

**DEMOCRATIC ORGANIZATION
OF QUEENS COUNTY**

72-50 AUSTIN STREET
FOREST HILLS, NEW YORK 11375



(718) 268-5100

Fax- (718) 268-7363

October 7, 2010

NYS BOARD OF ELECTIONS
40 Steuben Street
Albany, NY 12207-2108

Dear Sid/Madam:

Pursuant to Section 2-112 and 2-114 of the Election Law, I enclose herewith for filing certificates setting forth the names and post office addresses of the Officers of the Democratic County Committee of Queens County and the Officers of the Executive Committee of the Democratic County Committee of Queens County. I have also enclosed a certified copy of the rules readopted with amendments at a meeting of such committee held on October 7, 2010.

Thank you for your cooperation.

Sincerely,

FRANK A. BOLZ III
Chairman, Law Committee
Queens County Democratic
Organization

FAB:jp
Enc.

ENCLOSURE TO DEPT. OF
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DEMOCRATIC COUNTY COMMITTEE



QUEENS COUNTY

STATE OF NEW YORK

County of Queens

CERTIFICATE PURSUANT TO SECTION 2-112 AND SECTION 2-114 OF THE
ELECTION LAW

We, the undersigned, Chairman and Secretary of the Democratic County Committee of the County of Queens, do hereby certify that a meeting of said County Committee was duly held on October 7, 2010 for the purpose set forth in the attached notice of the meeting dated September 27, 2010 and that the following were elected officers thereof:

<u>NAME</u>	<u>ADDRESSES</u>	<u>OFFICE</u>
VIVIAN E. COOK	126-44 144th Street Jamaica, NY 11436	Chairman
MARY ANN DORSA	33-05 158th Street Flushing, NY 11103	1st Vice- Chairman
JAMES C. LISA	48-08 111 th Street Corona, NY 11368	2nd Vice- Chairman
MORTON POVMAN	147-04 75th Avenue Flushing, NY 11367	Treasurer
 MEI HUA RU <i>Jacqueline Boyce</i>	143-18 185th Street Springfield Gardens NY 11413 144-88 37th Avenue 3rd Fl. Flushing, NY 11354	Secretary 
JENNY FERNANDEZ	52-03 102 nd Street Corona, NY 11368	Asst Secretary
DANIEL DROMM	35-24 78 th Street- # B28 Jackson Heights, NY 11372	Sgt.-at-Arms

We do further certify that attached hereto is a true and exact copy

CERTIFICATE

PURSUANT TO Sec. 2-110 OF THE ELECTION LAW
AS TO THE ORGANIZATION AND ELECTION OF OFFICERS
OF THE EXECUTIVE COMMITTEE OF THE
QUEENS COUNTY DEMOCRATIC COUNTY COMMITTEE

Pursuant to Sec 2-110 and Sec 2 -112 of the Election law and Article IX of the Rules and Regulations of the Democratic County Committee, the undersigned, JOSEPH CROWLEY, Chairman and MICHAEL H. REICH, Executive Secretary, respectively, of the Executive Committee of the Queens County Democratic County Committee, do hereby certify that the Executive Committee, having met and organized on September 17, 2010, and by a majority vote of a quorum of the members thereof did elect the following persons as officers:

NAME AND ADDRESS

TITLE OF OFFICE

JOSEPH CROWLEY
48-24 65th Street
Woodside, NY 11377

Chairman
of the
Executive Committee

DORA H. YOUNG
111-47 179th Street
St. Albans, NY 11433

1st Vice- Chairman
of the
Executive Committee

AUDREY I. PHEFFER
22-87 Mott Avenue, Apt 2A
Far Rockaway, NY 11691

2nd Vice- Chairman
of the
Executive Committee

GLORIA DeMARCO ALOISE
18-54 21st Road
Long Island City, NY 11105

Secretary
of the
Executive Committee

BARBARA J. JACKSON
97-30 57th Avenue, Apt. 12E
Corona, NY 11368

Asst. Secretary
of the
Executive Committee

ELMER H. BLACKBURNE
179-64 Anderson Road
St. Albans, NY 11434

Sergeant-At-Arms
of the
Executive Committee

NEW YORK STATE
BOARD OF ELECTIONS
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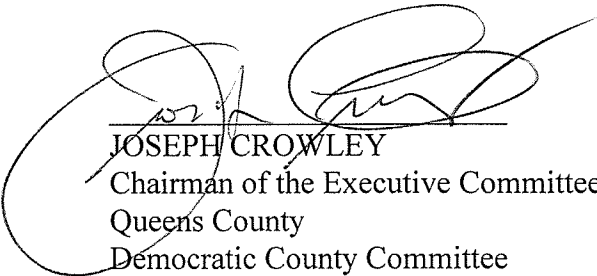
HONEY MILLER
57-20 246th Crescent
Flushing, NY 11354

Asst. Sergeant -At-Arms
of the
Executive Committee

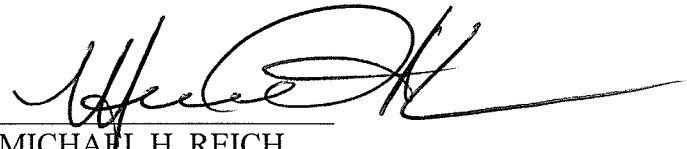
MICHAEL SIMANOWITZ
65-76 160th Street
Flushing, NY 11365

Treasurer
of the
Executive Committee

In Witness Whereof, we have set our hands this 17th day of September 2010.



JOSEPH CROWLEY
Chairman of the Executive Committee
Queens County
Democratic County Committee



MICHAEL H. REICH
Executive Secretary of the Executive Committee
Queens County
Democratic County Committee

NEW YORK COUNTY
CLERK OF SUPREMACY

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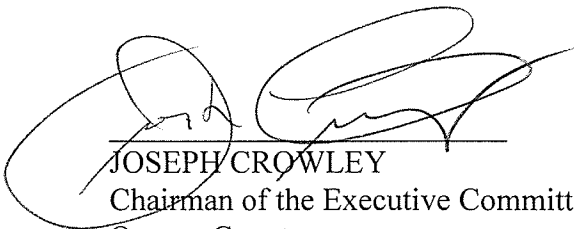
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State of New York)

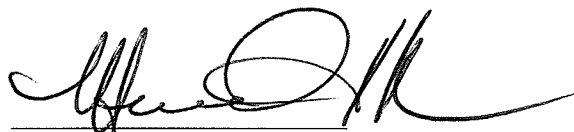
ss.:

County of Queens)

JOSEPH CROWLEY and MICHAEL H. REICH, being severally sworn, each for himself say that the said JOSEPH CROWLEY was and is the Chairman of the Executive Committee of the Queens County Democratic County Committee, described in the foregoing Certificate, and the said MICHAEL H. REICH was and is the Executive Secretary of said Committee, and that the said Certificate and the statements therein contained are true to the best of their information and belief.



JOSEPH CROWLEY
Chairman of the Executive Committee
Queens County
Democratic County Committee



MICHAEL H. REICH
Executive Secretary of the Executive Committee
Queens County
Democratic County Committee

Severally subscribed and
sworn to before me
the 7th day of October, 2010

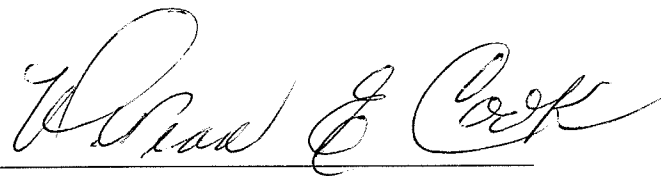


Notary Public

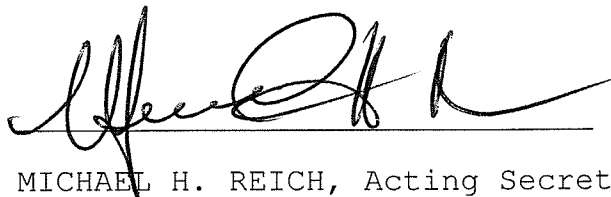
FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30, 2010

of the Rules and prior Amendments to the Rules of the Democratic County Committee of Queens County that were re-adopted at the aforesaid meeting held on October 7, 2010.

Dated: October 7, 2010.



VIVIAN E. COOK, Chairman



MICHAEL H. REICH, Acting Secretary

To Board of Election, City of New York
State Board of Elections

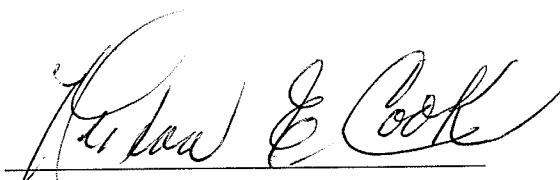
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BOARD OF ELECTIONS
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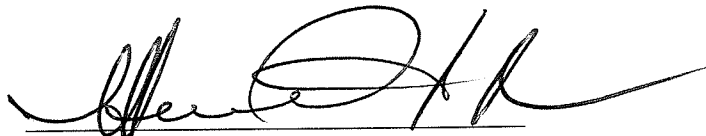
STATE OF NEW YORK, COUNTY OF QUEENS) ss.:

VIVIAN E. COOK and MICHAEL H. REICH, being jointly and severally duly sworn, depose and say:

That they were the Chairperson and Acting Secretary respectively of the Queens County Democratic Party County Committee; that we have read the foregoing Certificate and the statements contained therein are true.

