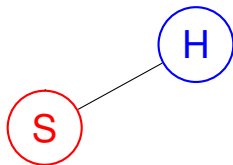


CS 170: Algorithms

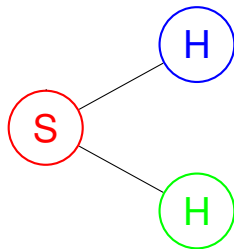
CS 170: Algorithms



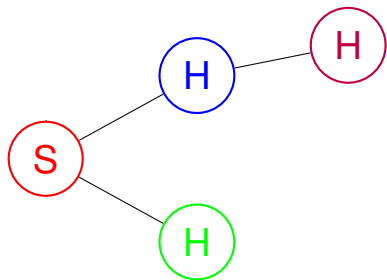
CS 170: Algorithms



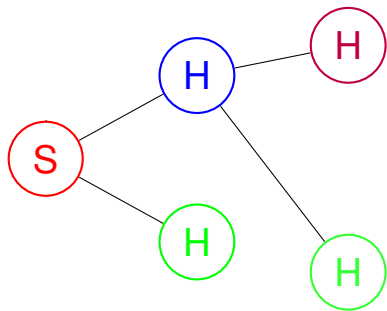
CS 170: Algorithms



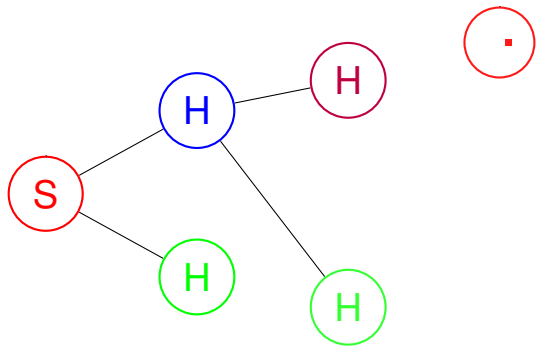
CS 170: Algorithms



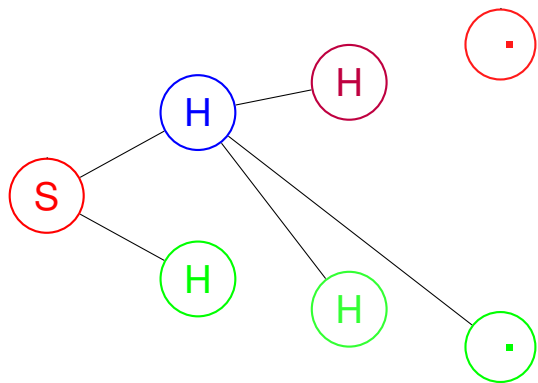
CS 170: Algorithms



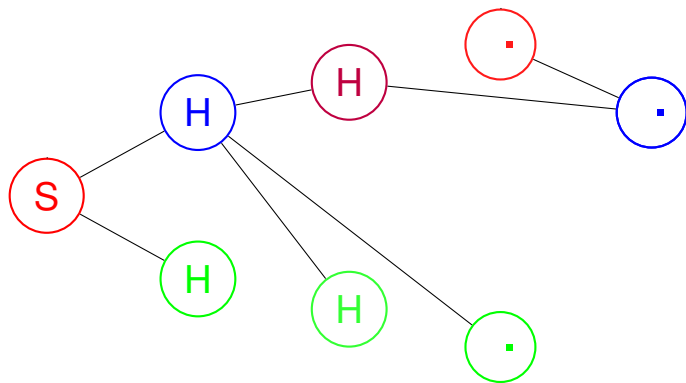
CS 170: Algorithms



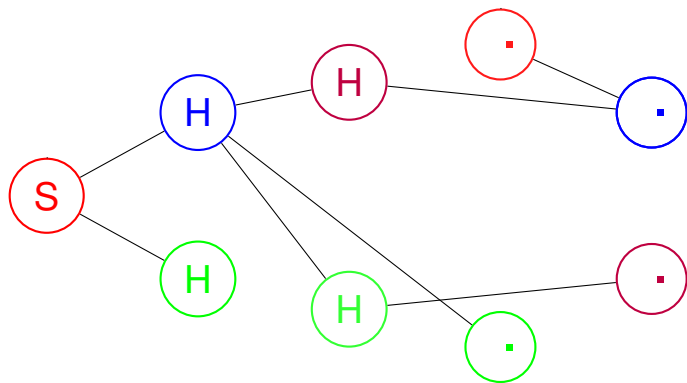
CS 170: Algorithms



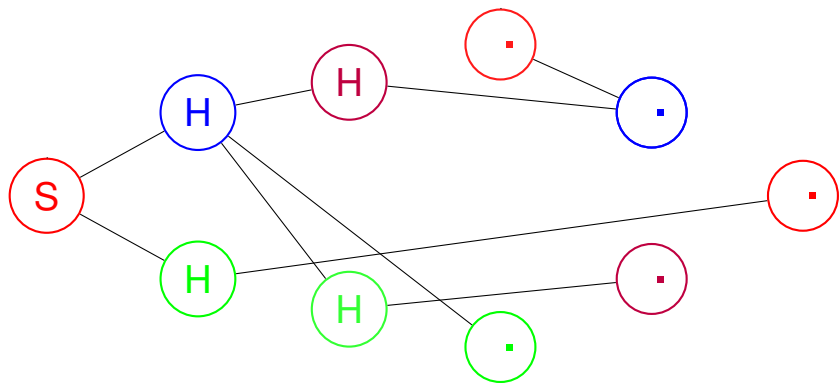
CS 170: Algorithms



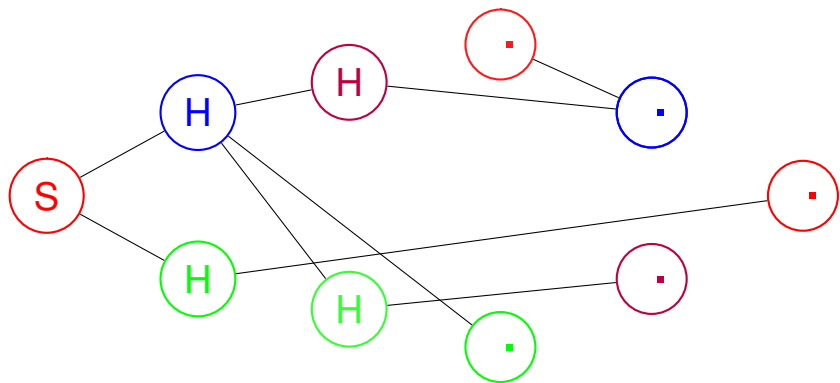
CS 170: Algorithms



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CS 170: Algorithms



Minimum spanning tree.

Lecture in a minute.

Tree Definitions:

$n - 1$ edges and connected.

$n - 1$ edges and no cycles.

All pairs of vertices connected by unique path.

Lecture in a minute.

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Minimum Spanning Tree: $G = (V, E)$, weights $w : E$

Kruskal: Sort edges.

- Add edges in this order if no cycle.

Cut property:

- Exists MST with minimum weight edge across cut.

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- Pointer implementation: $\pi(u)$.

- $\text{makeset}(s) - \pi(u) = u$.

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- $> 2^k$ nodes in rank k root tree.

- $O(\log n)$ depth structure.

Trees.

Def: A tree is a connected graph with no cycles.

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Start with empty graph with n components.

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B C

