# Seq2Seq. Transformers

ML4SE

Denis Litvinov

January 12, 2022

### **Table of Contents**

- 1 Seq2Seq
  - Problem Statement
  - Teacher Forcing
  - BLEU Score

- 2 Attention
- 3 Transformer
  - General Architecture
  - Self-Attention

#### **Problem Statement**

Let  $x_1..x_M$  - source sequence of tokens from vocabulary Y Let  $y_1...y_T$  - target sequence of tokens from vocabulary X We want to maximize probability of the target sequence given the source sequence:

$$P(y_1..y_T|x_1..x_M) = \prod_{t=1}^T P(y_t|y_{< t}, x_1..x_M)$$

Loss function is

$$Loss = -\frac{1}{|T|} \sum_{i=1}^{|T|} \sum_{j=1}^{|Y|} I[y_i = j] \log \hat{y}_{ij}$$

where  $\hat{y}_{ii}$  - probability of j-th token at i-th place.

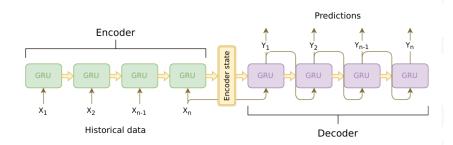
Denis Litvinov Seg2Sec

### **Problem Statement**

#### There are some nuances:

- If the predicted sequence is shorter than the target sequence, it should be padded to the target sequence length.
- If the predicted sequence is longer than the target sequence, it should be cutted to the target sequence length.
- Because you train your model with mini-batches, you have to pad your target sequences to have common length.
- Padding value should not be counted as an error.

## RNN Seq2Seq Example



Denis Litvinov Seq2Seq January 12, 2022

## RNN Seq2Seq Example

#### Encoder:

 $h_t = LSTM(h_{t-1}, x_t)$  - encoder hidden state

 $e_t = out_e(h_t)$  - output of encoder at time t

#### Decoder:

 $s_t = LSTM(s_{t-1}, y_{t-1})$  - decoder hidden state

 $g_t = out_g(s_t)$  - output of decoder at time t

 $p_t = softmax(g_t)$  - probabilities of tokens at time t

 $y_t = argmax(p_t)$  - predicted token at time t

 $s_o = h_T$  - initial decoder hidden state is the last encoder hidden state.

Denis Litvinov Seq2Seq January 12, 2022

## **Applications**

- Neural Machine Translation
- Open Domain Question Answering
- Code Generation
- Docstring Generation
- Function Name Generation
- .

## Similarity with LM

Remember LM Task:

$$P(y_1..y_T) = \prod_{t=1}^T P(y_t|y_{< t})$$

It means you can reduce Seq2seq task to LM task! What are pros and cons of that?

Denis Litvinov Seq2Seq January 12, 2022

## Similarity with LM

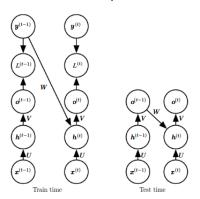
- We need a joint vocabulary, which significantly increase model size
- We do unnecessary work of modeling source sequence
- We cannot exploit full self-attention for source sequences
- But we can exploit pretrained LM model for seg2seg purposes
- Allows more efficient batch utilization

## **Teacher Forcing**

0000000

There is a problem with training the decoder.

Because  $y_t$  depends on  $y_{t-1}, ..., y_0$ , if at some timestamp a wrong token is predicted, the rest of the sequence will be wrong too.



## Training/Inference Discrepancy

00000000

At the training phase, at every timestep you give the decoder true **previous token**  $y_{t-1}$  to predict the current one  $y_t$ .

At the inference phase, at every timestep you give the decoder pre**dicted previous token**  $\hat{y}_{t-1}$  to predict the current one  $y_t$ .

