

Mutation testing: practical aspects and cost analysis

Macario Polo and Mario Piattini
Alarcos Group
Department of Information Systems and Technologies
University of Castilla-La Mancha (Spain)

Macario.Polo@uclm.es

Contents

- What is mutation testing?
- Mutation operators
- Steps of mutation testing
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- The goal of testing is to find faults on the System Under Test (SUT)
- Thus, a test suite is more or less effective depending on its ability to find faults on the SUT

A possible "SUT"

To be, or not to be: that is de question: Whether 'tis novler in the mind to sufer The slings and arrows of outrageus fortune, Or to take arms against a sea of troubles, And by opposing end them? To die: to sleep; No more; and by a slept to say the end The heart-ache and the thousand natural socks That flesh is heir to, 'tis a consummation Deboutly to be wish'd. To die, to sleep; ... (Hamlet, by William Shakespeare)

- If I need to select an English reviewer, maybe I would select that of you who more faults have found
- Mutation works in this way:
 - A set of "mutants" are generated from a given
 SUT
 - A test suite is executed against the original SUT and its mutants
 - The adequacy of the suite is measured in terms of the "mutation score"

 The "mutation score" measures the ability of the test suite to find faults on the SUT

$$MS(P,T) = \frac{K}{(M-E)}$$

- ...where:
 - P: program under test
 - T: test suite
 - K: number of mutants "killed"
 - M: number of mutants
 - E: number of functionally-equivalent mutants

- Mutants maybe "killed" or "alive"
 - A mutant m is "killed" when it shows a
 different behavior that P, for one or more t∈P:

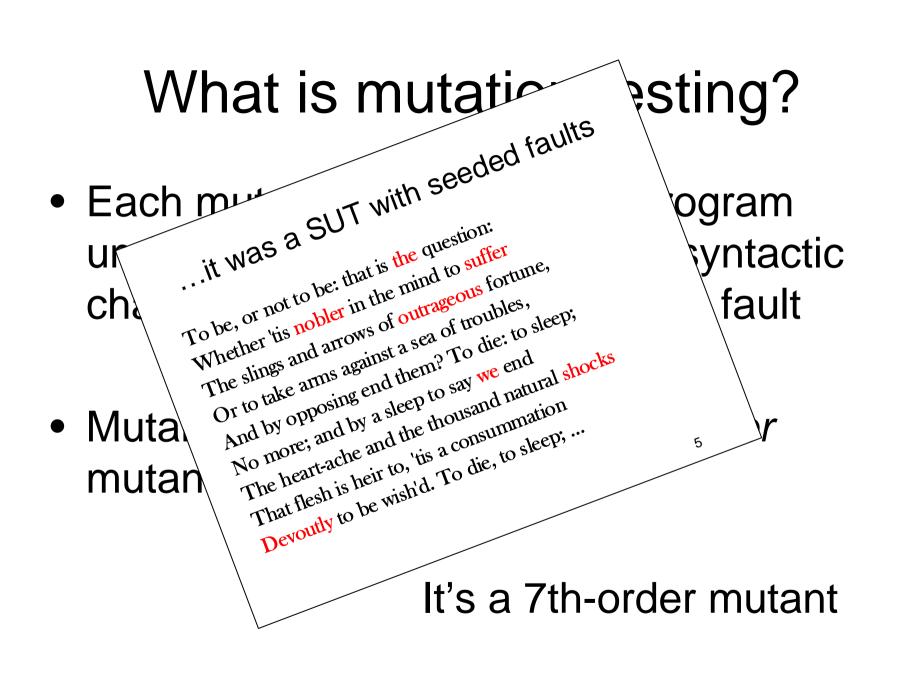
$$\exists t \in T / f(m,t) \neq f(P,t)$$

- Otherwise, the mutant is "alive"

$$f(m,t) = f(P,t) \quad \forall t \in T$$

 Each mutant is a copy of the program under test, usually with a small syntactic change, which is interpreted as a fault

Mutants with *n* faults are call *n-order* mutants



A program and four mutants

Version	Code
P (original)	<pre>int sum(int a, int b) { return a + b; }</pre>
Mutant 1	int sum(int a, int b) { return a - b; }
Mutant 2	<pre>int sum(int a, int b) { return a * b; }</pre>
Mutant 3	int sum(int a, int b) { return a / b; }
Mutant 4	int sum(int a, int b) { return a + b++; }

