

## Introduction to Data Management

#### Subqueries

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#### Announcements

- Azure credits have been issued (\$50)
  - Sent to @uw.edu emails
  - Post on Piazza if you have issues accepting it
  - Will use for HW3



#### Accept your Azure lab assignment

You have a pending lab assignment. Please accept your assignment to get started with your course.

Accept lab assignment >

This email is generated from an unmonitored alias; please do not reply. If you have questions, please submit a request.

#### Recap: Grouping

SELECT Product, SUM(quantity)
FROM Purchases
GROUP BY Product
HAVING SUM(quantity) > 20

Product	Price	Quantity	Month
Bagel	3	20	Jan
Bagel	1.50	20	Feb
Banana	0.5	50	Feb
Banana	5	10	March
Apple	4	10	March

Product	SUM(quantity)
Bagel	40
Banana	60

#### Recap: Semantics

First evaluate the FROM clause
Next evaluate the WHERE clause
Group the attributes in the GROUPBY
Eliminate groups based on HAVING
Sort the results based on ORDER BY
Last evaluate the SELECT clause

# **FWGHOS<sup>TM</sup>**

#### Recap - General form

```
      SELECT
      S

      FROM
      R<sub>1</sub>, ..., R<sub>n</sub>

      WHERE
      C1

      GROUP
      BY
      a<sub>1</sub>, ..., a<sub>k</sub>

      HAVING
      C2

      ORDER
      BY
      O
```

S, O = any attributes a<sub>1</sub>, ..., a<sub>k</sub> and/or any aggregates, but no other attributes
C1 = any condition on the attributes in R<sub>1</sub>, ..., R<sub>n</sub>
C2 = any condition on the aggregate expressions and attributes a<sub>1</sub>, ..., a<sub>k</sub>

## Goals for Today

- We've completed our general form of a query
- Use SQL queries to assist other SQL queries
- Conclude our unit on SQL queries
  - After today you'll have essentially all the building blocks of most all queries you can think of

#### Outline

- Subquery mechanics
  - SELECT
  - FROM
  - WHERE/HAVING
- Decorrelation and unnesting along the way
- The Witness Problem

#### **Nested Queries**

A subquery is a SQL query nested inside a larger query

A subquery may occur in:

- A SELECT clause
- A FROM clause
- A WHERE or HAVING clause

#### Rule of thumb:

Avoid nested queries when possible...

...but sometimes it's impossible

- Must return a single value
  - A 1x1 relation single row, single column
- Uses:
  - Compute an associated value

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- Uses:
  - Compute an associated value

```
SELECT P.Name, (SELECT AVG(P1.Salary)

FROM Payroll AS P1

WHERE P.Job = P1.Job)
```

FROM Payroll AS P

Correlated subquery!
Inner query refers to
attributes from the
outer query

- Must return a single value
  - · A 1x1 relation single row, single column
- Uses:
  - Compute an associated value

```
SELECT P.Name, (SELECT AVG(P1.Salary)

FROM Payroll AS P1

WHERE P.Job = P1.Job)
```

FROM Payroll AS P

Correlated subquery!
Semantics are that the entire subquery is recomputed for each tuple

For each person find the average salary of their job

Same (decorrelated and unnested)

```
SELECT P1.Name, AVG(P2.Salary)
  FROM Payroll AS P1, Payroll AS P2
WHERE P1.Job = P2.Job
GROUP BY P1.UserID, P1.Name
```

#### **FWGHOS** recall

**SELECT** P1.Name, AVG(P2.Salary)

FROM Payroll AS P1, Payroll AS P2

WHERE P1.Job = P2.Job

GROUP BY P1.UserID, P1.Name

P1 P2

UserID	Name	Job	Salary	UserID	Name	Job	Salary
123	Jack	TA	50000	123	Jack	TA	50000
123	Jack	TA	50000	345	Allison	TA	60000
345	Allison	TA	60000	345	Allison	TA	60000
345	Allison	TA	60000	123	Jack	TA	50000
567	Magda	Prof	90000	567	Magda	Prof	90000
567	Magda	Prof	90000	789	Dan	Prof	100000
789	Dan	Prof	100000	789	Dan	Prof	100000
789	Dan	Prof	100000	567	Magda	Prof	90000

#### **FWGHOS** recall

SELECT P1.Name, AVG(P2.Salary)
FROM Payroll AS P1, Payroll AS P2
WHERE P1.Job = P2.Job

GROUP BY P1.UserID, P1.Name

P1 P2

UserID	Name	Job	Salary	UserID	Name	Job	Salary
123	Jack	TA	50000	123	Jack	TA	50000
123	Jack	TA	50000	345	Allison	TA	60000
345	Allison	TA	60000	345	Allison	TA	60000
345	Allison	TA	60000	123	Jack	TA	50000
567	Magda	Prof	90000	567	Magda	Prof	90000
567	Magda	Prof	90000	789	Dan	Prof	100000
789	Dan	Prof	100000	789	Dan	Prof	100000
789	Dan	Prof	100000	567	Magda	Prof	90000

