

## COUNTING SORT

### ① Intro - what is Counting Sort?

A non-comparison-based sorting algorithm which can often have worst-case time complexity better than  $\mathcal{O}(n \lg n)$ .

recall: A CBSA cannot have worst-case better than  $\mathcal{O}(n \lg n)$ .

### ② An intro example

Consider the list  $A = [3 \ 0 \ 2 \ 1 \ \tilde{2} \ \tilde{0}]$

First we observe that  $A$  contains integers b/w 0 and 3. Let  $K=3$ .

( $K=\max$  int. value). Create a list  $POS$  which is length  $k+1$

(indexed from 0 to  $k$ ). Calculate and assign:

$$POS[i] = \# \text{ of } i\text{-values in } A$$

$$\begin{matrix} \text{so } POS = [2, 1, 2, 1] \\ 2 \text{'s in } A \quad \uparrow \quad \uparrow \quad \uparrow \quad \downarrow 1 \text{'s in } A \\ 1 \text{'s in } A \quad 2 \text{'s in } A \end{matrix}$$

then make  $POS$  cumulative: Starting at  $POS[0]$ , add the previous value to each element.

$$\text{so } POS = [2, 3, 5, 6]$$

So now we have

$$A = [3 \ 0 \ 2 \ 1 \ \tilde{2} \ \tilde{0}]$$

$$POS = [2 \ 3 \ 5 \ 6]$$

the goal is sorted  $A$ :

$$A = [0 \ \tilde{0} \ 1 \ 2 \ \tilde{2} \ 3]$$

observe: For each  $i$  b/w 0 and  $K$ ,

$POS[i] = \text{last position of } i \text{ values in sorted version of } A$ .

In our ex,  $POS[0]=2 \rightarrow$  our 0's end at position 2 (index 1)

$POS[1]=3 \rightarrow$  our 1's end at position 3 (index 2)

⋮

Thus we create a new list ANEW of same length as  $A$  and

Thus we create a new list ANEW of same length as A and fill it in using POS. How?

Go through A in reverse order. For each value;

we look at POS[ $\cdot$ ] to tell us which position it should go in, in ANEW. We assign ANEW[POS[ $\cdot$ ] - 1] = i

then we decrement POS[ $\cdot$ ] by 1 in case another i comes along!

A = 

3	0	2	1	2	0
---	---	---	---	---	---

POS = 

2	3	5	6
---	---	---	---

first: last entry of A is 0

look at POS[0] = 2 so put 0

in index 2-1=1 in ANEW

then decrement POS[0] so

POS: 

1	3	5	6
---	---	---	---

next: Take 2. POS[2]=5

so put 2 in index 5-1=4

and dec POS[2]

POS: 

1	3	4	6
---	---	---	---

next: POS[1]=3 so put 1 in ANEW[2]

and dec.

POS: 

1	2	4	6
---	---	---	---

ANEW = 

0	0	1	2	2	3
---	---	---	---	---	---

next POS[2]=4

so put 2 in ANEW[3]  
and dec

POS: 

1	2	3	6
---	---	---	---

next: POS[0]=1 so put 0 in  
ANEW[0] and dec

POS: 

0	2	3	6
---	---	---	---

last POS[3]=6 so put 3

in ANEW[5] and dec

POS: 

0	2	3	5
---	---	---	---

DONE!

### ③ Pre-Pseudocode notes

(A) We go through A backwards b/c it makes counting sort stable.

ex w/ our 0,0 and 2,2.

(B) If all we wanted was a list of integers we could just use our original POS to create it.

Think: our POS is giving us guidance/instructions as to how to move the values in A into ANEW in their correct positions!

we to now move the values in  $A$  into  $\text{ANEW}$  in their correct positions!

(c) Note if  $\max = k = \text{BIG}$  then  $\text{POS} = \text{BIG}$ ! Lots of aux space!

(d) we need to know  $k$ , or compute it!

(e) The entries must be nonnegative integers.

} serious

} restrictions!

#### (4) Pseudocode!

```
\\" PRE: A is a list of length n with minimum 0 and maximum k  
POS = list of zeros of length k+1  
ANEW = list of zeros of length n  
for i = 0 to n-1  
    POS[A[i]] = POS[A[i]] + 1  
end  
for i = 1 to k  
    POS[i] = POS[i] + POS[i-1]  
end  
for i = n-1 down to 0  
    ANEW[POS[A[i]]-1] = A[i]  
    POS[A[i]] = POS[A[i]] - 1  
end  
for i = 0 to n-1  
    A[i] = ANEW[i]  
end  
\\" POST: A is sorted.
```

}  $\rightarrow$  POS now contains counts of each value

}  $\rightarrow$  POS is now cumulative + gives positions!

$\swarrow$  copies  $A[i]$  to correct index of ANEW

$\swarrow$  decrement POS appropriately!

}  $\rightarrow$  copy back to A.

#### (5) Time and Space

Time Complexity: It's typical that allocating a list of length  $j$  is  $\Theta(j)$

so our initialization of  $\text{ANEW}$  is  $\Theta(n)$  and of  $\text{POS}$  is  $\Theta(k)$ .

The four loops are then  $\Theta(n), \Theta(k), \Theta(n), \Theta(n)$ .

All together, all cases, its:

$$T(n, k) = \Theta(n) + \Theta(k)$$

We'll write this as:

$$T(n, k) = \Theta(n+k)$$

Means:  $\exists j_0, B, C$  s.t. if  $n+k \geq j_0$  then  $B(n+k) \leq T(n, k) \leq C(n+k)$

Note: if  $k = \text{const.}$  and doesn't dep. on  $n$

then we get  $\Theta(n+k) = \Theta(n)$ .

Note: Perhaps  $k$  dep. on  $n$ ... for ex if our list of length

Note: Perhaps  $k$  dep. on  $n$ ... for ex if our list of length  $n$  contains integers blw 0 and  $n^2$  then we get  $\Theta(n+k) = \Theta(n+n^2) = \Theta(n^2)$  for ex if blw 0 and  $\sqrt{n}$  then  $\Theta(n+k) = \Theta(n+\sqrt{n}) = \Theta(n)$