.153
	AND EAX, 0xff000000	.044
	MOV ECX, [EBP+0x8]	.062
	XOR EAX, [ECX]	.207
	MOV EDX, [EBP-0x8]	.039
	SHR EDX, 0x8	.063

```
Algorithm 1 Automatic feature extraction procedure
 1: InputFile ← Compile(SourceFile,Optimization).pullCode()
 2: Offset \leftarrow 0
 3: while Offset < InputFile.EndOffset do
        Input \leftarrow InputFile.pull(Offset,L)
        Result \leftarrow model.predictor(Input)
        if Result.Label = RealLabel then
           if Result.Confidence > 0.99 then
               i \leftarrow argsmax(L2(Result.AttentionWeight))
 8:
               Count[input[i]] ++
 9:
           end if
10:
        end if
11:
        Offset ++
13: end while
14: Print(Ranking(Count))
```

Typical Instructions for each compiler

e.g., when focusing on the NOP instruction...

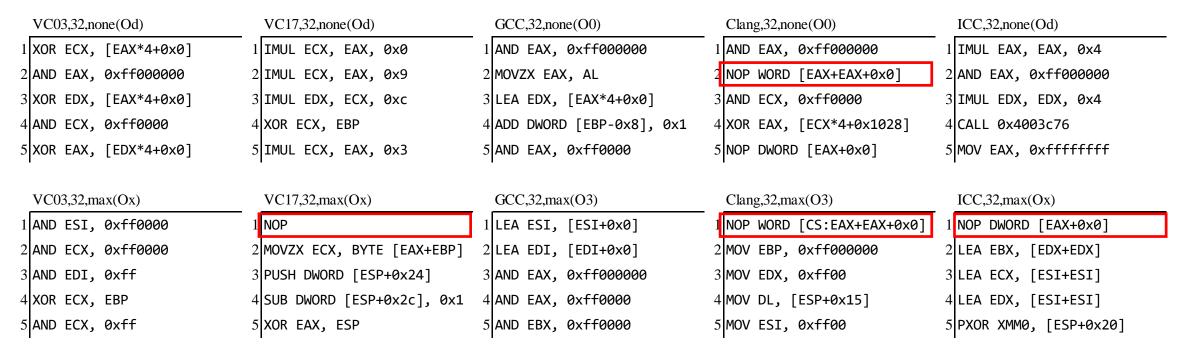


Fig. 5. Typical instructions for each compiler against aes.c

Case Study: Various Optimization Levels

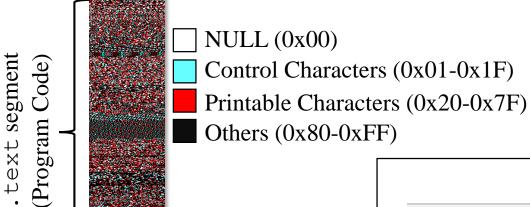
Function name	Segment	Start	Length
f sub_401010	.text	00401010	0000014C
f sub_401160	.text	00401160	0000023A
f sub_4013A0	.text	004013A0	00000147
f sub_4014F0	.text	004014F0	000000BF
f sub_4015B0	.text	004015B0	0000039D
ƒ _main	.text	00401950	00004129
f sub_405AA0	.text	00405AA0	00000046
f sub_405AF0	.text	00405AF0	00000085
f sub_405B80	.text	00405B80	0000042D
<u></u> f sub_405FB0	.text	00405FB0	000001E7
f sub_4061A0	.text	004061A0	00000015
f sub_4061C0	.text	004061C0	0000002D
	.text	004061EE	00000006
f InstrDasm	.text	004061F4	00000006
f InstrDecode	.text	004061FA	00000006
fcrtCorExitProcess	.text	00406200	0000002B
fcrtExitProcess	.text	0040622B	00000017
flockexit	.text	00406243	00000009
funlockexit	.text	0040624C	00000009
finit_pointers	.text	00406255	00000033
finitterm_e	.text	00406288	00000024
fcinit	.text	004062AC	00000097
f_doexit	.text	00406343	00000140
<u>f</u> _exit	.text	00406483	00000016
fexit	.text	00406499	00000016
fcexit	.text	004064AF	000000F
fc_exit	.text	004064BE	000000F
famsg_exit	.text	004064CD	0000001D
f _printf	.text	004064EB	000000A7

