

# Theory of Automata

## Context Free Languages and Grammars

Dr. Sabina Akhtar

# Revision

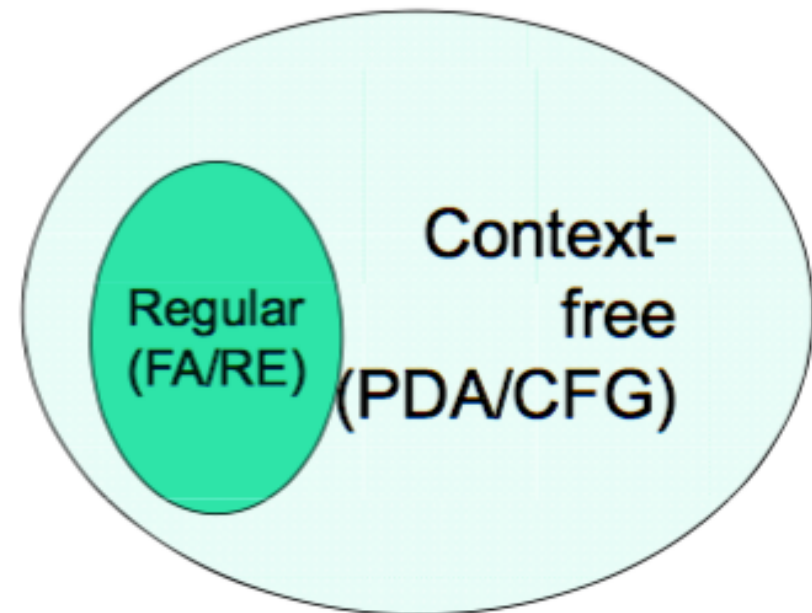
- Regular Languages
  - Finite Automata
  - Regular Expressions
  - Example:
    - $0^n \mid n \geq 0$
- Non-Regular Languages

# Not all languages are regular

- So what happens to the languages which are not regular?
- E.g., balanced parenthesis problem
- $(5*(7+9))$
- Can we still come up with a language recognizer?
  - i.e., something that will accept (or reject) strings that belong (or do not belong) to the language?

# Context-Free Languages

- A language class larger than the class of regular languages
- Supports natural, recursive notation called “context-free grammar”
- Applications:
  - Parse trees, compilers
  - XML



# Informal Comments

- A *context-free grammar* is a notation for describing languages.
- It is more powerful than finite automata or RE's, but still cannot define all possible languages.
- Useful for nested structures, e.g., parentheses in programming languages.

# Informal Comments – (2)

- Basic idea is to use “variables” to stand for sets of strings (i.e., languages).
- These variables are defined recursively, in terms of one another.
- Recursive rules (“productions”) involve only concatenation.
- Alternative rules for a variable allow union.

# Definition: CFG

- A context-free grammar  $G=(V,T,P,S)$ , where:
  - $V$ : set of variables or non-terminals
  - $T$ : set of terminals (= alphabet  $\cup \{\epsilon\}$ )
  - $P$ : set of *productions*, each of which is of the form
$$V \Rightarrow \alpha_1 \mid \alpha_2 \mid \dots$$
    - Where each  $\alpha_i$  is an arbitrary string of variables and terminals
  - $S \Rightarrow$  start variable

# Examples

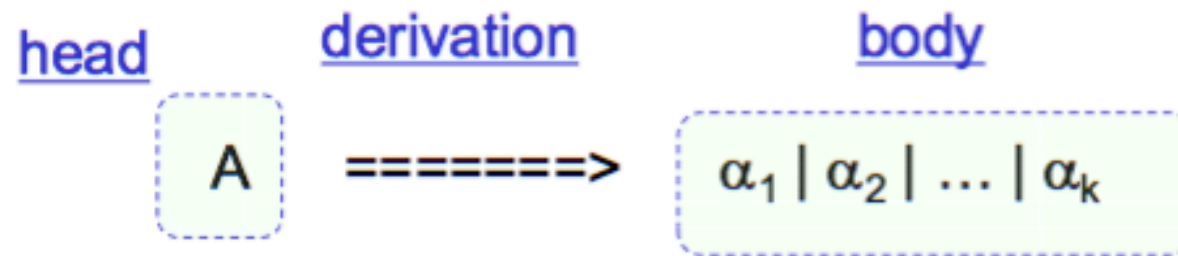
- $L_1 = \{ 0^n \mid n \geq 0 \}$
- $L_2 = \{ w \mid w \text{ is of the form } 0^n 1^n, \text{ for all } n \geq 1 \}$
- CFG for  $L_1$
- $S \rightarrow \varepsilon$
- $S \rightarrow 0S$
- $w = 000$