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Adding any edge **between** components

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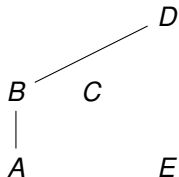
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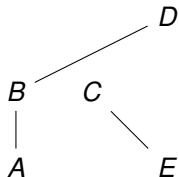
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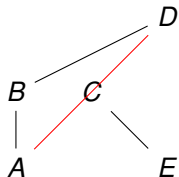
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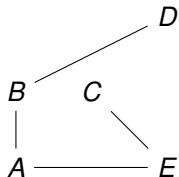
After $n - 1$ additions

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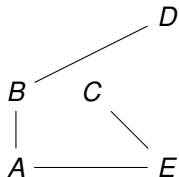
After $n - 1$ additions one component!

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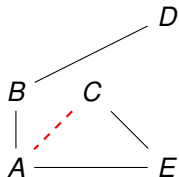
(If more additions,

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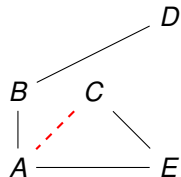
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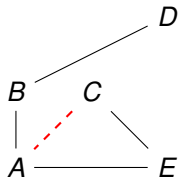
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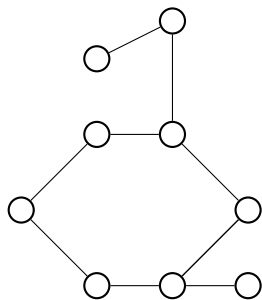
If not, there is $n - 1$ edge connected graph with a cycle.

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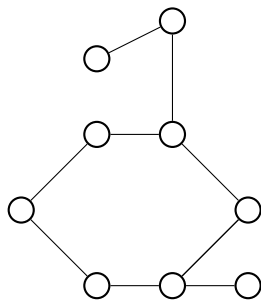


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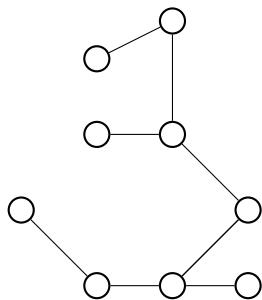
Remove edge on cycle, still connected.

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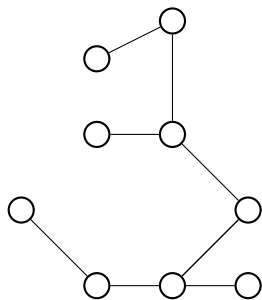
Remove edge on cycle, still connected. And $n-2$ edges.

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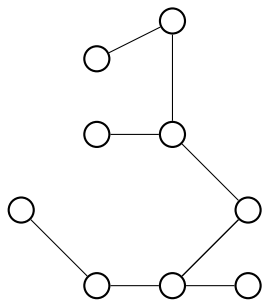
Remove edge on cycle, still connected. And $n-2$ edges.
Must have at least $n - 1$ edges to be connected.