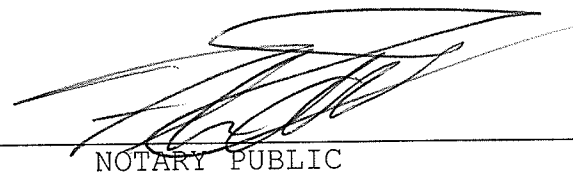


VIVIAN E. COOK, Chairman



MICHAEL H. REICH, Acting Secretary

Severally subscribed and
Sworn to before me this
7th day of October, 2010


NOTARY PUBLIC

FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30, 2010

NEW YORK STATE
CLERK OF SUPREME COURT
2010 OCT 14 AM 9:33

FILED

STATE OF NEW YORK)
) ss.
COUNTY OF QUEENS)

On the 7th Day of October, 2010, before me, the undersigned, personally appeared, VIVIAN E. COOK personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signatures on the instrument, the individual, or the person on behalf of which the individuals acted, executed the instrument.



FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30, 2010

FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30,

NOTARY PUBLIC

STATE OF NEW YORK)
) ss.
COUNTY OF QUEENS)

FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30,

On the 7th Day of October, 2010, before me, the undersigned, personally appeared, MICHAEL H. REICH personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signatures on the instrument, the individual, or the person on behalf of which the individuals acted, executed the instrument.



NOTARY PUBLIC

RECEIVED
CLERK OF SUPREME COURT
JULY 15 2010

FRANK A. BOLZ III
Notary Public, State of New York
No. 02804803493
Qualified in Suffolk County
Commission Expires Nov. 30, 2010

FILED

DEMOCRATIC ORGANIZATION
OF QUEENS COUNTY

72-50 AUSTIN STREET
FOREST HILLS, NEW YORK 11375



(718) 268-5100
Fax- (718) 268-7363

September 27, 2010

Dear County Committee Member,

PLEASE TAKE NOTICE that pursuant to the Rules of the Democratic County Committee of the County of Queens, a meeting of the County Committee of the Democratic Party, Queens County, will be held on **October 7, 2010, Thursday**, at

ANTUN'S
96-43 Springfield Boulevard
Queens Village, NY

MEETING BEGINS AT 6:00 P.M.

The County Committee shall meet for the purpose of conducting the following business:

1. To fill vacancies in the County Committee as may exist at the time of convening of the meeting of the County Committee on October 7, 2010. Such vacancies shall be filled in accordance with the applicable provisions of the Election Law and the Rules of the Democratic County Committee of the County of Queens.

2. To elect officers of the County Committee.

Sincerely,

VIVIAN E. COOK
Chairman
Democratic County
Committee of Queens County

JOSEPH CROWLEY
Chairman
Democratic Executive
Committee of Queens County

THIS NOTICE WILL SERVE AS YOUR CREDENTIALS FOR ADMISSION TO THIS MEETING

FILED

Rules and Regulations

of the

DEMOCRATIC

COUNTY

COMMITTEE

of the

County of Queens

NEW YORK STATE
BOARD OF ELECTIONS

2010 OCT 14 AM 9:32

FILED

Adopted January 28, 1975



**OFFICERS OF
THE COUNTY COMMITTEE**

Chairman JUANITA E. WATKINS
1st Vice-Chairman CHARLES G. IMPERIAL
2nd Vice-Chairman LEAH GRUBER
Secretary HELEN LEONESCU
Asst. Secretary ROSINA ZANAZZI
Sergeant-at-Arms GERTRUDE McDONALD
Sergeant-at-Arms JAMES C. LISA
Sergeant-at-Arms RONALD DETRES
Treasurer MORTON POVMAN

RULES

For the Government

of the

DEMOCRATIC ORGANIZATION

of

QUEENS COUNTY

1975

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ARTICLE I

General Provisions

Section 1. All duly enrolled Democratic electors of each election district shall have the right to participate in the organization of the Democratic Party of Queens County.

Section 2. The primary unit of representation shall be the Election District.

Section 3. The duly enrolled Democratic electors of each Election District shall choose the members of the County Committee at the primary election.

Section 4. The organizational unit of party management shall be the subdivisions of the Assembly Districts provided for in ARTICLE VII of these Rules. Each such subdivision shall be known as an "Executive District", and the members of the County Committee within such Executive District shall constitute, and shall be known as, the District Committee.

Section 5. The duly enrolled Democratic electors of each Executive District shall be entitled to elect one male Assembly District Leader and one female Assembly District Leader.

Section 6. The management of the affairs of the Democratic Organization of Queens County shall be, and is hereby, vested in the County Committee of the County Organization and when that Committee is not actually in session, in the Executive Committee of the County Organization, without limitation, in the same manner as though the County Committee was acting while actually in session.

ARTICLE II

Membership in the County Committee

Section 1. The County Committee shall have general authority over the organization of the Democratic Party in Queens County except as otherwise provided by law or by these Rules.

Section 2. The members of the County Committee shall be elected biennially in each even numbered year at the primary election at which members of the State Committee are elected.

Section 3. Each member of the County Committee shall be an enrolled Democrat and a resident of the Assembly District containing the Election District from which such member is elected.

Section 4. In each Election District there shall

be elected not less than two members of the County Committee; such members shall be equally divided between the sexes.

Section 5. If the number of votes in such Election District cast on the Democratic line for the Democratic Candidate for Governor at the last preceding gubernatorial election shall have exceeded 200, the Election District shall be entitled to two additional members of the County Committee.

Section 6. In any Election District whose boundaries were changed or where a new district has been created since the last gubernatorial election, the additional representation provided in Section 5 of this ARTICLE II shall be determined by the number of Democratic voters enrolled in such changed or newly created Election District at the last preceding general election.

Section 7. In any Election District entitled to two additional members of the County Committee, such members shall be equally divided between the sexes.

Section 8. In case of death, declination, disqualification, removal from the assembly district or removal from office of a member of the County Committee, or the failure to elect a member, the vacancy created thereby shall be filled by the remaining members of the County Committee by the selection of an enrolled voter of the party qualified for election from the unit of representation as to which such vacancy shall have occurred.

Section 9. Each member of the County Committee shall be entitled to one vote.

ARTICLE III The Organization Meeting of the County Committee

Section 1. The County Committee shall meet within twenty days after its election, except that in the event the Chairman of the outgoing County Committee shall be unable to obtain the necessary data from the Board of Elections, the organization meeting of the County Committee shall be held not later than ninety days after its election.

Section 2. The Chairman of the outgoing County Committee shall issue the call for such meeting within Queens County on at least five days notice by mail, setting forth the time and place where the meeting is to be held. If such chairman shall fail or refuse to issue the call for such meeting,

the Chairman of the Executive Committee shall issue such call.

Section 3. At such organization meeting of the County Committee, the Chairman of the outgoing County Committee shall preside until a Chairman is elected by the new County Committee. In the absence of the Chairman of the outgoing County Committee, the next highest ranking officer present shall preside. In the absence of all officers, the Chairman of the Executive Committee shall preside until a new Chairman is elected by the County Committee. The Secretary of the outgoing County Committee shall act as Secretary of the meeting until a Secretary is elected by the new County Committee.

Section 4. At such organization meeting the County Committee may adopt Rules or amend existing rules for the organization of the Democratic Party in Queens County and shall elect the officers provided for in such rules. In the event copies of the proposed rules or proposed amendments of existing rules are not sent to the County Committee members as provided in ARTICLE XV, Section 1, then the rules in effect shall continue in full force and effect and shall be deemed readopted by the County Committee.

Section 5. (a) All votes at meetings of the County Committee shall be by voice unless the Chairman shall decide that a vote shall be by standing division or by roll call (i.e., by Assembly Districts; or parts thereof); provided, however, that a roll call vote shall be taken of the County Committee if requested by at least 300 members of the County Committee. Further, on every roll call the vote of the members from each District Committee, or party thereof, shall be announced by the Chairman of the District Committee. Any members of any District Committee shall, upon request, have the right to have polled all the members of such committee; and in addition thereto such right of polling a particular District Committee shall be also available upon request of at least 150 members of the County Committee, of which there shall be at least five members of the County Committee from five or more separate Assembly Districts: in which event the Chairman of the County Committee shall appoint two tellers to conduct such poll on the floor of the meeting, and while such poll is being taken of the particular District Committee the roll call of the balance of the County Committee shall continue.

(b) If so requested by the Chair-

man, any member offering a resolution at a meeting of the County Committee shall reduce such resolution to writing and sign it.

(c) No member of the County Committee shall speak more than once on the same question to the exclusion of any other member, and no member shall speak for more than ten minutes at a time without the consent of the County Committee.

(d) Members whose names are on the official roll shall be deemed prima facie entitled to their seats and shall have the right to vote on all questions except that of their right to their own seats. In the event of a contest for membership in the Committee, a committee on contested seats shall be appointed by the presiding officer. The calling of the names of the official roll may be dispensed with at any meeting of the General County Committee, or any District Committee.

