

# A new approach to prove the $3n+1$ conjecture

The Gypsy Cossack

## Abstract

In this paper, we provide the framework for a proof of the  $3n+1$  conjecture by examining the  $3n+3$  conjecture (all sequences to terminate at 3). We do this by applying a law for even numbers generated by any  $3n+k$  ( $k$  is odd), sequence and with two more laws for the  $3n+3$  sequences in particular. In Claim 2 below, there is a simple formula to construct any  $3n+1$  sequence from its corresponding  $3n+3$  sequence.

## 1 Preliminaries and Definitions

**Definition 1.1.** *A  $3n+1$  sequence starts with any positive integer  $n$  where each term is obtained from the previous term as follows: if the previous term is even, the next term is one half of the previous term. If the previous term is odd, the next term is 3 times the previous term plus 1. We call a sequence formed in this manner a  $c$ -sequence.*

**Definition 1.2.** *A  $3n+1$  sequence is cyclic if the production of its terms are such that we return to the first term. A sequence is partial-cyclic or  $p$ -cyclic, if the production of its terms are such that we return to some term of the sequence which is not the first term (we shall refer to either as just cyclic). A sequence is trivial  $p$ -cyclic or  $\mathcal{T}$ -cyclic, if 1 is a term in the repeated subsequence.*

Note that if a  $c$ -sequence is trivially  $p$ -cyclic, we mean that after reaching 1 and we were to continue the process, we get:  $1 \rightarrow 4 \rightarrow 2 \rightarrow 1 \rightarrow 4 \rightarrow 2 \rightarrow 1$ , and so on. However, we say it terminates because 1 was produced.

**Definition 1.3.** A  $3n+3$  sequence starts with any positive integer  $n$  where each term is obtained from the previous term as follows: if the previous term is even, the next term is one half of the previous term. If the previous term is odd, the next term is 3 times the previous term plus 3. We call a sequence formed in this manner a  $c$ -sequence.

Similarly, a  $3n+3$  sequence is  $\mathcal{T}$ -cyclic, if 3 is a term in the repeated subsequence. And if a  $c$ -sequence is trivially  $p$ -cyclic, we mean that after reaching 3 and we continued the process, we get:  $3 \longrightarrow 12 \longrightarrow 6 \longrightarrow 3 \longrightarrow 12 \longrightarrow 6 \longrightarrow 3$ , and so on. However, we say it terminates because 3 was produced.

We shall denote three terms for a  $c$ -sequence. First, the initial term of the sequence by  $\mathbf{n} \in \mathbb{N}_{>2}$ . A term that is not the first term and is odd by  $N_i$ . And an even term that is of the form:  $3(N_i)+3$  by  $M_i$ . Note well that the indexing is not meant to track steps in a  $c$ -sequence, but rather for association only. It will be seen that roughly two thirds of the numbers generated in a sequence, are not relevant. That is, we are only interested in the numbers defined as  $M_i$ .

**Definition 1.4.** A  $\mathcal{C}$ -sequence is the sequence of all the even numbers from a  $c$ -sequence of the form:  $3(N_i)+3 = M_i \ \forall i \in \mathbb{N}_{>0}$  where  $N_i \in \mathbb{N}_{\text{odd}}$ .

Given a  $\mathcal{C}$ -sequence, its  $c$ -sequence may be constructed with just division by 2.

**Definition 1.5.** A  $\mathcal{D}$ -sequence is constructed from a  $\mathcal{C}$ -sequence by  $M_i+6 \ \forall i \in \mathbb{N}_{>0}$ . The terms of a  $\mathcal{D}$ -sequence shall be denoted by  $t_i$ .

Conceptually, the terms of the  $\mathcal{D}$ -sequence are the  $M_i$ 's produced from  $3(N_i+2)+3$ , including  $\mathbf{n}+2$ . Note that odd  $\mathbf{n} = N_1$ . The  $\mathcal{D}$ -sequence allows us to observe the  $\mathcal{C}$ -sequence from which it was derived in a most revealing manner.

### Law 1: $M_i$ numbers generated by $3n+k$ sequences

For any  $3n+k$  sequence, an  $M_i$  can have only one of three *designations* as follows:

i) If  $M_i$  is divisible by 2, two or more times, then it's  $M_i$ . Moreover, it will always be such that  $M_i+6$  and  $M_i-6$  will be divisible by 2 exactly once, in this case.

ii) If  $M_i$  is divisible by 2 exactly once and  $M_i-6$  is divisible by 2, three or more times, then it's  $M_i$ . Moreover, it will always be such that  $M_i+6$  will be divisible by 2 exactly twice, in this case.

iii) If  $M_i$  is divisible by 2 exactly once and  $M_i+6$  is divisible by 2, three or more times, then it's  $M_i$ . Moreover, it will always be such that  $M_i-6$  will be divisible by 2 exactly twice, in this case.

Every  $M_i$  must be one of the three *designations* or *desig*, listed above. The *desig* cases are invariant which is established easily and appears in Appendix 3 (the law applies to all even numbers > 6). Note well that we use the *desig* coloured circle, square and triangle with the terms,  $t_i$  of the  $\mathcal{D}$ -sequence and to the left of down pointing arrows between an  $M_i$  and its corresponding  $t_i$  in our example for  $\mathbf{n} = 53$ . It's to be understood that the *desig* is always for  $M_i$  and that it's just an association with  $t_i = M_i+6$ . It will become clear shortly, as to why we make such associations.

**Definition 1.6.** An  $R$ -subsequence ( $R$ -sub), begins with a  $t_i$  if  $t_{i-1}$  is  $t_{i-1}$  or  $i = 1$ , and ends with the last term  $t_k$  preceding  $t_{k+1}$ . Or the  $\mathcal{C}$ -sequence terminated at  $M_k = 48$ . An  $R$ -sub has two parts, called the head and the tail. The head contains only  $t_i$  terms and the tail contains only  $t_j$  and  $t_k$  terms.

$R$ -subs are always contiguous and we index as:  $R\text{-sub}_1, R\text{-sub}_2, R\text{-sub}_3, \dots$ . A  $\mathcal{D}$ -sequence could have initially, terms:  $t_1, t_2, t_3, t_4, t_5$ , for example. Its corresponding  $\mathcal{C}$ -sequence is of course:  $M_1, M_2, M_3, M_4, M_5$ . In which case the first  $R$ -sub begins with  $t_5$ . Some  $\mathcal{C}$ -sequences may have no  $M_i$  terms at all, and would terminate in a trivial manner (see section: Tails).

The  $3n+3$  sequences have a particular *ordering* wrt. their *desigs*. Specifically, if the  $i^{th}$  term is  $t_i$  then the  $i+1^{th}$  term can only be another  $t_{i+1}$  or  $t_{i+1}$ . And

the  $i-1^{th}$  term can only be another  $\triangle t_{i-1}$  or  $\bullet t_{i-1}$ . If the  $i^{th}$  term is  $\blacksquare t_i$  then the  $i+1^{th}$  term can only be  $\bullet t_{i+1}$ . And the  $i-1^{th}$  term can only be  $\triangle t_{i-1}$  or  $\bullet t_{i-1}$ . Lastly, if the  $i^{th}$  term is  $t_i$  then the  $i+1^{th}$  term can be another  $t_{i+1}$  or  $\blacksquare t_{i+1}$  or  $\triangle t_i$ . The  $i-1^{th}$  term can only be another  $\bullet t_{i-1}$  or  $\blacksquare t_{i-1}$ . (proofs in Appendix 3)

Note that the *ordering* wrt. their designs of the  $3n+1$  sequences is the same as the above except that the  $\triangle t$  and  $\blacksquare t$  designs are switched with each other. It is the case that all  $3n+k$  sequences where  $k = 1, 5, 9, 13, \dots$  are the same ordering which we'll call  $1^{st} \text{ ordering}$ . And all  $3n+k$  sequences where  $k = 3, 7, 11, 15, \dots$  are the same ordering which we'll call  $2^{nd} \text{ ordering}$ .

## Law 2: $2^a 3^b R$ structure for $3n+3$ sequences

All  $3n+3$  sequences are such that every  $M_i$  is divisible by at least one 2 and one 3. Again, their  $\mathcal{C}$ -sequences' corresponding  $\mathcal{D}$ -sequences reveal far more structure. Specifically, every  $t_i$  can be written as:  $2^a 3^b R$ , where  $a, b, R \in \mathbb{N}_{>0}$ .  $R$  is the remainder, always odd, not divisible by 3 and not necessarily prime. Moreover, if  $t_i = 2^a 3^b R$ , where  $a > 1$ , then  $t_{i+1}$  must be  $2^{a-1} 3^{b+1} R$ . That is, if  $M_i+6 = t_i = 2^a 3^b R$  then  $M_{i+1}+6 = t_{i+1} = 2^{a-1} 3^{b+1} R$ , if  $a > 1$ . We will distinguish a different  $R$  with  $R'$  or  $S$ .