Denis Litvinov January 12, 2022

#### **BLEU**

aka Bilingual Evaluation Understudy

Suppose you have a several translation hypothesis and reference sentences

Input: "Un gato se sienta en una silla"

Reference 1: "A cat sits on a chair"

Reference 2: "Cat is on an armchair"

Hypothesis 1: "Dog sits on a chair"

Hypothesis 2: "A cat sat on a mat"

Let  $g_n$  - n-gram

Let  $count_{clin}(g_n)$  be bounded above by highest count of the n-gram in any reference sentence

Then a modified precision is

$$\sum_{C \in hyp} \sum_{\alpha \in C}$$

$$p_n = \frac{\sum_{C \in \textit{hyp}} \sum_{g_n \in C} \textit{count}_{\textit{clip}}(g_n)}{\sum_{C \in \textit{hyp}} \sum_{g_n \in C} \textit{count}(g_n)}$$

Denis Litvinov Seq2Sec January 12, 2022

#### **BLEU**

Compute brevity penalty for short hypothesis.

$$BP = egin{cases} e^{1-rac{|ref|}{|hyp|}} & |ref| > |hyp| \ 1 & else \end{cases}$$

Final score

$$BLEU = BP * \exp(\sum_{n=1}^{N} w_n p_n)$$

where  $w_p$  -weights assosiated with  $p_n$  Usually,

$$BLEU = BP * \exp(\frac{1}{N} \sum_{n=1}^{N} p_n)$$

Denis Litvinov Seq2Seq January 12, 2022 1

#### BLEU

0000000

- BLEU is a Corpus-based Metric. Because n-gram statistics for individual sentences are less meaningful, they are accumulated over an entire corpus when computing the score.
- No distinction between content and function words
- Not good at capturing meaning and grammaticality of a sentence. (Negation, longer dependencies)
- Prior to computing the BLEU score, both the reference and candidate translations are normalized and tokenized.

Denis Litvinov Seg2Sec January 12, 2022

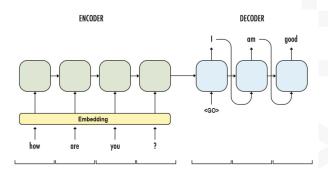
### **ROUGE Score**

Overlap between n-grams of hypothesis and reference sentences

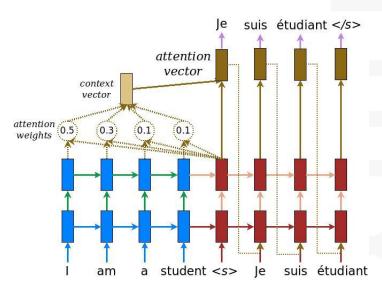
$$ROUGE-N = rac{\sum_{C \in \textit{hyp}} \sum_{g_n \in \textit{C}} \textit{count}_{\textit{match}}(g_n)}{\sum_{C \in \textit{hyp}} \sum_{g_n \in \textit{C}} \textit{count}(g_n)}$$

#### Problems with Vanilla Encoder-Decoder Architecture

- Poor performance on long sentences
- Bias towards shorter candidates
- 3 Fluent but inadequate output
- 4 Bottleneck in the context embedding



### **Attention**



#### **Attention**

Attention is a mechanism of conditioning of every output on a weighted sum of source inputs.

Introduce attention through new function *f*:

 $\alpha_{t'} = f(g_{t-1}, e_{t'})$  - weights of source tokens.

 $\bar{\alpha} = \textit{softmax}(\alpha)$  - normalize weights.

 $c_t = \sum_{t'=0}^T \bar{\alpha}_{t'} e_{t'}$  - context vector as a weighted sum

#### Encoder:

 $h_t = LSTM(h_{t-1}, x_t)$  - encoder hidden state

 $e_t = out_e(h_t)$  - output of encoder at time t

#### Decoder:

 $s_t = LSTM(s_{t-1}, [y_{t-1}, c_t])$  - decoder hidden state

 $g_t = out_g(s_t)$  - output of decoder at time t

 $p_t = softmax(g_t)$  - probabilities of tokens at time t

 $y_t = argmax(p_t)$  - predicted token at time t

 $s_o = h_T$  - initial decoder hidden state is the last encoder hidden state.