All reports upon contested seats shall be in writing, and shall state the facts as found by the Committee, together with the action recommended and the reasons therefor. The right to seats from each District shall, in case of contest, be decided separately from the right to seats from every other district.

(e) Members of the County Committee will be authorized admittance to any meeting of the County Committee or District Committees of which they are members only upon presentation of proper credentials and identification as determined by the Chairman of the County Committee and the credentials required for admittance shall be specifically set forth in the meeting notice. In the event of a dispute as to the proper admission of any person, the matter shall be referred to the Committee on Contested seats, which Committee shall report to the County Committee as to whether or not such person should be admitted. An affidavit of the Assembly District Leader attesting to the identity of the said person and his membership on the County Committee, shall be presumptive proof for the purpose of admission of said person to the meeting.

Section 6. A quorum at all meetings of the County Committee shall consist of 10% of the members duly elected as set forth in ARTICLE II. All action by the County Committee shall require the affirmative vote of a majority of the members present. In the event a quorum is not present to open any meeting, no action other than to adjourn said meeting may be taken.

Section 7. The following shall be the order of business at the organization meeting of the County Committee:

1. Call to order
2. Reading of the call
3. Roll call
4. Minutes of previous meeting
5. Treasurer's Report
6. Committee reports, if any
7. Filling vacancies
8. Adoption of new or amended rules, if any
9. Election of officers
10. Adoption of resolutions
11. Adjournment

ARTICLE IV Additional and Special Meetings of the County Committee; Meetings of District Committees

Section 1. (a) Regular meetings of the County Committee shall be held at least once annually. The organization meeting shall be considered a regular meeting.

(b) Within sixty days after the date of the organization meeting of the full County Committee, each District Committee shall hold an organizing meeting upon the call of the executive members of such district, who shall give each member thereof at least three days written notice of such meeting; provided, however, that if such meeting is not called within such time by the executive members, the Chairman of the County Committee may, at any time thereafter, call such meeting upon at least ten days written notice and may designate a temporary chairman and temporary secretary thereof.

(c) At such District Committee meeting, there shall be elected a chairman, a secretary, and such other officers as the District Committee may determine.

(d) Regular meetings of the District Committee shall be held at least once annually. The organization meeting of the District Committee shall be considered a regular meeting. Any additional meetings of such District Committee may be held at the call of the executive members of said District, by the chairman of such District Committee, or by the Executive Committee as set forth hereinafter in section 3.

Section 2. The Chairman of the County Com-

mittee shall issue calls for meetings of the County Committee as herein-authorized.

Section 3. The Executive Committee of the County Organization, by majority vote, may at any time issue a call for a special meeting of the County Committee or District Committees to be held at such time and place within Queens County as its resolution shall designate.

Section 4. Written notice of such special meeting shall be mailed to each member of the County Committee or District Committee at his last known address not less than five days prior to the date fixed for said meeting. Such notice shall clearly state the business to be transacted and in no event may any other matter, except that specified in the notice, be considered.

Section 5. The Chairman of the County Committee shall call a meeting upon the written petition of at least fifteen per cent of the members of the County Committee provided that such petition shall contain the signatures of at least 50 members from each of a majority of the Assembly Districts. The petition shall set forth the items of business to be transacted at such meeting. No other matters shall be considered except those specified in the petition.

Section 6. A petition for a special meeting pursuant to Section 4 of this ARTICLE shall be served upon the Chairman of the County Committee either personally or by certified mail addressed to him at the headquarters of the County Committee. The Chairman of the County Committee shall call a meeting to be held not more than twenty (20) days after the serving of such petition.

Section 7. If the Chairman of the County Committee fails or refuses to call such meeting within ten (10) days after the service of such petition, it shall be the duty of the Chairman of the Executive Committee of the County Organization to issue such call provided a copy of such petition was served upon him personally or by certified mail at the time of service upon the Chairman of the County Committee.

Section 8. If both the Chairman of the County Committee and the Chairman of the Executive Committee of the County Organization fail or refuse to call such meeting, any signer of such petition may apply to the Supreme Court of the State of New York for an order to compel either of such officers to call such meeting.

Section 9. The Chairman of the County Committee shall preside at all meetings of the County Committee. In his absence the next highest ranking officer who is present shall preside.

Section 10. The following shall be the order of business at any additional or special meeting of the County Committee and at any meeting of the members of a District Committee:

1. Call to order
2. Reading of Call
3. Roll Call
4. The business of the meeting as designated in the Call
5. Adjournment

ARTICLE V

Officers of the County Committee

Section 1. The officers of the County Committee shall be:

- (1) Chairman
- (2) First Vice-Chairman
- (3) Second Vice-Chairman
- (4) Treasurer
- (5) Secretary
- (6) Assistant Secretary
- (7) Sergeant-at-Arms

Section 2. It shall be the duty of the Chairman of the County Committee to preside at all meetings of that body. In his absence, the First Vice-Chairman, the Second Vice-Chairman, or the Treasurer, in that order, shall preside. Unless otherwise provided by law or by these Rules, the Chairman of the County Committee shall appoint the members of all Committees, except standing committees, and designate their chairman.

Section 3. In the event of a vacancy in the office of Chairman of the County Committee, the First Vice-Chairman shall exercise the powers and perform the duties of that office until a new Chairman shall be elected and take office. If the office of both Chairman and First Vice-Chairman shall be vacant, the Second Vice-Chairman shall serve until either office shall be filled.

Section 4. It shall be the duty of the Secretary to keep full and accurate minutes of all proceedings of the County Committee, to prepare a roll of the members with their respective places of residence and to notify the members of the time and place of all meetings. In addition, he shall perform such duties pertaining to his office as may be

assigned by the Chairman of the County Committee.

Section 5. The Assistant Secretary shall perform such duties as may be assigned by the Secretary, and in his absence the Assistant Secretary shall exercise all the duties, powers and authority of the Secretary.

Section 6. The Treasurer of the County Committee shall receive and hold in trust all funds of the Committee, giving a receipt for same; keep a correct account thereof in a book or books provided for that purpose; pay all bills that have been attested by the Secretary or directed to be paid by the Executive Committee, except that checks in payment therefor shall be signed by two of the following three officers: The Chairman, the Treasurer, and the Secretary of the County Committee; file all bills as vouchers for money paid by him; render an account of the funds, whenever called upon to do so by the County Committee; and at the expiration of his term of office, or on his removal or inability to act, deliver all books, papers and vouchers, or other properties in his possession belonging to the County Committee, and pay over all balances as directed by the Chairman of the County Committee.

Section 7. Within sixty (60) days after the end of each calendar quarter, the Treasurer of the County Committee shall make to the Executive Committee a written report of income and expenses for such quarter, but only in the event of income received or expenses disbursed during such quarter.

Section 8. It shall be the duty of the Sergeant-at-Arms to assist the Chairman in preserving and maintaining order.

Section 9. A vacancy in any office of the County Committee may be filled at any regular meeting or at a special meeting provided the item is included in the notice of business to be transacted at such special meeting.

ARTICLE VI

Executive Districts and District Leaders

Section 1. Each Assembly District of Queens County shall be subdivided into two Executive Districts.

Except as otherwise provided by or pursuant to law or these rules, the boundaries of such Executive Districts shall be those in effect on October 1, 1974.

Section 2. There shall be elected at the same primary election and for the same term as members of the County Committee one (1) male Assembly District Leader and one (1) female Assembly District Leader from each Executive District as provided in Section 15 of the Election Law.

Section 3. Such male Assembly District Leader and such female Assembly District Leader shall be enrolled voters of the Democratic Party and shall reside within the Executive District from which they are to be elected.

ARTICLE VII

The Executive Committee

Section 1. The duly elected Assembly District Leaders, provided they meet the qualifications and requirements of Section 2 of this ARTICLE shall constitute the Executive Committee of the County Organization. The members of the Executive Committee of the County Organization are sometimes referred to as the Executive Members from the respective Executive Districts. The chairman of the County Committee shall be a member, ex officio, of the Executive Committee, but shall have no vote. However, in the event that the Chairman of the County Committee is a duly elected Assembly District Leader, then the first vice-chairman of the County Committee shall be a member of the Executive Committee, ex officio, but shall have no vote.

Section 2. No person shall be eligible to participate as a member of the Executive Committee of the County Organization unless he shall have filed with the Chairman of such Executive Committee a certificate stating that he is a member of an existing Democratic Club or that he has organized a Democratic Club which meets the following standards:

(1) The Club has a written constitution, a copy of which is attached to the Executive Member's certificate, and copies of which are available for inspection by the members of said Club.

(2) Membership in said Club is open to all enrolled Democrats residing within the Executive District, regardless of race, color or creed, subject to such reasonable rules and regulations in regard to character and conduct as are contained in the constitution or by-laws of said Club.

(3) The Club's constitution requires at least one regular membership meeting in each month, except in July and August, and requires its Board of Directors or equivalent executive body to hold at

least four (4) regular meetings each year.

(4) The Club requires a semi-annual financial statement, and that such statement is read at a general membership meeting and incorporated in the minutes of said meeting.