January 15, 2020 Subqueries

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#### FWGHOS recall

SELE	CT P1	P1.Name, AVG(P2.Salary)						
FR	<b>OM</b> Pa	yroll	AS P	1, Pa	yrol	<b>p</b> 1	.Name	AVG
WHE	RE P1	.Job	= P2.	Job		Ja	ck	55000
GRO!	UP BY	P1.U	serID	, P1.	Name	Al	lison	55000
						M	agda	95000
P1				P2		Da	an	95000
UserID	Name	Job	Salary	UserID	Name		Job	Salary
123	Jack	TA	50000	123	Jack		TA	50000

UserID	Name	Job	Salary	UserID	Name	Job	Salary
123	Jack	TA	50000	123	Jack	TA	50000
123	Jack	TA	50000	345	Allison	TA	60000
345	Allison	TA	60000	345	Allison	TA	60000
345	Allison	TA	60000	123	Jack	TA	50000
567	Magda	Prof	90000	567	Magda	Prof	90000
567	Magda	Prof	90000	789	Dan	Prof	100000
789	Dan	Prof	100000	789	Dan	Prof	100000
789	Dan	Prof	100000	567	Magda	Prof	90000

January 15, 2020 Subqueries

For each person find the number of cars they drive

```
SELECT P. Name, (SELECT COUNT (R. Car)
                   FROM Regist AS R
                  WHERE P.UserID =
                         R. UserID)
  FROM Payroll AS P
                      Same? Discuss!
SELECT P.Name, COUNT (R.Car)
  FROM Payroll AS P, Regist AS R
 WHERE P.UserID = R.UserID
 GROUP BY P.UserID, P.Name
```

For each person find the number of cars they drive

```
SELECT P.Name, (SELECT COUNT (R.Car)
                   FROM Regist AS R
                  WHERE P.UserID =
                        R. UserID)
```

FROM Payroll AS P

0-count case not covered!

```
SELECT P.Name, COUNT (R.Car)
  FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID
GROUP BY P.UserID, P.Name
```

For each person find the number of cars they drive

For each person find the number of cars they drive

```
SELECT P.Name, (SELECT COUNT (R.Car)
                     FROM Regist AS R
                    WHERE P.UserID =
                           R. UserID)
   FROM Payroll AS P
                        Still possible to decorrelate and
                        unnest
SELECT P.Name, COUNT (R.Car)
  FROM Payroll AS P LEFT OUTER JOIN
       Regist AS R ON P.UserID = R.UserID
 GROUP BY P.UserID, P.Name
```

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- Also known as argmax/argmin
- Ex: Return the person with the highest salary for each job type

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

- Also known as argmax/argmin
- Ex: Return the person with the highest salary for each job type

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

**SELECT** Name, MAX(Salary)

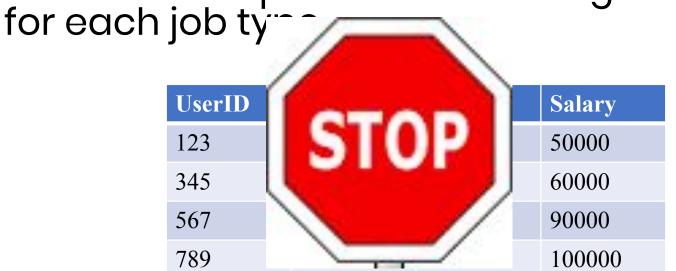
Easy right?

FROM Payroll

**GROUP BY** Job

Also known as argmax/argmin

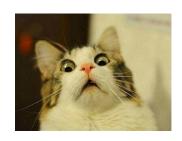
• Ex: Return the person with the highest salary



```
SELECT Name, MAX(Salary)
FROM Payroll
GROUP BY Job
```

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

Name	MAX(Salary)
???	60000
???	100000



SELECT Name, MAX(Salary)
FROM Payroll

**GROUP BY** Job

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

Return the person with the highest salary for each job type

How do we witness the maxima for a group?

Discuss!