In general, we have:  $2^a 3^b R \longrightarrow 2^{a-1} 3^{b+1} R$  where  $a, b, a-1, b+1 \in \mathbb{N}_{>0}$ . In fact, every  $2^a 3^b R$  where  $a \geq 2$  must continue until we have:  $2^1 3^{b+a-1} R = t_k$  where  $M_k$  is always  $M_k$ . And, if  $t_i$  is  $\triangle t_i = M_i+6$ , where  $\triangle t_i = 2^3 3^b R$ , then  $t_{i+1}$  is  $\blacksquare t_{i+1} = M_{i+1}+6 = 2^2 3^{b+1} R$ . It must follow that  $t_{i+2}$  is  $\bullet t_{i+2} = M_{i+2}+6 = 2^1 3^{b+2} R$ . This implies that there are no consecutive terms as:  $\blacksquare t_i, \bullet t_{i+1}$ . So any tail can be at best, an alternating sequence as:  $\blacksquare t_i, \bullet t_{i+1}, \blacksquare t_{i+2}, \bullet t_{i+3}, \dots$  (proofs in Appendix 3) Since  $3n+3$  sequence generation can be associated to  $2^a 3^b R$  representations for every  $M_i$ , we can by implication, determine what sub-sequences are permissible. Below, is an example showing the  $\mathcal{C}$ -sequence and its corresponding  $\mathcal{D}$ -sequence for  $n=53$  where  $M_1 = 3(53)+3 = 162$ . Observe that the sequence begins with an  $R$ -sub and in fact there are eight (contiguous), in all. They are explicitly itemized in Appendix 1.

**Example:**  $n = 53$ ,  $M_1 = 3(53)+3 = 162$

$$\begin{array}{cccccccc} \mathcal{C} \rightarrow & 162 & \longrightarrow & 246 & \longrightarrow & 372 & \longrightarrow & 282 & \longrightarrow & 426 & \longrightarrow & 642 & \longrightarrow & 966 & \longrightarrow & 1452 & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ \mathcal{D} \rightarrow & 2^3 3^1 \mathbf{7} & & 2^2 3^2 \mathbf{7} & & 2^1 3^3 \mathbf{7} & & 2^5 3^2 \mathbf{1} & & 2^4 3^3 \mathbf{1} & & 2^3 3^4 \mathbf{1} & & 2^2 3^5 \mathbf{1} & & 2^1 3^6 \mathbf{1} & \end{array}$$

$$\begin{array}{cccccccc} \mathcal{C} \rightarrow & 1092 & \longrightarrow & 822 & \longrightarrow & 1236 & \longrightarrow & 930 & \longrightarrow & 1398 & \longrightarrow & 2100 & \longrightarrow & 1578 & \longrightarrow & 2370 & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ \mathcal{D} \rightarrow & 2^1 3^2 \mathbf{61} & & 2^2 3^2 \mathbf{23} & & 2^1 3^3 \mathbf{23} & & 2^3 3^2 \mathbf{13} & & 2^2 3^3 \mathbf{13} & & 2^1 3^4 \mathbf{13} & & 2^4 3^2 \mathbf{11} & & 2^3 3^3 \mathbf{11} & \end{array}$$

$$\begin{array}{cccccccc} \mathcal{C} \rightarrow & 3558 & \longrightarrow & 5340 & \longrightarrow & 4008 & \longrightarrow & 1506 & \longrightarrow & 2262 & \longrightarrow & 3396 & \longrightarrow & 2550 & \longrightarrow & 3828 & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ \mathcal{D} \rightarrow & 2^2 3^4 \mathbf{11} & & 2^1 3^5 \mathbf{11} & & 2^1 3^2 \mathbf{223} & & 2^3 3^3 \mathbf{7} & & 2^2 3^4 \mathbf{7} & & 2^1 3^5 \mathbf{7} & & 2^2 3^2 \mathbf{71} & & 2^1 3^3 \mathbf{71} & \end{array}$$

$$\begin{array}{cccccccc} \mathcal{C} \rightarrow & 2874 & \longrightarrow & 4314 & \longrightarrow & 6474 & \longrightarrow & 9714 & \longrightarrow & 14574 & \longrightarrow & 21864 & \longrightarrow & 8202 & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ \mathcal{D} \rightarrow & 2^6 3^2 \mathbf{5} & & 2^5 3^3 \mathbf{5} & & 2^4 3^4 \mathbf{5} & & 2^3 3^5 \mathbf{5} & & 2^2 3^6 \mathbf{5} & & 2^1 3^7 \mathbf{5} & & 2^4 3^3 \mathbf{19} & \end{array}$$

$$\begin{array}{cccccccc} \mathcal{C} \rightarrow & 12306 & \longrightarrow & 18462 & \longrightarrow & 27696 & \longrightarrow & 5196 & \longrightarrow & 3900 & \longrightarrow & 2928 & \longrightarrow & 552 & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ \mathcal{D} \rightarrow & 2^3 3^4 \mathbf{19} & & 2^2 3^5 \mathbf{19} & & 2^1 3^6 \mathbf{19} & & 2^1 3^2 \mathbf{289} & & 2^1 3^2 \mathbf{217} & & 2^1 3^2 \mathbf{163} & & 2^1 3^2 \mathbf{31} & \end{array}$$

$$\begin{array}{cccc} \mathcal{C} \rightarrow & 210 & \longrightarrow & 318 & \longrightarrow & 480 & \longrightarrow & 48 \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \mathcal{D} \rightarrow & 2^3 3^3 \mathbf{1} & & 2^2 3^4 \mathbf{1} & & 2^1 3^5 \mathbf{1} & & 2^1 3^3 \mathbf{1} \end{array}$$

Note well that  $\mathcal{C}$ -sequences and  $\mathcal{D}$ -sequences may be constructed for any  $3n+k$  sequence. Their  $M_i$  terms could be written in the form:  $2^a 3^b R$  if  $k$  is divisible by 3 or just  $2^a R$ , otherwise. Additionally, there are structural patterns wrt. 1<sup>st</sup> ordering, if the  $t_i$  terms in their  $\mathcal{D}$ -sequences were defined instead as:

$$\blacktriangle t_i = \blacktriangle M_i + 6, \blacksquare t_i = \blacksquare M_i - 6 \text{ and } \bullet t_i = \bullet M_i.$$

**Definition 1.7.** Given an  $R$ -sub, there exists a first ( $f$ ), term  $\blacktriangle M_f$  and a last ( $l$ ), term  $\bullet M_l$ . Then let  $X \in \mathbb{N}_{odd}$  be  $\blacktriangle M_f$  divided by 2 (there is only one multiple of 2 by law 1). And let  $Y \in \mathbb{N}_{odd}$  be  $\bullet M_l$  divided by  $2^r$ , where  $r \geq 2$  (by definition). Then an  $R^X$ -sub is an  $R$ -sub such that  $\frac{2}{3}X < Y$ . And an  $R^Y$ -sub is an  $R$ -sub such that  $Y < \frac{2}{3}X$ . We denote an  $R^X$ -sub $_i$  as:  $(h, t \mid \blacktriangle t_f = 2^a 3^b R \mid \bullet t_l = 2^c 3^d R' \text{ or } R)$  is the  $i^{th}$   $R$ -sub such that  $\frac{2}{3}X_i < Y_i$ , where  $h$  (head), is the number of  $\blacktriangle$  terms and  $t$  (tail), is the number of  $\blacktriangle$  and  $\bullet$  terms for this  $R$ -sub.

Similarly, we denote an  $R^Y$ -sub $_i$  as:  $(h, t \mid \blacktriangle t_f = 2^a 3^b R \mid \bullet t_l = 2^c 3^d R' \text{ or } R)$  is the  $i^{th}$   $R$ -sub such that  $Y_i < \frac{2}{3}X_i$ .