	Test data (a,b)								
	(1, 1)	(0, 0)	(-1, 0)	(-1, -1)					
P	2	0	-1	-2					
M1	0	0	-1	0					
M2	1	0	0	1					
M3	1	Error	Error	1					
M4	2	0	-1	-2					

- Faults are introduced ("seeded") by mutation operators, and try to imitate actual programmer errors
- Mutation relies on the "Coupling effect": a test suite that detects all simple faults in a program is so sensitive that it also detects more complex faults

Some mutation operators

Operator	Description
ABS	Substitution of a variable x by $abs(x)$
ACR	Substitution of a variable array reference by a constant
AOR	Arithmetic operator replacement $(a+b \text{ by } a-b)$
CRP	Substitution of a constant value
ROR	Relational operator replacement (A and B by A or B)
RSR	Return statement substitution (return 5 by return 0)
SDL	Removal of a sentence
UOI	Unary operator insertion (instead of x , write $-x$)

Steps of mutation testing

- Mutation testing has three main steps:
 - 1. Mutant generation
 - 2. Mutant execution
 - 3. Result analysis

Mutant generation

- Almost each executable instruction of the original program can be mutated with several mutation operators
- Therefore, the number of mutants generated for a normal program may be huge
- The cost of compilation of all mutants may be also significant

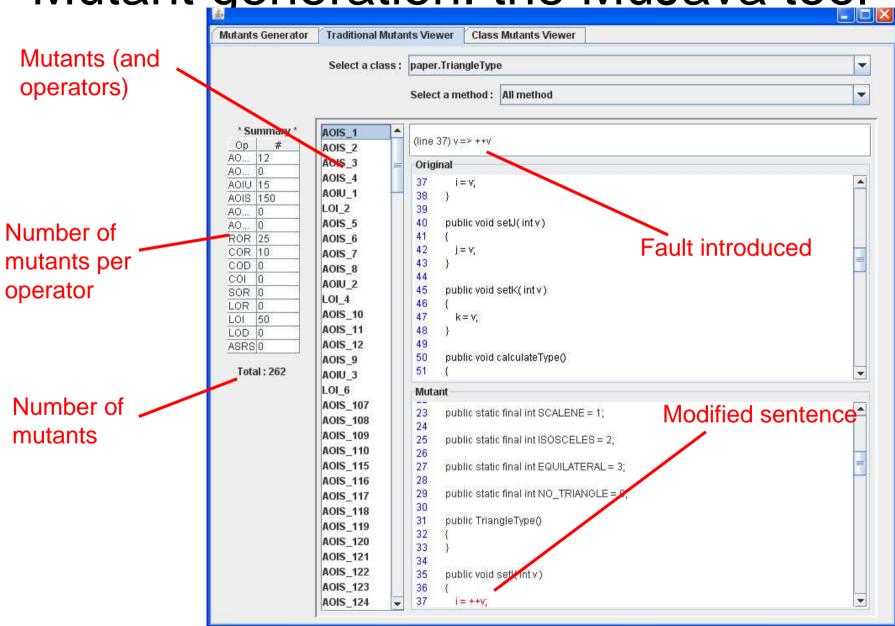
Mutant generation

- Offutt et al. (1996): 10 programs from 10 to 48 executable sentences produce from 183 to 3010 mutants
- Mresa and Botaci (1999): 11 programs with 43,7 LOC produce 3211 mutants
- One of our experiments: mean of 76,7
 LOC produce a mean of 150 mutants

Mutant generation

 Java version of Myers (1979) triangle-type problem: 61 LOC, 262 mutants

 A widely-used mutation tool is MuJava (Ma, Offutt and Kwon, 2005) Mutant generation: the MuJava tool



Mutant generation: the MuJava tool

- In general, a parser is required to generate mutants:
 - a+b is translated into a-b, a*b, a/b
 - Then, these program versions are compiled
- MuJava uses "Mutant Schemata Generation"
 - With some operators, it substitutes (at bytecode level)
 a+b by a OPERATOR b
 - Then, all the program versions are directly generated with no need of compiling