Conceptual ideas are great

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UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

Return the person with the highest salary for each job type

Main idea:

we need to join the respective maxima to each row

UserID	Name	Job	Salary	maxima
123	Jack	TA	50000	60000
345	Allison	TA	60000	60000
567	Magda	Prof	90000	100000
789	Dan	Prof	100000	100000

Return the person with the highest salary for each job type

Main idea:

we need to join the respective maxima to each row

#### Subqueries in FROM

#### Uses:

 Solve subproblems that can be later joined/evaluated

```
FROM Payroll AS P,

(SELECT P1.Job AS Job,

MAX(P1.Salary) AS Salary

FROM Payroll AS P1

GROUP BY P1.Job) AS Pmax

WHERE P.Job = Pmax.Job AND

P.Salary = Pmax.Salary
```

### Subqueries in FROM

Equivalent to a WITH subquery

```
WITH MaxPay AS
       (SELECT P1.Job AS Job,
               MAX (P1. Salary) AS Salary
          FROM Payroll AS P1
         GROUP BY P1.Job)
SELECT P.Name, P.Salary
  FROM Payroll AS P, MaxPay AS MP
WHERE P.Job = MP.Job AND
       P.Salary = MP.Salary
```

- Can return a single value
- Uses:
  - Compare with another value

```
FROM Payroll AS P
WHERE P.Salary =
    (SELECT MAX(P1.Salary) AS Salary
    FROM Payroll AS P1
    WHERE P1.Job = P.Job)
```

- Can return a single value
- Uses:
  - Compare with another value

```
FROM Payroll AS P
WHERE P.Salary =
    (SELECT MAX(P1.Salary) AS Salary
    FROM Payroll AS P1
WHERE P1.Job = P.Job)
```

Correlated subquery alert!

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## Witnessing Unnested

UserID	Name	Job	Salary	maxima
123	Jack	TA	50000	60000
345	Allison	TA	60000	60000
567	Magda	Prof	90000	100000
789	Dan	Prof	100000	100000

Return the person with the highest salary for each job type

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# Witnessing Unnested

```
SELECT P1.Name, MAX(Pmax.Salary)
  FROM Payroll AS P1, Payroll AS Pmax
WHERE P1.Job = Pmax.Job
GROUP BY Pmax.Job, P1.Salary, P1.Name
HAVING P1.Salary = MAX(Pmax.Salary)
```

Pl Pmax

UserID	Name	Job	Salary	UserID	Name	Job	Salary
123	Jack	TA	50000	123	Jack	TA	50000
123	Jack	TA	50000	345	Allison	TA	60000
345	Allison	TA	60000	345	Allison	TA	60000
345	Allison	TA	60000	123	Jack	TA	50000
567	Magda	Prof	90000	567	Magda	Prof	90000
567	Magda	Prof	90000	789	Dan	Prof	100000
789	Dan	Prof	100000	789	Dan	Prof	100000
789	Dan	Prof	100000	567	Magda	Prof	90000

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- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

```
SELECT ........... WHERE EXISTS (subquery);
SELECT ............ WHERE NOT EXISTS (subquery);
SELECT ............ WHERE attr IN (subquery);
SELECT ............ WHERE attr NOT IN (subquery);
SELECT ............ WHERE const > ANY (subquery);
SELECT ............ WHERE const > ALL (subquery);
```

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

**Existential quantifier** 

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

EXISTS (subquery) returns true iff cardinality of subquery > 0

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

attr IN (subquery) returns true iff value of attr is contained in subquery

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017. attr IN (subquery) returns true iff

value of at **Decorrelated!** 

**SELECT** P.Name

FROM Payroll AS P

WHERE P.UserID IN (SELECT R.UserID

FROM Regist R

WHERE R.Year < 2017)

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

const > ANY (sub) returns true iff const > value for at least one value in sub

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

Unnesting existential

quantifiers is easy!

SELECT DISTINCT P.Name

FROM Payroll AS P, Regist R

WHERE P.UserID = R.UserID AND

R.Year < 2017



- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive some car made before 2017.

Unnesting existential

quantifiers is easy!

SELECT DISTINCT P.Name

FROM Payroll AS P, Regist R

WHERE P.UserID = R.UserID AND

R.Year < 2017



**Expert SQL style** 

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive only cars older than 2017.

**Universal quantifier** 

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive only cars older than 2017.

**Universal quantifier** 

Not easy :(

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive of Find all the other people, the ones who DO drive

(SELECT R.UserID

FROM Regist AS R

WHERE R.Year >= 2017)

newer cars

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive of than 2017.

Find all the other people, the ones

**SELECT** P.Name

FROM Payroll AS P

WHERE P.UserID NOT IN (SELECT R.UserID

FROM Regist AS R

who DO drive

newer cars

WHERE R.Year  $\geq$  2017)

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- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive only cars older than 2017.

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

```
Ex: Find all people who drive only cars older than 2017.

const > ALL(sub) returns true iff const > value for all values in sub SELECT P. Name
```

```
FROM Payroll AS P
WHERE 2017 > ALL (SELECT R.Year
FROM Regist AS R
WHERE

P.UserID = R.UserID)
```

- Can return a relation
- Uses:
  - Use with an existential or universal quantifier
    - (NOT) EXISTS, (NOT) IN, ANY, ALL

Ex: Find all people who drive only cars older than 2017.

```
FROM Payroll A
WHERE 2017 > ALL (SELECT R.Year
FROM Regist AS R
WHERE

P.UserID = R.UserID)
```

## Unnesting

Can we unnest the universal quantifier query?

