

Local Optimizations

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Lecture Outline

- Wrap up operational semantics: Dispatch
- Optimization
 - Introduction
 - Intermediate code
 - Local optimizations

Dispatch

$e_0.f(e_1, \dots, e_n)$

1. Eval args in order
2. Eval e_0

Order seems odd.

Can it affect the result?

Dispatch

$e_0.f(e_1, \dots, e_n)$

1. Eval args in order
2. Eval e_0
3. Let X be the dynamic type of e_0 value
4. Get definition of f from X
5. Create n new locations for args
6. Update E to map formals to new locations
7. Update S to map new locs to arg values
8. Set self to e_1 value
9. Eval body of f

Notation: $\text{Impl}(A, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$

x_i - formal parameters

e_{body} - body of function

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

↑ e_0 evaluated with all side effects of $e_1 \dots e_n$

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

← get class and
attributes of object

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

$$\text{impl}(X, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$$

↑
formals & body of f

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

$$\text{impl}(X, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$$

$$l_{x_i} = \text{newloc}(S_{n+1}) \text{ for } i = 1, \dots, n$$

↑
new locations for arguments

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

$$\text{impl}(X, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$$

$$l_{x_i} = \text{newloc}(S_{n+1}) \text{ for } i = 1, \dots, n$$

$$E' = [a_1 : l_1, \dots, a_m : l_m] [x_1 / l_{x_1}, \dots, x_n / l_{x_n}]$$

start with X attributes
only

then bind formals
to new locations

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

$$\text{impl}(X, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$$

$$l_{x_i} = \text{newloc}(S_{n+1}) \text{ for } i = 1, \dots, n$$

$$E' = [a_1 : l_1, \dots, a_m : l_m][x_1 / l_{x_1}, \dots, x_n / l_{x_n}]$$

$$S_{n+2} = S_{n+1}[V_1 / l_{x_1}, \dots, V_n / l_{x_n}]$$

↑ assign actuals to formals

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

$$s_0, E, S \vdash e_1 : V_1, S_1$$

...

$$s_0, E, S_{n-1} \vdash e_n : V_n, S_n$$

$$s_0, E, S_n \vdash e_0 : V_0, S_{n+1}$$

$$V_0 = X(a_1 = l_1, \dots, a_m = l_m)$$

$$\text{impl}(X, f) = (x_1, x_2, \dots, x_n, e_{\text{body}})$$

$$l_{x_i} = \text{newloc}(S_{n+1}) \text{ for } i = 1, \dots, n$$

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$$S_{n+2} = S_{n+1}[V_1 / l_{x_1}, \dots, V_n / l_{x_n}]$$

$$V_0, E', S_{n+2} \vdash e_{\text{body}} : V, S_{n+3}$$

$$s_0, E, S \vdash e_0 \cdot f(e_1, \dots, e_n) : V, S_{n+3}$$

look carefully

Optimization

OPTIMIZATION

Optimization Overview

- Optimization seeks to improve a program's resource utilization
 - Execution time (most often)
 - Code size
 - Network messages sent, etc.
- Optimization should not alter what the program computes
 - The answer must still be the same

Optimization

- Optimization is our last compiler phase
- Most complexity in modern compilers is in the optimizer
 - Also by far the largest phase
- First, we need to discuss intermediate languages

INTERMEDIATE LANGUAGES

Why Intermediate Languages?

- When should we perform optimizations?
 - On AST
 - Pro: Machine independent
 - Con: Too high level
 - On assembly language
 - Pro: Exposes optimization opportunities
 - Con: Machine dependent
 - Con: Must reimplement optimizations when retargeting
 - On an intermediate language
 - Pro: Machine independent
 - Pro: Exposes optimization opportunities

Intermediate Languages

- Intermediate language = high-level assembly
 - Uses register names, but has an unlimited number
 - Uses control structures like assembly language
 - Uses opcodes but some are higher level
 - E.g., `push` translates to several assembly instructions
 - Most opcodes correspond directly to assembly opcodes

Three-Address Intermediate Code

- Each instruction is of the form

$x := y \text{ op } z$

$x := \text{op } y$

- y and z are registers or constants
- Common form of intermediate code

- The expression $x + y * z$ is translated

$t_1 := y * z$

$t_2 := x + t_1$

- Each subexpression has a “name”

Generating Intermediate Code

- Similar to assembly code generation
- But use any number of IL registers to hold intermediate results

Generating Intermediate Code (Cont.)

