

Prims and Huffman Coding.

Cut Property: MST.
Exists MST that uses minimum weight edge across cut.
Exchange argument. Prim: $S = \{s\}$ Add cheapest edge (u, v) across (S, V - S) S = S + v.
Repeat.
Use priority queue: $O((|V| + |E|) \log |V|)$.

Symbols, s, with frequencies.

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Prefix-Free code.

 \equiv binary tree with symbols at leaves.

Cost: sum of depth(s) \times freq(s).

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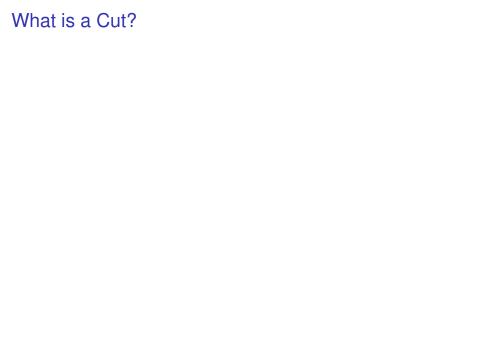
≡ binary tree with symbols at leaves.

Cost: sum of depth(s) \times freq(s).

Cost2: sum of frequencies of internal nodes.

Algorithm: merge lowest fequency symbols, recurse.

Exchange Argument ⇒ exists optimal tree with this structure.

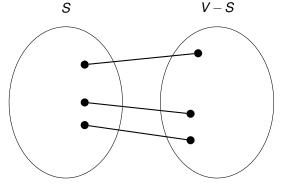


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- (B) For partition of V, (S, V S), set of edges across it; $E \cap (S \times V S)$.

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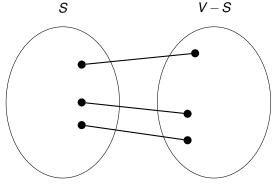
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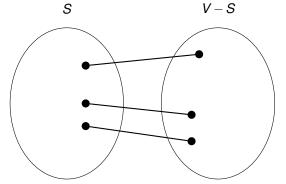
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Note:

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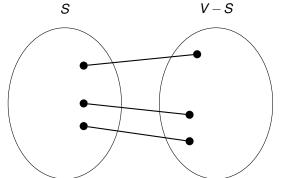
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Note:sometimes specified as (S, V - S)

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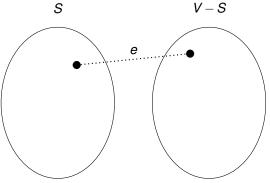


Note:sometimes specified as (S, V - S) sometimes explicitly as subset of edges E'.

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- (B) The smallest edge in a cut is in some mst.
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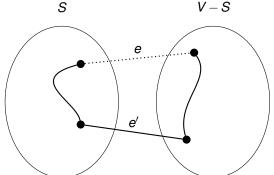
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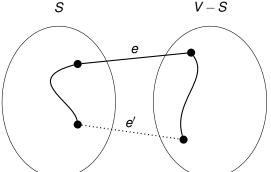
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Cut Property: Any edge of minimal weight in a cut is in some MST. (If unique, it must be in MST.)

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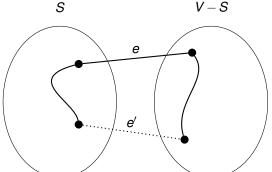
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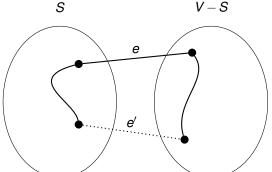
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Cut Property: Any edge of minimal weight in a cut is in some MST. (If unique, it must be in MST.) Proof: replace, n-1 edges

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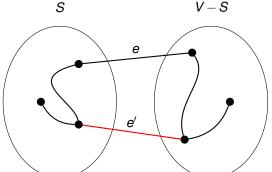


Cut Property: Any edge of minimal weight in a cut is in some MST. (If unique, it must be in MST.)

Proof: replace, n-1 edges still

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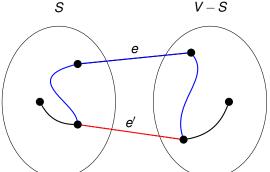


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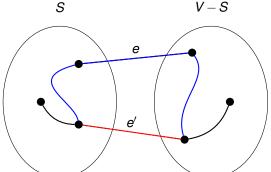
Cut Property: Any edge of minimal weight in a cut is in some MST. (If unique, it must be in MST.)

Proof: replace, n-1 edges still connected

What is the cut property for MSTs?

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Proof: replace, n-1 edges still connected and cheaper.

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Break ties for smallest edge according to lowest neighbors.

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Implementation?

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Actually... use a priority queue to keep "closest" node.