Such certificate shall be filed with the Chairman of the Executive Committee not later than ninety days after the primary election at which members of the County Committee are elected, except that in the first year after the adoption of these rules, such certificates shall be filed not later than the fifteenth day of January, 1967.

Section 3. If at any time the certificate shall not be on file with the Chairman of the Executive Committee as required in Section 2 of this ARTICLE, then upon fifteen (15) days notice by registered mail from the Chairman of the Executive Committee to the Executive Member, the position of Executive Member for the Executive District shall be deemed vacant unless such certificate has been filed during such fifteen (15) day period.

Section 4. If at any time subsequent to the filing of the certificate required by Section 2 of this ARTICLE, any ten members of the Executive Committee or any twenty-five per cent of the members of the County Committee residing in the subject Executive District shall file a written challenge alleging that the facts recited in such certificate were not true, the Executive Member whose certificate is so challenged shall have twenty days to file a written reply to said challenge or to file an amended certificate which he shall certify and which shall comply with the requirements of Section 2 of this ARTICLE.

Section 5. Copies of the challenge and the reply or amended certificate of the Executive Member shall be furnished to the members of the Executive Committee at its next regular meeting or at a special meeting called for that purpose, and the Executive Committee shall determine whether or not the certificates then filed are in fact correct and in compliance with the requirements of Section 2 of this ARTICLE.

Section 6. If the Executive Committee on motion duly made, and seconded and adopted shall declare that the requirements of Section 2 of this ARTICLE have not been complied with, the position of Executive Member for the subject Executive District shall thereupon be deemed vacant and the members of the County Committee of said Executive District shall have the power to fill such vacan-

cy in accordance with Section 7 of this ARTICLE.

Section 7. If a vacancy shall occur in either the position of Assembly District Leader or in the position of Executive Member, the Chairman of the Executive Committee of the County Organization shall, within twenty days after the occurrence of such vacancy, call a special meeting of the members of the County Committee from the Executive District in which the vacancy occurs. At such meeting a new Assembly District Leader or a new Executive Member, as the case may be, shall be elected by a majority of those present.

Section 8. The person chosen to fill such vacancy must be a member of the County Committee residing within the Executive District wherein the vacancy exists.

Section 9. To qualify for membership on the Executive Committee the person chosen to fill a vacancy pursuant to this Section shall file the certificate required by Section 2 of this ARTICLE within thirty days after his election.

ARTICLE VIII

Powers of the Executive Committee

Section 1. At all times when the County Committee is not actually in session, the Executive Committee of the County Organization shall have, possess and exercise without any limitation whatsoever, all the rights, privileges, powers and duties which the County Committee may have, possess or exercise including but not limited to subdividing Assembly Districts into Executive Districts pursuant to the provisions of ARTICLE XII.

Section 2. The Executive Committee of the County Organization shall be vested with the general management of the affairs of the Democratic Party in Queens County. It shall have the custody, management and control of the funds and headquarters of the County Organization. It shall have authority to maintain such headquarters and to employ such clerical and other assistance as it may deem necessary except as may otherwise be provided by these Rules. It shall have the power to do all things and adopt all measures not in conflict with the law or these Rules.

Section 3. The Executive Committee shall have the right to adopt regulations and by-laws not in conflict with law or these Rules.

ARTICLE IX The Organization of the Executive Committee

Section 1. The Executive Committee of the County Organization shall meet within thirty days after the primary election at which Assembly District Leaders shall have been elected.

Section 2. The Chairman of the outgoing Executive Committee shall issue the call for such meeting on at least three days' notice by mail, setting forth the time and place where the meeting is to be held.

Section 3. At such organization meeting of the Executive Committee, the Chairman of the outgoing Executive Committee shall preside until a Chairman is elected by the new Executive Committee. In the absence of the Chairman of the outgoing Executive Committee, the next highest ranking officer present shall preside. In the absence of all officers, any member of the Executive Committee chosen by a majority thereof may preside until a Chairman is elected by the Executive Committee. The Secretary of the outgoing Executive Committee shall act as Secretary of the meeting until a Secretary is elected by the new Executive Committee.

Section 4. At such organization meeting the members of the Executive Committee shall elect the officers provided for by these Rules.

Section 5. The officers shall be elected by a majority vote of the members present. All officers of the Executive Committee, except as hereinafter provided, shall be members of said Committee.

ARTICLE X Officers of the Executive Committee of the County Organization

Section 1. The Officers of the Executive Committee of the County Organization shall be:

- (1) Chairman
- (2) First Vice-Chairman
- (3) Second Vice-Chairman
- (4) Treasurer
- (5) Secretary
- (6) Assistant Secretary
- (7) Sergeant-at-Arms

Section 2. The Chairman of the Executive Committee of the County Organization shall preside at all meetings of the Executive Committee.

In his absence the First Vice-Chairman, the Second Vice-Chairman, or the Treasurer in that order shall preside.

Section 3. When the Executive Committee is not in session the Chairman shall possess and exercise all rights, powers and duties of said Committee and such other rights, powers and duties as may, from time to time, be granted to him by said Committee. Powers so granted by the Executive Committee may be withdrawn only by majority vote of the Committee.

Section 4. The Chairman of the Executive Committee shall have the power and authority to appoint an Executive Secretary and other personnel. The Executive Secretary need not be a member of the Executive Committee and may be removed at will by the Chairman of the Executive Committee.

Section 5. The Chairman of the Executive Committee shall file with the Board of Elections a statement of the Party Positions to be filled at such election and number of persons to be elected to each position pursuant to Section 18 of the Election Law.

Section 6. In the event of a vacancy in the office of Chairman of the Executive Committee, the First Vice-Chairman shall exercise the powers and perform the duties of that Office until a new Chairman shall be elected and take office. If the office of both Chairman and First Vice-Chairman shall be vacant, the Second Vice-Chairman shall serve until either office shall be filled.

Section 7. It shall be the duty of the Secretary to keep full and accurate minutes of all proceedings of the Executive Committee, to prepare a roll of the members with their respective places of residence and to notify the members of the time and place of all meetings. In addition, he shall perform such duties pertaining to his office as may be assigned by the Chairman of the Executive Committee.

Section 8. The Assistant Secretary shall perform such duties as may be assigned by the Secretary, and in his absence the Assistant Secretary shall exercise all the duties, powers and authority of the Secretary.

Section 9. The Treasurer who need not be a member of the Executive Committee, shall receive and hold in trust all funds of the Executive Committee, giving a receipt for same: keep a correct account thereof in a book or books provided for

that purpose; pay all bills that have been attested by the Secretary or Executive Secretary directed to be paid by the Executive Committee, and, unless otherwise authorized by a majority of the Executive Committee, all check in payment therefor shall be signed by the Treasurer and any one of the following: the Chairman, the Secretary of the Executive Committee or such other person as a majority of the Executive Committee shall authorize; file all bills as vouchers for money paid by him, render an account of the funds, whenever called upon to do so by the Committee; and at the expiration of his term of office, or on his removal or inability to act, deliver all books, papers, and vouchers or other property in his possession belonging to the County Chairman of the Executive Committee.

Section 10. On or before September 1, 1976 and annually thereafter, the Treasurer shall make available to the Executive Committee an audited report by an independent accounting firm of income and expenses as of the previous fiscal year ending June 30.

Section 11. It shall be the duty of the Sergeant-at-Arms at the discretion of the Chairman, to assist in preserving and maintaining order.

Section 12. A vacancy in any office of the Executive Committee may be filled at any regular or special meeting of the Committee provided the item is included in the notice of business to be transacted at such meeting.

ARTICLE XI Regular and Special Meetings of the Executive Committee

Section 1. The Executive Committee of the County Organization shall meet at least once in every two consecutive calendar months, except during the months of July and August. The Committee may by general or special resolution, fix the time and place of its regular meeting, in which event the Secretary shall notify the members by mail, not less than five days prior to the day fixed for such meeting.

Section 2. The Chairman of the Executive Committee may at any time issue a call for a special meeting of the Committee to be held at such time and place as he shall designate.

Section 3. Written notice of such special meeting shall be mailed to each member of the Executive Committee not less than three days prior

to the date fixed for such meeting, unless the Chairman shall certify that an emergency exists, in which event notice may be sent by telegram not less than twenty-four hours prior to the time fixed for the meeting. The notice of the meeting shall, in either event, clearly state the business to be transacted.

Section 4. The Chairman shall also call a meeting upon the written petition of a majority of the members of the Executive Committee. Such petition shall set forth the time and place and business to be transacted at such meeting. It shall be filed with the Chairman not less than ten days prior to the time set forth therein for the holding of such meeting either by delivering it personally to the Chairman or by registered mail addressed to the Chairman at the headquarters of the Executive Committee. If after a lapse of five days, the Chairman shall fail or refuse to issue the call for such meeting, any five members who signed the petition may issue the call for the meeting, giving three days' notice by mail to each member of the Committee.

Section 5. A quorum at all meetings of the Executive Committee of the County Organization shall consist of a majority of the members of such Executive Committee. All action of the Executive Committee, except as otherwise provided by law or by these Rules, shall require the affirmative vote of those present. In the event a quorum is not present at any meeting, no action other than to adjourn said meeting may be taken.