## Example: CFG for $\{ 0^n 1^n \mid n \geq 1 \}$

- **Basis:** 01 is in the language.
- **Induction:** if  $w$  is in the language, then so is  $0w1$ .
- **Productions:**
  - $S \rightarrow 01$
  - $S \rightarrow 0S1$

# Structure of a production

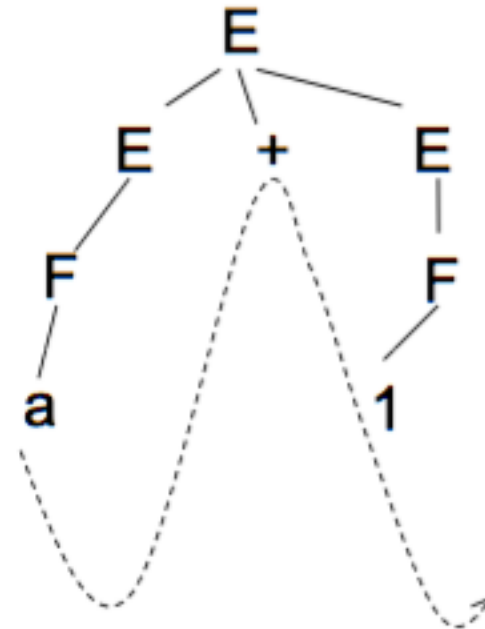


The above is same as:

1.  $A \Rightarrow \alpha_1$
2.  $A \Rightarrow \alpha_2$
3.  $A \Rightarrow \alpha_3$
- ...
- K.  $A \Rightarrow \alpha_k$

# Parse Tree

Draw parse tree for  
 $a1*(1+b0)$



Parse tree for  $a + 1$

Start Variable: E

V = ?

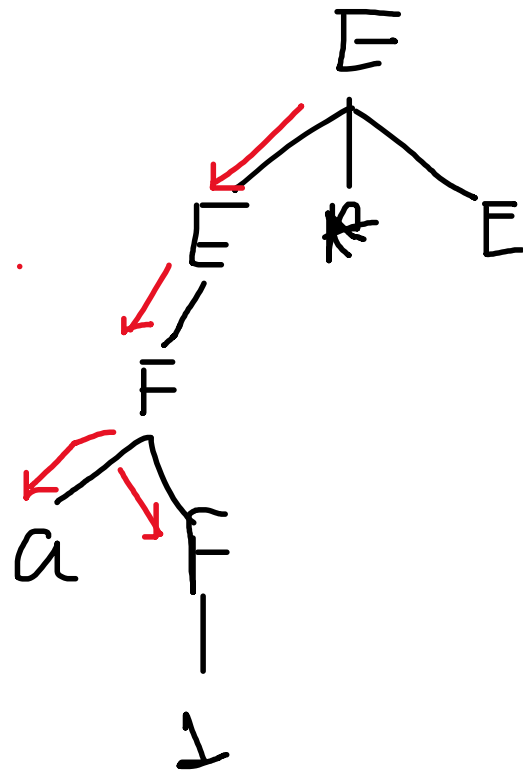
T = ?

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$

$F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

# Parse Tree



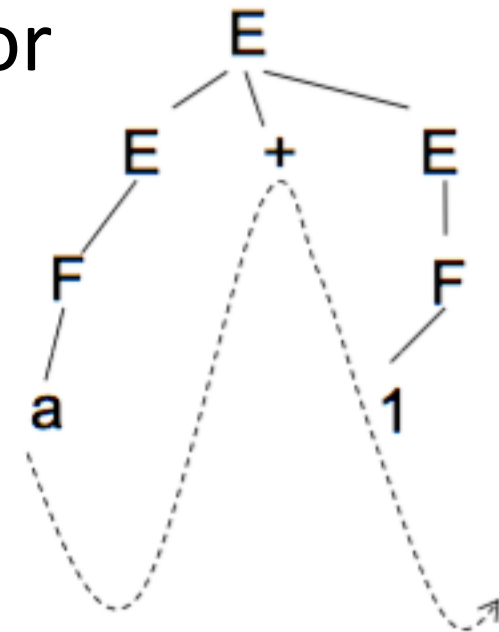
Start Variable: E

V = ?