## Tails

If the  $M_i$  terms in a  $\mathcal{C}$ -sequence corresponding to a *tail* (for an  $R$ -sub in the sequence), do not end with  $\bullet M_k = 48$ , then  $M_{k+1}$  will have  $\blacktriangle M_{k+1}$  designation. Moreover, every consecutive pair of  $\blacksquare \rightarrow \bullet$  terms have a property that distinguishes such pairs from one another. If  $N_{i+1}$  of  $\blacksquare M_i = X$  and  $N_{i+2}$  of  $\bullet M_{i+1} = Y$  and  $|X - Y|$  is divisible by 2, exactly once, the term  $M_{i+2}$  must be  $\blacktriangle M_{i+2}$  or  $\blacksquare M_{i+2} > \blacksquare M_i$ . Or, if  $|X - Y|$  is divisible by 4 or more, the term  $M_{i+2}$  must be  $\bullet M_{i+2}$ . If  $N_{i+1}$  of  $\blacksquare M_i = X$  and  $N_{i+2}$  of  $\bullet M_{i+1} = Y$  and  $|X - Y|$  is divisible by 2, exactly once, the term  $M_{i+2}$  must be  $\blacktriangle M_{i+2}$  or  $\blacksquare M_{i+2}$ . Or, if  $|X - Y|$  is divisible by 4 or more, the term  $M_{i+2}$  must be  $\bullet M_{i+2}$ .

Finally, if  $N_{i+1}$  of  $\overset{\blacksquare}{M_i}$  or  $\overset{\bullet}{M_i} = X$  and  $N_{j+1}$  of  $\overset{\blacksquare}{M_j}$  or  $\overset{\bullet}{M_j} = Y$ ,  $j > i + 1$ , and  $|X - Y|$  is divisible by 2, exactly once, the term  $M_{j+1}$  must be  $\overset{\blacktriangle}{M_{j+1}}$  or  $\overset{\blacksquare}{M_{j+1}}$ . And if  $|X - Y|$  is divisible by 4 or more, the term  $M_{j+1}$  must be  $\overset{\bullet}{M_{j+1}}$  or  $\overset{\blacktriangle}{M_{j+1}}$ .

In general, let a *tail* or a portion of a *tail* be:  $\overset{\blacksquare}{M_i} \rightarrow \overset{\bullet}{M_{i+1}} \rightarrow \dots \rightarrow \overset{\blacksquare}{M_j} \rightarrow \overset{\bullet}{M_{j+1}} \rightarrow \dots \rightarrow \overset{\bullet}{M_{j+k}} \rightarrow \overset{\blacktriangle}{M_{j+k+1}}$  or  $\overset{\blacksquare}{M_{j+k+1}} \rightarrow \overset{\bullet}{M_{j+k+2}}$ , if  $N_{j+1}$  of  $\overset{\blacksquare}{M_j} = X$  and  $N_{j+2}$  of  $\overset{\bullet}{M_{j+1}} = Y$  are st.  $|X - Y|$  is divisible by 4 or more. Then  $\frac{2}{3}(N_{i+1}) > N_{j+k+1}$ .

We shall call this the *cascade* of  $\overset{\blacksquare}{M_i} \rightarrow \overset{\bullet}{M_{i+1}} \rightarrow \dots \rightarrow \overset{\blacksquare}{M_j} \rightarrow \overset{\bullet}{M_{j+1}}$  alternating sequences, when  $X, Y$  of  $\overset{\blacksquare}{M_j}, \overset{\bullet}{M_{j+1}}$ , respectively, are such that  $|X - Y|$  is divisible by 4 or more. Note that  $M_{i-1}$  could be  $\overset{\blacktriangle}{M_{i-1}}$  or  $\overset{\bullet}{M_{i-1}}$  (with  $\overset{\bullet}{M_{i-2}}$ ).

We now define three particular  $R$ -sub forms that play a crucial role in proving that all  $3n+3$  sequences are  $\mathcal{T}$ -cyclic.

**Definition 1.8.** An  $H$ -form  $R$ -sub or  $H_F$  is denoted by  $(h, 2 \mid \overset{\blacktriangle}{2^a 3^b R} \mid \overset{\bullet}{2^1 3^{a+b-1} R})$ , a tail of length 2, and an  $L$ -form  $R$ -sub or  $L_F$  is denoted by  $(1, t \mid \overset{\blacktriangle}{2^3 3^2 R} \mid \overset{\bullet}{2^1 3^2 R'})$ , a head of length 1. And a maximum  $\overset{\blacktriangle}{H}$ -form or  $\overset{\bullet}{H_F}$  is denoted by  $(h, 2 \mid \overset{\blacktriangle}{2^a 3^1 R} \mid \overset{\bullet}{2^1 3^a R})$ . ie.  $3^b$  where  $b=1$ .

**Definition 1.9.** For  $3n+3$ , if  $N_i$  (odd), is such that  $2(N_i) - 3$  is not divisible by 3 and  $4(N_i) - 3$  is not divisible by 3, then  $N_i$  is a *dead-ender*.

A *dead-ender* cannot appear in a  $c$ -sequence as any  $N_i, i > 1$ , because it cannot be generated, by which we mean no  $N_{i-1}$  exists. It follows that the  $\overset{\blacktriangle}{M_f}$  term for any  $\overset{\blacktriangle}{H}$ -form is derived from a *dead-ender*. That is, a *dead-ender* can only be  $N_1$  where  $3(N_1)+3 = \overset{\blacktriangle}{M_f}$  of an  $R$ -sub<sub>1</sub>. This implies that such an  $\overset{\blacktriangle}{M_f}$  cannot be an  $M_i$  of a cycle.

## Non-trivial cycles and $3n+3$

For a non-trivial cycle to exist, one criteria must be met wrt. the  $R$ -sub structure for a given *ordering*. In particular, if  $M_{i-1}$  is not  $\overset{\triangle}{M}_{i-1}$  when  $M_i$  is  $\overset{\triangle}{M}_i$ , then it must be:  $M_{i-1} \xrightarrow{\bullet} \overset{\triangle}{M}_i$ . This is a  $2^{nd}$  *ordering* fact. We shall define a cycle of size  $o$ , an  $o$ -cycle, to be the number of  $M_i$  terms in the cycle. Of course, when considering all the terms of its associated  $c$ -sequence, the cycle size is necessarily  $> o$ . Then, with this definition of a cycle, we say that  $\mathcal{T}$ -cyclic, is a trivial 1-cycle with a term  $N_i \leq k$  for a  $3n+k$  sequence.

**Claim 1:** No  $3n+3$  sequence has an  $o$ -cycle,  $o \geq 2$  for any  $2^{nd}$  *ordering* cycle class:

- 1) Among the  $M_i$  terms of an  $o$ -cycle where  $o \geq 2$ , there does not exist an  $\overset{\triangle}{M}_i$  term. ie. the  $o$ -cycle does not contain an  $R$ -sub.
- 2) The  $M_i$  terms of an  $o$ -cycle where  $o \geq 2$ , are such that some  $R$ -sub or no  $R$ -sub, has a term  $\overset{\triangle}{t}_i = 2^a 3^1 R$  where  $a \geq 3$ ,  $b = 1$ , or a term  $\overset{\blacksquare}{t}_i = 2^2 3^1 R$  where  $a = 2$ ,  $b = 1$  and for both,  $R \in \mathbb{N}_{odd}$  and not divisible by 3.
- 3) Among the  $M_i$  terms of an  $o$ -cycle where  $o \geq 3$ , there exists  $M_i, M_j$  such that:  $\rightarrow M_i \rightarrow M_{i+1} \rightarrow \dots \rightarrow M_j \rightarrow \dots \rightarrow$  where  $\overset{\triangle}{M}_{i \pm 6} = \overset{\bullet}{M}_j$  or  $\overset{\blacksquare}{M}_{i \pm 6} = \overset{\bullet}{M}_j$ .
- 4) An  $o$ -cycle where none of the  $M_i$  terms are divisible by 3.

Note that an  $o$ -cycle may belong to more than one class.

Proof: First, we observe that there is no 2-cycle for any  $3n+k$  sequence of the form:  $\overset{\bullet}{M}_i \rightarrow \overset{\bullet}{M}_{i+1}$ . Simply, if  $\overset{\bullet}{M}_i > \overset{\bullet}{M}_{i+1}$  say, then it's not possible for  $M_{i+1} \rightarrow M_i$  to occur because by definition,  $M_{i+1}$  is divisible by at least 4. ie. it would produce a term smaller than itself. Thus, a 2-cycle must be of the form:  $\overset{\blacksquare}{M}_i \rightarrow \overset{\bullet}{M}_{i+1}$  since  $2^{nd}$  *orderings* do not have  $\overset{\blacksquare}{M}_i \rightarrow \overset{\blacksquare}{M}_{i+1}$  scenarios



and if an  $\overset{\triangle}{M}_i$  exists, so must an  $\overset{\blacksquare}{M}_j$  and  $\overset{\bullet}{M}_k$ , at the very least. Note well that a  $3n+3$  sequence or sub-sequence with just  $\overset{\blacksquare}{M}$  and  $\overset{\bullet}{M}$  terms cannot have an  $o$ -cycle because further generation of the sequence produces  $\overset{\bullet}{M}_i = 48$  or its  $c$ -sequence is some other trivial reduction to 3. Or, further generation of the sequence will produce an  $\overset{\triangle}{M}$  term, which would not be a Class 1 scenario.