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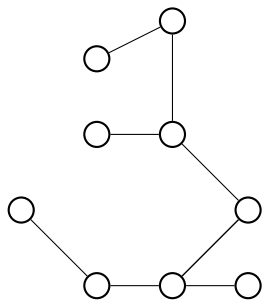
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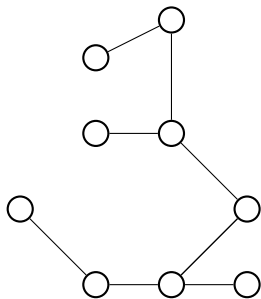
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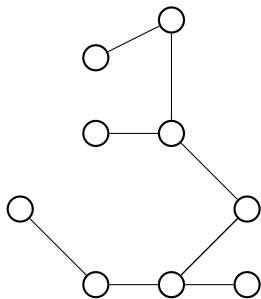


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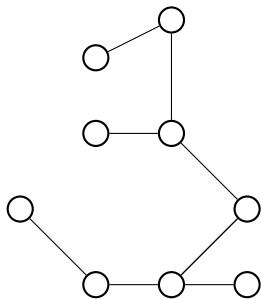


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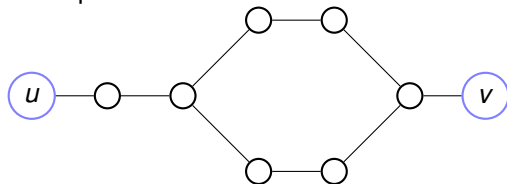
If two paths:

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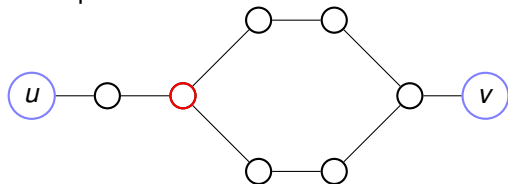


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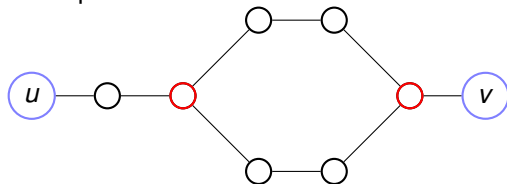
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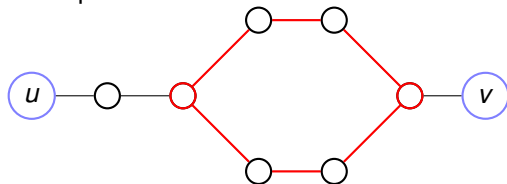
Come back together.

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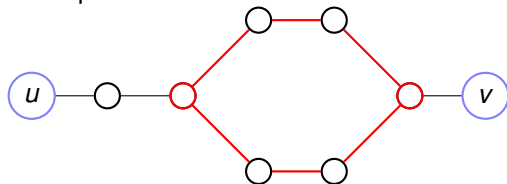
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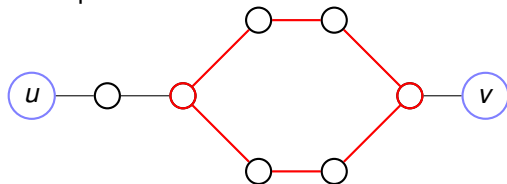
\implies cycle! Not Tree!

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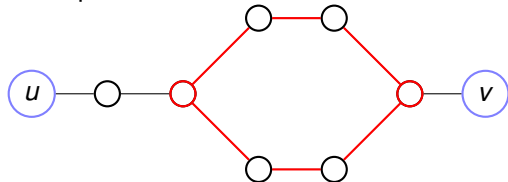
If yes, connected and no cycle.

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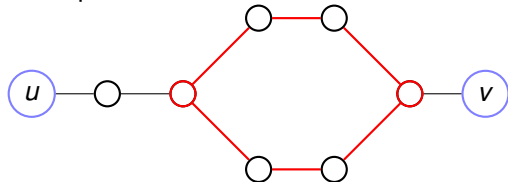
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Given a graph, $G = (V, E)$, edge weights w_e , find the cheapest possible connected subgraph.

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Will it be a tree?

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If negative edges, then restrict to tree.

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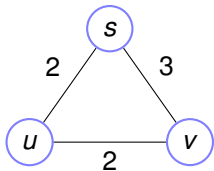
Yes? No?

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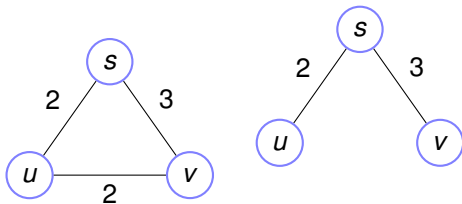
If negative edges, then restrict to tree.

Given a graph, $G = (V, E)$, edge weights w_e , find the lowest weight spanning tree.

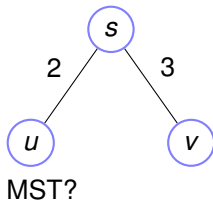
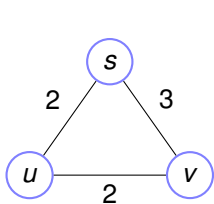
To MST or not!



To MST or not!

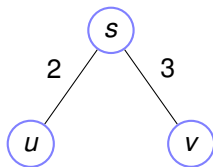
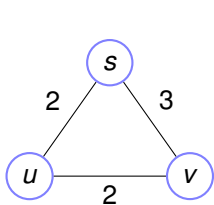


To MST or not!



MST?

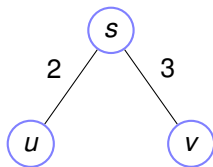
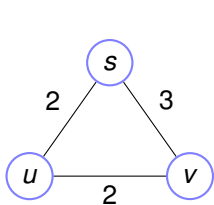
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MST?

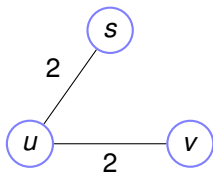
Shortest Path Tree from s !

To MST or not!



