

# Handout 4

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## 1 Connectedness

**Definition 1.1.** We say that  $\emptyset \neq X \subseteq \mathbb{R}^n$  is disconnected if there exist  $\emptyset \neq A, B \subseteq X$  disjoint, open (in  $X$ ) sets such that  $X = A \cup B$ . Such  $A, B$  are called a disconnection of  $X$ . Equivalently,  $X$  is disconnected if there exists a proper clopen subset of  $X$ .

**Definition 1.2.** We say that  $\emptyset \neq X \subseteq \mathbb{R}^n$  is connected if it is not disconnected.

**Definition 1.3.** We say that  $\emptyset \neq X \subseteq \mathbb{R}^n$  is path-connected if for every  $x, x' \in X$ , there exists a continuous function  $f : [0, 1] \rightarrow X$  such that  $f(0) = x$  and  $f(1) = x'$ . Such an  $f$  is called a path from  $x$  to  $x'$  in  $X$ .

**Theorem 1.1.**  $[0, 1]$  is connected.

*Proof.* Suppose, for a contradiction, that  $[0, 1]$  is disconnected. Let  $A, B \subseteq [0, 1]$  be a disconnection of  $[0, 1]$ . We may assume without loss of generality that  $0 \in A$ . Let  $s = \sup A$  and observe that  $0 \leq s \leq 1$ . So, since  $[0, 1] = A \cup B$ , either  $s \in A$  or  $s \in B$ . If  $s \in A$ , then since  $A$  is open, there exists some  $\varepsilon > 0$  such that  $(s - \varepsilon, s + \varepsilon) \subseteq A$ . But then  $s + \varepsilon/2 \in A$  with  $s < s + \varepsilon/2$ , contradicting that  $s$  is an upper bound of  $A$ . If  $s \in B$ , then, since  $B$  is open, there exists some  $\varepsilon > 0$  such that  $(s - \varepsilon, s + \varepsilon) \subseteq B$ . But, since  $s \in \overline{A}$ , there must be some point  $a \in A$  such that  $a \in (s - \varepsilon, s + \varepsilon)$ , contradicting that  $A$  and  $B$  are disjoint. Hence,  $[0, 1]$  is connected.  $\square$

**Theorem 1.2.** Suppose  $X \subseteq \mathbb{R}^n$  is (path-)connected and  $f : X \rightarrow \mathbb{R}^m$  is continuous. Then  $f(X)$  is (path-)connected.

*Proof.* Suppose  $X$  is path-connected. Given  $y, y' \in f(X)$ , pick  $x, x'$  such that  $f(x) = y$  and  $f(x') = y'$ . Let  $\gamma : [0, 1] \rightarrow X$  be a path connecting  $x$  and  $x'$  in  $X$ . Then,  $\gamma' = f \circ \gamma : [0, 1] \rightarrow f(X)$  is continuous and satisfies  $\gamma'(0) = f(\gamma(0)) = f(x) = y$  and

$\gamma'(1) = y'$ . Thus, there is a path from  $y$  to  $y'$  for any  $y, y' \in f(X)$ , and so  $f(X)$  is path-connected.

For the second part, we will show the contrapositive. Suppose  $f(X)$  is disconnected. Let  $A, B$  be a disconnection of  $f(X)$ . Then, consider  $f^{-1}(A)$  and  $f^{-1}(B)$ . Each are open, since  $f$  is continuous, they are disjoint, since  $A, B$  are disjoint, and their union is  $X$ , and so they exhibit a disconnection of  $X$ .  $\square$

**Proposition 1.1.**  *$X$  is disconnected  $\iff$  there exists a non-constant, continuous function  $f : X \rightarrow \{0, 1\}$ . Equivalently,  $X$  is connected  $\iff$  every continuous function  $f : X \rightarrow \{0, 1\}$  is constant.*