Assume to the contrary, that there is a finite  $\mathcal{C}$ -sequence for some  $3n+3$  sequence, which is an  $o$ -cycle, having only  $\overset{\blacksquare}{M}$  and  $\overset{\bullet}{M}$  terms. Let  $\overset{\blacksquare}{M}_i$  be the largest of the  $\overset{\blacksquare}{M}$  terms. To start, there is no 2-cycle as:  $\overset{\blacksquare}{M}_i \rightarrow \overset{\bullet}{M}_{i+1} \rightarrow \overset{\blacksquare}{M}_{i+2} = \overset{\blacksquare}{M}_i$  because if  $\overset{\bullet}{M}_{i+1}$  is divisible by just 4 and produces an  $\overset{\blacksquare}{M}$  term, then  $\overset{\blacksquare}{M}_{i+2} > \overset{\blacksquare}{M}_i$ , but  $\overset{\blacksquare}{M}_i$  was assumed to be the largest. However, if  $\overset{\bullet}{M}_{i+1}$  is divisible by 8 or more and produces an  $\overset{\blacksquare}{M}$  term, then  $\overset{\blacksquare}{M}_{i+2} < \overset{\blacksquare}{M}_i$ . ie.  $\overset{\blacksquare}{M}_{i+2} \neq \overset{\blacksquare}{M}_i$ . If we consider a 3-cycle, it must be:  $\overset{\blacksquare}{M}_i \rightarrow \overset{\bullet}{M}_{i+1} \rightarrow \overset{\bullet}{M}_{i+2}$ . And if both  $\overset{\bullet}{M}_{i+1}$  and  $\overset{\bullet}{M}_{i+2}$  were divisible by only 4 each, it would still be the case that  $3(\frac{\overset{\bullet}{M}_{i+2}}{4}) + 3 < \overset{\blacksquare}{M}_i$ . Now, consider a 4-cycle of alternating form:  $\overset{\blacksquare}{M}_i \rightarrow \overset{\bullet}{M}_{i+1} \rightarrow \overset{\blacksquare}{M}_{i+2} \rightarrow \overset{\bullet}{M}_{i+3}$ . However, since  $\overset{\bullet}{M}_{i+1}$  had to be divisible by at least 8, which ensures even if  $\overset{\bullet}{M}_{i+3}$  is only divisible by 4, that  $3(\frac{\overset{\bullet}{M}_{i+3}}{4}) + 3 < \overset{\blacksquare}{M}_i$ , there can be no 4-cycle. Of course, we have the same result with  $\overset{\bullet}{M}_i \rightarrow \overset{\blacksquare}{M}_{i+1} \rightarrow \overset{\bullet}{M}_{i+2} \rightarrow \overset{\blacksquare}{M}_{i+3}$ . For any longer sequences, we need only use the result in section Tails. ie. choosing any  $\overset{\blacksquare}{M}$  to be  $\overset{\blacksquare}{M}_i$ , we would have that  $3(\frac{\overset{\bullet}{M}_{i-1}}{2^r}) + 3 < \overset{\blacksquare}{M}_i$  or  $> \overset{\blacksquare}{M}_i$  where either case is a contradiction. Therefore, no  $3n+3$  sequence has an  $o$ -cycle of any size  $\geq 2$ , having just  $\overset{\blacksquare}{M}$  and  $\overset{\bullet}{M}$  terms.

Next, no  $3n+3$  sequence has a Class 2,  $o$ -cycle because if  $b = 1$ , then  $N_i$  for  $\overset{\triangle}{M}_i$  is a *dead-ender*, so it cannot be generated. And if  $\overset{\blacksquare}{t}_i = 2^2 3^b R$  is such that  $b = 1$ , then its  $N_i$ , is a *dead-ender*. For Class 3, observe wrt.  $3n+3$  sequences, that i)  $\overset{\triangle}{M}_{i+6} = \overset{\triangle}{t}_i$  can be expressed as:  $2^a 3^b R$  where  $a \geq 3$ , for  $\overset{\triangle}{t}_i$  to be  $\overset{\triangle}{t}_i$ ,  $b \geq 2$  if  $N_i$  can't be a *dead-ender* and  $R \in \mathbb{N}_{odd}$  and not divisible by 3. ii)  $\overset{\triangle}{M}_{i-6}$  can be expressed as:  $2^2 3^1 R$ , by law 1, where  $b = 1$ . iii)  $\overset{\blacksquare}{M}_{i+6} = \overset{\blacksquare}{t}_i$  can be expressed as:  $2^2 3^b R$  where  $b \geq 2$ . And iv)  $\overset{\blacksquare}{M}_{i-6}$  can be expressed

as:  $2^a 3^1 R$  where  $a \geq 3$ , by law 1 and  $b = 1$ . Observe for i) and iii), that  $b \geq 2$ . But  $\overset{\bullet}{M}_j$  can be expressed as:  $2^a 3^1 S$  where  $b = 1$ ,  $S \in \mathbb{N}_{odd}$  and not divisible by 3, wrt.  $3n+3$  sequences. ie.  $\overset{\blacktriangle}{M}_{i+6} \neq \overset{\bullet}{M}_j$  and  $\overset{\blacksquare}{M}_{i+6} \neq \overset{\bullet}{M}_j$ . Now, observe wrt.  $3n+k$  sequences in general, that ii)  $\overset{\blacktriangle}{M}_{i-6}$  can be expressed as:  $2^2 3^b R$  where  $a = 2$ ,  $b \geq 0$ ,  $R \in \mathbb{N}_{odd}$  and not divisible by 3 and that iv)  $\overset{\blacksquare}{M}_{i-6}$  can be expressed as:  $2^a 3^b R$  where  $a \geq 3$ ,  $b \geq 0$ ,  $R \in \mathbb{N}_{odd}$  and not divisible by 3.

For ii) and iv), another criteria exists which is: suppose  $\overset{\bullet}{M}_j = 2^a 3^b S$  where  $a \geq 2$ ,  $b \geq 0$ ,  $S \in \mathbb{N}_{odd}$  and not divisible by 3, then it is required that  $R$  of  $\overset{\blacktriangle}{M}_i$  or  $\overset{\blacksquare}{M}_i = r(S) + q$ , where  $r = 2^c$ ,  $c \in \mathbb{N}_{>0}$  and  $q > 1$ . However, it is always the case that there exists a  $c$ , so that  $q = 1$ , for any  $\overset{\blacktriangle}{M}_i$  or  $\overset{\blacksquare}{M}_i$  from a  $3n+3$  sequence. Therefore, no  $3n+3$  sequence has a Class 3,  $o$ -cycle.

For Class 4, recall that every  $M_i$  of a  $3n+3$  sequence is divisible by 3. We've omitted the proof that there are only the four classes of  $o$ -cycles for  $2^{nd}$  orderings in Claim 1, because it's lengthy and secondary to establishing that every  $3n+3$  sequence is  $\mathcal{T}$ -cyclic. The  $o$ -cycles were introduced as a point of interest wrt.  $R$ -sub structure. Appendix 3 contains some examples of  $3n+k$  sequences of  $2^{nd}$  ordering, for each class, having varying cycle sizes.

**Claim 2:** Let  $M_i = 3(N_i) + 3$  and  $M'_i = 3(N'_i) + 1$  be for  $3n+3$  and  $3n+1$ , respectively. Further, let  $\mathbf{n}$  and  $\mathbf{n}'$  be the first odd terms for the respective sequences where  $\mathbf{n} = 2(\mathbf{n}') - 1$ . Then  $3(M'_{i-1}) = M_i \quad \forall \mathbf{n}, \mathbf{n}' \in \mathbb{N}_{odd}$ .

Proof: Given a pair,  $\mathbf{n}, \mathbf{n}'$  we have  $\mathbf{n} = 2(\mathbf{n}') - 1$ . Let  $M'_1 = 3(\mathbf{n}') + 1$ . Then by the claim,  $M_2 = 9(\mathbf{n}') + 3$ .