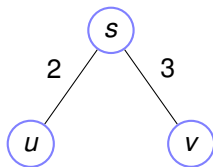
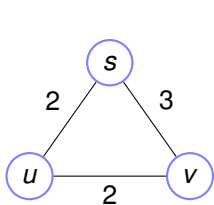
MST?

Shortest Path Tree from s !



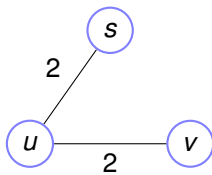
MST?

To MST or not!



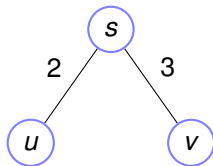
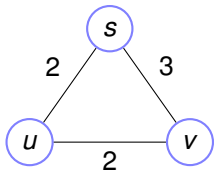
MST?

Shortest Path Tree from s !



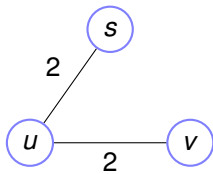
MST? Yes!

To MST or not!



MST?

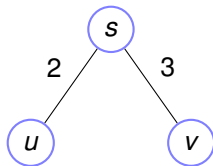
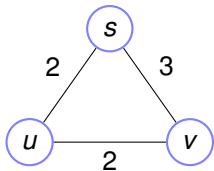
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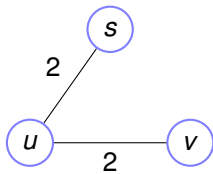
Shortest path from s to v in tree?

To MST or not!



MST?

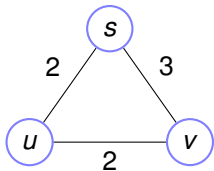
Shortest Path Tree from s !



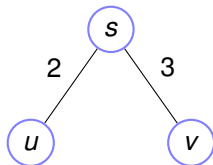
MST? Yes!

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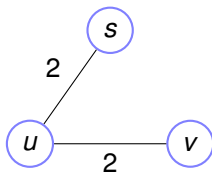


MST



MST?

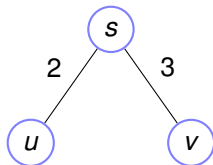
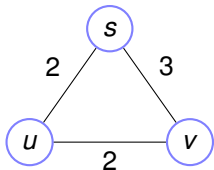
Shortest Path Tree from s!



MST? Yes!

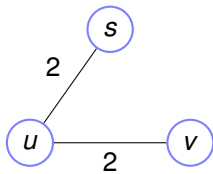
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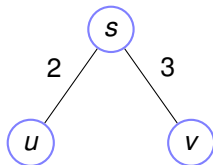
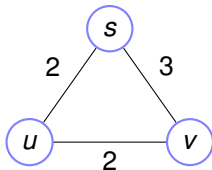


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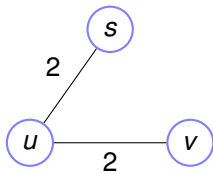
MST - cheapest spanning tree of graph.

To MST or not!



MST?

Shortest Path Tree from s!



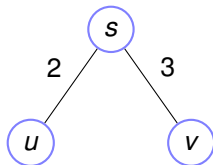
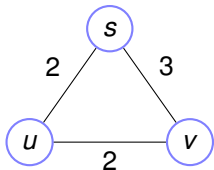
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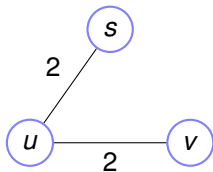
Shortest path tree

To MST or not!



MST?

Shortest Path Tree from s !



MST? Yes!

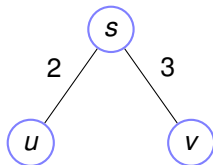
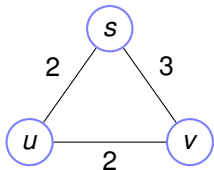
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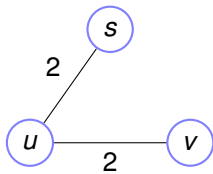
- contains shortest paths from s to other nodes.

To MST or not!



MST?

Shortest Path Tree from s!



MST? Yes!

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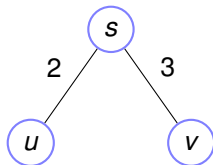
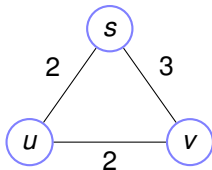
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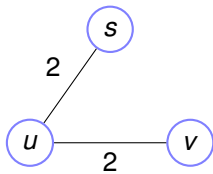
MST -

To MST or not!



MST?

Shortest Path Tree from s!



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MST - cheapest spanning tree of graph.

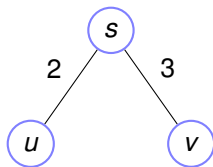
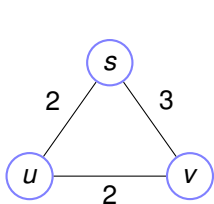
Shortest path tree

- contains shortest paths from s to other nodes.

MST -

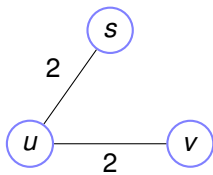
- do not care about shortest paths!

To MST or not!



MST?

Shortest Path Tree from s!



MST? Yes!

Shortest path from s to v in tree? No!

MST - cheapest spanning tree of graph.

