#### MLAI 504 NEURAL NETWORKS & DEEP LEARNING

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# Introduction to Neural Networks

Neural Networks and Deep Learning

- ➤ What is Neural Network?
- Properties of Neural Networks
- > Looking inside the human brain
- ➤ How to model a neuron in ANN?
- > Directed graph to represent a NN?
- ➤ What is Feedback and where to use it?
- ➤ How is knowledge represented?
- Learning Process and task

#### **Outline**



Brain



## Brain



## Brain

- ➤ Idea → inspired from human Brain
- ➤ A brain is composed of a vary large number of processing units → called *neurons*.
- $\triangleright$  Number approximately =  $10^{11} = 10$  milliard neurons
- $\triangleright$  Neurons works in parallel  $\Rightarrow$ 
  - Computational power of brain is very high
  - In addition → large connectivity of neurons
- > Connections called *synapses*
- $\triangleright$  Neurons have connections to around 10<sup>4</sup> other neurons.
- > Brain take approximately 100-200ms to perform perceptual recognition

- > NN -> massive number of parallel distributed processing units
- > Can store experiential knowledge (experiences)
- > Similar to brain in two main things:
  - 1. Knowledge → acquired from its environment through a learning phase.
  - 2. Interneuron connection weights used to store the acquired knowledge.

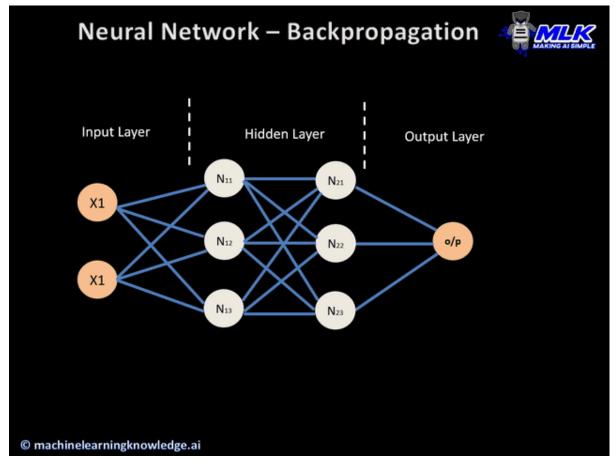
# Input layer Hidden layers Output layer Input 1 Input 2 Input n Output 1 Output n

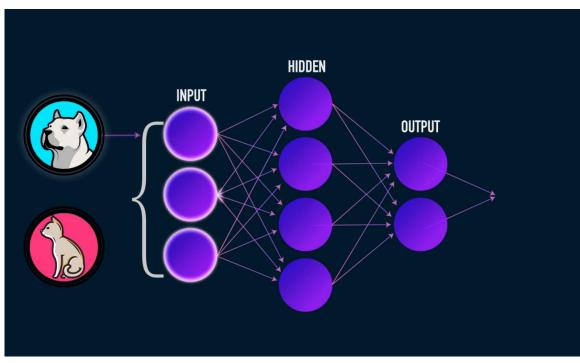
#### What is ANN?

#### > Several properties and capabilities of Neural Networks:

- Generalization → production of reasonable outputs for inputs not encountered during training (learning).
- Nonlinearity  $\rightarrow$  Can solve nonlinear problems.
- Input-Output Mapping → In supervised Learning, a mapping function that maps the input to the output is extracted.
  - Training example are fed to the network that updates the synaptic weights.
  - Updates done to minimize the difference between predicted and real label.
  - Finish when updating reaches stable state.

#### Why Neural Network?





## Why Neural Network?

Prediction phase

#### > Several properties and capabilities of Neural Networks:

- Adaptivity → Weights in NN can be updated to take into accounts changes in the environment.
  - Not every change in the environment needs model updates. It should be a change over long period of time.
- Evidential Response → NN can give decision confidence.
- Contextual Information → Neurons are not independent. They are affected by the global activity of all other neurons in the network.

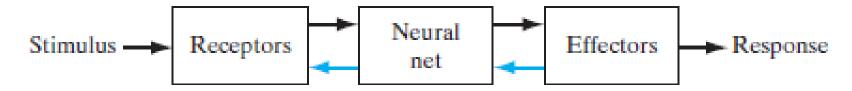
#### Why Neural Network?

#### > Several properties and capabilities of Neural Networks:

- Fault-Tolerance → ability of a NN to continue functioning even when some of its components or connections fail.
- VLSI Implementability (Very-Large Scale Integration) → process of creating integrated circuits by combining thousands or even millions of transistors on a single chip.
- Uniformity of analysis and design → use of consistent mathematical and computational methods
   throughout the process of designing, training, and testing a neural network.
- Neurobiological Analogy  $\rightarrow$  ANN tries to imitate the human brain.