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foreach v \in V: c(v) = \infty, prev(v) = nil
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Dijkstras:

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Runtime? \Theta(mn)?
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Runtime? \Theta(mn)? \Theta((m+n)\log n)? \Theta(m+n\log n)? O((m+n)\log n)
With Fibonacci Heaps: O(m + n \log n).
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Compression.

Given a long file, make it shorter.

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16 characters alphabet, four bits/character.

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Morse code:

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Morse code:

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T - "dash"

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No code for a letter is a prefix of another.

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Letters: A,B, C,D.

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Codewords: strings in $\{0,1\}^*$.

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A: 00 B: 01

C: 10

D: 11

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What is 100011?

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What is 100011?

First two: "C"

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Can decode!

Another prefix free code for A,B,C,D.

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(A:0),

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(A:0), (B:10),

Another prefix free code for A,B,C,D.

(A:0),(B:10),(C:110),

Another prefix free code for A,B,C,D.

(A:0),(B:10),(C:110),(D:111)

Another prefix free code for A,B,C,D.

(A:0),(B:10),(C:110),(D:111)

"110010"???

Another prefix free code for A,B,C,D.

```
(A:0),(B:10),(C:110),(D:111)
```

"110010"???

C

Another prefix free code for A,B,C,D.

(A:0),(B:10),(C:110),(D:111)

"110010"???

CA

Another prefix free code for A,B,C,D.

(A:0),(B:10),(C:110),(D:111)

"1100<mark>10</mark>" ???

CA B

Consists of letters A, C, T, G with varying frequencies.

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A: .4

C: .1

T: .2

G: .3

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for *N* chars:

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

A: .4

C: .1

T: .2 G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

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C: .1

T: .2 G: .3

u. .5

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

A: .4

C: .1

T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100

T: .2

Consists of letters *A*, *C*, *T*, *G* with varying frequencies.

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C: .1

T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100

T: .2 101

G: .3

Consists of letters A, C, T, G with varying frequencies.

A: .4

C: .1

T: .2 G: .3

Expected length of fixed length encoding for *N* chars: 2*N*.

A: .4 0

C: .1 100

T: .2 101

G: .3 11

Consists of letters A, C, T, G with varying frequencies.

A: .4

C: .1

T: .2

G: .3

Expected length of fixed length encoding for *N* chars: 2*N*.

A: .4 0

C: .1 100

T: .2 101 G: .3 11

G. .5 11

Prefix Free?

Consists of letters A, C, T, G with varying frequencies.

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T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

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1..2 10

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Prefix Free?

0 not prefix of 100, 101, or 11.

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Consists of letters A, C, T, G with varying frequencies.

A: .4 C: .1

T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

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Yes!

Expected length:

Consists of letters A, C, T, G with varying frequencies.

A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100

T: .2 101

G: .3 11

Prefix Free?

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...

Yes!

Expected length: N(.4*1

Consists of letters A, C, T, G with varying frequencies.

A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100

T: .2 101

G: .3 11

Prefix Free?

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11 not prefix of 0, 100 or 101

...

Yes!

Expected length: N(.4*1 + .1*3 +

Consists of letters A, C, T, G with varying frequencies.

A: .4 C: .1

T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100

T: .2 101

G: .3 11

Prefix Free?

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...

Yes!

Expected length: N(.4*1 + .1*3 + .2 *3

Consists of letters A, C, T, G with varying frequencies.

```
A: .4
```

C: .1

T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

```
A: .4 0
```

C: .1 100

T: .2 101

G: .3 11

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0 not prefix of 100, 101, or 11.

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Yes!

Expected length: N(.4*1 + .1*3 + .2 *3 + .3 * 2)

Consists of letters A, C, T, G with varying frequencies.

A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for *N* chars: 2*N*.

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C: .1 100

T: .2 101

G: .3 11

Prefix Free?

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Yes!

Expected length: N(.4*1 + .1*3 + .2*3 + .3*2) = 1.9N

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A: .4

C: .1 T: .2

G: .3

Expected length of fixed length encoding for N chars: 2N.

A: .4 0

C: .1 100 T: .2 101

G: .3 11

Prefix Free?

0 not prefix of 100, 101, or 11.

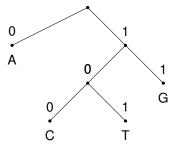
11 not prefix of 0, 100 or 101

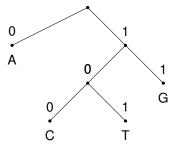
...

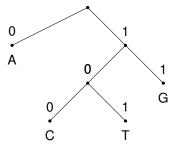
Yes!