ARTICLE XII Committees of the Executive Committee

Section 1. The Executive Committee shall have the following standing committees:

- (1) Committee on Law
- (2) Committee on Finance
- (3) Committee on Campaigns and Elections
- (4) Committee on Public Affairs and Legislation
- (5) Committee on Community Services on Human Relations
- (6) Committee on Public Relations
- (7) Committee on Young Democrats
- (8) Committee on Subdivision of Assembly Districts

Section 2. The Chairman of the Executive Committee may establish such other committees of the Executive Committee as he deems necessary or proper.

Section 3. The Chairman of the Executive Committee shall be ex-officio a member of all com-

mittees of the Executive Committee and shall have the right to appoint and to remove at any time all members, including the Chairman, of such committees.

Section 4. (a) All of the members of the Executive Committee from each Assembly District together with the Chairman of the Executive Committee shall constitute a standing committee on the subdivision of their respective Assembly District. Upon the recommendation of any such committee, at any time any Assembly District may be divided, provided:

(1) The boundary line shall be a single continuous line, as straight as practicable, with due regard being given to the geographical layout of the Assembly District and the common interest of the residents of the communities therein.

(2) That the portions of the Assembly District shall, as far as may be practicable, contain an equal number of election districts, due regard being given to the criteria set forth in subdivision (1) above.

(3) That no Election District shall be divided.

(4) That the report shall have the approval of a majority of the Committee.

(5) That except as provided in subsection (c) of this section the report shall be filed with the Chairman of the Executive Committee not later than the twenty-sixth Tuesday before the primary election at which members of the County Committee are to be elected.

(6) That it be approved by a majority of the Executive Committee of the County Organization.

(b) In the event of a reapportionment of the Assembly District lines the standing committee shall consist of those incumbent Executive Leaders residing within the Assembly District and, provided less than two male and two female Executive Leaders reside therein, any incumbent Executive Leader who represented any portion of the Assembly District prior to the reapportionment and who has filed a certification with the Chairman of the Executive Committee that he or she intends to run for Executive Leader in an Executive District within the Assembly District.

(c) In the event of a reapportionment of the Assembly District, the standing Committee shall file its recommendation with the Executive Committee within 60 days of such reapportionment or no later than 30 days prior to the pri-

mary election at which District Leaders shall be elected, whichever event shall first occur, and in the event of its failure to do so the Executive Committee shall subdivide the Assembly District within 45 days or not later than 15 days prior to such election, whichever event shall first occur.

ARTICLE XIII Nominations and Designations For Public Office

Section 1. It shall be the duty of the Chairman of the Executive Committee of the County Organization to convene the members of such Committee to recommend for designation candidates for elective public offices. Five days' written notice shall be given of the time and place for the holding of such meetings.

Section 2. Where a public office is to be voted for by the electors of the entire county and the nominations for such office are to be made at an official primary election provided for by the Election Law, a candidate shall be recommended for designation by majority vote of the members of the Executive Committee present at such meeting.

Section 3. Where a public office is to be voted for by the electors of an Assembly District the members of the Executive Committee of the County Organization from all the Executive Districts within such Assembly, and the Chairman of the Executive Committee shall constitute the committee to recommend a candidate for designation. The Executive Members shall each have one vote, and a candidate shall be recommended for designation by a majority vote of the members present at such meeting. In the event of a tie, the Chairman of the Executive Committee shall have one vote.

Section 4. Where a public office is to be voted for by the electors of a State, Senatorial, Councilmanic or Congressional District, all of the members of the Executive Committee of the County Organization whose Executive Districts are wholly or partly within the boundaries of such District shall constitute the committee to recommend the candidate. Each member of such committee shall have a vote equal to the number of election districts of his Executive District, as shall be wholly or partly within such State, Senatorial, Councilmanic or Congressional District, and a candidate shall be recommended for designation by a majority of the votes cast at said meeting. In the event of a tie, the Chair-

man of the Executive Committee shall have one vote.

Section 5. The provisions for recommendation of candidates for designation described in Section 4 of this ARTICLE shall also apply to the recommendation for designation of a candidate for judicial office not to be voted for by the electors of the entire county.

Section 6. The provisions set forth in Sections 1, 2, 3, 4 and 5 of this ARTICLE shall be applicable to a party nomination of a candidate for election to fill a vacancy occurring after the seventh day preceding the last day to file designating petitions, in an elective office required to be filled at the next general election, if it be an office for which party nomination might otherwise be made at a primary or by a convention of delegates chosen at such primary. A vacancy in a nomination made at a primary, or by a tie vote thereat, shall similarly be made as provided by sections 1, 2, 3, 4 and 5 of this ARTICLE.

Section 7. When a public office is to be filled by all the voters of the State or a Judicial District thereof and the law provided for a State or Judicial Convention to nominate party candidates for such office, the Delegates and the Alternate Delegates to such convention shall be chosen in accordance with the rules of the Democratic State Committee. The provisions set forth in Section 3 of this ARTICLE shall be applicable.

Section 8. If, as a result of the reapportionment of any aforesaid political subdivision, the aforesaid procedures for nominating and designating candidates for public office cannot be carried out, then the Executive Committee shall formulate rules for the nomination and designation of such candidates.

Section 9. Whenever a vacancy shall occur in the office of Democratic State Committee member, the Chairman of the Executive Committee shall, within twenty days of the occurrence of the vacancy, call a meeting of the members of the County Committee from all of the Election Districts in which the vacancy exists. Said members of the County Committee shall constitute the committee to recommend a candidate to the State Committee to fill the vacancy.

ARTICLE XIV

Removal of Officers:

Discipline of Party Members

Section 1. Any officer of the County Com-

mittee may be removed from office for cause by the County Committee provided the procedures set forth in this ARTICLE are complied with.

Section 2. Any officer of the Executive Committee of the County Organization may be removed for cause by said Executive Committee provided the procedures set forth in this ARTICLE are complied with.

Section 3. For the removal of an officer of the County Committee, the following shall be required:

(A) Charges shall be in writing and shall contain the signatures of not less than six hundred members of the County Committee provided that such charges shall contain the signatures of at least fifty members from each of a majority of the Assembly Districts. All signatories shall state the residence addresses and the Assembly District and Part thereof in which they reside.

(B) Such charges shall be filed with the Chairman of the Executive Committee of the County Organization either personally or by registered mail addressed to him at the headquarters of the Executive Committee of the County Organization.

(C) The Chairman of the Executive Committee shall call a special meeting of the Executive Committee to be held within thirty days after the receipt of such charges on not less than ten days notice to the members of such committee.

(D) The charges shall be heard by the Executive Committee and a vote of a majority of all its members shall be necessary to recommend the removal of such officer. If the Executive Committee fails to recommend removal the charges shall be deemed dismissed. The Chairman of the Executive Committee shall promptly report the action of such Committee to the Chairman of the County Committee.

(E) In the event that the Executive Committee recommends the removal of the officer in Question, the Chairman of the County Committee shall within ten days of the receipt of such recommendation call a special meeting of the County Committee on not less than ten days' written notice to its members.

(F) At such special meeting of the County Committee the charges and the recommendation of the Executive Committee shall be read and a majority of those present shall have the power to remove said officer or dismiss the charges.

Section 4. For the removal of an officer of the Executive Committee the following shall be required:

(A) Charges shall be in writing and shall contain the signatures of not less than ten members of the Executive Committee.

(B) Such charges shall be filed with the Chairman of the Executive Committee personally or by registered mail addressed to him at the headquarters of the Executive Committee of the County Organization.

(C) The Chairman of the Executive Committee shall call a special meeting of the Executive Committee to be held within thirty days after the receipt of such charges on not less than ten days notice to the members of such committee.

(D) At such special meeting the charges shall be read and a majority of those present shall have power to remove said officer. If the Committee fails to remove the officer the charges shall be deemed dismissed.

Section 5. For the removal of a member of the Executive Committee the following shall be required:

(A) Charges shall be in writing and shall contain the signatures of a majority of the members of the Executive Committee of the County Organization.

(B) Such charges shall be filed with the Chairman of the Executive Committee personally or by registered mail addressed to him at the headquarters of the Executive Committee of the County Organization.

(C) The Chairman of the Executive Committee shall call a special meeting of the Executive Committee to be held within thirty days after the receipt of such charges on not less than ten days notice to the members of such committee.

(D) At such special meeting the charges shall be read and two-thirds of all the members of the Executive Committee shall have the power to remove such member from the Committee except that if such member has supported for public office a nominee in opposition to the nominee of the Democratic Party, a majority of the members of the Executive Committee shall have the power to remove such member from the Committee.

Section 6. All charges filed pursuant to this ARTICLE shall specify the name of the officer sought to be removed and shall set forth, in detail the act or acts constituting the alleged cause for re-

moval and shall set forth, in detail the act or acts constituting the alleged cause for removal and shall contain a request for the removal of such officer.

Section 7. A true copy of all such charges shall be sent by registered mail to his last known place of residence to the officer sought to be removed and unless such proof of mailing is furnished to the Chairman of the Executive Committee it shall be his duty to send a copy of such charges to said officer.

