
Algorithm 1 Insert

```
1: next_cid := 0                                ▶ next chunk identifier
2: procedure INSERT(node, key, val)                ▶ node is a pointer to root
3:   return INSERT_AUX(node, key, val,  $\epsilon$ ) ▶ return ptr to new node
4: procedure INSERT_AUX(node, key, val, last_chunk)
5:   if node =  $\epsilon$  then                            ▶ if the tree is empty:
6:     chunk := NEW_CHUNK(next_cid)                ▶ first chunk
7:     node := INSERT_IN_CHUNK(chunk, key, val)
8:     node→chunk := chunk                        ▶ node becomes chunk root
9:     chunk→root := node                          ▶ ditto
10:    return node
11:   if node→chunk  $\neq \epsilon$  then                ▶ if node is the chunk root:
12:     c := node→chunk                            ▶ let c be this chunk
13:     if c→size =  $C_p$  then                        ▶ if c is a full chunk:
14:       SPLIT_CHUNK(node)                        ▶ split node (i.e., c's root)
15:   else
16:     c := last_chunk                            ▶ chunk root is a higher node
17:   if node→is_leaf then                          ▶ if node is a leaf:
18:     node_chunk := node→chunk                    ▶ keep its chunk, if any
19:     node→chunk :=  $\epsilon$                           ▶ node can't be chunk root
20:     if key < node→key then                      ▶ normal AVL left insert
21:       left := INSERT_IN_CHUNK(c, key, val)
22:       node := NEW_INNER(node→key, left, node, 1)
23:     else                                       ▶ normal AVL right insert
24:       right := INSERT_IN_CHUNK(c, key, val)
25:       node := NEW_INNER(key, node, right, 1)
26:     node→right→inner_node := node ▶ leaf points to its inner
27:     node→chunk := node_chunk                  ▶ inner gets kept chunk
28:     if node→chunk  $\neq \epsilon$  then
29:       c→root := node
30:   else                                       ▶ if node is an inner node:
31:     if key < node→key then                      ▶ go down left or...
32:       node→left := INSERT_AUX(node→left, key, val, c)
33:     else                                       ▶ ...go down right...
34:       node→right := INSERT_AUX(node→right, key, val, c)
35:   UPDATE_HEIGHT(node) ▶ new height from children heights
36:   return BALANCE(node) ▶ if needed, rotate to keep balance

37: procedure UPDATE_HEIGHT(node)
38:   node→height := ▶ height gets max children height plus one
39:     max(node→left→height, node→right→height) + 1

40: procedure BALANCE(node)
41:   h := node→left→height - node→right→height
42:   if h < -1 then ▶ if right branch is bigger than left branch:
43:     return ROTATE_RL(node) ▶ rotate [right] left
44:   if h > 1 then ▶ if left branch is bigger than right branch:
45:     return ROTATE_LR(node) ▶ rotate [left] right
46:   return node
```