We also have that:  $M_1 = 3(\mathbf{n}) + 3 = 3(2\mathbf{n}' - 1) + 3 = 6(\mathbf{n}') - 3 + 3 = 6(\mathbf{n}')$ . So,  $N_2 = \frac{6(\mathbf{n}')}{2} = 3(\mathbf{n}')$  where  $3(N_2) + 3 = M_2 = 9(\mathbf{n}') + 3$ . It follows that  $3(M'_{i-1}) = M_i \quad \forall i \in \mathbb{N}_{>0}$ . This is equivalent to  $\frac{M_i}{2^k} + 1 = N_{i+1} + 1 = M'_i$  where  $k$  is the number of 2 multiples of  $M'_{i-1}$  and of  $M_i$ .

In Appendix 2, we show the  $3n+1$   $c$ -sequence for  $\mathbf{n}' = 27$ . Below that we show its corresponding  $\mathcal{C}$ -sequence ( $M'_i = 3(N'_i)+1$ ), where the terms are coloured wrt. their desig. Followed by the conversion of the  $\mathcal{C}$ -sequence for the  $3n+3$  sequence with  $\mathbf{n} = 53$  to the  $\mathcal{C}$ -sequence for the  $3n+1$  sequence with  $\mathbf{n}' = 27$ .

Observe that if no  $3n+3$  sequence has a non-trivial cyclic sequence, then no  $3n+1$  sequence has a non-trivial cyclic sequence. It remains to be established that no  $3n+3$  sequence is divergent where the issue of non-trivial cyclic sequences is addressed as well. Had we offered the proof that  $2^{nd}$  orderings admit only the four classes of  $o$ -cycles in Claim 1, and with recent results suggesting divergence has been dispensed particularly wrt.  $3n+1$  sequences, the paper could have ended here.

### Law 3: $\mathcal{S}$ -strings and the $L$ -form

The  $L$ -form and the  $H$ -form could be viewed as the two extremes of  $R$ -subs since  $L$ -forms have the shortest *head*, of length one with  $t_f^{\blacktriangle} = 2^3 3^2 R$ ,  $t_l^{\bullet} = 2^1 3^2 R'$  and  $H$ -forms have the shortest *tail*, of length two. In other words, they govern the  $R$ -sub lower and upper bounds for  $3n+3$  generation.

Next, the  $R$ -subs are partitioned or grouped in similar fashion as the  $t^{\blacktriangle}$ ,  $t^{\bullet}$  and  $t^{\blacksquare}$  terms for the  $R$ -subs were wrt. the distinction between head and tail.

**Definition 1.10.** *An  $\mathcal{S}$ -string is a collection of contiguous  $R$ -subs:  $R\text{-sub}_i, R\text{-sub}_{i+1}, R\text{-sub}_{i+2}, \dots R\text{-sub}_j, R\text{-sub}_{j+1}, R\text{-sub}_{j+2}, \dots R\text{-sub}_k$  where the  $R\text{-sub}_i, R\text{-sub}_{i+1}, R\text{-sub}_{i+2}, \dots, R\text{-sub}_j$  must be  $R^X$ -subs and the  $R\text{-sub}_j, R\text{-sub}_{j+1}, R\text{-sub}_{j+2}, \dots R\text{-sub}_k$ ,  $R$ -subs must be  $R^Y$ -subs. There are exactly three types of  $\mathcal{S}$ -strings.  $\mathbf{T}_1$  has no  $L$ -form ( $L_F$ ),  $R^Y$ -sub.  $\mathbf{T}_2$  has at least one  $L_F$   $R^Y$ -sub and one non- $L_F$   $R^Y$ -sub. And  $\mathbf{T}_3$  has only  $L_F$   $R^Y$ -subs. Every  $\mathcal{S}$ -string belongs to exactly one, of types  $\mathbf{T}_1$ ,  $\mathbf{T}_2$  or  $\mathbf{T}_3$ .*

The  $R^X$ -subs followed by the  $R^Y$ -subs of an **S**-string shall be the *head* and *tail*, respectively. **S**-strings are contiguous and are indexed as: **S**-string<sub>1</sub>, **S**-string<sub>2</sub>, **S**-string<sub>3</sub>, ... , and we denote a certain **S**-string<sub>*i*</sub> by its type as:  $\mathbf{S}_i^{T_1}$ ,  $\mathbf{S}_i^{T_2}$  or  $\mathbf{S}_i^{T_3}$ .

Lastly, we group contiguous **S**-strings of the same type together, which we call an **S**-string *train*. It should be clear that no two **S**-string *trains* of the same type are ever contiguous.

### Law 3

- i) All  $\mathcal{D}$ -sequences for non-trivial  $3n+3$  sequences contain  $t_l = 54$ .
- ii) If there exists  $L$ -forms  $R^Y$ -sub<sub>*i*</sub> and  $R^Y$ -sub<sub>*j*</sub>,  $j > i$ , then  $Y_j < Y_i$ .
- iii) Let  $Y_s$  be from the highest indexed  $R^Y$ -sub<sub>*s*</sub> of **S**-string *train<sub>j</sub>* and let  $Y_r$  be from the highest indexed  $R^Y$ -sub<sub>*r*</sub> of **S**-string *train<sub>i</sub>* where  $j > i$ , then  $Y_s < Y_r$ .

In Appendix 1, we provide two non-trivial examples of  $3n+3$  sequences, showing their  $R$ -sub and **S**-string and **S**-string *train* structures. They were devised from their corresponding non-trivial examples of  $3n+1$  sequences, namely,  $\mathbf{n}' = 27$  and  $\mathbf{n}' = 63, 728, 127$ . For  $\mathbf{n} = 2(27) - 1 = 53$  there are two **S**-strings, both of which are type **T**<sub>1</sub>, so one **S**-string *train*. And for  $\mathbf{n} = 2(63, 728, 127) - 1 = 127, 456, 253$  there are thirteen **S**-strings in order: **T**<sub>1</sub>, **T**<sub>2</sub>, **T**<sub>1</sub>, **T**<sub>1</sub>, **T**<sub>1</sub>, **T**<sub>1</sub>, **T**<sub>3</sub>, **T**<sub>3</sub>, **T**<sub>3</sub>, **T**<sub>3</sub>, **T**<sub>3</sub>, **T**<sub>1</sub> and **T**<sub>2</sub>. And there exists three **S**-string *trains* of type **T**<sub>1</sub> (two having just one **S**-string each), one **S**-string *train* of type **T**<sub>3</sub> and two **S**-string *trains* of type **T**<sub>2</sub> (both having just one **S**-string each).

## Final comments

We remark on why the numbers in a  $c$ -sequence for  $3n+3$  and  $3n+1$ , increase and decrease the way that they do. There are four reasons in all for the more interesting cases. First, there is the increase and decrease due to the structure of  $R$ -subs. There is the increase and small decrease and then another increase from head to head terms and then the increase and decrease from tail to tail terms. Second, within a given  $\mathbf{S}$ -string there are increases and decreases (and increases again), among the  $R$ -subs. Third, within a given  $\mathbf{S}$ -string *train* there could be increases and decreases among the  $\mathbf{S}$ -strings. Fourth,  $\mathbf{S}$ -string *trains* of different types are interspersed amongst each other, sometimes producing yet another dramatic difference wrt. the size of the numbers between the  $\mathbf{S}$ -string *trains*. So, all four scenarios could be involved, as it was for  $\mathbf{n} = 127,456,253$ . For non-trivial cases, it is the  $L$ -form and  $H$ -form  $R$ -subs that determine the bounds at every stage of a sequence generation.

## Resources

- 1) [https://en.wikipedia.org/wiki/Collatz\\_conjecture](https://en.wikipedia.org/wiki/Collatz_conjecture)
- 2) <https://www.mathcelebrity.com/collatz.php>
- 3) <https://www.dcode.fr/collatz-conjecture>

**Definition 1.11.** *A dead-ender's cap  $O$ , is an even number of the form:  $2^k N_i$  where  $k \geq 1$  and  $N_i$  is a dead-ender.*

This definition was not required for the paper. Note that an  $O$  is not of the form  $3(N_i)+3$ , so it's not part of a  $\mathcal{C}$ -sequence.