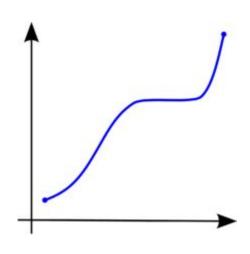
First, a discussion on the concept of monoticity....

#### **Monotone**

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.



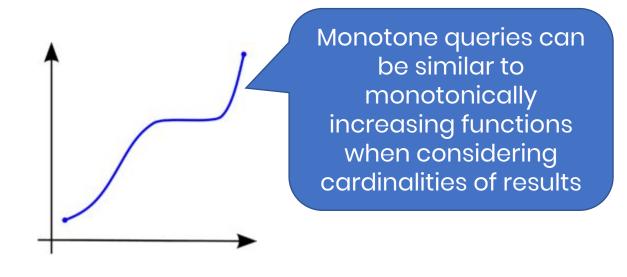
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#### **Monotone**

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$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P.Name, P.Car
FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID

Is this query monotone?

#### **Monotone**

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That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P.Name, P.Car
FROM Payroll AS P, Regist AS R
WHERE P.UserID = R.UserID

Is this query monotone? Yes!

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$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

I can't add tuples to Payroll or Regist that would "remove" a previous result

SELECT P.Name, P.Car

FROM Payroll AS P, Régist AS R

**WHERE** P.UserID = R.UserID

Is this query monotone? Yes!

#### **Monotone**

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$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P. Name

FROM Payroll AS P

WHERE P.Salary >= ALL (SELECT Salary

FROM Payroll)

Is this query monotone?

#### **Monotone**

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$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P. Name

FROM Payroll AS P

WHERE P.Salary >= ALL (SELECT Salary

FROM Payroll)

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Is this query monotone? No!

#### **Monotone**

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

SELECT P. Name

FROM Payroll AS P

WHERE P.Salary >= ALL (SELECT Salary

FROM Payroll)

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I can add a tuple to

Payroll that has a higher

salary value than any

other

Is this query monotone? No!

#### **Monotone**

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over that superset must contain at least the query results of I.

Is this query monotone?

#### **Monotone**

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

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That is for any superset of I, the query over that superset must contain at least the query results of I.

Is this query monotone? No!

#### **Monotone**

A **Monotonic** query is one that obeys the following rule where I and J are data instances and q is a query:

$$I \subseteq J \to q(I) \subseteq q(J)$$

That is for any superset of I, the query over the at least the query results of I.

Aggregates generally are sensitive to any new tuples since the aggregate value will change

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FROM Payroll AS P

GROUP BY P.Job

Is this query monotone? No!

### Theorem:

If Q is a SELECT-FROM-WHERE query that does not have subqueries or aggregates, then it is monotone.

### Theorem:

If Q is a SELECT-FROM-WHERE query that does not have subqueries or aggregates, then it is monotone.

### **Proof:**

We use nested loop semantics. If we insert a tuple in relation R, this will not remove any

tuples from the answer.

SELECT a1, a2, ..., ak FROM R1 AS x1, R2 AS x2, ..., Rn AS xn WHERE Conditions

```
for x1 in R1 do
for x2 in R2 do
...
for xn in Rn do
if Conditions
output (a1,...,ak)
```

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### Theorem:

The query "Find all people who drive only cars older than 2017" is not monotone.

### Proof:

We use example. For user 123 who previously only drove a car made in 2009, we add another car made in 2018. Now user 123 does not appear in the results. Thus, the query is not monotone.

### Theorem:

The query "Find all prople older than "217" is

no drive only cars

Proof:

We use a only another not ar not monoto

If a query is not monotonic, then we can't write it as a SELECT-FROM-WHERE query without subqueries

previously
e add
w usex 123 does
s. The s, the query is

### Queries That Cannot Be S-F-W

Queries with universal quantifiers or negation

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## **Bonus: Set Operations**

- SQL mimics set theory in many ways
  - Bag = duplicates allowed
  - UNION (ALL) = set union (bag union)
  - INTERSECT (ALL) 

    set intersection (bag intersection)
  - **EXCEPT (ALL)**  $\square$  set difference (bag difference)
- SQL Server Management Studio 2017
  - INTERSECT ALL not supported
  - EXCEPT ALL not supported



## Set Operations

 SQL set-like operators basically slap two queries together (not really a subquery...)



## Takeaways

- Subqueries let us express some problems more easily
- Many subqueries can be unnested
- Some cannot be (think non-monotonic queries - universal quantifiers, negation, aggregates)
- SQL set operations behave much like set theory