Section 8. All notices of special meetings of the Executive Committee or the County Committee pursuant to this ARTICLE shall state the time and place within Queens County where such meeting is to be held and shall state the purpose of the meeting to be the consideration of the said charges.

Section 9. At all special meetings and any adjournments thereof of the Executive Committee or the County Committee called for the consideration of charges pursuant to this ARTICLE, the officer whose removal is sought shall have the right to appear personally with counsel of his own selection and shall have the right to offer any defense or explanation of the act or acts with which he has been charged.

Section 10. The Executive Committee of the County Organization shall be and it is hereby vested with the power to remove any member of the County Committee for the reasons specified in Section 16 of the Election Law and with power to appoint a sub-committee to hear the charges and report its findings to the full committee. Any member of the County Committee sought to be removed pursuant to this section shall have the right to appear with counsel and be heard by such sub-committee.

Section 11. Any vacancy resulting from removal pursuant to this ARTICLE shall be filled as elsewhere provided in these Rules.

ARTICLE XV Amendments and Procedure

Section 1. These Rules may be amended from time to time by a majority of the members of the County Committee present at a meeting at which there is a quorum, provided a copy of the proposed amendments shall be sent with the notice of the meeting at which such amendments are to be proposed, such notice to be not less than five days before such meeting, and to be mailed to the post office address of each member of the Committee.

Section 2. Copies of these Rules and all amendments thereto shall be furnished to each of the Executive Members in sufficient quantity for each member of the County Committee in the Executive District which he represents and he shall arrange for the distribution of such Rules and amendments to such members.

Section 3. On all matters procedure not specifically covered by these Rules, Roberts Rules of Order shall be the parliamentary guide.

ARTICLE XVI

Severability

If any clause, sentence, paragraph, subdivision, section, rule, or part of these rules, shall be adjudged by any court of competent jurisdiction to be invalid, such judgment shall not affect, impair or invalidate the remainder thereof but shall be confined in its operation to the clause, sentence, paragraph, subdivision, section, rule, or part thereof, directly involved in the controversy in which such judgment shall have been rendered.

I, JUANITA E. WATKINS, Chairman of the Democratic County Committee, Queens County, State of New York, do hereby certify that the foregoing are the Rules of the said County Committee duly adopted by the said County Committee on January 28, 1975. In accordance with Section 15 of the Election Law of the State of New York the foregoing Rules will forthwith be filed with the Secretary of State of the State of New York and the Board of Elections in the City of New York.

Dated: Queens County, New York
January 29, 1975

JUANITA E. WATKINS

OFFICERS OF EXECUTIVE COMMITTEE

Chairman DONALD R. MANES
1st Vice-Chairman RALPH F. DeMARCO
2nd Vice-Chairman MILDRED SNYDER
Secretary ISADORA ROGERS
Asst. Secretary ILSE METZGER
Sergeant-at-Arms JOSEPH LISA
Treasurer SEYMOUR SHELDON

Executive Secretary RICHARD L. RUBIN
Law Chairman SOL R. DUNKIN

Adopted 2/18/81

Amendments Proposed By Rules Committee:

1. Article III - This Article is hereby amended to add a new Section 8 which shall provide as follows: "The procedures set forth in Sections 5, 6 and 7 of this Article 1 shall apply both to meetings of the County Committee and to District Committees."

2. Article VI - The second paragraph of Article VI - Section 1 is hereby amended to provide as follows: "Except as otherwise provided by or pursuant to law or these rules, the boundaries of such Executive Districts shall be those in effect 26 weeks prior to the last primary election at which members of the County Committee were elected."

3. Article XIII - Section 6 is hereby amended to provide as follows:

The provisions set forth in Sections 1, 2, 3, 4 and 5 of this ARTICLE shall be applicable to Party Nominations for an office to be filled at a special election and to a Party Nomination of a candidate for an election to fill a vacancy occurring after the seventh day preceding the last day to file designating petitions, in an elective office required to be filled at the next general election, if it be an office for which party nomination might otherwise be made at a primary or by a convention of delegates, chosen at such primary; provided, however, where a nomination is to be made for a public office, each member of the Executive Committee of the County Organization whose district is wholly or partly within the boundaries of the District for which public office is sought shall have a vote in proportion to the party vote in the District for Governor at the last preceding general election, or in case the boundary of such District has been changed or a new District has been created since the last preceding general election, proportional to the party vote cast for member of assembly, or in the event there was no election for member of assembly, then proportional to the party enrollment in such District at the last preceding general election. A vacancy in a nomination made at a primary or by a tie vote thereat shall similarly be made as provided by this Section.

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CLERK OF SUPERIOR COURT

Adopted 12/2/81

AMENDMENT TO THE RULES OF THE DEMOCRATIC
COUNTY COMMITTEE OF QUEENS COUNTY

Article X-Section 2 is hereby amended by adding the following:

The Chairman of the Executive Committee of the County Organization need not continue as a member of the Executive Committee so long as he/she was a member of the Executive Committee at the time of his/her first election to the position of Chairman. The last preceeding Chairman of an Executive Committee shall be eligible to be re-elected and hold the office of Chairman of the Executive Committee of the County Organization although not a member of the Executive Committee at the time of his/her re-election.

PROPOSED AMENDMENTS

ARTICLE I - This article is hereby amended to add a new SECTION 7 which shall provide as follows:

NO GOVERNMENT SHALL IMPEDE OR RESTRAIN THE RIGHTS OF THIS POLITICAL PARTY TO EXERCISE ITS FULL CONSTITUTIONAL RIGHTS TO ELECT THE DULY NOMINATED CANDIDATES OF THIS DEMOCRATIC PARTY.

THE FOLLOWING IS A NEW ARTICLE PROPOSED BY THE NEW YORK STATE DEMOCRATIC COMMITTEE:

ARTICLE XVII -

Code of Ethics

- | | |
|--|----------------------------------|
| 1. Statement of Principles. | 6. Financial Disclosure. |
| 2. Definitions. | 7. Certificate of Party Leaders. |
| 3. County Committee Ethics Commission. | 8. Penalties. |
| 4. Conflict of Interest. | 9. Revision and Amendment. |
| 5. Dual Office-Holding. | 10. Effective Date. |
-

SECTION 1. Statement of Principles.

Public trust in party leadership is essential if the Democratic Party in Queens County is to achieve continued success. Rules of ethical guidance for the conduct of party leaders can help earn that public trust.

It is essential that party leadership not be used for private gain. It is also essential that the Democratic Party attract those citizens best qualified to serve, and not impede unreasonably or unnecessarily their recruitment and retention or unfairly deny to them the economic rights and opportunities available to all other citizens.

It is the intent of this Code of Ethics to implement these objectives of promoting both the integrity of the Democratic Party and the recruitment and retention of qualified party leadership by prescribing restrictions against abuses of political position for private financial gain without creating unnecessary barriers to party service.

SECTION 2. Definitions.

The following definitions apply to the within Code of Ethics only and shall not affect any other provisions contained in the rules and regulations of the Democratic County Committee of the County of Queens.

- "Code of Ethics" - The Democratic Party Model Code of Ethics, as set forth herein and as may be amended from time to time.
- "County of Committee" - The Queens County Committee.
- "Committee Ethics Commission" - The Queens County Committee Ethics Commission, as created pursuant to this Code of Ethics.
- "Compensation" - Any money, thing of value or financial benefit conferred in return for services rendered or to be rendered. With regard to matters undertaken by a firm, corporation or association, Compensation shall mean net revenues, as defined in accordance with generally accepted accounting principles as applied by the State Ethics Commission.
- "Legislative Body" - The New York State Assembly or Senate, any county or municipal legislative body or any board of estimate.
- "Licensing" - Any State Agency, New York City Agency or Other Local Agency activity, other than before

the Division of Corporations and State Records in the Department of State respecting the grant, denial, renewal, revocation, enforcement, suspension, annulment, withdrawal, recall, cancellation or amendment of a license, permit or other form of permission conferring the right or privilege to engage in (i) a profession, trade, or occupation or (ii) any business or activity regulated by a Regulatory Agency (or, with respect to any New York City Agency activity, a regulatory agency of a New York City Agency), which in the absence of such license permit or other form of permission would be prohibited.