## Appendix 1

For  $\mathbf{n} = 2(27) - 1 = 53$  which has 2 **S**-strings having 4 *R*-subs each.

$$R^X\text{-sub}_1 = (1, 2 \mid \overset{\triangle}{2^3 3^1 7} \mid \overset{\bullet}{2^1 3^3 7}) \quad \text{--- } \overset{\mathbf{max}}{H}\text{-form}$$

$$R^X\text{-sub}_2 = (3, 5 \mid \overset{\triangle}{2^5 3^2 1} \mid \overset{\bullet}{2^1 3^3 2 3})$$

$$R^X\text{-sub}_3 = (1, 2 \mid \overset{\triangle}{2^3 3^2 1 3} \mid \overset{\bullet}{2^1 3^4 1 3}) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_4 = (2, 3 \mid \overset{\triangle}{2^4 3^2 1 1} \mid \overset{\bullet}{2^1 3^2 2 2 3})$$

**S**-string<sub>1</sub> above, is type **T**<sub>1</sub> having no *L*-form.  $Y_4 = 501$ .

$$R^X\text{-sub}_5 = (1, 4 \mid \overset{\triangle}{2^3 3^3 7} \mid \overset{\bullet}{2^1 3^3 7 1})$$

$$R^X\text{-sub}_6 = (4, 2 \mid \overset{\triangle}{2^6 3^2 5} \mid \overset{\bullet}{2^1 3^7 5}) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_7 = (2, 6 \mid \overset{\triangle}{2^4 3^3 1 9} \mid \overset{\bullet}{2^1 3^2 3 1})$$

$$R^Y\text{-sub}_8 = (1, 3 \mid \overset{\triangle}{2^3 3^3 1} \mid \overset{\bullet}{2^1 3^3 1})$$

**S**-string<sub>2</sub> above, is type **T**<sub>1</sub>. Since both **S**-string<sub>1</sub> and **S**-string<sub>2</sub> are **T**<sub>1</sub> then they are an **S**-string *train* of type **T**<sub>1</sub>, which contains  $\overset{\bullet}{t}_l = 54$ , and  $Y_8 < Y_4$ .

For  $\mathbf{n} = 2(63, 728, 127) - 1 = 127, 456, 253$  having 13 **S**-strings and 54  $R$ -subs.

$$\begin{aligned}
R^X\text{-sub}_1 &= (8, 2 \mid 2^{10}3^1 \overset{\triangle}{124469} \mid 2^13^{10} \overset{\bullet}{124469}) & \text{--- } \overset{\mathbf{max}}{H}\text{-form} \\
R^X\text{-sub}_2 &= (5, 2 \mid 2^73^29570013 \mid 2^13^89570013) & \text{--- } H\text{-form} \\
R^X\text{-sub}_3 &= (6, 2 \mid 2^83^240878161 \mid 2^13^940878161) & \text{--- } H\text{-form} \\
R^X\text{-sub}_4 &= (4, 2 \mid 2^63^387305213 \mid 2^13^887305213) & \text{--- } H\text{-form} \\
R^Y\text{-sub}_5 &= (2, 6 \mid 2^43^25966765651 \mid 2^13^333982594997) \\
R^Y\text{-sub}_6 &= (1, 5 \mid 2^33^33185868281 \mid 2^13^36048171815)
\end{aligned}$$

**S**-string<sub>1</sub> above, is type **T**<sub>1</sub> having no  $L$ -form.

$$\begin{aligned}
R^X\text{-sub}_7 &= (2, 5 \mid 2^43^21701048323 \mid 2^13^312917335703) \\
R^Y\text{-sub}_8 &= (1, 8 \mid 2^33^27266001333 \mid 2^13^37759152791) \\
R^Y\text{-sub}_9 &= (1, 7 \mid 2^33^24364523445 \mid 2^13^22330374213) & \text{--- } L\text{-form}
\end{aligned}$$

**S**-string<sub>2</sub> above, is type **T**<sub>2</sub> having 1  $L_f$  and 1 non  $L_f$ .

$$\begin{aligned}
R^X\text{-sub}_{10} &= (1, 2 \mid 2^33^2436945165 \mid 2^13^4436945165) & \text{--- } H\text{-form} \\
R^X\text{-sub}_{11} &= (2, 3 \mid 2^43^2368672483 \mid 2^13^27465617781) \\
R^X\text{-sub}_{12} &= (2, 3 \mid 2^43^2699901667 \mid 2^13^214173008757) \\
R^X\text{-sub}_{13} &= (2, 4 \mid 2^43^21328719571 \mid 2^13^220179928485) \\
R^Y\text{-sub}_{14} &= (1, 3 \mid 2^33^23783736591 \mid 2^13^34256703665)
\end{aligned}$$

**S**-string<sub>3</sub> above, is type **T**<sub>1</sub>.

$$R^X\text{-sub}_{15} = (1, 3 \mid \overset{\triangle}{2^3 3^2 5 9 8 5 9 8 9 5 3} \mid \overset{\bullet}{2^1 3^2 4 0 4 0 5 4 2 9 3 3}) \quad \text{--- } L\text{-form}$$

$$R^Y\text{-sub}_{16} = (4, 5 \mid \overset{\triangle}{2^6 3^2 9 4 7 0 0 2 2 5} \mid \overset{\bullet}{2^1 3^3 8 0 9 0 2 1 0 6 3})$$

$$R^Y\text{-sub}_{17} = (3, 2 \mid \overset{\triangle}{2^5 3^2 1 1 3 7 6 8 5 8 7} \mid \overset{\bullet}{2^1 3^6 1 1 3 7 6 8 5 8 7}) \quad \text{--- } H\text{-form}$$

**S-string**<sub>4</sub> above, is type **T**<sub>1</sub>.

$$R^X\text{-sub}_{18} = (4, 6 \mid \overset{\triangle}{2^6 3^3 8 9 9 9 2 7 3} \mid \overset{\bullet}{2^1 3^2 2 0 7 5 7 7 3 7 1 7})$$

$$R^X\text{-sub}_{19} = (3, 2 \mid \overset{\triangle}{2^5 3^2 9 7 3 0 1 8 9 3} \mid \overset{\bullet}{2^1 3^6 9 7 3 0 1 8 9 3}) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{20} = (3, 2 \mid \overset{\triangle}{2^5 3^2 3 6 9 4 4 3 1 2 5} \mid \overset{\bullet}{2^1 3^6 3 6 9 4 4 3 1 2 5}) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{21} = (1, 8 \mid \overset{\triangle}{2^3 3^2 5 6 1 0 9 1 7 4 6 1} \mid \overset{\bullet}{2^1 3^2 3 5 9 5 0 4 1 9 3 9 7}) \quad \text{--- } L\text{-form}$$

$$R^X\text{-sub}_{22} = (1, 4 \mid \overset{\triangle}{2^3 3^2 6 7 4 0 7 0 3 6 3 7} \mid \overset{\bullet}{2^1 3^3 2 2 7 4 9 8 7 4 7 7 5})$$

$$R^Y\text{-sub}_{23} = (1, 6 \mid \overset{\triangle}{2^3 3^2 1 2 7 9 6 8 0 4 5 6 1} \mid \overset{\bullet}{2^1 3^3 6 0 7 3 4 8 3 4 1 5})$$

$$R^Y\text{-sub}_{24} = (1, 8 \mid \overset{\triangle}{2^3 3^2 3 4 1 6 3 3 4 4 2 1} \mid \overset{\bullet}{2^1 3^3 3 6 4 8 2 0 4 7 7 5})$$

$$R^Y\text{-sub}_{25} = (2, 3 \mid \overset{\triangle}{2^4 3^2 1 0 2 6 0 5 7 5 9 3} \mid \overset{\bullet}{2^1 3^2 5 1 9 4 4 1 6 5 6 5})$$

$$R^Y\text{-sub}_{26} = (2, 2 \mid \overset{\triangle}{2^4 3^2 4 8 6 9 7 6 5 5 3} \mid \overset{\bullet}{2^1 3^5 4 8 6 9 7 6 5 5 3}) \quad \text{--- } H\text{-form}$$

**S-string**<sub>5</sub> above, is type **T**<sub>1</sub>.

$$R^X\text{-sub}_{27} = (3, 4 \mid \overset{\triangle}{2^5 3^2 1 5 4 0 8 2 4 2 5} \mid \overset{\bullet}{2^1 3^3 1 1 7 0 0 6 3 4 1 5})$$

$$R^X\text{-sub}_{28} = (1, 7 \mid \overset{\triangle}{2^3 3^2 6 5 8 1 6 0 6 7 1} \mid \overset{\bullet}{2^1 3^3 2 9 2 8 4 6 1 5})$$

$$R^X\text{-sub}_{29} = (3, 2 \mid \overset{\triangle}{2^5 3^2 4 1 1 8 1 4 9} \mid \overset{\bullet}{2^1 3^6 4 1 1 8 1 4 9}) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{30} = (3, 9 \mid \overset{\triangle}{2^4 3^3 1 5 6 3 6 0 9 7} \mid \overset{\bullet}{2^1 3^2 3 3 8 1 2 1 0 5 5})$$

$$R^Y\text{-sub}_{31} = (1, 3 \mid \overset{\triangle}{2^3 3^3 1 0 5 6 6 2 8 3} \mid \overset{\bullet}{2^1 3^2 2 1 3 9 6 7 2 3 1})$$

$$R^Y\text{-sub}_{32} = (3, 3 \mid \overset{\triangle}{2^5 3^3 1 6 7 1 6 1 9} \mid \overset{\bullet}{2^1 3^2 3 0 4 6 5 2 5 6 3})$$