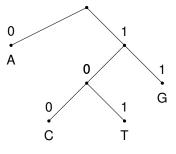
Expected length: N(.4*1 + .1*3 + .2*3 + .3*2) = 1.9N

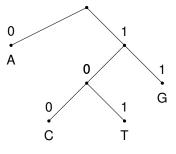
Yessss!!!!

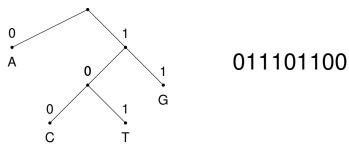




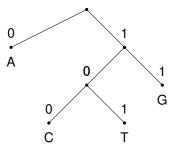






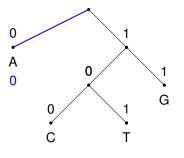


Any prefix-free code corresponds to a full binary tree: each internal node has two children.



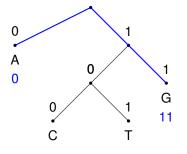
011101100 0 11 101 100

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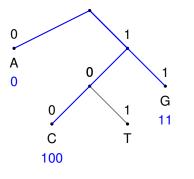
011101100 0 11 101 100 A

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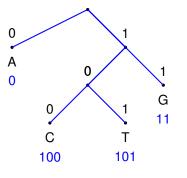


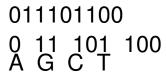
011101100 0 11 101 100 A G

Any prefix-free code corresponds to a full binary tree: each internal node has two children.



011101100 0 11 101 100 A G C





Given prefix free code:

 $S = \{s_1, s_2, ..., s_n\}$ for symbols $\{c_1, ..., c_n\}$.

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Recurse.

Left: $S_0' = \{s | 0s \in S\}$

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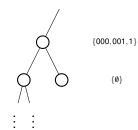
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{00.01}

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Correctness: Every codeword/symbol corresponds to leaf.

{00.01}

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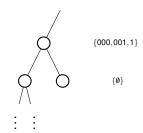
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Let S_p be subset corresponding to node at "path" p in tree.

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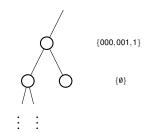
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{00,01}

Let S_p be subset corresponding to node at "path" p in tree.

Corresponds to strings where p is prefix.

If there is internal node S_p with $p \in S$. p is prefix of another codeword.

Given prefix free code:

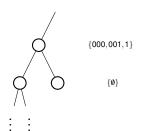
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If $\emptyset \in S$, end of a codeword.

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Corresponds to strings where p is prefix.

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Contradiction.

Given symbol frequencies f_1, \ldots, f_n , find "best" prefix code.

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Smallest average length.

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Example: (A,.4), (C,.1),(T,.2),(G,.3)

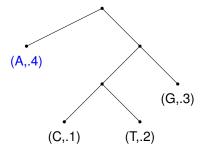
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Cost: .4 * 1

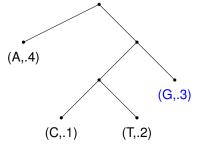
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Example: (A,.4), (C,.1),(T,.2),(G,.3)



Cost: .4 * 1 + .3 * 2

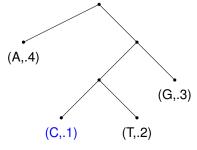
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Cost: .4*1 + .3*2 + .1*3

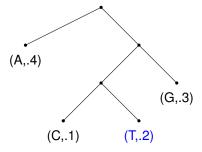
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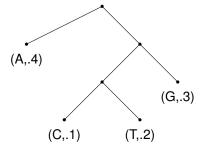
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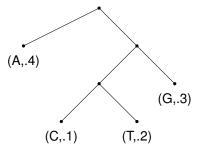
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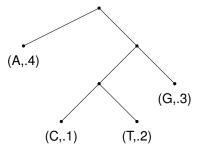
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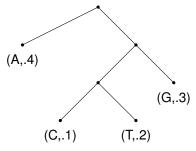
Cost:
$$.4*1 + .3*2 + .1*3 + .2*3 = 1.9$$



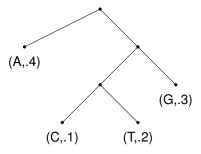
Sum over all nodes, except root, of their frequency.