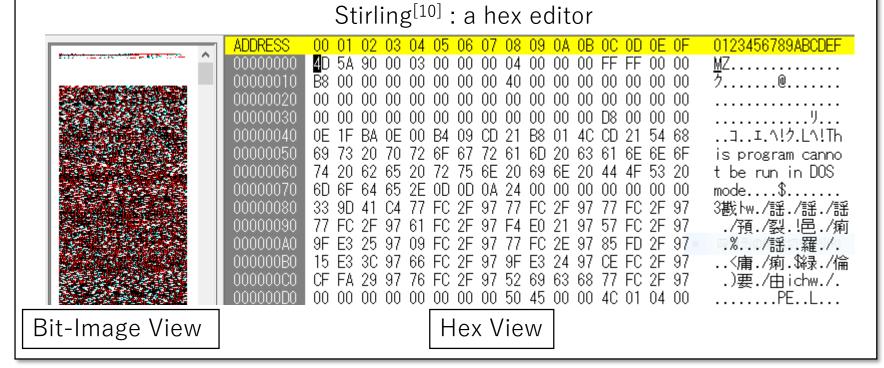
OfficeMalScanner.exe (OMS)

Original Code (no optimization)

Static Link Library (maximum optimization)

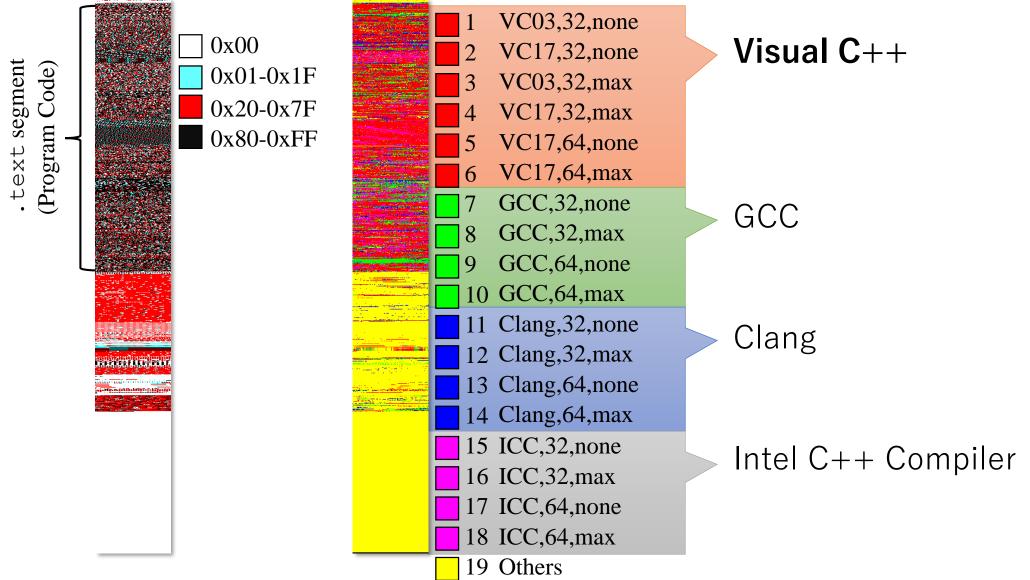
Bit-Image of OMS



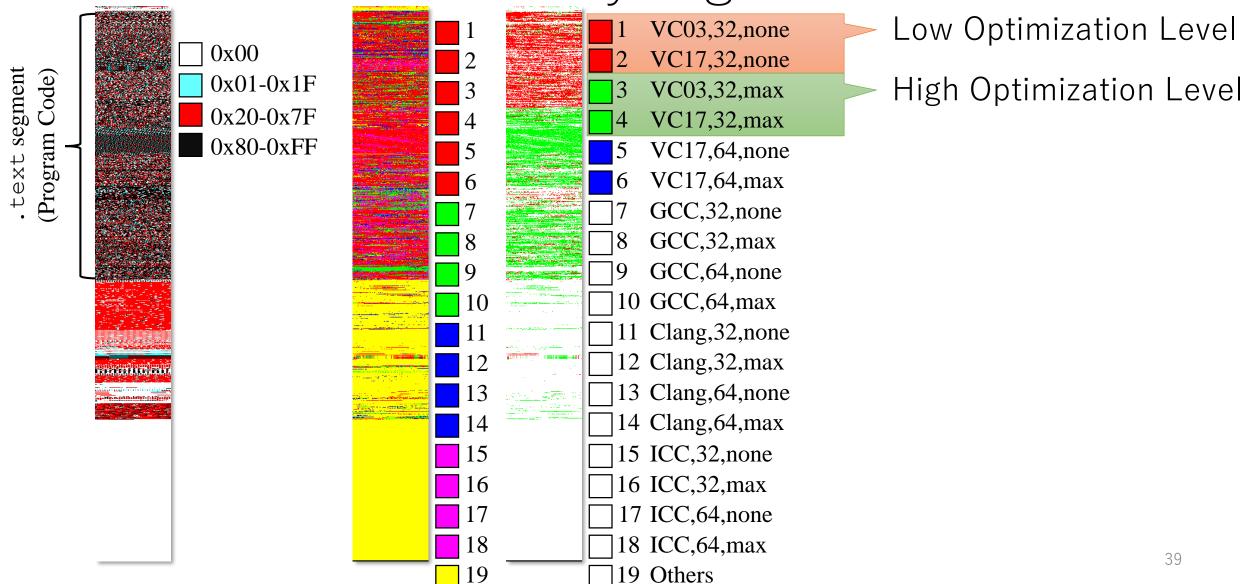


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Visualization of OMS by o-glassesX



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Case Study: Tracking Emdivi RATs in Dev. Env.

EMDIVI malware family is used in targeted email attacks against Japanese organizations. It allows machines to be remotely controlled by attackers for malicious commands and other activities.



The Version of Emdivi

```
sub_4282BD proc near
push offset aT17_08_26_kenp; "t17.08.26.KENP00202"
mov ecx, offset unk_437590
call sub_401F54
push offset sub_42905B
call _atexit
pop ecx
retn
sub_4282BD endp
```

- Almost attached malware is compiled just before used So, the sender and the developer may be in the same group
- Frequently Updated

t17 : For initial compromise

t19,t20: For expanding the intrusion (High stealth performance)