#### Why Neural Network?

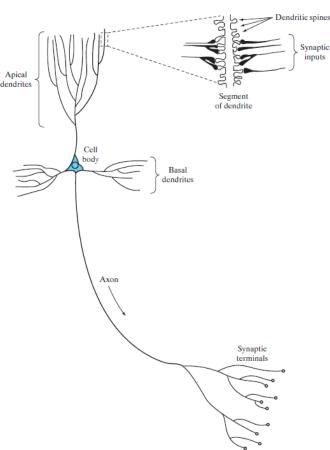
- > The human nervous system may be viewed as a three-stage system.
  - Central to the system is the brain (neural net) which continuously receives information, perceives it and make appropriate decisions.
  - Forward arrows (left to right) are information holding signals .
  - Feedback arrows (right to left).
  - Receptors convert stimuli from the human body into electrical pulses that transfer information to the brain.
  - Effectors convert the electrical impulses generated by the neural network into visible response as system outputs.

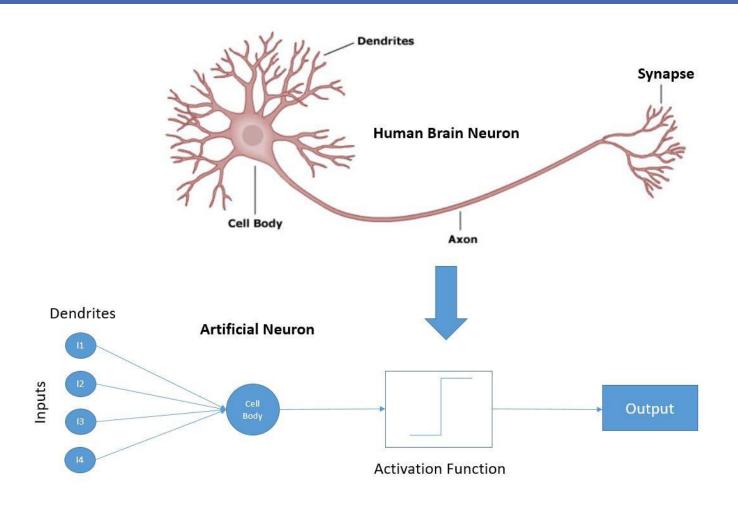


#### **Looking Inside Human Brain**

- Synapses or nerve endings are elementary structural and functional units that mediate the interaction between neurons
- > Plasticity permits the developing nervous system to adapt to its surrounding environment.
  - In an adult brain plasticity can be accounted for by two mechanisms:
    - The creation new synaptic connections between neurons.
    - The modification of existing synapses.
  - Axons are the transmission lines.
  - Dendrites are the receptive zones.
    - Information is received through the dendritic spines.

## Looking Inside Human Brain



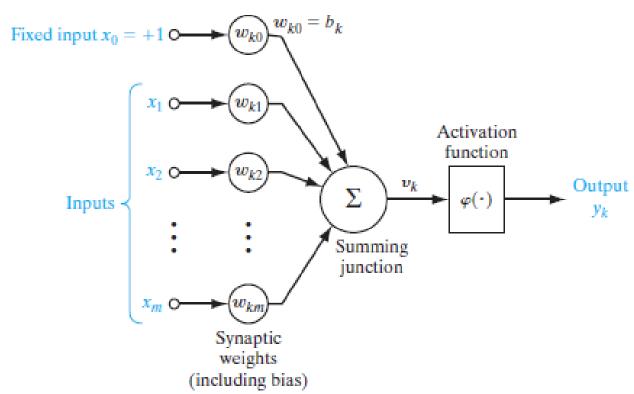


## Looking Inside Human Brain

Another representation for the bias is to consider it as an additional neuron, the summation this time Starts from zero.