T = ?

Draw parse tree for

$a1^*(1+b0)$







Parse tree for  $a + 1$

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$

$F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

# An Example

- A palindrome is a word that reads identical from both ends
  - E.g.,    
- Let  $L = \{ w \mid w \text{ is a binary palindrome} \}$
- Is  $L$  regular?

# An Example

- A palindrome is a word that reads identical from both ends

- E.g.,    

- Let  $L = \{ w \mid w \text{ is a binary palindrome} \}$

- Is  $L$  regular?

- No.

- Proof:

- Let  $w = 0^N 1 0^N$  (assuming  $N$  to be the p/l constant)
- By Pumping lemma,  $w$  can be rewritten as  $xyz$ , such that  $xy^kz$  is also  $L$  (for any  $k \geq 0$ )
- But  $|xy| \leq N$  and  $y \neq \epsilon$
- $\implies y = 0^+$
- $\implies xy^kz$  will NOT be in  $L$  for  $k=0$
- $\implies$  Contradiction

# But the language of palindromes...

is a CFL, because it supports recursive substitution (in the form of a CFG)

- This is because we can construct a “grammar” like this:

1.  $A \Rightarrow \epsilon$

2.  $A \Rightarrow 0$

3.  $A \Rightarrow 1$

4.  $A \Rightarrow 0A0$

5.  $A \Rightarrow 1A1$

Terminal

Variable or non-terminal

Same as:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

Productions

How does this grammar work?

# Class Activity

- Draw the parse tree for 0110 using
- G:  
 $A \rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$



# How does the CFG for palindromes work?

An input string belongs to the language (i.e., accepted) iff it can be generated by the CFG

G:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

- Example:  $w=01110$
- G can generate w as follows:

1.  $A \Rightarrow 0A0$
2.  $\Rightarrow 01A10$
3.  $\Rightarrow 01110$

## Generating a string from a grammar:

1. Pick and choose a sequence of productions that would allow us to generate the string.
2. At every step, substitute one variable with one of its productions.

# Definition CFG

- A context-free grammar  $G=(V,T,P,S)$ , where:
  - $V$ : set of variables or non-terminals
  - $T$ : set of terminals (= alphabet  $\cup \{\epsilon\}$ )
  - $P$ : set of *productions*, each of which is of the form  
 $V \Rightarrow \alpha_1 \mid \alpha_2 \mid \dots$ 
    - Where each  $\alpha_i$  is an arbitrary string of variables and terminals
  - $S \Rightarrow$  start variable

CFG for the language of binary palindromes:

$G=(\{A\},\{0,1\},P,A)$

$P: A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

# CFG conventions

- $A, B, C, \dots$  are variables.
- $a, b, c, \dots$  are terminals.
- $\dots, X, Y, Z$  are either terminals or variables.
- $\dots, w, x, y, z$  are strings of terminals only.
- $\alpha, \beta, \gamma, \dots$  are strings of terminals and/or variables.

# Example: Formal CFG

- Here is a formal CFG for  $\{ 0^n 1^n \mid n \geq 1 \}$ .
- Terminals =  $\{0, 1\}$ .
- Variables =  $\{S\}$ .
- Start symbol =  $S$ .
- Productions =  
     $S \rightarrow 01$   
     $S \rightarrow 0S1$

# Class Activity: Example #2

- Provide formal CFG for
  - A grammar for  $L = \{0^m 1^n \mid m \geq n\}$

# Class Activity: Example #2

- Provide formal CFG for
  - A grammar for  $L = \{0^m 1^n \mid m \geq n\}$

- CFG?

<u>G:</u> S $\Rightarrow$ 0S1   A A $\Rightarrow$ 0A   $\epsilon$
---

How would you interpret the string "00000111"  
using this grammar?