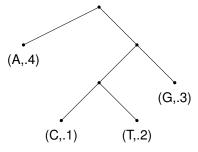
Sum over all nodes, except root, of their frequency. .4



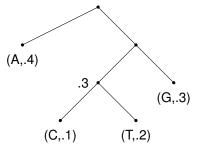
Sum over all nodes, except root, of their frequency. .4 + .1



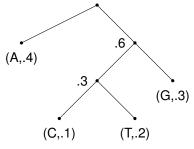
Sum over all nodes, except root, of their frequency. .4 + .1 + .2



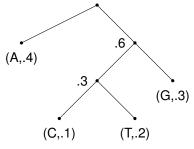
Sum over all nodes, except root, of their frequency. .4 + .1 + .2 + .3



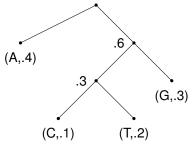
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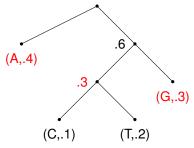
Sum over all nodes, except root, of their frequency. .4 + .1 + .2 + .3 + .3 + .6



Sum over all nodes, except root, of their frequency. .4 + .1 + .2 + .3 + .3 + .6 = 1.9



Sum over all nodes, except root, of their frequency. .4 + .1 + .2 + .3 + .3 + .6 = 1.9Optimal Tree should be optimal above any subtree.



Sum over all nodes, except root, of their frequency. .4 + .1 + .2 + .3 + .3 + .6 = 1.9Optimal Tree should be optimal above any subtree. E.g., Optimal tree on $\{(.4, A), (.3, \{C, T\}), (.3, G)\}$.

Recursive View:

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$$(A,.4),({C,T},.3),(G,.3)$$

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Cost of prefix tree with symbol leaves:

$$\sum_{i} f_i(\text{depth of symbol } i \text{ in tree.})$$

Might as well merge two lowest frequency symbols... to make low freq internal symbol.

$$(A,.4),({C,T},.3),(G,.3)$$

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Recursive View: internal node has frequency ..."internal nodes" = "sort of symbols."

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Merge two lowest frequency trees, into a new tree.

(A,.4) (G,.3)

(T,.2)

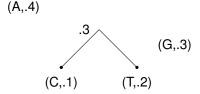
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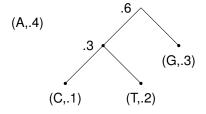


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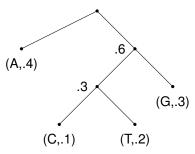


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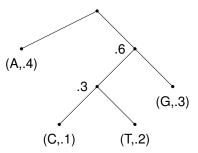
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Implementation: priority queue to get lowest frequency trees.

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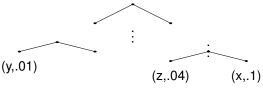
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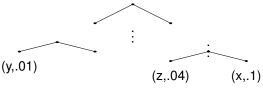
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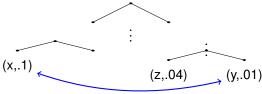
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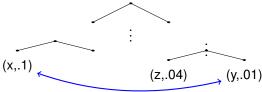
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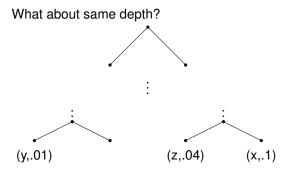
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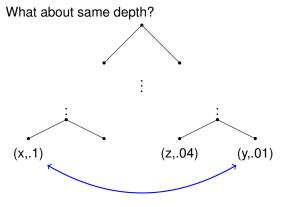
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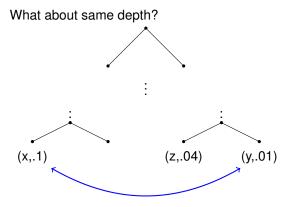
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Lowest frequency pair are now siblings!

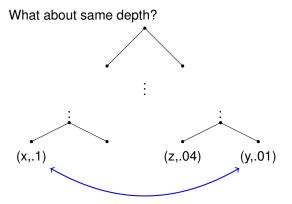




Cost stays the same,

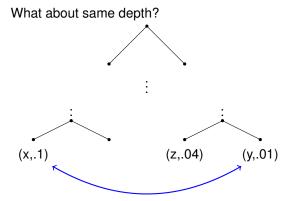


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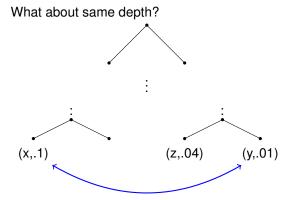
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"Algorithm: merge lowest frequency pair, and recurse."



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"Algorithm: merge lowest frequency pair, and recurse."

Produces optimal tree.

Cut Property: MST.
Exists MST that uses minimum weight edge across cut.
Exchange argument. Prim: $S = \{s\}$ Add cheapest edge (u, v) across (S, V - S) S = S + v.
Repeat.
Use priority queue: $O((|V| + |E|)\log|V|)$.

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 \equiv binary tree with symbols at leaves.

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Algorithm: merge lowest fequency symbols, recurse. Exchange Argument ⇒ exists optimal tree with this structure.

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