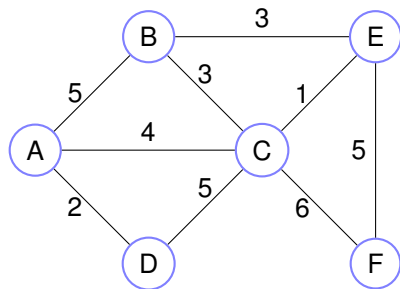
Shortest path tree

- contains shortest paths from s to other nodes.

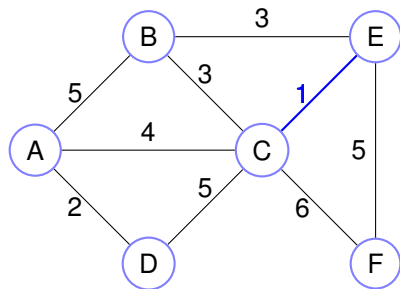
MST -

- do not care about shortest paths!
- just lowest weight tree.

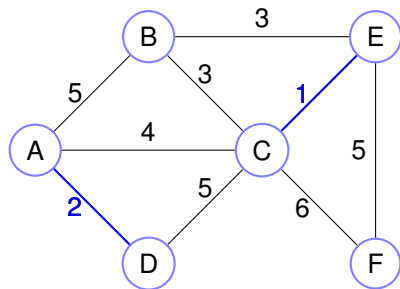
Example and Algorithm



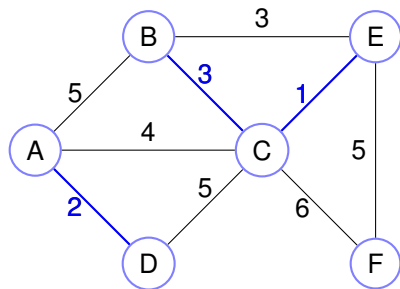
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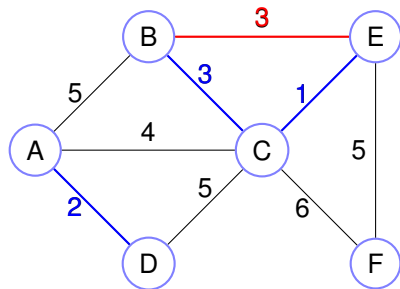
Example and Algorithm



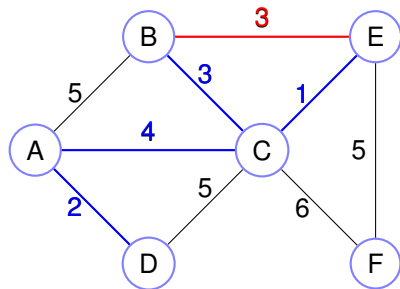
Example and Algorithm



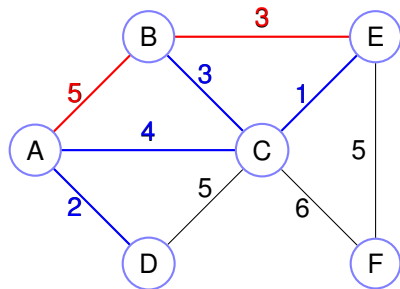
Example and Algorithm



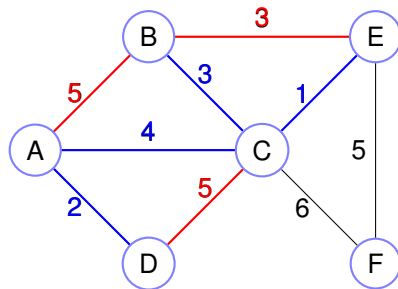
Example and Algorithm



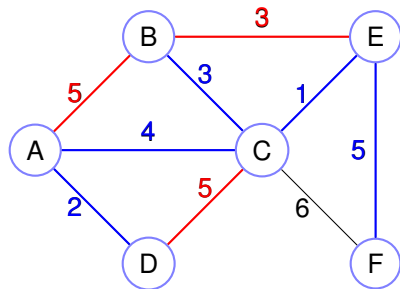
Example and Algorithm



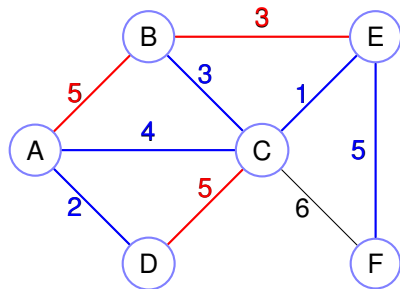
Example and Algorithm



Example and Algorithm



Example and Algorithm



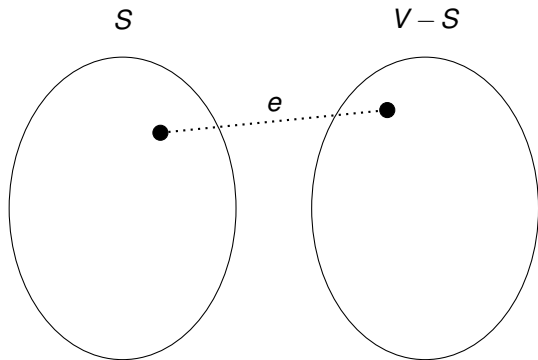
MST: total cost is $2+4+3+1+5=15$.

Cut property.

Smallest edge across any cut is in some MST.