**S**-string<sub>6</sub> above, is type **T**<sub>1</sub>. Since **S**-string<sub>3</sub>, **S**-string<sub>4</sub>, **S**-string<sub>5</sub> and **S**-string<sub>6</sub> are **T**<sub>1</sub> then together, they are the second **S**-string *train* of type **T**<sub>1</sub>. So comparing with the first (trivial) **S**-string *train*, namely, **S**-string<sub>1</sub>, we find that  $Y_{32} < Y_6$ .

$$R^X\text{-sub}_{33} = (1, 2 \mid 2^3 3^2 14280589 \mid 2^1 3^4 14280589) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{34} = (2, 2 \mid 2^4 3^2 12049247 \mid 2^1 3^5 12049247) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{35} = (1, 3 \mid 2^3 3^2 60999313 \mid 2^1 3^2 411745363) \quad \text{--- } L\text{-form}$$

**S**-string<sub>7</sub> above, is type **T**<sub>3</sub> having just one  $L_f$ .

$$R^X\text{-sub}_{36} = (3, 2 \mid 2^5 3^2 4825141 \mid 2^1 3^6 4825141) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{37} = (1, 13 \mid 2^3 3^2 73281829 \mid 2^1 3^2 13927807) \quad \text{--- } L\text{-form}$$

**S**-string<sub>8</sub> above, is type **T**<sub>3</sub> having just one  $L_f$ .

$$R^X\text{-sub}_{38} = (3, 3 \mid 2^5 3^3 108811 \mid 2^1 3^2 19830805)$$

$$R^X\text{-sub}_{39} = (3, 3 \mid 2^5 3^2 929569 \mid 2^1 3^2 56471317)$$

$$R^X\text{-sub}_{40} = (3, 2 \mid 2^5 3^2 2647093 \mid 2^1 3^6 2647093) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{41} = (1, 7 \mid 2^3 3^2 40202725 \mid 2^1 3^2 167701) \quad \text{--- } L\text{-form}$$

**S**-string<sub>9</sub> above, is type **T**<sub>3</sub> having just one  $L_f$ .

$$R^X\text{-sub}_{42} = (3, 2 \mid 2^5 3^2 7861 \mid 2^1 3^6 7861) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{43} = (1, 2 \mid 2^3 3^2 119389 \mid 2^1 3^4 119389) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{44} = (1, 2 \mid 2^3 3^2 201469 \mid 2^1 3^4 201469) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{45} = (1, 3 \mid 2^3 3^2 339979 \mid 2^1 3^2 573715) \quad \text{--- } L\text{-form}$$

$\mathbf{S}$ -string<sub>10</sub> above, is type  $\mathbf{T}_3$  having just one  $L_f$ .

$$R^X\text{-sub}_{46} = (1, 2 \mid 2^3 3^2 \overset{\blacktriangle}{2} 6 8 9 3 \mid 2^1 3^4 \overset{\bullet}{2} 6 8 9 3) \quad \text{--- } H\text{-form}$$

$$R^X\text{-sub}_{47} = (2, 3 \mid 2^4 3^2 \overset{\blacktriangle}{2} 2 6 9 1 \mid 2^1 3^2 4 \overset{\bullet}{5} 9 4 9 3)$$

$$R^Y\text{-sub}_{48} = (1, 3 \mid 2^3 3^2 \overset{\blacktriangle}{8} 6 1 5 5 \mid 2^1 3^2 1 \overset{\bullet}{4} 5 3 8 7) \quad \text{--- } L\text{-form}$$

$\mathbf{S}$ -string<sub>11</sub> above, is type  $\mathbf{T}_3$  having just one  $L_f$ . We have that  $\mathbf{S}_7^{T_3}$ ,  $\mathbf{S}_8^{T_3}$ ,  $\mathbf{S}_9^{T_3}$ ,  $\mathbf{S}_{10}^{T_3}$  and  $\mathbf{S}_{11}^{T_3}$  are one  $\mathbf{S}$ -string *train* of type  $\mathbf{T}_3$ . Note that  $Y_{48} < Y_{35}$ .

$$R^X\text{-sub}_{49} = (3, 2 \mid 2^5 3^3 \overset{\blacktriangle}{7} 1 \mid 2^1 3^7 \overset{\bullet}{7} 1) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{50} = (1, 4 \mid 2^3 3^2 \overset{\blacktriangle}{3} 2 3 5 \mid 2^1 3^4 \overset{\bullet}{4} 5 5)$$

$\mathbf{S}$ -string<sub>12</sub> above, is type  $\mathbf{T}_1$ . Then if we compare the three  $\mathbf{S}$ -string *trains* of type  $\mathbf{T}_1$  we find that  $Y_{50} < Y_{32} < Y_6$ .

$$R^X\text{-sub}_{51} = (8, 3 \mid 2^{10} 3^3 \overset{\blacktriangle}{1} \mid 2^1 3^2 4 \overset{\bullet}{4} 2 8 7)$$

$$R^X\text{-sub}_{52} = (4, 2 \mid 2^6 3^3 \overset{\blacktriangle}{1} 7 3 \mid 2^1 3^8 \overset{\bullet}{1} 7 3) \quad \text{--- } H\text{-form}$$

$$R^Y\text{-sub}_{53} = (1, 22 \mid 2^3 3^2 \overset{\blacktriangle}{2} 3 6 4 7 \mid 2^1 3^2 \overset{\bullet}{8} 5) \quad \text{--- } L\text{-form}$$

$$R^Y\text{-sub}_{54} = (5, 10 \mid 2^7 3^2 \overset{\blacktriangle}{1} \mid 2^1 3^3 \overset{\bullet}{1})$$

$\mathbf{S}$ -string<sub>13</sub> above, is type  $\mathbf{T}_2$  having 1  $L_f$  and 1 non- $L_f$ . Then comparing  $\mathbf{S}_2^{T_2}$ , and  $\mathbf{S}_{13}^{T_2}$  (two *trains* being one  $\mathbf{S}$ -string each), we find that  $Y_{54} < Y_9$ .

Observe that each  $\mathbf{S}$ -string *train's* highest indexed  $Y$  value is less than each of the previous  $\mathbf{S}$ -string *trains'* highest indexed  $Y$  values. Moreover, Law 3 is obeyed in both examples above.

## Appendix 2

The  $3n+1$  sequence for  $\mathbf{n}' = 27 \rightarrow$  82, 41, 124, 62, 31, 94, 47, 142, 71, 214, 107, 322, 161, 484, 242, 121, 364, 182, 91, 274, 137, 412, 206, 103, 310, 155, 466, 233, 700, 350, 175, 526, 263, 790, 395, 1186, 593, 1780, 890, 445, 1336, 668, 334, 167, 502, 251, 754, 377, 1132, 566, 283, 850, 425, 1276, 638, 319, 958, 479, 1438, 719, 2158, 1079, 3238, 1619, 4858, 2429, 7288, 3644, 1822, 911, 2734, 1367, 4102, 2051, 6154, 3077, 9232, 4616, 2308, 1154, 577, 1732, 866, 433, 1300, 650, 325, 976, 488, 244, 122, 61, 184, 92, 46, 23, 70, 35, 106, 53, 160, 80, 40, 20, 10, 5, 16, 8, 4, 2, 1

The  $c$ -sequence above, contains the  $\mathcal{C}$ -sequence  $\rightarrow$  82, 124, 94, 142, 214, 322, 484, 364, 274, 412, 310, 466, 700, 526, 790, 1186, 1780, 1336, 502, 754, 1132, 850, 1276, 958, 1438, 2158, 3238, 4858, 7288, 2734, 4102, 6154, 9232, 1732, 1300, 976, 184, 70, 106, 160, 16