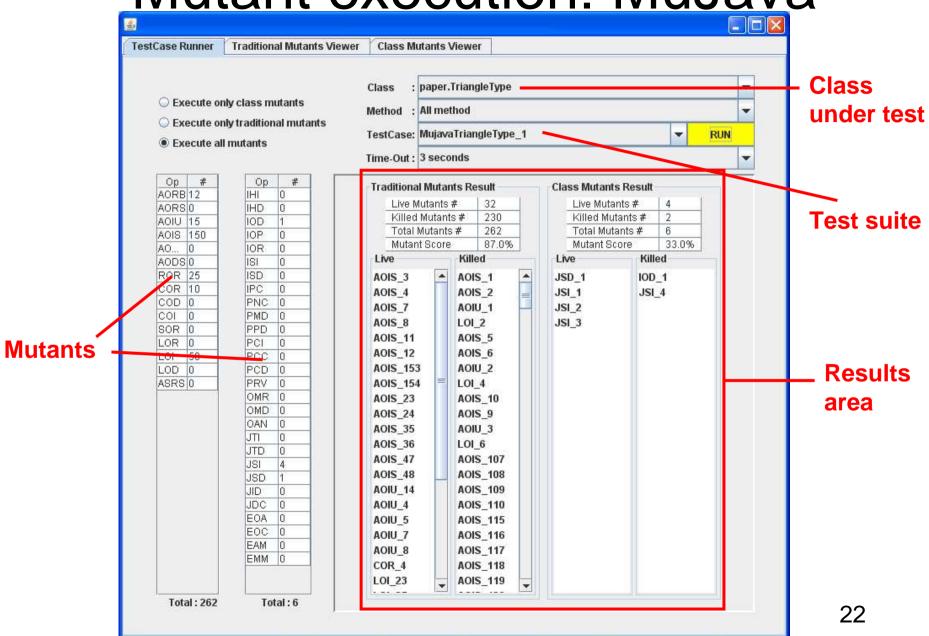
Mutant execution

- In this case, the problem is the huge number of test cases that must be executed: each case is executed against the original program and the mutants.
- For testing a simple *BankingAccount* class, with 96 mutants and 300 test cases, 96*300=28,800 executions are required (with at least 28.800 accesses to the database, etc.)

Mutant execution

- All the outputs must be compared to detect which mutants are killed:
 - In the BankingAccount example, the outputs of the 300 test cases with the original and the 96 mutants
 - Actually, killed mutants can be removed for further comparisons

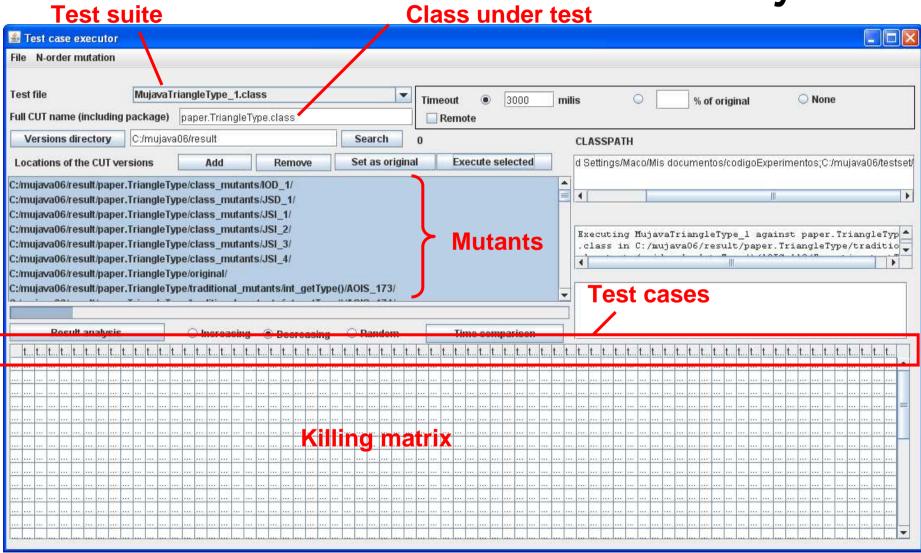
Mutant execution: MuJava



Mutant execution: testooj

- testooj is a relatively user-friendly research tool developed in the University of Castilla-La Mancha
- Generates test cases in several formats and according to several generation strategies
- Executes test cases against versions and gives some additional results