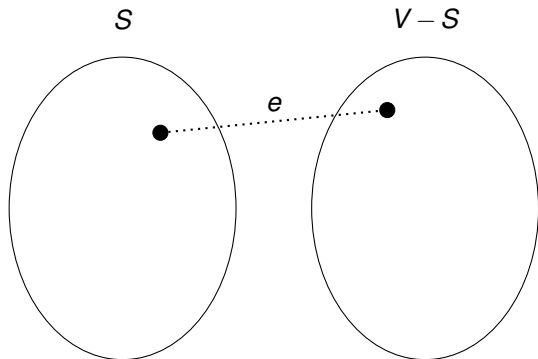
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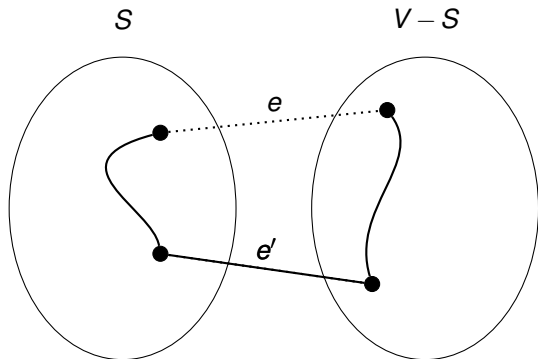
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Tree Connected \Rightarrow

Cut property.

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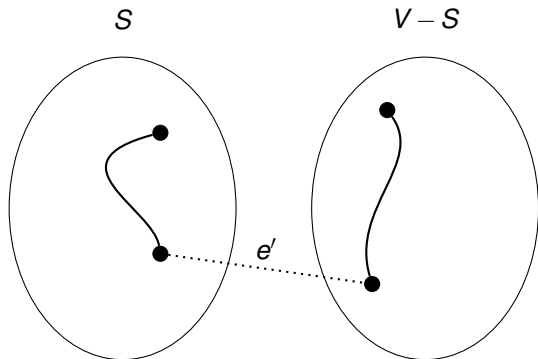


Tree Connected \Rightarrow

there exists e' across cut! Replace e' with e .

Cut property.

Smallest edge across any cut is in some MST.

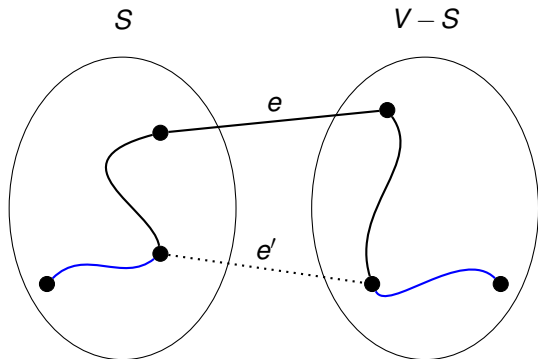


Tree Connected \implies

there exists e' across cut! Replace e' with e .
Every pair remains connected.

Cut property.

Smallest edge across any cut is in some MST.



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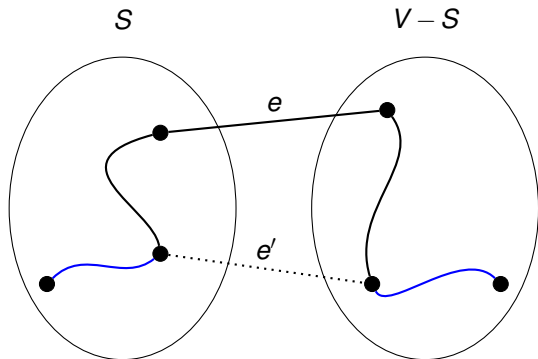
there exists e' across cut! Replace e' with e .

Every pair remains connected.

If used e' can use path through e .

Cut property.

Smallest edge across any cut is in some MST.



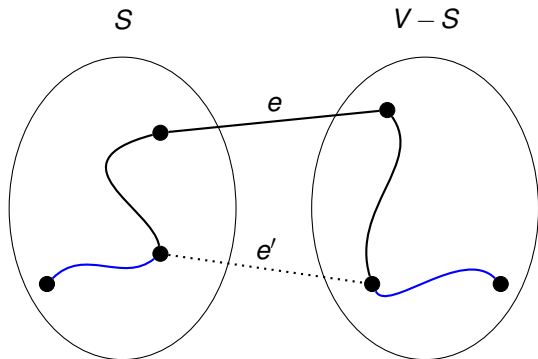
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and $n - 1$ edges.

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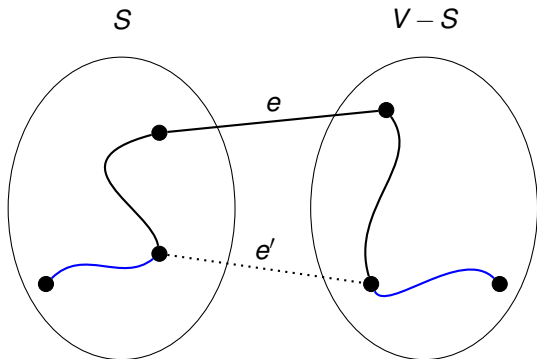
there exists e' across cut! Replace e' with e .
Every pair remains connected.

If used e' can use path through e .
and $n - 1$ edges.

So still a tree

Cut property.

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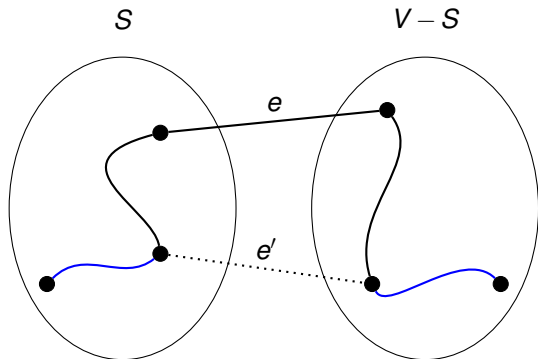
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So still a tree and is no more costly ($w(e) \leq w(e')$.)