# Class Activity: Example #3

- Provide formal CFG for
  - Language of balanced paranthesis  
e.g.,  $()(((())((())))....$

# Class Activity: Example #3

- Provide formal CFG for
  - Language of balanced paranthesis  
e.g.,  $()(((())((())))....$
  - CFG?

G:
$S \Rightarrow (S) \mid SS \mid \varepsilon$

How would you “interpret” the string “ $(((((())())())$ ” using this grammar?



# Exercise

<sup>A</sup>2.3 Answer each part for the following context-free grammar  $G$ .

$$\begin{aligned}R &\rightarrow XRX \mid S \\S &\rightarrow aTb \mid bTa \\T &\rightarrow XTX \mid X \mid \epsilon \\X &\rightarrow a \mid b\end{aligned}$$

- a. What are the variables of  $G$ ?
- b. What are the terminals of  $G$ ?
- c. Which is the start variable of  $G$ ?
- d. Give three strings in  $L(G)$ .
- e. Give three strings *not* in  $L(G)$ .
- f. True or False:  $T \Rightarrow aba$ .
- g. True or False:  $T \xRightarrow{*} aba$ .
- h. True or False:  $T \Rightarrow T$ .
- i. True or False:  $T \xRightarrow{*} T$ .
- j. True or False:  $XXX \xRightarrow{*} aba$ .
- k. True or False:  $X \xRightarrow{*} aba$ .
- l. True or False:  $T \xRightarrow{*} XX$ .
- m. True or False:  $T \xRightarrow{*} XXX$ .
- n. True or False:  $S \xRightarrow{*} \epsilon$ .
- o. Give a description in English of  $L(G)$ .

# Class Activity

- Provide CFG for accepting simple expressions like
  - $a+b, b*b, \dots$
  - $1+2, \dots$

# Example #4

A program containing **if-then(-else)** statements

**if** *Condition* **then** *Statement* **else** *Statement*

(Or)

**if** *Condition* **then** *Statement*

CFG?



# More examples

- Parenthesis matching in code
- Syntax checking
- In scenarios where there is a general need for:
  - Matching a symbol with another symbol, or
  - Matching a count of one symbol with that of another symbol, or
  - Recursively substituting one symbol with a string of other symbols

# Applications of CFLs & CFGs

- Compilers use parsers for syntactic checking
- Parsers can be expressed as CFGs
  1. Balancing paranthesis:
    - $B \Rightarrow BB \mid (B) \mid \text{Statement}$
    - $\text{Statement} \Rightarrow$
  2. If-then-else:
    - $S \Rightarrow SS \mid \text{if Condition then Statement else Statement} \mid \text{if Condition then Statement} \mid \text{Statement}$
    - $\text{Condition} \Rightarrow$
    - $\text{Statement} \Rightarrow$
  3. C paranthesis matching  $\{ \dots \}$
  4. Pascal *begin-end* matching
  5. YACC (Yet Another Compiler-Compiler)

# Class Activity

- Design grammar that accepts addition and subtraction operations on all the numbers using plain and extended BNF format.
- Example:
  - $1 + 2$ ,
  - $2 - 3 + 5, \dots$

# Exercise

**2.4** Give context-free grammars that generate the following languages. In all parts, the alphabet  $\Sigma$  is  $\{0,1\}$ .

- <sup>A</sup>**a.**  $\{w \mid w \text{ contains at least three 1s}\}$
- b.**  $\{w \mid w \text{ starts and ends with the same symbol}\}$
- c.**  $\{w \mid \text{the length of } w \text{ is odd}\}$
- <sup>A</sup>**d.**  $\{w \mid \text{the length of } w \text{ is odd and its middle symbol is a 0}\}$
- e.**  $\{w \mid w = w^{\mathcal{R}}, \text{ that is, } w \text{ is a palindrome}\}$
- f.** The empty set



# References

- Book Chapter
- Lectures from Stanford University
  - <http://infolab.stanford.edu/~ullman/ialc/spr10/spr10.html#LECTURE%20NOTES>
- Lectures from Washington State University
  - <http://www.eecs.wsu.edu/~ananth/CptS317/Lectures/>