$$-v_k = \sum_{j=0}^m w_{kj} x_j$$
$$-y_k = \varphi(v_k)$$

$$-x_0 = +1, w_{k0} = b_k$$



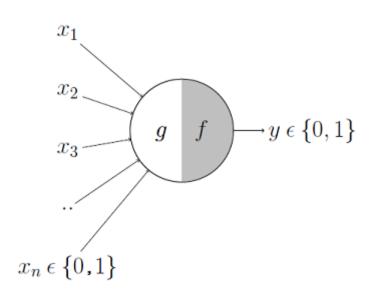
#### How to Model a Neuron

Name +	Plot	Function, $f(x)$ $\qquad \qquad \spadesuit$	Derivative of $f$ , $f'(x)$ $\Rightarrow$	Range +
Identity		x	1	$(-\infty,\infty)$
Binary step		$\left\{egin{array}{ll} 0 &  ext{if } x < 0 \ 1 &  ext{if } x \geq 0 \end{array} ight.$	$\left\{egin{array}{ll} 0 &  ext{if } x  eq 0 \  ext{undefined} &  ext{if } x = 0 \end{array} ight.$	$\{0,1\}$
Logistic, sigmoid, or soft step		$\sigma(x)=rac{1}{1+e^{-x}}$ [1]	f(x)(1-f(x))	(0,1)
tanh		$ anh(x)=rac{e^x-e^{-x}}{e^x+e^{-x}}$	$1-f(x)^2$	(-1,1)
Rectified linear unit (ReLU) <sup>[11]</sup>		$egin{cases} 0 &  ext{if } x \leq 0 \ x &  ext{if } x > 0 \ = & \max\{0,x\} = x 1_{x > 0} \end{cases}$	$\left\{egin{array}{ll} 0 &  ext{if } x < 0 \ 1 &  ext{if } x > 0 \  ext{undefined} &  ext{if } x = 0 \end{array} ight.$	$[0,\infty)$

#### Some activation functions

- The first computational model of a neuron was proposed by Warren MuCulloch (neuroscientist) and Walter Pitts (logician) in 1943.
- ➤ Inputs → Boolean
- ➤ Output → Boolean
- ➤ Activation → thresholding
- $\rightarrow$  What we can do with  $\rightarrow$  OR, AND, >
- ➤ No learning from data
- > Just a theoretical model

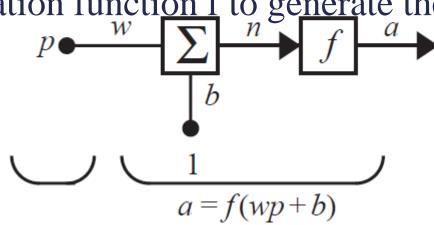
#### McCulloch-Pitts model



- 1D pattern p
- The associated weight = w
- The bias value is b
- The output is n
- The value n passes through the activation function f to generate the output a  $p \bullet w \Sigma \nearrow f \stackrel{a}{\triangleright} f$

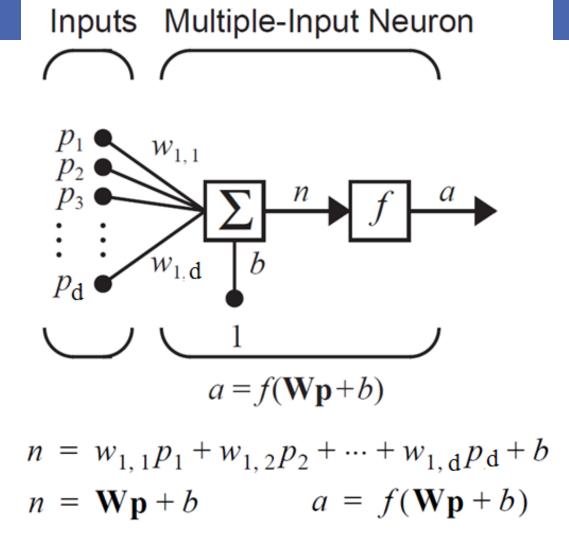
Inputs

- Ex: w=3, p=2, b=-1.5  $\Rightarrow$ 
  - a=f(3(2)-1.5)=f(4.5)



**General Neuron** 

## One-input Neuron: Example



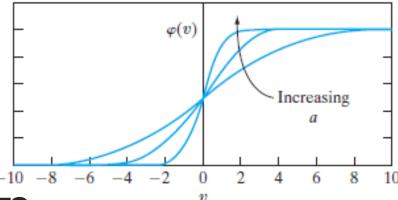
- > Output of the neuron can be written:
  - $-y = w^T x \text{ with } w = [w_0, w_1, ..., w_d] \& x = [1, x_1, ..., x_d]^T$
  - Weights we need to be learned from training data such that the patterns of the training data are correctly classified
- $\triangleright$  Ex: when  $d = 1 \Rightarrow$  one-dimension patterns
  - $-y = w x + w_0$  is the equation of a line
  - The line separate the space in two zones positive-side & negative-side
  - Find weights in such a way → for any new input x, assign it to one of two classes depending in which side is.

#### The Neuron in the Space

- **Sigmoid Function:** S shaped graph, is by far the most common form of activation used in the construction of a neural network.
  - It is defined by a strictly increasing function that exhibit a graceful balance between linear and nonlinear behavior.
  - An example of the sigmoid function is defined by:

• 
$$\varphi(k) = \frac{1}{1 + \exp(-av)}$$

- Where a is the slope parameter of the sigmoid function.
  - The slop at the origin equals  $\frac{a}{4}$ .
- As slope parameter approaches infinity, the sigmoid function becomes the threshold function.
- Sigmoid function assumes a continuous range of values from 0 to 1
- Sigmoid is differentiable
   (better for Neural networks) while threshold function is not.