Mutant execution: testooj



The killing matrix

	Α	В	С	D	E	F	G	Н		J	K	L	М	N	0	Р	Q	R	S	Т	U	\vee
1		t76	t77	t78	t54	t73	t74	t75	t81	t27	t49	t50	t51	t22	t23	t24	t46	t47	t48	t19	t20	t2:
2	Mutants	28	28	28	27	27	27	27	27	26	26	26	26	25	25	25	25	25	25	24	24	2
3	IOD_1	Х	Χ	Х	Х	Х	Х	Х	Х	Х	Χ	Χ	Х	Х	Χ	Χ	Χ	Χ	Х	Х	Х	Х
4	PRV_1				Х				Х	Х												
5	PRV_2				Х				Х	Х												
6	PRV_3				Х				Х	Х												
7	PRV_4				Х				Х	Х												
8	PRV_5				Х				Х	Х												
9	AOIS_1				Х						Х	Х	Х				Х	Х	Х			
10	AOIS_10	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х
11	AOIS_11				Х				Х	Х												
12	AOIS_12				Х				Х	Х												
13	AOIS_13	Х	Х	Х							Х	Х	Х	Х	Х	Х						
14	AOIS_14				Х				Х	Х												
15	AOIS_17																					
16	AOIS_18				Х				Х	Х												
17	AOIS_2	Х	Х	Х		Х	Х	Х	Х													
18	AOIS_21				Х				Х	Х												
19	AOIS_22				Х				Х	Х												
20	AOIS_25	Х	Х	Х		Х	Х	Х			Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х
21	AOIS 26	Χ	Х	Х		Х	Х	Х			Χ	Χ	Х	Χ	Χ	Χ	Χ	Х	Χ	Х	Х	Х

 The major difficulties appear with the detection of functionally equivalent

mutants

A program and four mutants

Version	Code
P (original)	int sum(int a, int b) { return a + b; }
Mutant 1	int sum(int a, int b) { return a - b; }
Mutant 2	int sum(int a, int b) { return a * b; }
Mutant 3	int sum(int a, int b) { return a /b; }
Mutart 4	int sum(int a, int b) { return a + b++; }

	Test date (a,b)							
	(1, 1)	(0, 0)	(-1, 0)	(-1, -1)				
P	2	0	-1	-2				
м	0	0	-1	0				
M2	1	0	0 0					
MB	1	Error	Error	1				
M+	2	0	-1	-2				

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- A functionally equivalent mutant is a mutant which never will be killed
- Actually, the "fault" introduced is not a fault, but a code de-optimization

Version	Code
P (original)	int sum(int a, int b) { return a + b; }
Mutant 4	int sum(int a, int b) { return a + b++; }

	Test data (a,b)								
	(1, 1)	(0, 0)	(-1,0)	(-1, -1)					
P	2	0	-1	-2					
M4	2	0	-1	-2					

 Mutation operators have different proneness for producing equivalent mutants

Program	# of 1-order mutants	Total equivalent	Equivalent mutants per mutation operator							
	murants	mutants	AOIS	AOIU	ROR	LOI	COR			
Bisect	63	19	14	3	2	0	0			
Bub	82	12	9	0	3	0	0			
Find	179	0	0	0	0	0	0			
Fourballs	212	44	42	1	0	1	0			
Mid	181	43	38	2	1	2	0			
TriTyp	309	70	54	8	1	4	3			
			83,5%	7,4%	3,7%	3,7%	1,6%			

The example is an occurrence of the AOIS operator

Version	Code
P (original)	int sum(int a, int b) { return a + b; }
Mutant 4	int sum(int a, int b) { return a + b++; }

	Test data (a,b)							
	(1, 1)	(0, 0)	(-1,0)	(-1,-1)				
P	2	0	-1	-2				
M4	2	0	-1	-2				

- Detection of equivalent mutants is usually manual
- Grüen, Schuler and Zeller (2009) report that some equivalent mutants require up to 15 minutes to be detected
- Offutt and Pan (1997) demonstrated that is possible to automatically detect almost 50% of functionally equivalent mutants if the program under test is annotated with constraints

- Once more, the selection of the best mutation operators is essential (this is selective mutation):
 - Replacement of numerical constants
 - Negate jump conditions
 - Replacement of arithmetic operators
 - Omission of method calls (instead of x=foo(), write x=0.0)