- $\text{igen}(e, t)$ function generates code to compute the value of e in register t
- Example:
$$\begin{array}{ll} \text{igen}(e_1 + e_2, t) = & \\ \quad \text{igen}(e_1, t_1) & (t_1 \text{ is a fresh register}) \\ \quad \text{igen}(e_2, t_2) & (t_2 \text{ is a fresh register}) \\ \quad t := t_1 + t_2 & \end{array}$$
- Unlimited number of registers
 \Rightarrow simple code generation

An Intermediate Language

$P \rightarrow S P \mid \varepsilon$

$S \rightarrow \text{id} := \text{id op id}$

| $\text{id} := \text{op id}$

| $\text{id} := \text{id}$

| push id

| $\text{id} := \text{pop}$

| if id relop id goto L

| L:

| jump L

- id's are register names
- Constants can replace id's
- Typical operators: +, -, *

Definition. Basic Blocks

- A basic block is a maximal sequence of instructions with:
 - no labels (except at the first instruction), and
 - no jumps (except in the last instruction)
- Idea:
 - Cannot jump into a basic block (except at beginning)
 - Cannot jump out of a basic block (except at end)
 - A basic block is a single-entry, single-exit, straight-line code segment

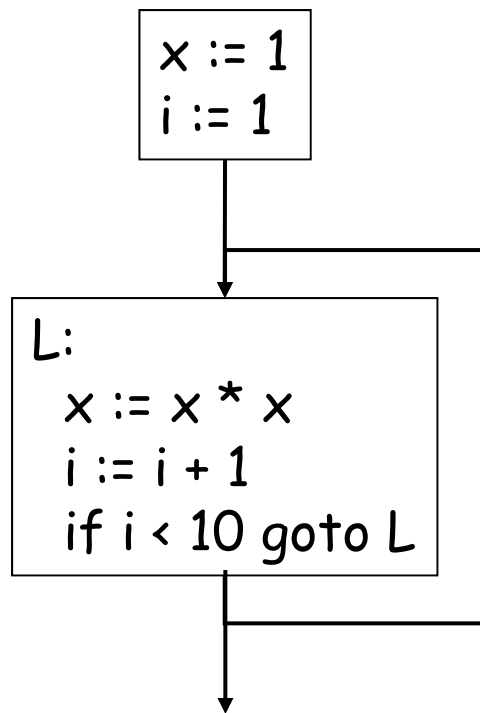
Basic Block Example

- Consider the basic block
 1. $L:$
 2. $t := 2 * x$
 3. $w := t + x$
 4. $\text{if } w > 0 \text{ goto } L'$
- (3) executes only after (2)
 - We can change (3) to $w := 3 * x$

Definition. Control-Flow Graphs

- A control-flow graph is a directed graph with
 - Basic blocks as nodes
 - An edge from block A to block B if the execution can pass from the last instruction in A to the first instruction in B
 - E.g., the last instruction in A is `jump L_B`
 - E.g., execution can fall-through from block A to block B

Example of Control-Flow Graphs



- The body of a method (or procedure) can be represented as a control-flow graph
- There is one initial node
- All “return” nodes are terminal

LOCAL OPTIMIZATIONS

A Classification of Optimizations

- For languages like C and Cool there are three granularities of optimizations
 1. Local optimizations
 - Apply to a basic block in isolation
 2. Global optimizations
 - Apply to a control-flow graph (method body) in isolation
 3. Inter-procedural optimizations
 - Apply across method boundaries
- Most compilers do (1), many do (2), few do (3)

Cost of Optimizations

- In practice, a conscious decision is made not to implement the fanciest optimization known
- Why?
 - Some optimizations are hard to implement
 - Some optimizations are costly in compilation time
 - Some optimizations have low benefit
 - Many fancy optimizations are all three!
- Goal: Maximum benefit for minimum cost

Local Optimizations

- The simplest form of optimizations
- No need to analyze the whole procedure body
 - Just the basic block in question
- Example: algebraic simplification

Algebraic Simplification

- Some statements can be deleted

$x := x + 0$

$x := x * 1$

- Some statements can be simplified

$x := x * 0 \quad \Rightarrow \quad x := 0$

$y := y ** 2 \quad \Rightarrow \quad y := y * y$

$x := x * 8 \quad \Rightarrow \quad x := x \ll 3$

$x := x * 15 \quad \Rightarrow \quad t := x \ll 4; x := t - x$

(on some machines \ll is faster than $*$; but not on all!)

Constant Folding

- Operations on constants can be computed at compile time
 - If there is a statement $x := y \text{ op } z$
 - And y and z are constants
 - Then $y \text{ op } z$ can be computed at compile time
- Example: $x := 2 + 2 \Rightarrow x := 4$
- Example: $\text{if } 2 < 0 \text{ jump } L$ can be deleted
- *Can do many of these on the AST.*

Flow of Control Optimizations

- Eliminate unreachable basic blocks:
 - Code that is unreachable from the initial block
 - E.g., basic blocks that are not the target of any jump or “fall through” from a conditional
- Why would such basic blocks occur?
- Removing unreachable code makes the program smaller
 - And sometimes also faster
 - Due to memory cache effects (increased spatial locality)

Single Assignment Form

- Some optimizations are simplified if each register occurs only once on the left-hand side of an assignment
- Rewrite intermediate code in *single assignment* form

$x := z + y$		$b := z + y$
$a := x$	\Rightarrow	$a := b$
$x := 2 * x$		$x := 2 * b$

(b is a fresh register)

- More complicated in general, due to loops

Common Subexpression Elimination

- If
 - Basic block is in single assignment form
 - A definition $x :=$ is the first use of x in a block
- Then
 - When two assignments have the same rhs, they compute the same value

- Example:

$x := y + z$

...