## **Types of Activation Function**

- $\triangleright$  The activation function can have values between +1 and -1
  - In this case the activation function is an odd function of the induced local field v.

$$-\varphi(v) = \begin{cases} +1, \ v > 0 \\ 0, \ v = 0 \\ -1 \ v < 0 \end{cases}$$

- This is commonly referred to as the **signum function**.
- For the corresponding form of a sigmoid function, we my use the hyperbolic tangent function:
  - $\varphi(v) = tang(v)$ 
    - ✓ This allows the sigmoid function to assume negative values.

## **Types of Activation Function**

Name	Input/Output Relation	Icon	MATLAB Function
Hard Limit	$a = 0 \qquad n < 0$ $a = 1 \qquad n \ge 0$		hardlim
Symmetrical Hard Limit	$a = -1 \qquad n < 0$ $a = +1 \qquad n \ge 0$	于	hardlims
Linear	a = n		purelin
Saturating Linear	$a = 0   n < 0$ $a = n   0 \le n \le 1$ $a = 1   n > 1$		satlin
Symmetric Saturating Linear	$a = -1   n < -1$ $a = n   -1 \le n \le 1$ $a = 1   n > 1$	$\neq$	satlins

#### **Activation or Transfer functions**

Name	Input/Output Relation	Icon	MATLAB Function
Log-Sigmoid	$a = \frac{1}{1 + e^{-n}}$		logsig
Hyperbolic Tangent Sigmoid	$a = \frac{e^n - e^{-n}}{e^n + e^{-n}}$	£	tansig
Positive Linear	$a = 0   n < 0$ $a = n   0 \le n$		poslin
Competitive	a = 1 neuron with max $na = 0$ all other neurons	C	compet

#### **Activation or Transfer functions**

- > The neuron is deterministic in the model shown here.
  - That is the input-output behavior is precisely defined for all inputs.
- The McCulloch-Pitts model is given a probabilistic interpretation as follows:
  - The neuron is permitted to reside in only two state +1 and -1 (fires or no).
  - The decision to fire (from off to on) is probabilistic (a threshold is used).
  - Let x denote the sate of the neuron and P(v) denote the probability of firing where v is the induced local field of the neuron:

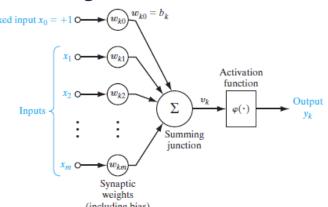
$$- x = \begin{cases} +1 & with probability & P(v) \\ -1, & with probability & 1 - P(v) \end{cases}$$

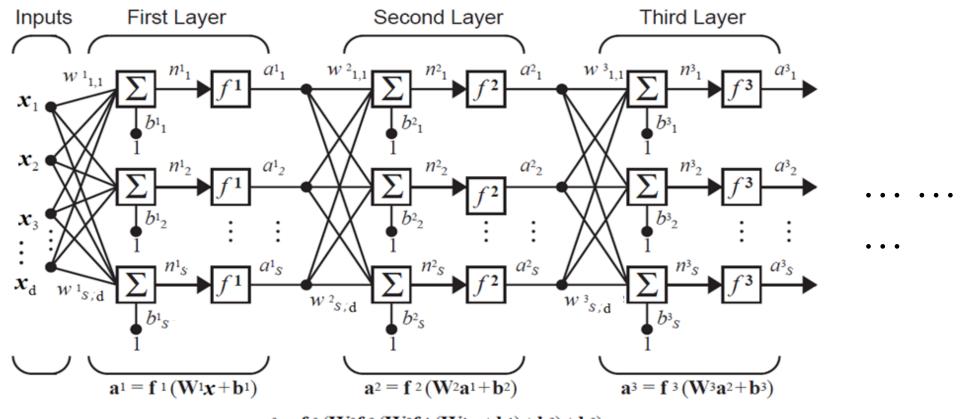
- Where P(v) is the sigmoid shaped function

$$- P(v) = \frac{1}{1 + \exp(\frac{-v}{T})}$$

Where T is the pseudo temperature used to control the noise level and therefore uncertainty in firing, If  $T \to 0$  the model becomes deterministic.