- "Ministerial Matter" - An administrative act carried out in a prescribed manner not allowing for substantial personal discretion.
- "New York City Agency" - A city, county, borough or other office position, administration, department, division, bureau, board, commission, authority, corporation or other agency of government, the expenses of which are paid in whole or in part from the New York City treasury, and shall include the Board of Education, the Board of Higher Education, school boards, city and community colleges, community boards, New York City Transit Authority, the New York City Housing Authority and the Triborough Bridge and Tunnel Authority, but shall not include any court or corporation or institution maintaining or operating a public library, museum, botanical garden, arboretum, tomb, memorial building, aquarium, zoological garden or similar facility.
- "Other Local Agency" - Any county, city, town, village, school district or district corporation, or any agency, department, division, board commission or bureau thereof; and any public benefit corporation or public authority not included in the definition of State Agency; but not including any New York City Agency or any entity expressly excluded from the definition of New York City Agency.
- "Party Leader" - (a) Each chair or acting chair of the County Executive Committee;
(b) each officer serving the County Committee in a full-time capacity; and
(c) each managerial employee and professional employee performing duties of a policy-making nature and serving the County Committee in a full time capacity.
- "Regulatory Agency" - The banking Department, Insurance Department, State Liquor Authority, Department of Agriculture and Markets, Department of Education, Department of Environmental Conservation, Department of Health, Division of Housing and Community Renewal, Department of State (other than the Division of Corporations and State Records), Department of Public Service, the Industrial Board of Appeals in the Department of Labor and the Department of Law.
- "Representative Capacity" - The presentation of the interests of a client or other person pursuant to an agreement express or implied for Compensation for services.
- "State Agency" - Any state department, or division, board, commission, or bureau of any state department, any public benefit corporation, public authority or commission at least one of whose members is appointed by the Governor, or the State University of New York or the City University of New York, including all their constituent units except community colleges and the independent institutions operating statutory or contract colleges on behalf of the State.
- "State Ethics Commission" - The State Ethics Commission established pursuant to Section 94 of the Executive Law.

SECTION 3. County Committee Ethics Commission.

1. a. The Committee Ethics Commission shall consist of five enrolled Democrats residing within the Queens County, serving terms of four years each (except that the first terms of two of the initial members shall be six years each) with no more than the three terms expiring during the same year. No Party Leader of the County Committee, no more than one member of Executive Committee of the County Committee and no more than one officer of the County Committee shall serve as member of the Committee Ethics Commission. Committee Ethics Commission members shall be nominated by the Chair of the County Executive Committee and appointed with the approval of the County Executive Committee. The Chair of the County Executive Committee shall designate a Commission chair from among the Committee Ethics Commission members and act promptly to nominate persons to fill vacancies on the Committee Ethics Commission as they arise. The members of the Committee Ethics Commission may be removed by the Chair of the County Executive Committee for substantial neglect of duty, gross misconduct in office, inability to discharge the powers or duties of office or violation of the Code of Ethics, after written notice and opportunity for a reply. The Committee Ethics Commission may appoint a counsel to serve at its discretion.

b. The Committee Ethics Commission shall be bound by this Code of Ethics in the administration of hearings and the rendering of decisions and shall maintain for public inspection all disclosures filed under the Article IV of this Code. The Committee Ethics Commission may establish rules for the Commission governing standing, jurisdiction and the right of appeal.

2. Complaints.

a. Any enrolled Democrat (the "Complainant") may submit to the Committee Ethics Commission (privately and without any public release or announcement with respect thereto) a written complaint (a "Complaint") alleging a specific violation of the Code of Ethics by a Party Leader (the "Respondent").

b. The Committee Ethics Commission may independently initiate a Complaint alleging a specific violation of the Code of Ethics by a Party Leader (the "Respondent").

3. Hearings.

a. Upon receipt or initiation of a Complaint, the Committee Ethics Commission shall be promptly give the Respondent a copy thereof.

b. Within 15 days of receipt of the copy of such Complaint, the Respondent may submit a written response to the Committee Ethics Commission. Promptly thereafter (and in no case later than 30 days after the conclusion of such 15-day period), the Committee Ethics Commission may, in its discretion, dismiss the Complaint, issue a reprimand or admonition to the Respondent or schedule a hearing on the merits of the Complaint, except that if the Respondent, in his or her response, requests that a hearing be held, then the Committee Ethics Commission shall schedule such a hearing. The Committee Ethics Commission shall dismiss and take action to discourage unfounded or frivolous Complaints.

c. If a hearing is to be held, then, at least 15 days prior to the date scheduled by the Committee Ethics Commission, the Complainant, if any, and the Respondent shall each be notified of the time, date and place of such hearing.

d. Hearings shall be private, unless the Respondent requests otherwise, but all reprimands, admonitions, penalties and other determinations adverse to the Respondent shall be made public by the Committee Ethics Commission.

e. In conducting a hearing, the Committee Ethics Commission may request written or oral testimony. The Respondent may present written or oral testimony on his or her behalf and will be entitled to have counsel present at hearing.

f. A quorum of at least four members of the Committee Ethics Commission shall be present at any hearing.

g. A majority vote of all the members of the Committee Ethics Commission shall be required to make any determination with respect to a Respondent, including determinations made as a result of a hearing.

h. If the Committee Ethics Commission has made an adverse determination with respect to a Respondent, the Respondent may, within 30 days of such determination, appeal such determination to the County Committee or, at the Respondent's election, to its Executive Committee. The penalty imposed by the Committee Ethics Commission shall be stayed pending the hearing and determination of said appeal.

i. Any complaint filed in violation of the confidentiality provision of paragraph 2 (a) shall be deemed null and void and shall itself be grounds for a complaint against the complainant provided said complainant holds or seeks any party office.

4. Advisory Opinions.

a. The Committee Ethics Commission may, in its discretion, issue public or private advisory opinions with respect to questions of ethical conduct, conflicts of interest and other matters arising under this Code of Ethics. Records of all public advisory opinions shall be kept by the Committee Ethics Commission for consultation, as appropriate, by enrolled Democrats.

b. Any Party Leader may request in writing a public or private advisory opinion regarding conduct relating to his or her public or party responsibilities. Private advisory opinions shall be treated as confidential by the Committee Ethics Commission.

SECTION 4. Conflict of Interest.

1. No Party Leader, no firm or association in which such Party Leader is a member and no corporation, ten per centum or more of the stock of which is owned or controlled directly or indirectly by such Party Leader, during the Party Leader's tenure in office shall:

a. receive, directly or indirectly, or enter into any agreement express or implied for, any Compensation, in whatever form, for the appearance or rendition of services (whether by such Party Leader, firm, association, corporation or another) (x) in relation to any bill, resolution or other matter before any Legislative Body or (y) in relation to any case, proceeding, application or other matter before any State Agency, New York City Agency or Other Local Agency where such appearance or rendition of services before such State Agency, New York City Agency or Other Local Agency is in connection with:

(i) the purchase, sale, rental or lease of real property, goods or services, or a contract therefor, from, to or with any such Agency;

(ii) any proceeding relating to rate-making;

(iii) the adoption or repeal of any rule or regulation having the force and effect of law;

(iv) the obtaining of grants of money or loans;

(v) Licensing; or

(vi) any proceeding relating to a franchise provided for in the Public Service Law; provided however that:

(A) nothing shall prohibit such Party Leader, firm, association or corporation from the appearing before a State Agency, New York City Agency or Other Local Agency in a Representative Capacity if such appearance in a Representative Capacity is in connection with a Ministerial Matter;

(B) nothing shall prohibit such Party Leader from participating in or advocating any matter in an official capacity; and

(C) a Party Leader who is a member, associate, retired member, of counsel to, or shareholder of any firm, association or corporation shall not be deemed to have made an appearance or to have rendered services solely by the submission to a State Agency, New York City Agency, Other Local Agency or Legislative Body of any printed material or document bearing his or her name, but unsigned by him or her, such as by limited illustrations the name of the firm, association or corporation on the letterhead of any stationery, which pro forma serves only as an indication that he or she is a member, associate, retired member, of counsel to or shareholder;

b. (x) sell any goods or services having a value in excess of \$25 to any State Agency, New York City Agency or Other Local Agency or (y) contract for or provide such services with or to any private entity where the power to contract, appoint or retain on behalf of such private entity is exercised, directly or indirectly, by a State Agency, New York City Agency, or Other Local Agency or officer thereof, unless such goods or services are provided pursuant to an award or contract let after public notice and competitive bidding; provided, however, that this subsection shall not apply to the publication of resolutions, advertisements or other legal propositions or notices in newspapers designated pursuant to law for such purpose and for which the rates are fixed pursuant to law; or

provided, however, that nothing contained in this Section 4.1. shall be construed or applied to prohibit any such firm, association or corporation from appearing, practicing, communicating or otherwise rendering services in relation to any matter before, or transacting business with any State Agency, New York City Agency or Other Local Agency or Legislative Body, where such Party Leader does not share in the net revenues (as defined in accordance with generally accepted accounting principles as applied by the State Ethics Commission) resulting therefrom, or, acting in good faith, reasonably believed that he or she would not share in the net revenues as so defined.

2. Notwithstanding and in addition to the foregoing provisions of Section 4.1.,

a. no Party Leader who is a member, associate, retired member, of counsel to or shareholder of any firm, association or corporation which is appearing or rendering services in connection with any case, proceeding, application or other matter listed in Section 4.1.a. shall orally communicate, with or without Compensation, as to the merits of such cause with an officer or an employee of the Agency concerned with the matter; and

b. no Party Leader shall use or attempt to use his or her party position as a means of undue or improper influence to secure from any State Agency, New York City Agency or Other Local Agency for him or herself or others with whom he or she has an employment or business or financial relationship any benefits, privileges or exemptions not generally available to members of the public.

SECTION 5. Dual Office-Holding.