- Other strategies rely on weak mutation:
 - "Strong" mutation has three conditions:
 - Reachability (the instruction must be reached)
 - Necessity (once the sentences has been reached, the test case must cause an erroneous state on the mutant)
 - Sufficiency (the erroneous state must be propagated to the output)
 - Instead of observing the output of each test case, the idea of weak mutation is to detect changes in intermediate states (reachability+necessity)

Cost reduction

- Summarizing, in mutant generation:
 - Selective mutation (use of the best operators)
 - Mutant Schemata Generation/Mutation at bytecode level
- In mutant execution:
 - Reduction of the test suite
 - N-order mutation
- In result analysis:
 - To take advantage of the previous techniques

Reduction of the test suite

- The optimal test-suite reduction problem:
 - Given: Test Suite T, a set of test-case requirements r1, r2, ..., rn, that must be satisfied to provide the desired test coverage of the program.
 - Problem: Find $T' \subset T$ such that T' satisfies all ri and $(\forall T'' \subset T, T'')$ satisfies all $r \Rightarrow |T'| \leq |T''|$
- It is NP-hard (no solution in polynomial time): solutions approached with greedy algorithms

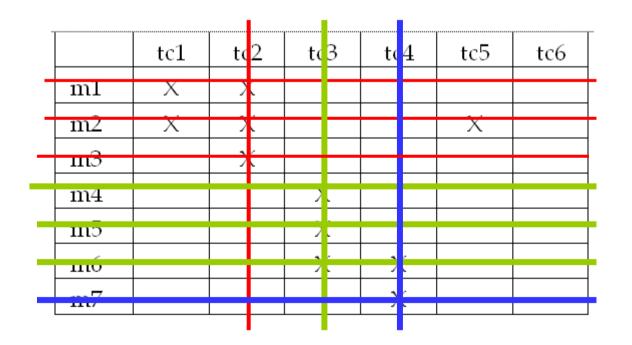
Reduction of the test suite

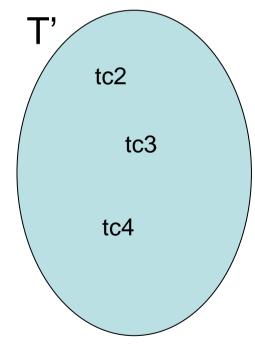
• Example: 6 test cases, 7 mutants

	tc1	tc2	tc3	tc4	tc5	tc6
m1	Χ	Χ				
m2	Χ	Χ			Χ	
m3		Χ				
m4			Χ			
m5			Χ			
m6			Χ	Χ		
m7				Χ		