#### Stochastic Model of Neuron



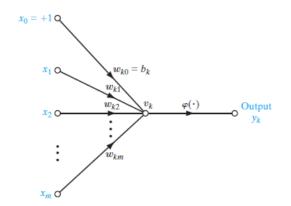


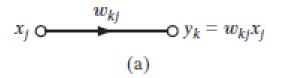
 $a^3 = f^3 (W^3 f^2 (W^2 f^1 (W^1 x + b^1) + b^2) + b^3)$ 

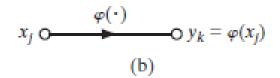
## How to represent a Neural Networks: directed Graphs

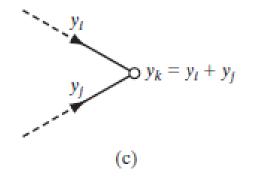
#### **Please note the following rules:**

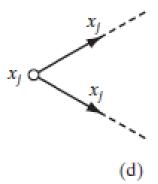
- Rule 1: A signal flows along a link only in the direction defined by the arrow on the link (Figures (a) and (b)).
- Rule 2: A node signal equals the algebraic sum of all signals entering the node via the incoming links (Figure (c)).
- Rule 3: The signal at a node is transmitted to each outgoing links (Figure (d))
- Rule 4: The output of a neuron is calculated after all the inputs are fed (see figure below).









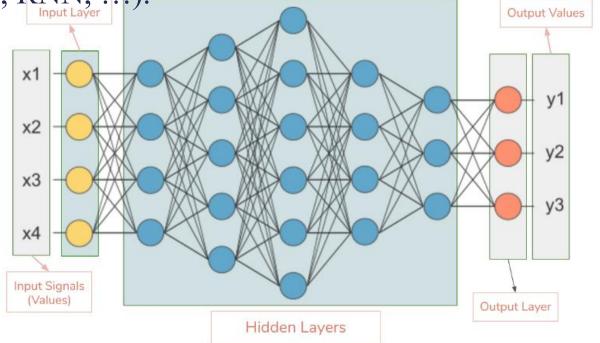


- The directed graph can be complete (known as fully-connected or dense) or incomplete.
  - Fully-connected → each neuron in layer n is connected to each neuron in layer n+1 (see figure below).

Incomplete (known as partially complete) → a neuron in layer n is connected to

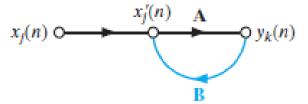
some neurons in layer n+1 (used in CNN, RNN, ...).

• referred to as an architectural graph



- ➤ Feedback → output of an element in the system influences in part the input applied to that particular element.
  - Plays a major role in a special class of neural networks called recurrent networks.
  - Used during back-propagation algorithm

**—** ...



#### What is Feedback and where to use it

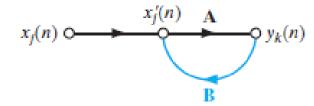
The input-output relationships is given by:

$$-y_k(n) = A[x_j'(n)]$$

$$-x_j'(n) = x_j(n) + \mathbf{B}[y_k(n)]$$

- Where the Brackets here emphasize that  $\boldsymbol{A}$  and  $\boldsymbol{B}$  act as operators.
- Eliminating  $x'_j(n)$  we get

$$-y_k(n) = \frac{A}{1-AB}[x_j(n)]$$



- Where  $\frac{A}{1-AB}$  is referred to as the closed loop operator of the system, and AB as the open-loop operator.
- In general, open loop is non-commutative, that is  $AB \neq BA$

#### What is Feedback and where to use it

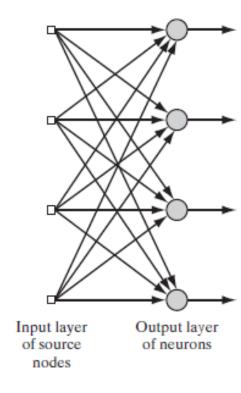
- > Here are some network architectures:
  - Feedforward Neural Networks
  - CNN→ Convolutional Neural Networks
  - RNN → Recurrent Neural Networks
  - LSTM → Long Short-Term Memory Networks
  - Autoencoders
  - GAN → Generative Adversarial Networks
  - Reinforcement Learning Networks

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#### Some Network Architectures

#### **→** (i) Single Layer Feedforward networks.

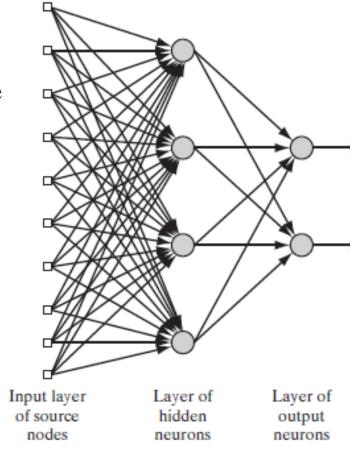
- In a layered neural network, the neurons are organized in the form of layers.
- In the simplest form we have an input layer of source nodes that projects directly onto output layer of neurons (computational nodes).
  - But not vice-versa. In other words, its strictly of a **feedforward** type.
- The example here is for a single layer network, where the single layer is referring to the output layer (neurons).
  - We do not count the input layer nodes because no computation is performed there.