From the  $3n+3$   $\mathcal{C}$ -sequence for  $\mathbf{n} = 2(27) - 1 = 53$ , we obtain the  $3n+1$   $\mathcal{C}$ -sequence for  $\mathbf{n}' = 27$  by  $\frac{M_i}{2^k} + 1 = N_{i+1} + 1 = M'_i$  as follows:

$$\begin{aligned} \mathbf{n} = 53 &\rightarrow \frac{162}{2^1} + 1 = 82, \frac{246}{2^1} + 1 = 124, \frac{372}{2^2} + 1 = 94, \frac{282}{2^1} + 1 = 142, \\ \frac{426}{2^1} + 1 &= 214, \frac{642}{2^1} + 1 = 322, \frac{966}{2^1} + 1 = 484, \frac{1452}{2^2} + 1 = 364, \frac{1092}{2^2} + 1 = 274, \\ \frac{822}{2^1} + 1 &= 412, \frac{1236}{2^2} + 1 = 310, \frac{930}{2^1} + 1 = 466, \frac{1398}{2^1} + 1 = 700, \frac{2100}{2^2} + 1 = 526, \\ \frac{1578}{2^1} + 1 &= 790, \frac{2370}{2^1} + 1 = 1186, \frac{3558}{2^1} + 1 = 1780, \frac{5340}{2^2} + 1 = 1336, \\ \frac{4008}{2^3} + 1 &= 502, \frac{1506}{2^1} + 1 = 754, \frac{2262}{2^1} + 1 = 1132, \frac{3396}{2^2} + 1 = 850, \\ \frac{2550}{2^1} + 1 &= 1276, \frac{3828}{2^2} + 1 = 958, \frac{2874}{2^1} + 1 = 1438, \frac{4314}{2^1} + 1 = 2158, \\ \frac{6474}{2^1} + 1 &= 3238, \frac{9714}{2^1} + 1 = 4858, \frac{14574}{2^1} + 1 = 7288, \frac{21864}{2^3} + 1 = 2734, \\ \frac{8202}{2^1} + 1 &= 4102, \frac{12306}{2^1} + 1 = 6154, \frac{18462}{2^1} + 1 = 9232, \frac{27696}{2^4} + 1 = 1732, \\ \frac{5196}{2^2} + 1 &= 1300, \frac{3900}{2^2} + 1 = 976, \frac{2928}{2^4} + 1 = 184, \frac{552}{2^3} + 1 = 70, \\ \frac{210}{2^1} + 1 &= 106, \frac{318}{2^1} + 1 = 160, \frac{480}{2^5} + 1 = 16 \end{aligned}$$

## Appendix 3

### Proof for Law 1

Let  $M_i = 3(N_i) + 3$  where  $N_i$  is odd. Suppose  $M_i$  is divisible by 2 exactly once. Then, let  $3(N_i) + 3 = 2R$  where  $R, R'$  and  $R'' \in \mathbb{N}_{\text{odd}}$ . Then,  $M_i + 6 = 2R + 6 = 2(R+3)$ . But  $R+3$  must be even, so let it be  $2R'$ . Then,  $M_i + 6 = 2^2 R'$  must be divisible by at least 4. Suppose it's exactly 4. Now, we have that  $M_i - 6 = 2R - 6 = 2(R-3)$ . But  $R-3$  must be even, so let it be  $2R''$ . Then,  $M_i - 6 = 2^2 R''$  must be divisible by at least 4. Suppose it's exactly 4 as well. Then,  $M_i - 6 = 2^2 R''$ . But then we have that  $2^2 R'' + 12 = 2^2 R'$ . And this is equivalent to  $R'' + 3 = R' \rightarrow \text{odd} + \text{odd} = \text{odd}$ , a contradiction by the assumption that  $M_i - 6$  was divisible by exactly 4 (assuming  $M_i + 6$  was). Therefore,  $M_i$  must be  $\blacksquare M_i$ . Observe that if  $M_i + 6$  and  $M_i - 6$  are swapped in the argument above, then the contradiction would imply that  $M_i$  is  $\blacktriangle M_i$  instead.

Now suppose  $M_i$  is divisible by 4. Then  $M_i$  is  $\bullet M_i$  by definition. Let  $M_i = 2^2 R$ . It follows that  $M_i + 6 = 2^2 R + 6 = 2(2R+3)$ . But  $2R+3$  is odd. Let  $R' = 2R+3$ , so  $M_i + 6 = 2R'$ , thus divisible by 2 exactly once. Similarly,  $M_i - 6 = 2^2 R - 6 = 2(2R-3)$ . But  $2R-3$  is odd. Let  $R'' = 2R-3$ , so  $M_i - 6 = 2R''$ , thus divisible by 2 exactly once. Since the arguments here, would be unchanged for any  $3n+k$  sequence,  $k \in \mathbb{N}_{\text{odd}}$  then the law applies to all even integers greater than six.

**Proof for  $2^a 3^b R \rightarrow 2^{a-1} 3^{b+1} R$  where  $a \geq 3$  and for  $\blacktriangle t_i \rightarrow \bullet t_{i+1}$**

Let  $M_i + 6 = 2^a 3^b R$  where  $a \geq 3$ . Then  $M_i = 2^a 3^b R - 6 = 2(2^{a-1} 3^b R - 3)$ . But  $M_i$  is divisible by 2 exactly once (by law 1). It follows that  $N_{i+1} = 2^{a-1} 3^b R - 3$ .

So,  $3(N_{i+1}) + 3 = 3(2^{a-1} 3^b R - 3) + 3 = 2^{a-1} 3^{b+1} R - 9 + 3 = 2^{a-1} 3^{b+1} R - 6 = M_{i+1}$ . Thus,  $M_{i+1} + 6 = 2^{a-1} 3^{b+1} R = t_{i+1}$ . It follows immediately that if  $t_i = 2^3 3^b R$  then  $2^2 3^{b+1} R = t_{i+1}$ , where  $t_{i+1} = M_{i+1} + 6 = 2^2 3^{b+1} R$  is divisible by exactly 4, so  $M_{i+1}$  is  $\blacksquare M_{i+1}$  by law 1. Moreover, it follows that  $t_{i+2} = M_{i+2} + 6 = 2^1 3^{b+2} R$  is divisible by 2 exactly once. Then  $M_{i+2}$  is  $\bullet M_{i+2}$  by law 1.

Suppose now that some  $\blacksquare t_i$  does not follow a  $\blacktriangle t_{i-1}$ . Then again,  $t_i = M_i + 6 = 2^2 3^b R$  is divisible by exactly 4.  $M_i$  is divisible by 2 exactly once and it follows that  $\frac{M_i}{2} = N_{i+1} = \frac{2^2 3^b R - 6}{2} = 2^1 3^b R - 3$ . Then  $M_{i+1} = 3(2^1 3^b R - 3) + 3 = 2^1 3^{b+1} R - 9 + 3 = 2^1 3^{b+1} R - 6$ . So,  $M_{i+1} + 6 = 2^1 3^{b+1} R$  and by law 1,  $M_{i+1}$  is  $\bullet M_{i+1}$

**Proof for  $\blacksquare t_i = 2^2 3^b R$  where  $b \geq 2$**

Suppose  $t_{i-1}$  is  $\blacktriangle t_{i-1} = \blacktriangle t_f$  is  $2^3 3^1 R$  (the least it could be), then  $\blacksquare t_{f+1}$  is  $2^2 3^2 R$ . If  $t_{i-1}$  is  $\bullet t_{i-1}$ , then  $M_{i-1} = 2^r 3^1 S$  where  $r \geq 2$  and  $S$  is odd and not divisible by 3. We have that  $N_i = 3^1 S$ , so  $\blacksquare M_i = 3(3^1 S) + 3$ . It follows that  $\blacksquare M_i + 6 = 3(3^1 S) + 9 = 3^2(S + 1) = 2^a 3^2 S'$  where  $a = 2$  by law 1, and  $S' \in \mathbb{N}_{\text{odd}}$ .

### Examples of $3n+k$ sequences of $2^{\text{nd}}$ ordering, with $o$ -cycles

- i) For  $3n+11$ , Class 3 and 4, **8**-cycle (two  $R$ -subs), where  $\mathbf{n} = 13$ .
- ii) For  $3n+15$ , Class 1 and 2, **3**-cycle (no  $R$ -sub), where  $\mathbf{n} = 69$ .
- iii) For  $3n+15$ , Class 2, **3**-cycle (one  $R$ -sub), where  $\mathbf{n} = 57$ .
- iv) For  $3n+19$ , Class 3 and 4, **5**-cycle (one  $R$ -sub), where  $\mathbf{n} = 5$ .
- v) For  $3n+23$ , Class 3 and 4, **26**-cycle (five  $R$ -subs), where  $\mathbf{n} = 41$ .
- vi) For  $3n+31$ , Class 3 and 4, **12**-cycle (one  $R$ -sub), where  $\mathbf{n} = 13$ .
- vii) For  $3n+35$ , Class 4, **4**-cycle (one  $R$ -sub), where  $\mathbf{n} = 13$ .
- viii) For  $3n+35$ , Class 1 and 4, **2**-cycle (no  $R$ -sub), where  $\mathbf{n} = 25$ .