### Trees, Subtrees and Isomorphism: A Revisit

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### **Definitions**

(Unrooted) tree: a connected acyclic graph.

Rooted tree: an orientation of a tree, where exactly one vertex, called the root of the tree, has no incoming edge; every other vertex has exactly one incoming edge.

A rooted tree can also be recursively defined as a finite multiset of rooted trees.

Graph isomorphism : two graphs  $(V_1,E_1)$  and  $(V_2,E_2)$ , are called isomorphic, if there exists a bijection  $\phi:V_1\to V_2$ , such that, for every vertex pair  $u,v\in V_1,\ uv\in E_1$  iff  $\phi(u)\phi(v)\in E_2$ .

Subtree: a subtree of an unrooted tree T is either T itself, or one of the two components obtained by removing any edge of T.

A subtree rooted at u of a rooted tree T, is the rooted tree containing u all descendants of u.

#### **Definitions**

Generalized subtree (g-subtree) A generalized subtree of unrooted tree T is a connected subgraph of T.

A generalized subtree of rooted tree T is a subgraph of T which is also a rooted tree.

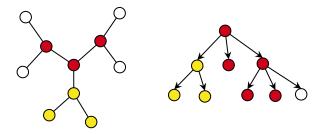


Figure: The yellow part is a subtree of the whole graph; the red part is a g-subtree of the whole tree.

### Rooted Tree Isomorphism

Given two rooted trees  $T_1$  and  $T_2$ , decide if they are isomorphic.

#### Solution (unique representation via sorting)

For every node, we may sort its child subtrees in some order. The resulting ordered trees are unique for two isomorphic trees.

Figure: Canonicalization of trees via sorting.

We can also use tree hashing to avoid sorting.

# Unrooted Tree Isomorphism

But how can we decide the isomorphism of two unrooted trees?

#### Theorem

We can solve the unrooted tree isomorphism problem based on rooted tree isomorphism algorithm in the same asymptotic time.

Basic idea: root the trees at their centroids. A tree has either one or two centroids. In case that a tree has two centroids, try both possibilities.

centroid The centroid of a graph *G* is defined as

$$\arg\min_{u\in V(G)}\max_{v\in V(G)}d(u,v)$$

The centroids of a tree can be found in linear time by dynamic programming or running BFS twice.

Given rooted trees S and T, decide if there exists a **g-subtree** of T isomorphic to S.

There might be exponentially many g-subtrees in a given tree!

### Solution (dynamic programming)

We may use dynamic programming to solve this problem. Let for  $u \in S, v \in T$ , define M[u,v]=1 if  $S_u$  is isomorphic to some g-subtree of T rooted at v; otherwise M[u,v]=0. M[u,v] can be computed from M[x,y] where  $x \in C(u), y \in C(v)$ .

We may define a bipartite graph  $C(u) \cup C(v)$  where  $x \in C(u)$  and  $y \in C(v)$  are connected by an edge if M[x,y]=1. Note that M[u,v]=1 if and only if the bipartite graph has a C(u)-perfect matching.

# **Algorithm 1** Rooted Subtree Isomorphism

```
Input: two rooted trees S and T

Output: decide if S is isomorphic to some g-subtree of T

1: initialize M[\cdot,\cdot] with 0

2: for vertex v in post-order traversal of T do

3: for each vertex u is S do

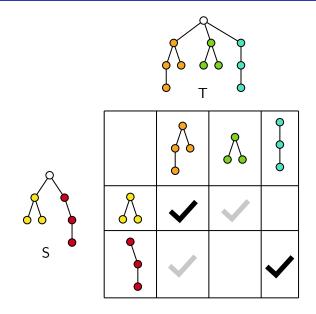
4: build bipartite graph G = (C(u) \cup C(v), M[C(u), C(v)])

5: set M[u,v] = 1 is G has a C(u)-perfect matching

6: end for

7: end for

8: return true if M[root(S), v] = 1 for some v \in T
```