#### Some Network Architectures

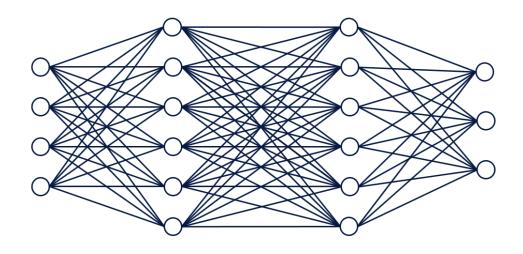
#### **→** (ii) Multilayer Feedforward Networks

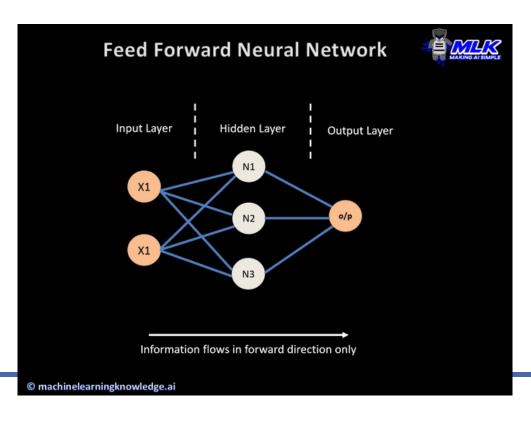
- It is different by the presence of one or more hidden layers whose computation nodes are called hidden neurons.
- They are called hidden since they are not seen directly from either the input or the output of the network.
- The function of the hidden neurons is to intervene between the external input and the network output in some useful manner.
- By adding one or more hidden layers, the network is enabled to extract a global perspective despite its local connectivity.
  - This is due to the extra synaptic connection and the **extra dimension** of the neural interaction.
- The network here is Feedforward network with one hidden layer 10 4 2
  - 10 source nodes (input layer) 4 hidden neurons and 2 output neuron.
  - The terminology is m h1 h2 q



#### Some Network Architectures

Fully connected network





#### **Feedforward Network**

$$W = \begin{bmatrix} w_{1,1} & \cdots & w_{1,d} \\ \vdots & \ddots & \vdots \\ w_{k,1} & \cdots & w_{k,d} \end{bmatrix}$$

