# CS577 Assignment 5: Report Theo Guidroz Department of Computer Science Illinois Institute of Technology May 8, 2021

# **Abstract**

This is a report for the first programming question of Assignment 5. It serves as an introduction sentiment and topic classification.

# **Problem Statement**

Build multiple models to perform sentiment and topic classification. Evaluate and compare their performances. The models will include embedding and LTSM layers.

# Implementation details

#### For question 1:

- 1. Load the IMDB data and split it into training, testing, and validation.
- 2. Build a first neural network with a trainable embedding layer and 2 fully connected layers.

Model: "sequential\_16"

| Layer (type)             | Output Shape     | Param # |
|--------------------------|------------------|---------|
| embedding_15 (Embedding) | (None, 100, 100) | 1000000 |
| flatten_15 (Flatten)     | (None, 10000)    | 0       |
| dense_30 (Dense)         | (None, 32)       | 320032  |
| dense_31 (Dense)         | (None, 1)        | 33      |

Total params: 1,320,065 Trainable params: 1,320,065 Non-trainable params: 0

- 3. Download the GloVe word embedding file and load it into an embedding matrix.
- 4. Train a model with the embedding layer weights frozen.

| Layer (type)             | Output Shape     | Param # |
|--------------------------|------------------|---------|
| embedding_16 (Embedding) | (None, 100, 100) | 1000000 |
| flatten_16 (Flatten)     | (None, 10000)    | 0       |
| dense_32 (Dense)         | (None, 32)       | 320032  |
| dense_33 (Dense)         | (None, 1)        | 33      |

Total params: 1,320,065 Trainable params: 320,065

Non-trainable params: 1,000,000

5. Repeat step 4 but with the embedding layer weights trainable.

| Layer (type)             | Output S | hape             | Param # |
|--------------------------|----------|------------------|---------|
| embedding_17 (Embedding) | (None, 1 | .00, 100)        | 1000000 |
| flatten_17 (Flatten)     | (None, 1 | 0000)            | 0       |
| dense_34 (Dense)         | (None, 3 | 2)               | 320032  |
| dense_35 (Dense)         | (None, 1 | .)<br>:========= | 33      |

Total params: 1,320,065 Trainable params: 1,320,065 Non-trainable params: 0

#### 6. Replace the fully connected layer with an LTSM layer

| Layer (type)             | Output Shape     | Param # |
|--------------------------|------------------|---------|
| embedding_19 (Embedding) | (None, 100, 100) | 1000000 |
| lstm_1 (LSTM)            | (None, 100, 32)  | 17024   |
| flatten_19 (Flatten)     | (None, 3200)     | 0       |
| dense_37 (Dense)         | (None, 1)        | 3201    |

Total params: 1,020,225 Trainable params: 1,020,225 Non-trainable params: 0

## 7. Compare all the results.

#### For question 2:

- 1. Load the Reuters data and split it into training, testing, and validation.
- 2. Build a first neural network with a trainable embedding layer and 2 fully connected layers.

| Layer (type)            | Output Shape     | Param # |
|-------------------------|------------------|---------|
| embedding_1 (Embedding) | (None, 100, 100) | 1000000 |
| flatten_1 (Flatten)     | (None, 10000)    | 0       |
| dense_2 (Dense)         | (None, 32)       | 320032  |
| dense_3 (Dense)         | (None, 46)       | 1518    |

Total params: 1,321,550 Trainable params: 1,321,550 Non-trainable params: 0

3. Download the GloVe word embedding file and load it into an embedding matrix.

4. Train with another model with the embedding layer weights frozen.

| Layer (type)            | Output Shape     | Param # |
|-------------------------|------------------|---------|
| embedding_3 (Embedding) | (None, 100, 100) | 1000000 |
| flatten_3 (Flatten)     | (None, 10000)    | 0       |
| dense_6 (Dense)         | (None, 32)       | 320032  |
| dense_7 (Dense)         | (None, 46)       | 1518    |

Total params: 1,321,550 Trainable params: 321,550

Non-trainable params: 1,000,000

5. Repeat step 4 but with the embedding layer weights trainable.

| Layer (type)            | Output | Shape     | Param # |
|-------------------------|--------|-----------|---------|
| embedding_4 (Embedding) | (None, | 100, 100) | 1000000 |
| flatten_4 (Flatten)     | (None, | 10000)    | 0       |
| dense_8 (Dense)         | (None, | 32)       | 320032  |
| dense_9 (Dense)         | (None, | 46)       | 1518    |

Total params: 1,321,550 Trainable params: 1,321,550 Non-trainable params: 0

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## 6. Replace the fully connected layer with an LTSM layer.