Emdivi dataset

Analysis Report made by Macnica Netwoks https://www.macnica.net/security/report_01.html/



163 MD5 Hashes of the Emdivi Family

Appendix Emdivi RAT ハッシュ値		
MD5	Version	Compile Time (UTC+8)
7fa87d1adc06bb19dde13689afe8f8ef	t9_4_sender	2012/05/28 10:02:45
2f210e5e55eb90880c12019e358c43fb	t9_5_system	2012/05/30 12:49:25
66680364d2f006db747dd640b044efe3	t9_5_system	2012/05/30 11:51:39
d953cadc4be2ab27219ef87a6a1aad87	t9_5_system	2012/05/30 14:47:22
3a68b60202787c4c779f8534ea186c75	t9_5_system	2012/05/30 12:49:25
b1f967dfe09603844a2354977356165f	t9_5_system	2012/05/30 14:47:22
4aa0d9c2b300d627c1f5abd048331597	t9_6	2012/06/01 22:07:15
094d87782555477fdc6325c56c28ff30	t9_6	2012/06/01 22:07:15
a219e2c31784bec4fc159400b229f4e0	t9_6	2012/06/01 18:27:44
dfb0ad1e22d60716512855602d47392d	t9_6	2012/06/01 19:24:13
e01e34660211bb8c7c746a6819f81c2b	t9_6	2012/06/01 19:24:13
d2f46428e1651ab6555d6f5ee87b04e9	t11.05	2012/09/13 12:58:32
1c462660b33130f5e9c2ad664eeedb40	t15.07	2013/04/22 13:17:43
102106d85bcec25b11e2baa1c7b9584c	t16 19	2013/07/25 16:21:30