$w := y + z$

\Rightarrow

$x := y + z$

...

$w := x$

(the values of x , y , and z do not change in the ... code)

Copy Propagation

- If $w := x$ appears in a block, replace subsequent uses of w with uses of x
 - Assumes single assignment form

- Example:

$b := z + y$		$b := z + y$
$a := b$	\Rightarrow	$a := b$
$x := 2 * a$		$x := 2 * b$

- Only useful for enabling other optimizations
 - Constant folding
 - Dead code elimination

Copy Propagation and Constant Folding

- Example:

$a := 5$

$x := 2 * a$

$y := x + 6$

$t := x * y$

\Rightarrow

$a := 5$

$x := 10$

$y := 16$

$t := x \ll 4$

Copy Propagation and Dead Code Elimination

If

$w := rhs$ appears in a basic block

w does not appear anywhere else in the program

Then

the statement $w := rhs$ is dead and can be eliminated

- Dead = does not contribute to the program's result

Example: (a is not used anywhere else)

$x := z + y$		$b := z + y$		$b := z + y$
$a := x$	\Rightarrow	$a := b$	\Rightarrow	$x := 2 * b$
$x := 2 * a$		$x := 2 * b$		

Applying Local Optimizations

- Each local optimization does little by itself
- Typically optimizations interact
 - Performing one optimization enables another
- Optimizing compilers repeat optimizations until no improvement is possible
 - The optimizer can also be stopped at any point to limit compilation time

An Example

- Initial code:

$a := x^{**} 2$

$b := 3$

$c := x$

$d := c * c$

$e := b * 2$

$f := a + d$

$g := e * f$

An Example

- Algebraic optimization:

$a := x^{**} 2$

$b := 3$

$c := x$

$d := c * c$

$e := b * 2$

$f := a + d$

$g := e * f$

An Example

- Algebraic optimization:

$a := x * x$

$b := 3$

$c := x$

$d := c * c$

$e := b \ll 1$

$f := a + d$

$g := e * f$

An Example

- Copy propagation:

$a := x * x$

$b := 3$

$c := x$

$d := c * c$

$e := b \ll 1$

$f := a + d$

$g := e * f$

An Example

- Copy propagation:

$a := x * x$

$b := 3$

$c := x$

$d := x * x$

$e := 3 \ll 1$

$f := a + d$

$g := e * f$

An Example

- Constant folding:

$a := x * x$

$b := 3$

$c := x$

$d := x * x$

$e := 3 \ll 1$

$f := a + d$

$g := e * f$

An Example

- Constant folding:

$a := x * x$

$b := 3$

$c := x$

$d := x * x$

$e := 6$

$f := a + d$

$g := e * f$

An Example

- Common subexpression elimination:

$a := x * x$

$b := 3$

$c := x$

$d := x * x$

$e := 6$

$f := a + d$

$g := e * f$

An Example

- Common subexpression elimination:

$a := x * x$

$b := 3$

$c := x$

$d := a$

$e := 6$

$f := a + d$

$g := e * f$

An Example

- Copy propagation:

$a := x * x$

$b := 3$

$c := x$

$d := a$

$e := 6$

$f := a + d$

$g := e * f$

An Example

- Copy propagation:

$a := x * x$

$b := 3$

$c := x$

$d := a$

$e := 6$

$f := a + a$

$g := 6 * f$

An Example

- Dead code elimination:

$a := x * x$

$b := 3$

$c := x$

$d := a$

$e := 6$

$f := a + a$

$g := 6 * f$

An Example

- Dead code elimination:

$a := x * x$

$f := a + a$

$g := 6 * f$

- This is the final form

Peephole Optimizations on Assembly Code

- These optimizations work on intermediate code
 - Target independent
 - But they can be applied on assembly language also
- Peephole optimization is effective for improving assembly code
 - The “peephole” is a short sequence of (usually contiguous) instructions
 - The optimizer replaces the sequence with another equivalent one (but faster)

Peephole Optimizations (Cont.)

- Write peephole optimizations as replacement rules

$$i_1, \dots, i_n \rightarrow j_1, \dots, j_m$$

where the rhs is the improved version of the lhs

- Example:

`move $a $b, move $b $a → move $a $b`

- Works if `move $b $a` is not the target of a jump

- Another example

`addiu $a $a i, addiu $a $a j → addiu $a $a i+j`

Peephole Optimizations (Cont.)

- Many (but not all) of the basic block optimizations can be cast as peephole optimizations
 - Example: `addiu $a $b 0` → `move $a $b`
 - Example: `move $a $a` →
 - These two together eliminate `addiu $a $a 0`
- As for local optimizations, peephole optimizations must be applied repeatedly for maximum effect

Local Optimizations: Notes

- Intermediate code is helpful for many optimizations
- Many simple optimizations can still be applied on assembly language
- “Program optimization” is grossly misnamed
 - Code produced by “optimizers” is not optimal in any reasonable sense
 - “Program improvement” is a more appropriate term
- Next time: global optimizations