*Proof.* If  $f : X \rightarrow \{0, 1\}$  is non-constant and continuous, then  $f^{-1}(\{0\})$  and  $f^{-1}(\{1\})$  are a disconnection of  $X$ . If  $X$  is disconnected, let  $A, B$  be a disconnection of  $X$ , and define  $f(x) = \chi_A(x)$ , i.e.  $f(x) = 1$  if  $x \in A$  and  $f(x) = 0$  otherwise. Then, one may check that the only open sets in  $\{0, 1\}$  are  $\emptyset, \{0\}, \{1\}, \{0, 1\}$ , each of which are, by construction, open in  $X$ . Since  $A \neq X$ ,  $f$  is not constant, and so is as desired.  $\square$

**Proposition 1.2.** *Let  $\{X_i\}_{i \in I}$  be a family of (path-)connected sets. Then, if we further have that  $\bigcap_{i \in I} X_i \neq \emptyset$  then  $\bigcup_{i \in I} X_i$  is (path-)connected.*

*Proof.*  $\square$

**Theorem 1.3.** *If  $X$  is path-connected, then it is also connected.*

*Proof.* Fix  $x_0 \in X$ . Then, for each  $x \in X$ , let  $\gamma_x : [0, 1] \rightarrow X$  be a path from  $x_0$  to  $x$  in  $X$ . Then,  $\bigcup_{x \in X} \gamma_x([0, 1]) \subseteq X$  since each image is contained in  $X$ . Also,  $X \subseteq \bigcup_{x \in X} \gamma_x([0, 1])$  since  $x \in \gamma_x([0, 1])$  for each  $x \in X$ . Further,  $x_0 \in \gamma_x([0, 1])$  for every  $x \in X$ , and so  $\bigcap_{x \in X} \gamma_x([0, 1]) \neq \emptyset$ , and, since each  $\gamma_x$  is continuous by **Theorem 1.2** and  $[0, 1]$  is connected by **Theorem 1.1**,  $\gamma_x([0, 1])$  is connected for each  $x \in X$ . Taken all together, by **Proposition 1.2**,  $X = \bigcup_{x \in X} \gamma_x([0, 1])$  is connected.  $\square$

**Theorem 1.4.** *There exists  $X \subseteq \mathbb{R}^2$  such that  $X$  is connected but not path-connected.*

*Proof.* Let  $X = \{(x, \sin(1/x)) \mid x > 0\} \cup \{(0, 0)\}$ .  $\square$

**Theorem 1.5.** *If  $X, Y$  are (path-)connected then  $X \times Y$  is (path-)connected.*

**Theorem 1.6.** *If  $X$  is connected and  $X \subseteq Y \subseteq \overline{X}$  then  $Y$  is connected.*

**Proposition 1.3.** *The following are (path-)connected:*

1. Intervals (i.e.  $(a, b)$ ,  $[a, b)$ , etc.).
2. Rays (i.e.  $(a, \infty)$ , etc.).
3.  $\mathbb{R}^n$ .
4.  $B_r(x)$ .

**Definition 1.4.** We say that  $\emptyset \neq X \subseteq \mathbb{R}^n$  is totally disconnected if the only connected subsets of  $X$  are the singletons.

**Proposition 1.4.** The following are totally disconnected:

1. Discrete sets.
2.  $\mathbb{Q}$ .
3. The Cantor set, see Handout 1.

**Definition 1.5.** We say that  $C \subseteq \mathbb{R}^n$  is convex if for all  $a, b \in C$ , we have that  $(1 - t)a + tb \in C$  for every  $t \in [0, 1]$ .

**Theorem 1.7.** Suppose  $X \subseteq \mathbb{R}$ . Then the following are equivalent,

1.  $X$  is connected.
2.  $X$  is convex.
3.  $X$  is path-connected.

**Corollary.** If  $f : X \rightarrow \mathbb{R}$  is continuous and  $X$  is connected then  $f$  attains all values between any two points in the image, i.e. the Intermediate Value theorem.

**Definition 1.6.** We say that  $f : X \rightarrow \mathbb{R}^n$  is locally constant if for any  $x \in X$ , there is an open neighbourhood of  $X$ , say  $U$ , on which  $f$  is constant.

**Proposition 1.5.** If  $f : X \rightarrow \mathbb{R}^n$  is locally constant and  $X$  is connected, then  $f$  is constant.