Algorithm 2 Auxiliary procedures

```
1: procedure NEW_INNER(key, ln, rn, ht)            ▶ create inner node
2:   new_inode := allocate new inner node
3:   new_inode→key := key
4:   new_inode→left := ln
5:   new_inode→right := rn
6:   new_inode→height := ht
7:   return new_inode

8: procedure NEW_CHUNK(cid)                        ▶ create chunk
9:   new_c := allocate new chunk
10:  new_c→cid := cid
11:  new_c→size := 0
12:  new_c→root :=  $\epsilon$ 
13:  return new_c

14: procedure INSERT_IN_CHUNK(c, key, val)          ▶ insert key, val
15:  c→leaf[c→size].key := key
16:  c→leaf[c→size].value := val
17:  node_addr := pointer to c→leaf[c→size]
18:  c→size := c→size + 1
19:  return node_addr

20: procedure DELETE_FROM_CHUNK(c, key)
21:   for node in c→leaf do
22:     if node.key = key then                    ▶ found leaf
23:       SWAP(node, c→leaf[c→size])             ▶ swap with the last
24:       deallocate c→leaf[c→size]                ▶ free last leaf
25:       c→size := c→size - 1                    ▶ decrement number of leaves
26:     if c→size = 0 then                        ▶ chunk is empty
27:       next_cid := next_cid - 1                ▶ decrement number of chunks
28:       last_chunk := GET_CHUNK(next_cid)        ▶ get chunk with
highest id
29:       last_chunk→cid := c→cid                  ▶ replace chunk's id
30:       deallocate c                             ▶ free empty chunk

31: procedure SPLIT_CHUNK(node) ▶ split chunk rooted at node
32:  new_c := NEW_CHUNK(node→chunk→cid)
33:  DFS(node→left, node, new_c)
34:  node→left→chunk := new_c
35:  new_c→root := node→left                    ▶ assign left chunk
36:  next_cid := next_cid + 1
37:  new_c := NEW_CHUNK(next_cid)
38:  DFS(node→right, node, new_c)
39:  new_c→root := node→right                    ▶ assign right chunk
40:  node→right→chunk := new_c
41:  deallocate node→chunk
42:  node→chunk :=  $\epsilon$                             ▶ x is no longer chunk root
43:  return

44: procedure DFS(ptr, pnt, c)
45:   if ptr→is_leaf then
46:     c→leaf[c→size].key := ptr→key
47:     c→leaf[c→size].value := ptr→value
48:     c→leaf[c→size].i_node := ptr→i_node
49:     if ptr→key < pnt→key then                ▶ assign parent
50:       pnt→left := pointer to chunk→leaf[c→size]
51:     else
52:       pnt→right := pointer to chunk→leaf[c→size]
53:     c→size := c→size + 1                    ▶ one more leaf in chunk
54:   else
55:     DFS(ptr→left, ptr, c)
56:     DFS(ptr→right, ptr, c)
57:   return
```

Algorithm 3 Delete

```

1: procedure DELETE(node, key)
2:   if node.key = key and node.is_leaf then    ▷ only one node
3:     DELETE_FROM_CHUNK(node.chunk, key)
4:   return  $\epsilon$ 
5:   return DELETE_AUX( $\epsilon$ , node, key,  $\epsilon$ ) ▷ return ptr to new node

6: procedure DELETE_AUX(node, key, last_chunk)
7:   if node→chunk  $\neq \epsilon$  then    ▷ if node is the chunk root:
8:     c := node→chunk          ▷ let c be this chunk
9:   else
10:    c := last_chunk           ▷ chunk root is a higher node
11:   if node→left→key = key and node→left→is_leaf then
12:     if c =  $\epsilon$  then           ▷ chunk is necessarily on the left
13:       c := node→left→chunk
14:     DELETE_FROM_CHUNK(c, key)
15:     promoted := node→right
16:     if node→chunk  $\neq \epsilon$  then
17:       promoted→chunk := node→chunk ▷ node is a chunk
18:   root
19:   deallocate node           ▷ no need for inner-node
20:   return promoted
21:   if node→key = key and node→right→is_leaf then
22:     if c =  $\epsilon$  then           ▷ chunk is necessarily on the right
23:       c := node→right→chunk
24:     DELETE_FROM_CHUNK(c, key)
25:     promoted := node→left
26:     if node→chunk  $\neq \epsilon$  then
27:       promoted→chunk := node→chunk ▷ node is a chunk
28:   root
29:   deallocate node           ▷ no need for inner-node
30:   return promoted
31:   if node→key = key and node→right→left→is_leaf then
32:     if c =  $\epsilon$  then           ▷ chunk is lower
33:       if node→right→chunk  $\neq \epsilon$  then ▷ chunk on right
34:         c := node→right→chunk
35:         node→rightNode→rightNode→chunk = c
36:         node→chunk =  $\epsilon$ 
37:       else
38:         c := node→right→left→chunk ▷ chunk on the left
39:       DELETE_FROM_CHUNK(c, key)
40:       aux := node→right
41:       node→key := aux→key
42:       node→right := aux→right
43:       deallocate aux
44:       return node
45:   if node→key = key then
46:     n, p := DELETE_LEAF(node→right, c)
47:     node→key = n→key
48:     node→right := p
49:     deallocate n
50:   else
51:     if key < node→key then
52:       node→left := DELETE_AUX(node→left, key, c)
53:     else
54:       node→right := DELETE_AUX(node→right, key, c)
55:   UPDATE_HEIGHT(node) ▷ new height from children heights
56:   return BALANCE(node) ▷ if needed, rotate to keep balance

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```

55: procedure DELETE_LEAF(node, c)
56:   if node→chunk  $\neq \epsilon$  then    ▷ if node is the chunk root:
57:     c := node→chunk          ▷ let c be this chunk
58:   else
59:     c := last_chunk          ▷ chunk root is a higher node
60:   if node→left→is_leaf then    ▷ found leaf
61:     if c =  $\epsilon$  then
62:       c := node→left→chunk
63:     DELETE_FROM_CHUNK(c, node→left→key)
64:     if node→chunk  $\neq \epsilon$  then
65:       node→right→chunk := node→chunk
66:     return node, node→right
67:   else
68:     n, new_root := DELETE_LEAF(node→left, c)
69:     node→left := new_root
70:     UPDATE_HEIGHT(node) ▷ new height from children heights
71:     return n, BALANCE(node) ▷ if needed, rotate to keep
        balance