$$x_1 = \begin{bmatrix} w_{0,1} \\ x_2 \\ \vdots \\ x_d \end{bmatrix}$$

$$x_2 = \begin{bmatrix} w_{0,1} \\ w_{0,2} \\ \vdots \\ w_{0,k} \end{bmatrix}$$

$$x_3 = f(Wx + W_0)$$

$$x_4 = f(Wx + W_0)$$

$$x_5 = f(Wx + W_0)$$

$$x_6 = f(Wx + W_0)$$

$$x_1 = f(Wx + W_0)$$

$$x_1 = f(Wx + W_0)$$

$$x_2 = f(Wx + W_0)$$

$$x_3 = f(Wx + W_0)$$

$$x_4 = f(Wx + W_0)$$

$$x_5 = f(Wx + W_0)$$

$$x_6 = f(Wx + W_0)$$

$$x_7 = f(Wx + W_0)$$

$$x_8 = f(Wx + W_0)$$

$$x_9 = f(Wx + W_0)$$

$$x_9 = f(Wx + W_0)$$

$$x_1 = f(Wx + W_0)$$

$$x_1 = f(Wx + W_0)$$

$$x_1 = f(Wx + W_0)$$

$$x_2 = f(Wx + W_0)$$

$$x_3 = f(Wx + W_0)$$

$$x_4 = f(Wx + W_0)$$

$$x_4 = f(Wx + W_0)$$

$$x_5 = f(Wx + W_0)$$

$$x_6 = f(Wx + W_0)$$

$$x_7 = f(Wx + W_0)$$

$$x_8 = f(Wx + W_0)$$

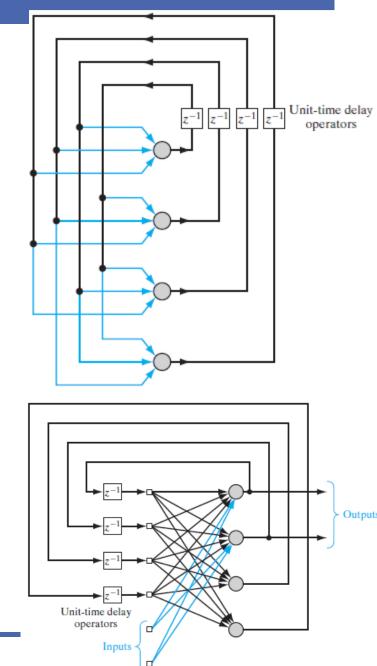
$$x_9 = f(Wx + W_0)$$

## Single-layer and multi-layer

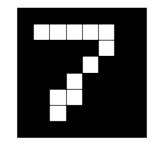
#### > (iii) Recurrent Networks

- Network having at least one feedback loop.
- Ex: a single layer of neurons with each neuron feeding its output back to its inputs of all other neurons (top figure).
- Ex: output of a neuron is fed back to its own input
   (bottom figure) → called self feedback loops.

#### Some Network Architectures



- > Consider a handwritten-digit recognition problem.
  - Input → pixels of the image each pixel as separate feature
  - Output  $\rightarrow$  one of the 10 digits



Training set → large variety of handwritten digits that are representative

of a real-world situation.

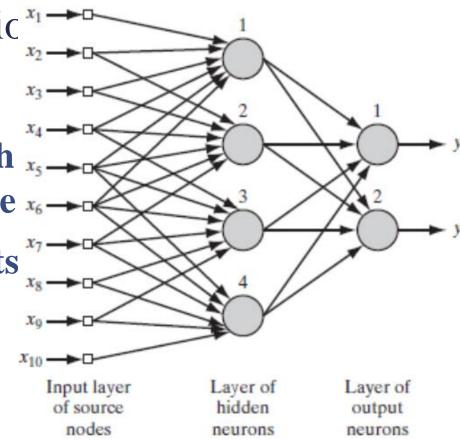
- Design of the network
  - Input dimension = number of pixels in the image.

## **Knowledge Representation**

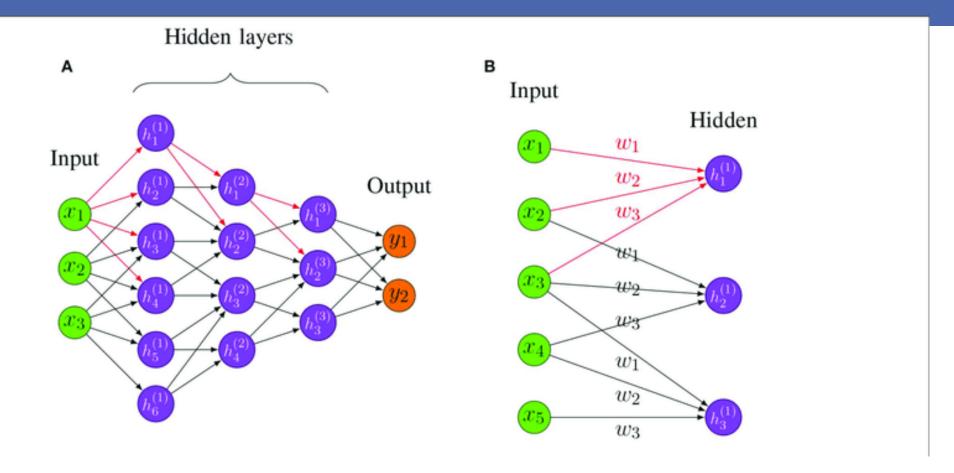
- ➤ When representing knowledge respect the following rules:
  - Rule 1. Similar inputs (i.e. patterns drawn) from similar classes should usually produce similar
     representations inside the network and should therefore be classified as belonging to the same class.
  - Rule 2. Items to be categorized as separate classes should be given widely different representations in the network.
  - Rule 3. If a particular feature is important, then there should be large number of neurons involved in the representation of that item in the network.
  - Rule 4. Prior information and invariances should be built into the design of a neural network whenever they are available, so as to simplify the network deign by its not having to learn them.

## **Knowledge Representation**

- Examples of how to build prior informatic
- > We have ad hoc procedures:
  - Restricting the network architecture which <sub>x5</sub>→□ use of <u>local connections</u> known as receptive x6→□
  - Constraining the choice of synaptic weights through the use of <u>weight sharing</u>.



#### Building prior information into Medesign work.



## **Example: Local connections and weights sharing**

- > Problem to consider during NN design:
  - Network trained to detect objects → what if objects appear rotated, translated, scaled, different colors than during training?
  - $\rightarrow$  The classifier should be **invariant** to these transformations.
- ➤ How to do that?
  - Invariant by structure
  - Invariance by training
  - Invariant by feature space

#### **Building Invariances into NN Design**

#### Invariant by structure

- Weights of neurons are created so that transformed versions of the same input are forced to produce the same output.
- Disadvantage → the number of synaptic connections becomes prohibitively large even for images of moderate size.