Difference of Emdivi Rats in Dev. Env.

All Sample

Architecture : 32-bit (x86) Compiler family : Visual C++

Optimization level : max

Focusing on Compiler Version

Yellow: relatively new compiler
Blue: relatively old compiler

Type A: Yellow

Type B: Blue -> Yellow

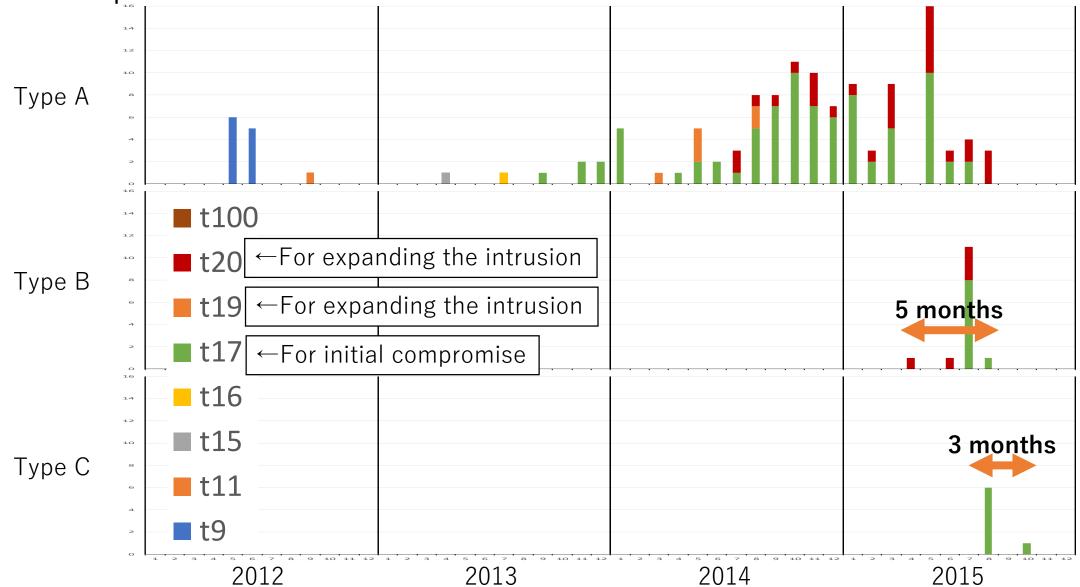
Type C: Yellow -> Blue -> Yellow



Type B

Type C

Compile-time and version of Emdivi RATs



Limitation

Obfuscated Code

Input machine code need to be already de-obfuscated.

Multi CPU Architectures

This method may be applied to many CPU architecture besides x86. Splitting binary by instruction is difficult in two more CPU architectures inputs at the same time. This limitation will be resolved, if new bin2vec method supporting multi CPU Arc. is released…

Conclusion

High Recognition Rate for Stripped Machine Code

16-instruction input: .956 accuracy 64-instruction input: .988 accuracy

Solution to Black Box Problem

o-glassesX can calculate how much input data contributes to output in units of instructions

Case Study: Emdivi

It has been revealed that there are three attackers in the same attack group.

o-glassesX and our dataset are available at

https://github.com/yotsubo/o-glassesX