| Layer (type)            | Output Shape     | Param # |
|-------------------------|------------------|---------|
| embedding_5 (Embedding) | (None, 100, 100) | 1000000 |
| 1stm (LSTM)             | (None, 100, 32)  | 17024   |
| flatten_5 (Flatten)     | (None, 3200)     | 0       |
| dense_10 (Dense)        | (None, 46)       | 147246  |

Total params: 1,164,270 Trainable params: 1,164,270 Non-trainable params: 0

7. Add a second LTSM layer.

| Layer (type)            | Output Shape     | Param # |
|-------------------------|------------------|---------|
| embedding_6 (Embedding) | (None, 100, 100) | 1000000 |
| lstm_1 (LSTM)           | (None, 100, 32)  | 17024   |
| lstm_2 (LSTM)           | (None, 100, 32)  | 8320    |
| flatten_6 (Flatten)     | (None, 3200)     | 0       |
| dense_11 (Dense)        | (None, 46)       | 147246  |

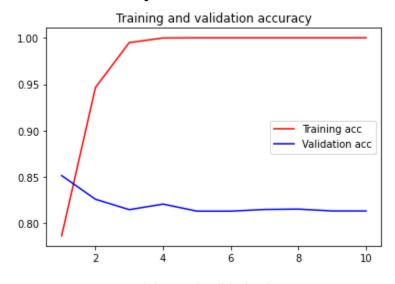
Total params: 1,172,590 Trainable params: 1,172,590 Non-trainable params: 0

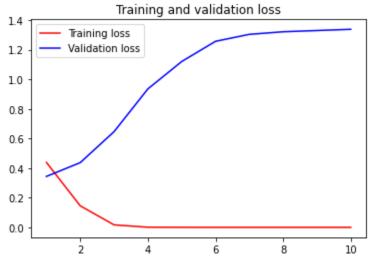
8. Compare all the results.

# Results and discussion

## **Sentiment Classification**

Model 1: 2 Dense layers

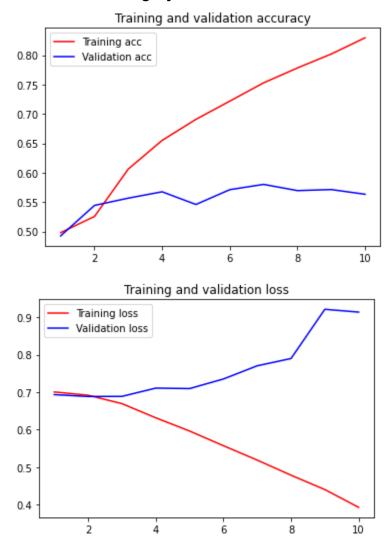




The graph above shows that the model overfits very rapidly.

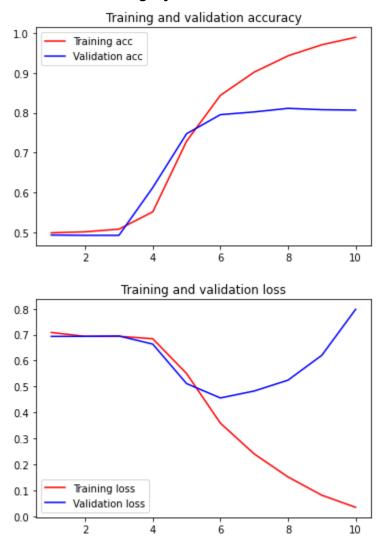
Model has an accuracy of 0.8179600238800049

Model 2: Embedding layer frozen



Using the frozen layer, the model takes more time to overfit but does not perform as well. Accuracy with frozen weights: 0.5626800060272217

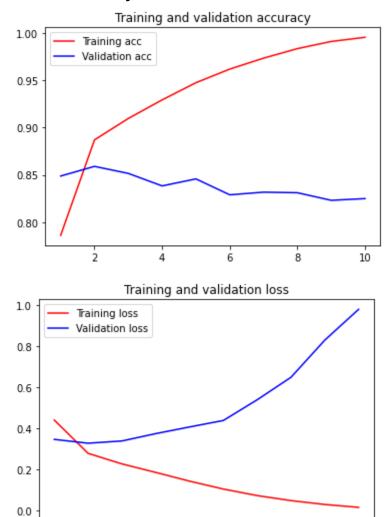
Model 3: Embedding layer trainable



Using the trainable layer, the model takes more time to overfit than both previous models. It also out outperforms the same model but with the frozen layer by 30%.