No Party Leader, during his or her tenure in such office, shall simultaneously:

a. hold any appointive office of a policy-making nature in the executive branch of either the federal or state government; or

b. hold any state-wide elective public office; or

c. hold any city-wide elective public office in New York City or the Presidency of the Borough of Queens; or

d. serve as a judge of any court of record, attorney general or deputy or assistant attorney general or solicitor general, district attorney or assistant district attorney.

e. notwithstanding the foregoing, nothing contained herein shall prevent said party leader from seeking the aforementioned offices.

SECTION 6. Financial Disclosure.

Each Party Leader covered by Section 73a of the Public Officers Law with respect to financial disclosure

shall comply with the provisions thereof.

SECTION 7. Certification of Party Leaders.

Promptly after a Party Leader's election or appointment to Party Office, the Committee Ethics Commission shall provide such Party Leader with a copy of this Code of Ethics together with such other material as the Committee Ethics Commission may prepare related thereto. Within 10 days of receipt of the Code of Ethics, a Party Leader shall file with the Committee Ethics Commission a certificate acknowledging receipt of the Code of Ethics and any other materials prepared by the Committee Ethics Commission related thereto, and that he or she has read the same and undertakes to conform to the provisions, purposes and intent thereof and to the norms of conduct for leaders of the Democratic Party.

SECTION 8. Penalties.

1. The Committee Ethics Commission, within two weeks of its being notified or otherwise learning of the issuance, filing or serving of a complaint, information, indictment or other instrument charging a Party Leader with any criminal offense, shall, after notice to the Party Leader, hold a hearing as to whether such offense is of the type that, upon conviction thereof and pursuant to paragraph 2 of this Section 8, the party office of such Party Leader shall automatically become vacant, and, upon a determination that such crime or offense is of such type, such Party Leader shall be suspended from party office pending final adjudication of his or her case.

2. The party office of any Party Leader convicted in any state or federal court of a criminal offense that constitutes (or, had such offense occurred in New York, would have constituted) a felony under the laws of the State of New York shall automatically become vacant immediately upon such conviction.

3. Pursuant to the procedures set forth in Section 3 above, a Party Leader may, in the Committee Ethics Commission's discretion, be reprimanded, admonished or suspended or removed from party office by a determination by the Committee Ethics Commission of a violation by such Party Leader of the Code of Ethics.

4. A Party Leader who, pursuant to this Section 8, is removed from office for a violation of the Code of Ethics, or for conviction of a crime included in paragraph 2 of this Section 8, may not hold party office for five years from the date of removal, or, if later and if such Party Leader was convicted of such a crime and sentenced to imprisonment, from the date of expiration of his or her maximum sentence of imprisonment or discharge from parole.

SECTION 9. Revision and Amendment.

The Committee Ethics Commission shall review the provisions of the Code of Ethics from time to time and recommend to the County Committee such changes or additions as it may consider appropriate or desirable.

SECTION 10. Effective Date.

The provisions of this Code of Ethics shall apply to a Party Leader effective immediately.

AMENDMENT to the Rules of the Democratic County Committee of Queens County adopted October 15th, 1992.

ARTICLE VII is hereby amended to add Section 10:

The Chairman of the Executive Committee shall nominate three male and three female members to serve as At-Large Executive Members of the Executive Committee. Said nominations shall be confirmed by a majority vote of the Executive Committee. Terms of the At-Large Members shall be the same as Assembly District Leaders. At-large Members of the Executive Committee shall have one vote each on all business before the Executive Committee in which a vote is before the entire Executive Committee. At-large members shall not participate in any vote before a district caucus or any vote pursuant to Article XIII - Section 6 of these Rules.

ARTICLE IX is hereby amended to add Section 6:

A member appointed pursuant to Article VII Section 10 shall not be eligible to hold any position as an officer of the Executive Committee and shall not participate in any vote for the election of an officer of the Executive Committee nor participate in any vote of the Executive Committee pursuant to Article XIV.

REGULATIONS OF THE DEMOCRATIC COUNTY COMMITTEE OF
QUEENS REGARDING FUNDRAISING BY FEDERAL
CANDIDATES OR OFFICEHOLDERS

Adopted on Sept. 19, 2006

1. Introduction; Scope.

These regulations are adopted pursuant to Art. VIII, sec. 3, of the Rules and Regulations of the Democratic County Committee of the County of Queens. They are intended to ensure compliance by the County Committee, its officers, and the federal candidates and officeholders involved in its activities with the Bipartisan Campaign Reform Act of 2002.

Except while attending a County Committee fundraising event, there is no situation in which a federal candidate¹ is permitted to solicit, receive, direct, transfer, spend, or disburse funds for the County Committee from federally prohibited sources (i.e., corporations or labor organizations) or in excess of \$5,000 per calendar year from individual donors. This applies to all forms of communication between a federal candidate and donors – whether by telephone, in person, in writing, by email, or through some other means of communication.

In light of these federal restrictions,² any activity undertaken by or on behalf of the County Committee that involves a federal candidate must be conducted in accordance with the guidelines set forth below. In the event that a federal candidate serves as an officer of the County Committee or Executive Committee, that officer's authority shall not encompass activities prohibited by these regulations.

2. Authority of Federal Candidate as County Committee Official

- 2.1 The duties and authority of a federal candidate who serves as an officer of the County Committee or Executive Committee shall not extend to the solicitation, receipt, direction, transfer, spending or disbursement of nonfederal funds.

¹ For purposes of these regulations, any reference to a "federal candidate" includes a federal officeholder or an agent of a federal candidate or officeholder.

² See 2 U.S.C. § 441i(e) and 11 C.F.R. part 300, subpart D.

- 2.2 A federal candidate who serves as an officer of the County Committee or Executive Committee shall not be involved in decision-making regarding the solicitation, receipt, direction, transfer, spending or disbursement of nonfederal funds. For example, he or she shall not have any power to control disbursements, nor shall he or she be able to authorize the spending of nonfederal funds. A federal candidate may, however, participate fully in non-financial decisions of the County Committee, such as decisions regarding the endorsement of candidates, and positions to be taken on issues in the County Committee's platform.

3. **Invitations and Pre-Event Materials**

- 3.1 Any materials featuring a federal candidate shall **not** contain a solicitation for funds outside the source restrictions and contribution limits of federal law—for example, from corporations or labor organizations, or in amounts exceeding \$5,000 per calendar year, from any individual. All materials which feature a federal candidate and which solicit funds must be qualified with the following language:

NOTE: Because of the involvement of a federal candidate or officeholder in this solicitation, we are asking for donations of up to \$5,000 from any individual. We are not asking for funds from corporations, labor organizations, or other federally prohibited sources.

To ensure compliance with the foregoing, the County Committee shall not cause letterhead to be printed that presents the name of a federal candidate, if that letterhead is to be used in connection with fundraising activities.

- 3.2 The County Committee may announce or otherwise publicize that a federal candidate will attend, speak, or be a featured guest at a fundraising event, including, but not limited to, publicizing such appearance in pre-event invitation materials and in other County Committee communications. However, if the pre-event materials featuring a federal candidate include a solicitation for funds, the solicitation must be limited to federally permissible funds, using the disclaimer in section 3.1.
- 3.3 A federal candidate shall not serve on a "host committee" for a County Committee fundraising event at which nonfederal funds are raised.
- 3.4 Any fundraising materials of the County Committee that include the name or likeness of a federal candidate must be submitted for final approval to that federal candidate, and must be approved by that candidate prior to distribution.

4. Attendance at a County Committee Fundraising Event

A federal candidate may attend, speak or be the featured guest at a County Committee fundraising event without restriction or regulation under federal law.

5. Post-Event Solicitations

Any follow-up solicitations by a federal candidate after a County Committee fundraising event are restricted to \$5,000 per individual donor and may not be made to corporations, labor organizations, or other federally prohibited sources.

6. Receipt of Funds

A federal candidate may not personally receive any contribution to the County Committee – even if the contribution was unsolicited – if the contribution is from a corporation, labor organization, or other federally prohibited source, or is in excess of \$5,000 from an individual donor.

7. Spending of Funds

A federal candidate may convey his or her views about how the County Committee might spend funds effectively in support of the entire Democratic ticket.

AMENDMENT TO THE RULES OF THE DEMOCRATIC COUNTY
COMMITTEE OF QUEENS COUNTY

Article X-Section 9 is hereby amended as follows:

The Treasurer who need not be a member of the Executive Committee, shall receive and hold in trust all funds of the Executive Committee, giving a receipt for same; keep a correct account thereof in a book or books provided for that purpose; pay all bills that have been attested by the Secretary or directed to be paid by the Executive Committee, and, unless otherwise authorized by a majority of the Executive Committee, all check in payment therefore shall be signed by the Treasurer or any such other person as a majority of the Executive Committee shall authorize; file all bills as vouchers for money paid by her or him, render an account of the funds, whenever called upon to do so by the Committee; and at the expiration of his or her term of office, or on her or his removal or inability to act, deliver all books, papers and vouchers, or other property in their possession to the Secretary.

Article X is hereby amended to add the following:

Section 13. The Treasurer shall exercise all the duties, powers and authority necessary to oversee the solicitation, receipt, direction, transfer, spending or disbursement of all funds of the Executive Committee.