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Kruskal

Sort edges.

$F = \emptyset$. For each edge: e

 If no cycle, $F = F + e$.

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Check for path between u and v in F .

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Total Running time?

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$O(n^2)$ time

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Total Running time?

$O(n)$ time $\rightarrow O(nm)$ for Kruskals.

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Maintain connected components.

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Disjoint Sets Data Structure.

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“If no cycle”

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Maintain pointers: $\pi(x)$ for each x .

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Disjoint Set Data Structure

Maintain pointers: $\pi(x)$ for each x .

makeset(x) $\pi(x) = x$.

union(x,y)

$\pi(\text{find}(x)) = \text{find}(y)$



Disjoint Set Data Structure

Maintain pointers: $\pi(x)$ for each x .

makeset(x) $\pi(x) = x$.

union(x,y)

$\pi(\text{find}(x)) = \text{find}(y)$

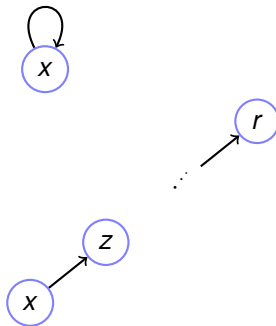
find(x)

if $\pi(x) == x$

return x

else

$\text{find}(\pi(x))$



Disjoint Set Data Structure

Maintain pointers: $\pi(x)$ for each x .

makeset(x) $\pi(x) = x$.

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$\pi(\text{find}(x)) = \text{find}(y)$

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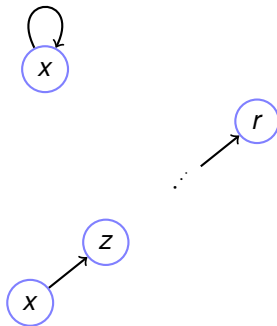
else

find($\pi(x)$)

How long does find take?

(A) $O(n)$

(B) $O(1)$



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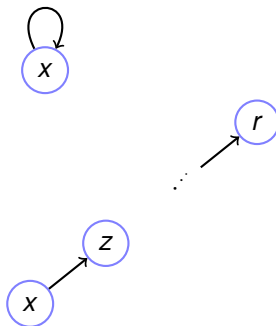
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How long does find take?

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(C) Depends.



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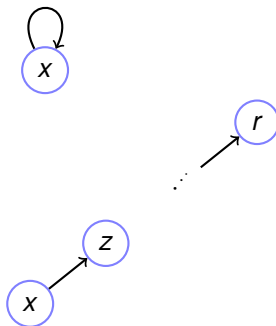
How long does find take?

(A) $O(n)$

(B) $O(1)$

(C) Depends.

Want depth to be small!



Disjoint Set Data Structure

Maintain pointers: $\pi(x)$ for each x .

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makeset(x)

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Make a bit less deep: union-by-rank.

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Use roots of x and y .

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Use roots of x and y .

Which points to which?

“smaller” to “larger”

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Make a bit less deep: union-by-rank.

union(x,y)

Use roots of x and y .

Which points to which?

“smaller” to “larger” ..sort of.

Union by rank.

Initially: $\text{rank}(x) = 0$.

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union(x,y)

$r_x = \text{find}(x)$

$r_y = \text{find}(y)$

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if $\text{rank}(r_x) < \text{rank}(r_y)$:

$\pi(r_x) = r_y$

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if $\text{rank}(r_x) == \text{rank}(r_y)$:

$\text{rank}(r_x) += 1$

Why rank?

Lemma: Pop's got a higher rank:

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Duh!

Code enforces it.

`union(x,y):`

`:`

`if rank(r_x) < rank(r_y):`

`$\pi(r_x) = r_y$`

`else:`

`$\pi(r_y) = r_x$`

`if rank(r_x) == rank(r_y):`

`rank(r_x) += 1`

Why rank?

Lemma: Pop's got a higher rank:

$$\text{rank}(x) < \text{rank}(\pi(x))$$

if $x \neq \pi(x)$.

Duh!

Code enforces it.

union(x,y):

⋮

if $\text{rank}(r_x) < \text{rank}(r_y)$:

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if $\text{rank}(r_x) == \text{rank}(r_y)$:

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Initially?

Big rank is a big dog!

Big rank is a big dog!

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:

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Lemma: Any rank k root node has $\geq 2^k$ nodes in its tree.

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Base Case

Big rank is a big dog!

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Induction:

Base Case ?

(A) $2^0 \geq 1$

(B) $2^1 \geq 1$

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Induction:

Base Case ?

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A. Initially $rank(x) = 0$, 1 node in tree.

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Induction step:

When $\text{rank}(x)$ goes up to k .

Big rank is a big dog!

union(x,y):

⋮

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Induction step:

When $\text{rank}(x)$ goes up to k .

$\text{rank}(x)$ was $k - 1$

Big rank is a big dog!

union(x,y):

:

if $\text{rank}(r_x) < \text{rank}(r_y)$:

$\pi(r_x) = r_y$

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if $\text{rank}(r_x) == \text{rank}(r_y)$:

$\text{rank}(r_x) + = 1$

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Induction step:

When $\text{rank}(x)$ goes up to k .