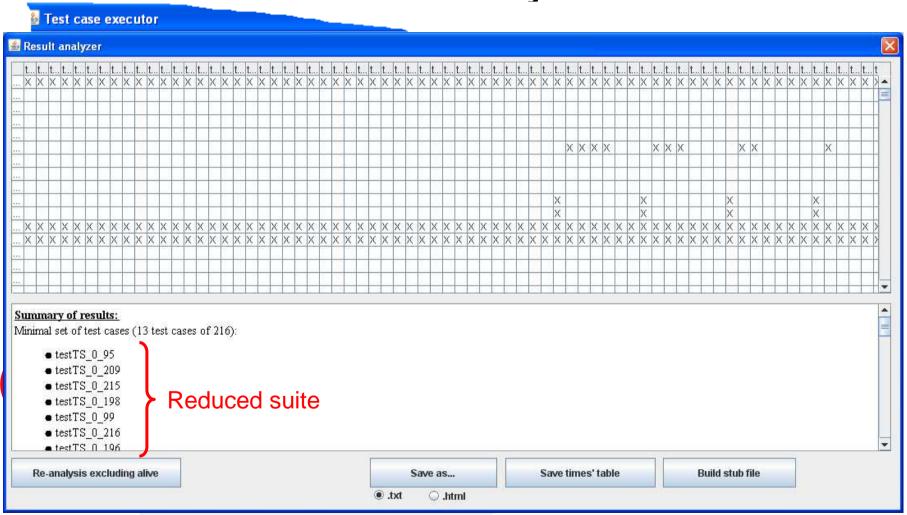
Reduction of the test suite

 The greedy approach selects the test case killing more mutants

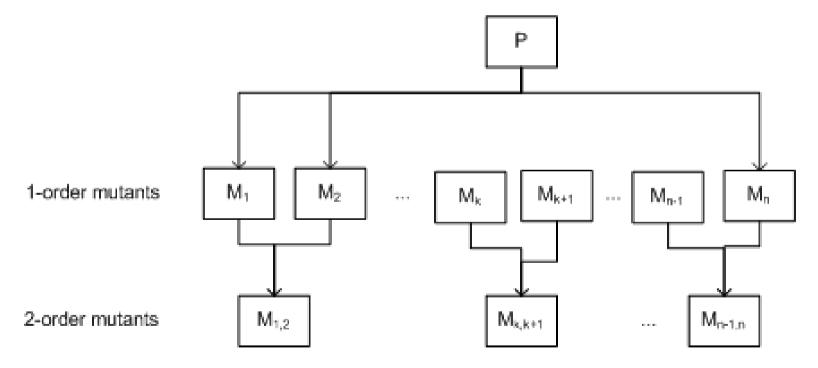




Reduction of the test suite in testooj



 The idea is to have mutants with more than one fault



• Thus:

- The number of mutants is closed to half the size of the original suite
- Each equivalent mutant will be probably combined with a non-equivalent mutant, what implies a reduction of the number of equivalent mutants

 However, there exists the possibility of having a test case that only finds one of the two faults injected

```
class Operations {
    int sum(int a, int b) {
        return a+b; a-b;
    }
    int mult(int a, int b) {
        return a*b; a-b;
    }
}
```

```
public int test1() {
      Operations op=new Operations();
      return op.sum(5, 3);
}
```

```
Original: 8

Mutant 1: 5 (killed)

Mutant 2: 8 (alive)

Mutant 1,2: 5 ("partially" killed)
```

- Algorithms for mutants combination
 - LastToFirst
 - DifferentOperators
 - RandomMix

LastToFirst

- First with the last, second with the penultime, etc.
- AOIS_1-ROR_6, AOIS_10-ROR_4, etc.
- It gets a half of the original mutant set

AOIS_1	AOIS_35	AOIS_56	AOIU_11	AORB_1	ROR_1
AOIS_10	AOIS_37	AOIS_58	AOIU_12	AORB_10	ROR_10
AOIS_12	AOIS_38	AOIS_59	AOIU_13	AORB_3	ROR_4
AOIS_13	AOIS_39	AOIS_60	AOIU_2	AORB_5	ROR_6
AOIS_14	AOIS_4	AOIS_71	AOIU_3	AORB_7	
AOIS_15	AOIS_40	AOIS_72	AOIU_4		
AOIS_16	AOIS_41	AOIS_73	AOIU_6		
AOIS_17	AOIS_43	AOIS_74	AOIU_7		
AOIS_18	AOIS_44	AOIS_75	AOIU_8		
AOIS_19	AOIS_45	AOIS_76	AOIU_9		
AOIS_20	AOIS_47	AOIS_77			
AOIS_25	AOIS_48	AOIS_78			
AOIS_27	AOIS_5	AOIS_79			
AOIS_3	AOIS_52	AOIS_80			
AOIS_31	AOIS_54				

DifferentOperators

- Combines mutants obtained with different operators
- Mutants proceeding from the least frequent operator are used more than once

				1		
AOIS_1	AOIS_35	AOIS_56	AOIU_11		AORB_1	RQR_1
AOIS_10	AOIS_37	AOIS_58	AOIU_12		AORB_10	RQR_10
AOIS_12	AOIS_38	AOIS_59	AOIU_13		AORB_3	RQR_4
AOIS_13	AOIS_39	 AOIS_60	AOIU_2		AORB_5	R@R_6
AOIS_14	AOIS_4	AOIS_71	AOIU_3		AORB_7	
AOIS_15	AOIS_40	AOIS_72	AOIU_4	ľ		
AOIS_16	AOIS_41	AOIS_73	AOIU_6			
AOIS_17	AOIS_43	AOIS_74	AOIU_7			
AOIS_18	AOIS_44	AOIS_75	AOIU_8			
AOIS_19	AOIŞ_45	AOIS 76	AOIU 9			
AOIS_20	AOIS_47	AOIS_77				
AOIS_25	AOIS_48	AOIS_78				
AOIS_27	AOIS_5	AOIS_79				
AOIS_3	AOIS_52	 AOIS_80				
AOIS_31	AOIS_54					