#### **Attention**

Usual choices for attention functions:

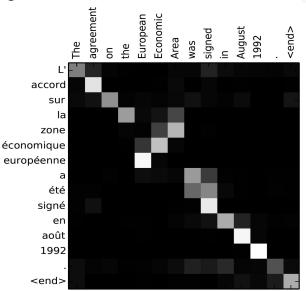
$$f(h, e) = h^T e - dot$$

$$f(h,e) = h^T We$$
 - general, W - trainable

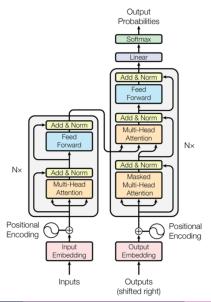
$$f(h, e) = v^{T} tanh(W[h, e])$$
 concat, , W - trainable

### Token alignment in NMT

Seq2Seq



### General Architecture



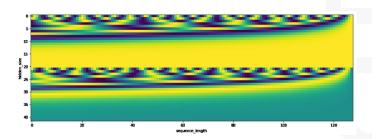
#### General Architecture

#### Described by

- d model "hidden size"
- numbed of encoder and decoder layers
- h number of heads
- n max sequence length used for precomputed positional encoding
- dropout rate
- source and target vocab size
- etc...

## Positional Encoding

$$PE(pos, 2i) = \sin(pos/10000^{\frac{2i}{d}})$$
  
 $PE(pos, 2i + 1) = \cos(pos/10000^{\frac{2i}{d}})$ 



### Review of BatchNorm

$$\mu_j = \frac{1}{N} \sum_{i=1}^{N} x_{ij}$$

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^{N} (x_{ij} - \mu_j)^2$$

$$\hat{x}_{ij} = \frac{x_{ij} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

$$\hat{x}_{ij} = \alpha \hat{x}_{ij} + \beta$$

#### Problems with BatchNorm

- It puts a lower limit on the batch size
- It makes batch normalization difficult to apply to recurrent connections in recurrent neural network

### LayerNorm

Seq2Seq

$$\mu_i = \frac{1}{C} \sum_{j=1}^C x_{ij}$$

$$\sigma_i^2 = \frac{1}{C} \sum_{i=1}^C (x_{ij} - \mu_i)^2$$

$$\hat{\mathbf{x}}_{ij} = \frac{\mathbf{x}_{ij} - \mu_i}{\sqrt{\sigma_i^2 + \epsilon}}$$

$$\hat{\mathbf{x}}_{ij} = \alpha \hat{\mathbf{x}}_{ij} + \beta$$

$$\hat{\mathbf{x}}_{ij} = \alpha \hat{\mathbf{x}}_{ij} + \beta$$



Denis Litvinov Seq2Seq

#### Self-Attention

Let  $X \in R^{nxd}$ 

$$attention = softmax(rac{QK^T}{\sqrt{d}})V \in R^{nx\frac{d}{h}}$$

$$Q = XW^Q$$
$$K = XW^K$$

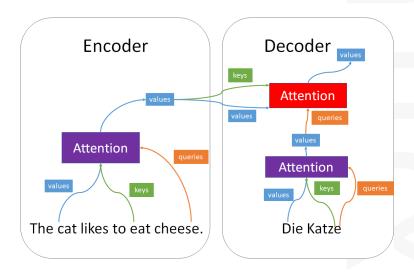
$$V = XW^V$$

where 
$$W^Q$$
,  $W^K$ ,  $W^V \in R^{dx\frac{d}{h}}$ 

Complexity 
$$O(n^2d)$$

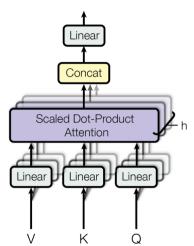
Denis Litvinov Seq2Seq January 12, 2022 27 / 30

#### **Cross-Attention**



#### Multi-Head Attention

 $\textit{MultiHead}(\textit{Q},\textit{K},\textit{V}) = \textit{Concat}(\textit{head}_1,...,\textit{head}_\textit{h})\textit{W}^\textit{O}$  where  $\textit{W}^\textit{O} \in \textit{R}^\textit{dxd}$ 



### Masked Attention in Decoder