Assume we use Hopcroft-Karp algorithm (or, equivalently, Dinic's maximum flow) to find a maximum matching in  $O(E\sqrt{V})$  time. The total time complexity is

$$time = \sum_{u \in S} \sum_{v \in T} time(u, v)$$

$$= \sum_{u \in S} \sum_{v \in T} \deg(u) \deg(v) \sqrt{\deg(u) + \deg(v)}$$

$$\leq \sum_{u \in S} \sum_{v \in T} \deg(u) \deg(v) \sqrt{n_s + n_t}$$

$$\leq n_s n_t \sqrt{n_s + n_t}$$

$$= O(n_s n_t^{3/2})$$

About Maximum Bipartite Matching

# **Algorithm 2** Hopcroft-Karp Algorithm, $O(E\sqrt{V})$

**Input:** a bipartite graph  $U \cup V$ 

Output: a maximum matching  ${\mathcal M}$ 

- 1: set  $\mathcal{M} = \emptyset$
- 2: while there exists augmenting path do
- 3: let A be a **maximal** set of vertex-disjoint shortest augmenting paths
- 4: set  $\mathscr{M} = \mathscr{M} \oplus A$
- 5: end while
- 6: **return**  $\mathscr{M}$

About Maximum Bipartite Matching

#### Lemma

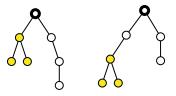
The length of shortest augmenting path strictly increases after each iteration of Hopcroft-Karp algorithm.

- Each iteration of Hopcroft-Karp can be done in O(E);
- After the first  $\sqrt{V}$  iterations the length of shortest augmenting path is at least  $\sqrt{V}$ ;
- Now the symmetric difference of the current matching and the maximum matching is the disjoint union of several augmenting paths and alternating cycles; Since each augmenting path has length at least  $\sqrt{V}$ , there are at most  $\sqrt{V}$  augmenting paths;
- Conclusion: Hopcroft-Karp terminates after at most  $2\sqrt{V}$  iterations.

What if the trees are unrooted?

Just root T arbitrarily and try all possible roots of S. The total time complexity is  $O(n_s^2 n_t^{3/2})$ 

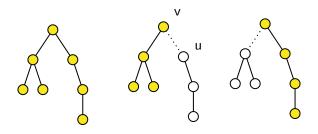
But we can still optimize the algorithm. The basic observation is, there may be common subtrees in different rootings of S.



A natural idea is to use hashmap to dedupliate subtrees. However, this helps little in the worst case (consider a star-like graph).

Note that all subtrees can be found as follows:

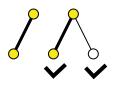
Root S at u. The resulting rooted tree  $S^u$  itself is a rooted subtree. Also, removing any child subtree of  $S^u$  yields a rooted subtree.



We use S[u, v] to denote the subtree rooted at v after removing child subtree u.

We may compute the maximum bipartite matchings for all these trees together. Formally, let  $U_i$  denote U removing the i-th element  $u_i$ , we want to compute maximum bipartite matching for  $U_i \cup V$  for every i. This results in an unrooted subtree isomorphism algorithm in the same time as the rooted case.

Note that removing  $u_i$  decreases the size of maximum matching by at most 1. In case that  $u_i$  is unmatched, or reachable from any unmatched vertex via alternating path, in any maximum bipartite matching of  $U \cup V$ , the removal of  $u_i$  won't decrease the size of maximum matching.



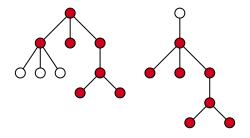
### Algorithm 3 Unooted Subtree Isomorphism

**Input:** two unrooted trees S and T

**Output:** decide if S is isomorphic to some g-subtree of T

- 1: root *T* arbitrarily
- 2: initialize  $M[\cdot,\cdot]$  with 0
- 3: **for** vertex v in post-order traversal of T **do**
- 4: **for** each vertex u is S **do**
- 5: compute the maximum bipartite matchings of  $C(S[w,u]) \cup C(v)$  for each  $w \in N(u) \cup \{NIL\}$
- 6: end for
- 7: end for
- 8: **return** true if M[S[NIL, u], root(T)] = 1 for some  $u \in S$

Given two rooted trees S, T, find a maximum size g-subtree of S isomorphic to some g-subtree of T.



Consider the rooted case first.

#### Algorithm 4 Rooted Maximum Common Subtrees

**Input:** two rooted trees S and T

**Output:** the size of maximum common g-subtrees of S and T

- 1: **for** vertex v in post-order traversal of T **do**
- 2: **for** each vertex u is S **do**
- 3: build a weighted bipartite graph

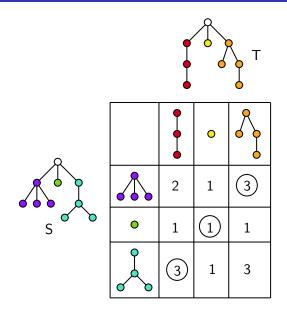
$$G = (C(u) \cup C(v), M[C(u), C(v)])$$

- 4: let M[u, v] be the maximum weight matching of G, plus 1
- 5: end for
- 6: end for
- 7: **return**  $\max_{u \in S, v \in T} M[u, v]$

#### Solution (dynamic programming)

We may again use dynamic programming to solve this problem. Let for  $u \in S, v \in T$ , define M[u,v] as the size of maximum common subtrees rooted at u and v. The value of M(u,v) can be computed from M[x,y]  $(x \in C(u), y \in C(v))$ .