RandomMix

Makes a pure random combination

					D.O.D. 4
AOIS_1	AOIS_35	AOIS_56	AOIU_11	AORB_1	ROR_1
AOIS_10	AOIS_37	AOIS_58	AOIU_12	AOK—10	ROR_10
AOIS_12	AOIS_38	AOIS_59	AOIU_13	AORB_3	ROR_4
AOIS_13	AOIS_39	AOIS_60	AOIU_2	AORB_5	ROR_6
AC_14	AOIS_4	AS_71	AOIU_3	AORB_7	
AOIS_15	AOIS_40	AOIS_72	AOIU_4		
AOIS_16	AOIS_41	AOIS_73	AC—_6		
AOIS_17	AOIS	AOIS_74	AOIU_7		
AOIS_18	AOIS_44	AOIS_75	AOIU_8		
A@_19	AOIS_45	AOIS_76	AOIU_9		
AOIS_20	AOIS_47	AOIS_77			
AOIS_25	A S_48	AOIS_78			
AOIS_27	AOIS_5	AOIS_79			
AOIS_3	AOIS_52	AOIS			
AOIS_31	AOIS_54				

Results with benchmark programs

D	Program LOC		Equivalent 1-ord	ler mutants	# -644	
Program			Number	%	# of test cases	
Bisect	31	63	19	30.15%	25	
Bub	54	82	12	14,63%	256	
Find	79	179	0	0.00%	135	
Fourballs	47	212	44	20.75%	96	
Mid	59	181	43	23.75%	125	
TriTyp	61	309	70	22.65%	216	
	_		Mean:	18,66%		

	LastT	o Fir	st	DifferentOperators			RandomMix		
Program	# mutants	E	quivalent	# mutants Equivalent		# mutants	E	quivalent	
Flogram	(*)	#	%	(*)	#	%	(*)	#	%
Bisect	32 (50,8%)	5	15,63	44 (69,8%)	5	11,36	32 (50,8%)	2	6,25
Bub	41 (50%)	0	0	44 (53,7%)	0	0	40 (48,8%)	1	2,5
Find	90 (50,3%)	0	0	97 (54,2%)	0	0	89 (49,7%)	0	0
Fourballs	107 (50,4%)	5	4,67	128 (60,4%)	6	4,68	106 (50%)	7	6,60
Mid	91 (50,3%)	8	8,79	110 (60,8%)	4	3,63	91 (50,3%)	7	7,69
TriTyp	155 (50,2%)	7	4,51	168 (54,4%)	11	6,54	155 (50,2%)	9	5,80
	Means:		5,6%			4,4%			4,8%

Results with benchmark programs

Bisect					
KM	TC				
17	3				
18	5				
20	1				
22	1				
23	1				
24	3				
25	1				
26	1				
28	1				
30	1				
31	1				
35	1				
37	2				
40	2				
42	1				

Bu	Bub					
KM	TC					
1	174					
2	1					
25	1					
26	1					
29	9					
30	3					
59	5					
61	4					
62	3					
63	5					
64	5					
65	8					
66	11					
67	19					

Find					
KM	TC				
2	1				
3	75				
4	20				
178	81				

Fourl	alls
KM	TC
43	12
46	6
49	14
50	6
52	12
53	8
54	8
55	10
56	2
59	10
60	4
67	4

	\mathbf{M}	id	
KM	TC	KM	TC
11	1	40	3
14	4	41	4
17	2	42	1
19	3	43	5
20	1	45	2
21	1	46	1
22	1	47	3
23	1	48	1
25	2	49	4
26	3	50	1
28	4	51	3
29	3	52	3
30	3	53	2
31	2	54	2
32	6	55	2 7
33	1	56	3
34	3	57	5
35	1	59	2
36	4	60	5
37	6	62	2
38	9	64	1
30	3	67	1

	Tri	Тур	,	
$\mathbf{K}\mathbf{M}$	TC		KM	TC
0	56		86	2
3	48		88	1
4	48		89	1
22	2		91	2
23	4		94	3
39	2		96	1
44	2		97	1
48	2		100	1
51	4		101	1
57	4		103	1
62	4			
66	1			
68	1			
69	4			
70	4			
71	1			
73	1			
75	1			
77	6			
78	2			
84	3			
85	2			