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A. Initially $rank(x) = 0$, 1 node in tree.

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When rank(x) goes up to k .

rank(x) was $k - 1$ so has $\geq 2^{k-1}$ nodes. by ind. hyp.

gains nodes from rank $k - 1$ node

Big rank is a big dog!

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(A) $2^0 \geq 1$

(B) $2^1 \geq 1$

A. Initially $rank(x) = 0$, 1 node in tree.

Induction step:

When rank(x) goes up to k .

rank(x) was $k - 1$ so has $\geq 2^{k-1}$ nodes. by ind. hyp.

gains nodes from rank $k - 1$ node with $\geq 2^{k-1}$ nodes

Big rank is a big dog!

union(x,y):

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if $\text{rank}(r_x) < \text{rank}(r_y)$:

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else:

$\pi(r_y) = r_x$

if $\text{rank}(r_x) == \text{rank}(r_y)$:

$\text{rank}(r_x) + 1$

Lemma: Any rank k root node has $\geq 2^k$ nodes in its tree.

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(A) $2^0 \geq 1$

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Induction step:

When $\text{rank}(x)$ goes up to k .

$\text{rank}(x)$ was $k-1$ so has $\geq 2^{k-1}$ nodes. by ind. hyp.

gains nodes from rank $k-1$ node with $\geq 2^{k-1}$ nodes

$$\implies \geq 2^{k-1} + 2^{k-1} = 2^k \text{ nodes.}$$

Big rank is a big dog!

union(x,y):

⋮

if rank(r_x) < rank(r_y):

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When rank(x) goes up to k .

rank(x) was $k-1$ so has $\geq 2^{k-1}$ nodes. by ind. hyp.

gains nodes from rank $k-1$ node with $\geq 2^{k-1}$ nodes

$\implies \geq 2^{k-1} + 2^{k-1} = 2^k$ nodes.



Check your understanding?

Exactly 2^k nodes in tree of rank k ?

Check your understanding?

Exactly 2^k nodes in tree of rank k ? Yes?

Check your understanding?

Exactly 2^k nodes in tree of rank k ? Yes? No?

Check your understanding?

Exactly 2^k nodes in tree of rank k ? Yes? No?

No.

Check your understanding?

Exactly 2^k nodes in tree of rank k ? Yes? No?

No.

⋮

if $\text{rank}(r_x) < \text{rank}(r_y)$:
 $\pi(r_x) = r_y$

⋮

Check your understanding?

Exactly 2^k nodes in tree of rank k ? Yes? No?

No.

⋮

if $\text{rank}(r_x) < \text{rank}(r_y)$:
 $\pi(r_x) = r_y$

⋮

Gains nodes without gaining rank!

Back to complexity.

Find(x) is

- (A) $O(\log n)$ time.
- (B) $O(1)$ time
- (C) $O(n)$ time.

Back to complexity.

Find(x) is

(A) $O(\log n)$ time.

(B) $O(1)$ time

(C) $O(n)$ time.

A.

Back to complexity.

Find(x) is

- (A) $O(\log n)$ time.
 - (B) $O(1)$ time
 - (C) $O(n)$ time.
- A. (and (C)).

Back to complexity.

Find(x) is

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Rank k root node has $\geq 2^k$ nodes.

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Rank k root node has $\geq 2^k$ nodes.

Only n nodes in any set.

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Every rank at most $\log n$,

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Every rank at most $\log n$, (otherwise, $> 2^{\log n} = n$ nodes.)

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Parent has higher rank.

Back to complexity.

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Only k steps in find.

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Yay!

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Yay!

Can we do better?

Back to complexity.

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Only k steps in find.

$O(k) = O(\log n)$ time.

Yay!

Can we do better? Yes. We will see better.

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Parent has higher rank. Code enforces it.

Only k steps in find.

$O(k) = O(\log n)$ time.

Yay!

Can we do better? Yes. We will see better.

Kruskal Implementation.

$|V|$ unions. $|E|$ finds.

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$|V|$ unions. $|E|$ finds.

$O(|E| \log n)$ time!

Kruskal Implementation.

$|V|$ unions. $|E|$ finds.

$O(|E| \log n)$ time!

Versus $O(|E||V|)$.

Lecture in a minute.

Tree Definitions:

- $n - 1$ edges and connected.

- $n - 1$ edges and no cycles.

- All pairs of vertices connected by unique path.

Lecture in a minute.

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Minimum Spanning Tree: $G = (V, E)$, weights $w : E$

Kruskal: Sort edges.

- Add edges in this order if no cycle.

Cut property:

- Exists MST with minimum weight edge across cut.

Lecture in a minute.

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Union-Find Data Structure.

- Pointer implementation: $\pi(u)$.

- $\text{makeset}(s) - \pi(u) = u$.

- $\text{find}(x)$ - returns root of pointer structure.

Lecture in a minute.

Tree Definitions:

- $n - 1$ edges and connected.

- $n - 1$ edges and no cycles.

- All pairs of vertices connected by unique path.

Minimum Spanning Tree: $G = (V, E)$, weights $w : E$

Kruskal: Sort edges.

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- Exists MST with minimum weight edge across cut.

Union-Find Data Structure.

- Pointer implementation: $\pi(u)$.

- $\text{makeset}(s) - \pi(u) = u$.

- $\text{find}(x)$ - returns root of pointer structure.

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Lecture in a minute.

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$> 2^k$ nodes in rank k root tree.

$O(\log n)$ depth structure.

See you on Wednesday!