We may similarly construct a bipartite graph. The value M[u, v] is computed from maximum weight bipartite matching (instead of maximum cardinality bipartite matching).



By using a careful implementation of Kuhn-Munkres algorithm, the maximum weight bipartite matching of  $U \cup V(|U| < |V|)$  can be solved in  $O(|U||V|^2)$  time.

Applying an analysis similar to the subtree isomorphism problem, the total time complexity is  $O(n^3)$ .

What about unrooted case?

It is easy to obtain an  $O(n^4)$  algorithm by trying all possible roots of one tree.

The same technique in subtree isomorphism may apply here to obtain a cubic time algorithm, by not taking maximum weight bipartite matching as black box.

About Maximum Weight Perfect Bipartite Matching

The maximum weight perfect matching can be written as a linear program:

The primal program:

$$\max_{\mathsf{x}_{uv}} \quad \sum_{\mathsf{u},\mathsf{v}} \mathsf{w}_{\mathsf{uv}} \mathsf{x}_{\mathsf{uv}}$$

$$\mathsf{s.t.} \quad \sum_{\mathsf{u}} \mathsf{x}_{\mathsf{uv}} = 1 \quad \forall \mathsf{v}$$

$$\sum_{\mathsf{v}} \mathsf{x}_{\mathsf{uv}} = 1 \quad \forall \mathsf{u}$$

$$\mathsf{x}_{\mathsf{uv}} \ge 0$$

The dual program:

$$\min_{y_u, y_v} \sum_{u} y_u + \sum_{v} y_v$$
s.t. 
$$y_u + y_v \ge w_{uv} \quad \forall u, v$$

About Maximum Weight Perfect Bipartite Matching

Let  $\bar{x}_{uv}$  be optimal solution to primal program,  $\bar{y}_u, \bar{y}_v$  be optimal solution to dual program.

#### Theorem (Strong duality theorem)

$$w_{uv}\bar{x}_{uv} = \sum_{u}\bar{y}_{u} + \sum_{v}\bar{y}_{v} \quad \forall u, v$$

#### Theorem (Complementary slackness theorem)

$$\sum_{uv} \bar{x}_{uv} (\bar{y}_u + \bar{y}_v - w_{uv}) = 0$$

This means only saturated edges can be in maximum matching.

About Maximum Weight Perfect Bipartite Matching

	0	4	3			0						4	
0	0	3	0	-	0	0	3	0	-	0	0	<b>4</b>	0
0	0	4	2	$\rightarrow$	0	0	4	2	$\rightarrow$	0	0	4	2
0	0	0	3		0	0	0	3		0	0	0	3

After removing a vertex:

#### Overview

• Three fundamental graph theory problems:

	Trees	General Graphs
Graph Isomorphism	<i>O</i> ( <i>n</i> )	$O(n^{\operatorname{ploy}\log n})$
Subgraph Isomorphism	Р	NP-Complete
Maximum Common Subgraphs	Р	NP-Hard

- One problem solving framework: turns the original problem into several instances of matching problem.
- Two auxiliary algorithms:
  - Edmonds-Karp algorithm: solves maximum cardinality bipartite matching in  $O(E\sqrt{V})$  time;
  - Kuhn-Munkres algorithm: solves maximum weight bipartite matching in  $O(V^3)$  time.

#### References

- Matula, D. W. (1978). Subtree isomorphism in O(n<sup>5</sup>/2).
   Annals of Discrete Mathematics, 2, 91-106.
- Shamir, R., & Tsur, D. (1999). Faster subtree isomorphism.
   Journal of Algorithms, 33(2), 267-280.
   (this paper gives a slightly faster subtree isomorphism algorithm, but is of less practical significance)
- Droschinsky, A., Kriege, N. M., & Mutzel, P. Faster algorithms for the maximum common subtree isomorphism problem. 41st International Symposium on Mathematical Foundations of Computer Science (MFCS'16). (this paper describes a cubic algorithm for unrooted maximum common subtrees in detail)