Accuracy without frozen weights: 0.8041599988937378

## Model 4: LTSM layer



The model overfits rapidly but replacing the dense layer with an LSTM layer slightly improves the accuracy.

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Accuracy with LSTM: 0.8162800073623657

# **Topic Classification**

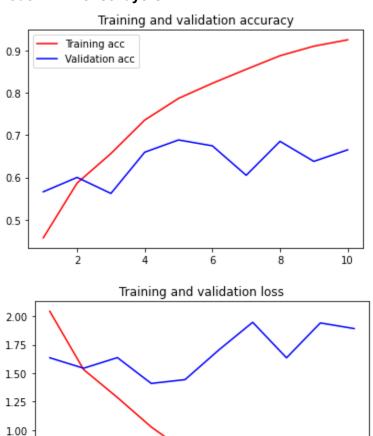
## Model 1: 2 Dense layers

0.75

0.50

0.25

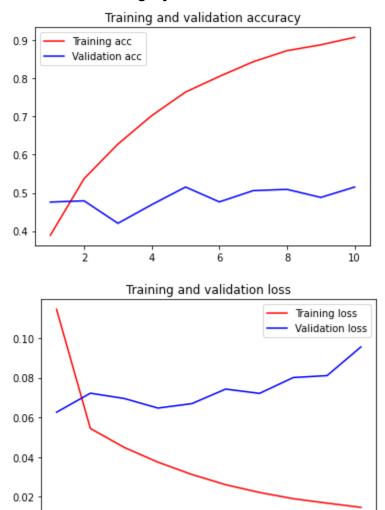
Training loss Validation loss



The graph above shows that the model overfits after 4 epochs.

Model has an accuracy of 0.6918966770172119

Model 2: Embedding layer frozen

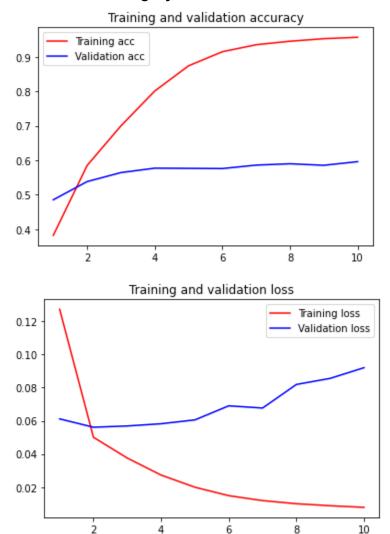


With the frozen layer, the model overfits rapidly and the accuracy decreases.

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Accuracy with frozen weights: 0.5053428411483765

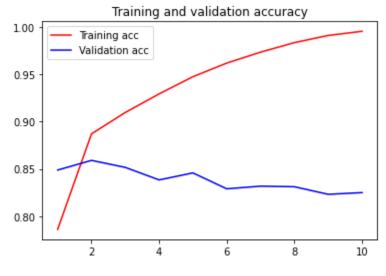
Model 3: Embedding layer trainable

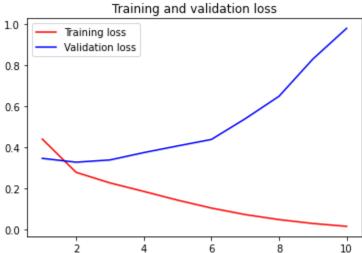


Using the trainable layer, the model takes more time to overfit than both previous models. It also out outperforms the same model but with the frozen layer.

Accuracy without frozen weights: 0.589937686920166

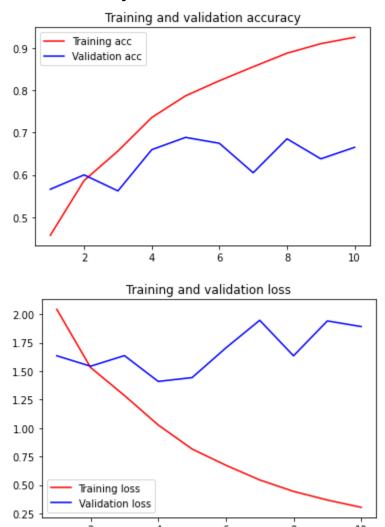
## Model 4: LTSM layer





Using the LTSM layer overfits rapidly but increases the accuracy. Accuracy with LSTM: 0.6727515459060669

## Model 4: LTSM layer



Using 2 LTSM layers helps generalizing but the accuracy decreases.

Accuracy with 2 LSTM: 0.642920732498169

# Conclusion

In both cases, using one LTSM provides the best accuracy. The models tend to overfit very rapidly so there is no need to train on many epochs. This assignment was a great introduction to embedding and LTSM layers.

# References

Professor's slides