```

Algorithm 4 Rotations

```

1: procedure ROTATE_RL(node) ▷ normal AVL [right] left rotation
2:   rl_height := node→right→left→height
3:   rr_height := node→right→right→height
4:   if rl_height > rr_height then
5:     node→right := ROTATE_R(node→right)
6:     UPDATE_HEIGHT(node)
7:   return ROTATE_L(node)

8: procedure ROTATE_LR(node) ▷ normal AVL [left] right rotation
9:   ll_height := node→left→left→height
10:  lr_height := node→left→right→height
11:  if ll_height < lr_height then
12:    node→left := ROTATE_L(node→left)
13:    UPDATE_HEIGHT(node)
14:  return ROTATE_R(node)

15: procedure ROTATE_L(node) ▷ node is the pivot
16:   if node→chunk  $\neq \epsilon$  then ▷ if subtree rooted at node in a chunk:
17:     node→chunk→root := node→right
18:     node→right→chunk := node→chunk ▷ node's right child...
19:     node→chunk :=  $\epsilon$            ▷ ...becomes new chunk root
20:   else ▷ else, rotation may involve two chunks
21:     if node→right→chunk  $\neq \epsilon$  then ▷ if r child is chunk root:
22:       SPLIT_CHUNK(node→right)
23:     new_pivot := node→right ▷ rotation in three steps: one, ...
24:     node→right := new_pivot→left ▷ ...two, and...
25:     new_pivot→left := node ▷ ...three!
26:     UPDATE_HEIGHT(node) ▷ update moved node height
27:     UPDATE_HEIGHT(new_pivot) ▷ update moved node height
28:   return new_pivot

29: procedure ROTATE_R(node) ▷ node is the pivot
30:   if node→chunk  $\neq \epsilon$  then ▷ if subtree rooted at node in a chunk:
31:     node→left→chunk := node→chunk ▷ node's left child...
32:     node→chunk :=  $\epsilon$            ▷ ...becomes new chunk root
33:   else ▷ else, rotation may involve two chunks
34:     if node→left→chunk  $\neq \epsilon$  then ▷ if l child is chunk root:
35:       SPLIT_CHUNK(node→left)
36:     new_pivot := node→left ▷ rotation in three steps: one, ...
37:     node→left := new_pivot→right ▷ ...two, and...
38:     new_pivot→right := node ▷ ...three!
39:     UPDATE_HEIGHT(node) ▷ update moved node height
40:     UPDATE_HEIGHT(new_pivot) ▷ update moved node height
41:   return new_pivot