#### > Invariance by training

- Train the network to recognize the image and its rotations (different aspect) views.
- Data Augmentation → Generate more samples from your dataset by applying several type of transformations (rotated, scaled, translated, ...).
- Disadvantages → Computational cost, overfitting, ...

#### **Building Invariances into NN Design**

#### > Invariant by feature space

 Extract features to characterize the essential information content of patterns that are invariant to transformations of the input.

#### Advantages

- The number of features applied may be reduced to realistic levels.
- The requirements imposed to the network are relaxed.
- Invariance for all objects with respect to known transformation is assured.

#### Disadvantage

• Not easy and should pass by feature engineering step to study the set of features.

#### **Building Invariances into NN Design**

Classifier-

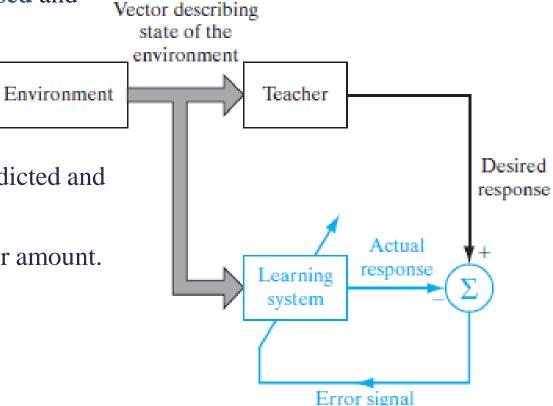
type neural

network

Invariant

extractor

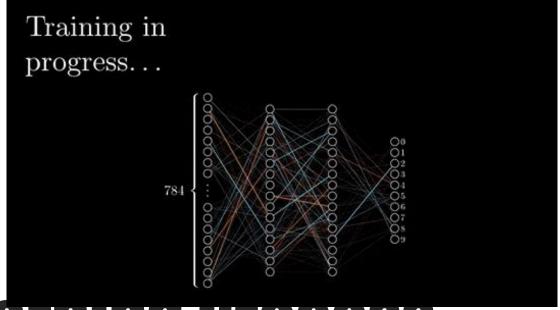
- Learning can be with a teacher or without a teacher.
  - Learning with a teacher can be categorized into supervised and reinforced learning.
- ➤ Learning with a Teacher → Main steps
  - Pattern in dataset are fed into the network
  - The error is calculated as the difference between the predicted and real label.
  - Weights are updated in backward manner relative to their amount.
  - Updates is done until reaching an optimum value

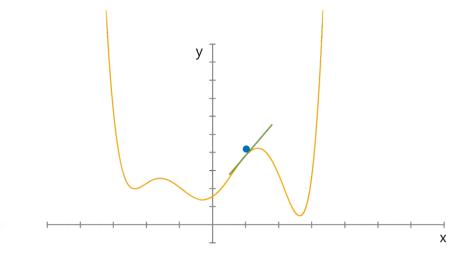


## **Learning Process**

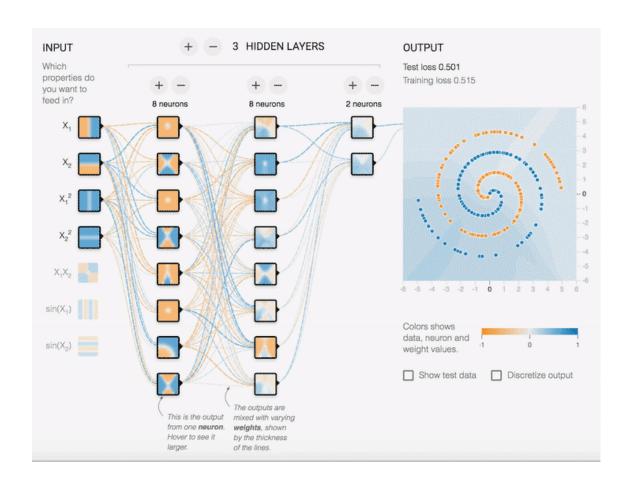
#### > Learning with a Teacher

- Updating the weights is done in the direction of the gradient
- The update is done in one of the three ways:
  - Batch-training  $\rightarrow$  one update after processing a batch of samples.
  - Stochastic training  $\rightarrow$  one update after processing one individual sample.
  - Mini-batch training  $\rightarrow$  one update after processing a small subset of data





Learning Process



## **Learning Process**