Results with industrial software

Program	# of sen- tences	WMC	# of methods	# of 1-order mutants	# of available test cases	1-order mutans killed
Ciudad	177	65	23	203	37	92%
IgnoreList	26	8	3	27	6	85%
PluginTokenizer	157	27	16	94	14	31%

Ciudad					
KM	TC	KM	TC		
0	9	94	2		
6	1	95	1		
15	1	96	3		
69	1	97	1		
75	1	98	3 1		
86	3	99	1		
88	1	100	1		
89	1	101	. 1		
91	1	102	2		
92	2	118	1		
93	1	181	. 1		

PluginTokenizer			
KM	TC		
18	8		
21	1		
22	1		
25	2		
26	1		
30	1		

IgnoreList			
$\mathbf{K}\mathbf{M}$	TC		
10	1		
12	1		
19	2		
21	1		
22	1		

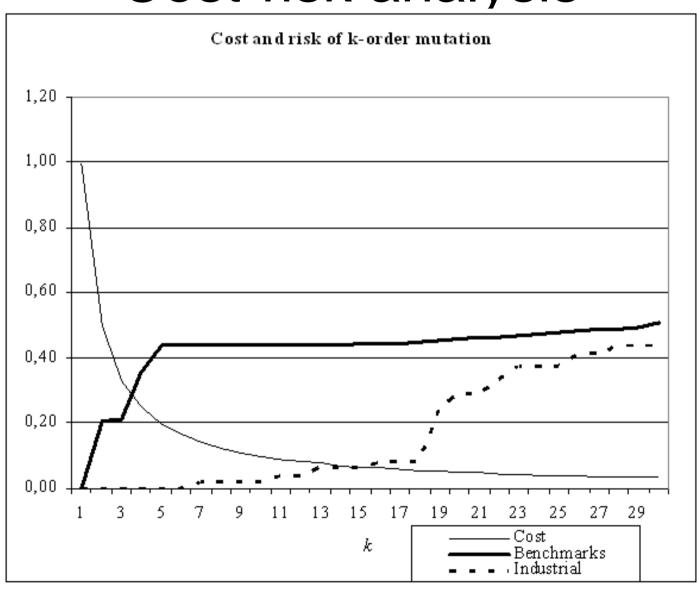
Cost-risk analysis

		Benchmark		Industrial	
K	Cost	TC	Risk	TC	Risk
1	1,00	174	0,00	0	0,00
2	0,50	2	0,21	0	0,00
3	0,33	123	0,21	0	0,00
4	0,25	68	0,36	0	0,00
- 5	0,20	0	0,44	0	0,00
6	0,17	0	0,44	1	0,00
7	0,14	0	0,44	0	0,02
8	0,13	0	0,44	0	0,02
9	0,11	0	0,44	0	0,02
10	0,10	0	0,44	1	0,02
11	0,09	1	0,44	0	0,04
12	0,08	0	0,44	1	0,04
13	0,08	0	0,44	0	0,06
14	0,07	4	0,44	0	0,06
15	0,07	0	0,44	1	0,06

		Benchmark		Industrial	
\mathbf{K}	Cost	TC	Risk	TC	Risk
16	0,06	0	0,44	0	0,08
17	0,06	5	0,44	0	0,08
18	0,06	5	0,45	8	0,08
19	0,05	3	0,46	2	0,25
20	0,05	2	0,46	0	0,29
21	0,05	1	0,46	2	0,29
22	0,05	4	0,46	2	0,33
23	0,04	6	0,47	0	0,38
24	0,04	3	0,47	0	0,38
25	0,04	4	0,48	2	0,38
26	0,04	5	0,48	1	0,42
27	0,04	0	0,49	0	0,44
28	0,04	5	0,49	0	0,44
29	0,03	12	0,50	0	0,44
30	0,03	7	0,51	1	0,44

$$risk(k) = 1 - \frac{\text{# of test caseskilling } k \text{ mutants or more}}{\text{total # of test cases}}$$

Cost-risk analysis



Conclusions

- Mutation is an excellent testing technique
- From the point of view of research, it is mature
- From the industry point of view, userfriendly tools are required
- Mutation is also applied at other levels: black-box, components, web services, models...



Mutation testing: practical aspects and cost analysis

Macario Polo and Mario Piattini
Alarcos Group
Department of Information Systems and Technologies
University of Castilla-La Mancha (Spain)

Macario.Polo@uclm.es