```

Algorithm 5 Reconstruction

```

1: hash: trusted Merkle-root hash, stored in the block header
2: n_chunks: number of chunks of tree, stored in the block header
3: c_roots: set with all chunk roots, initially empty
4: t_parts: set with all tree parts, initially empty

5: procedure RECEIVE(chunk, cproof)
6:   c_root, s_parts :=  $\triangleright$  build subtree rooted at chunk root and...
7:   BUILD_SUBTREE(chunk)  $\triangleright$  ...all tree parts
8:   if VALID(hash, c_root, cproof) then  $\triangleright$  is chunk valid?
9:     c_roots := c_roots  $\cup$  {c_root}  $\triangleright$  keep all chunk roots
10:    t_parts := t_parts  $\cup$  s_parts  $\triangleright$  and all tree parts
11:    if |c_roots| = n_chunks then  $\triangleright$  received all chunks?
12:      SORT(t_parts)  $\triangleright$  sort parts by height in desc order
13:      t_root := t_parts[0]  $\triangleright$  tree root is the deepest node
14:      for i in 1..|t_parts| do  $\triangleright$  include all parts
15:        INSERT_NODE(t_root, t_parts[i])
16:      COMPUTE_HASH(t_root)  $\triangleright$  compute all tree hashes
17:      return t_root
18:    return  $\epsilon$ 

19: procedure BUILD_SUBTREE(chunk)
20:   c_root := chunk  $\rightarrow$  root  $\triangleright$  chunk root in chunk
21:   if c_root  $\rightarrow$  height = 0 then  $\triangleright$  if root has no inner node...
22:     return c_root, {c_root}  $\triangleright$  no subtree to build
23:   c_root :=  $\triangleright$  create inner node for chunk root
24:     NEW_INNER(c_root  $\rightarrow$  key,  $\epsilon$ ,  $\epsilon$ , root  $\rightarrow$  height)
25:   parts := {c_root}  $\triangleright$  all tree parts, initially only chunk root
26:   L := chunk  $\rightarrow$  leaves  $\triangleright$  prepare to sort leaves:
27:   SORT(L)  $\triangleright$  sort by height in descending order
28:   for i in 0..(|L|-1) do  $\triangleright$  first pass: create inner nodes
29:     if L[i]  $\rightarrow$  height > c_root  $\rightarrow$  height then  $\triangleright$  above root?
30:       parts := parts  $\cup$   $\triangleright$  to be used after all chunks received
31:       {NEW_INNER(L[i]  $\rightarrow$  key,  $\epsilon$ ,  $\epsilon$ , l  $\rightarrow$  height)}
32:     if 0 < L[i]  $\rightarrow$  height < c_root  $\rightarrow$  height then  $\triangleright$  below root?
33:       inner_node :=  $\triangleright$  create inner node
34:       NEW_INNER(L[i]  $\rightarrow$  key,  $\epsilon$ ,  $\epsilon$ , L[i]  $\rightarrow$  height)
35:       INSERT_NODE(c_root, inner_node)  $\triangleright$  insert it in subtree
36:   for i in 0..(|L|-1) do  $\triangleright$  second pass: insert leaf nodes
37:     INSERT_NODE(c_root, L[i])  $\triangleright$  insert leaf in subtree
38:     chunk  $\rightarrow$  leaf[i].height := 0  $\triangleright$  adjust leaf height
39:   return c_root, parts

40: procedure INSERT_NODE(x, r)  $\triangleright$  insert node r in (sub)tree x
41:   if x =  $\epsilon$  then
42:     return r  $\triangleright$  insert here, end recursion
43:   if r  $\rightarrow$  key < x  $\rightarrow$  key then  $\triangleright$  search down left
44:     x  $\rightarrow$  left := INSERT_NODE(x  $\rightarrow$  left, r)
45:   else  $\triangleright$  search down right
46:     x  $\rightarrow$  right := INSERT_NODE(x  $\rightarrow$  right, r)

```
