

1. Why OS is also known as Resource Manager and an extended machine?

→ A computer system has many resources (hardware & software), which maybe required to complete a task. The commonly required resources are I/O devices, memory, file storage space, CPU, etc. The operating system acts as manager of the above resources and allocates them to specific programs and users, whenever necessary to perform a particular task. Therefore, operating system is the resource manager i.e. it can manage the resource of a computer system internally.

The resources are processor, memory, files, and I/O devices. In simple terms, an OS is the interface between the user and the machine.

The computer system is designed so that use of resource to maximize the user is performing. In this case the operating system is designed mostly for easy with good performance, the performance is important to the user but it doesn't matter if most of the system is sitting idle, waiting for the slow I/O speed of the user. The OS is designed to maximize resource utilization.

The program that hides the truth about the hardware from the programmer and present a nice simple view a name file that can be read & written as 'operating system'. OS shields the programmer from the interface, the abstraction offered by the operating system is slow.

and easier to use than the underlying hardware. The main function of operating system is to present the user with the equivalent of an extended machine or virtual machine that is easier to program than underlying hardware.

### 2. Write about history of OS

Operating systems provide a set of functions and links needed to control and synchronize computer hardware. These are used by most application programs on a computer. The first computers had no OS. Every program needed the full hardware specification to run correctly and perform standard tasks, and its own drivers for peripheral devices. Considering the hardware system was evolving and becoming more complex, app programs became a necessity.

In the 1960s Bell labs started working on the origin of UNIX, the first multi-tasking and multi-user functionality operating system. The first version was available in the 70s.

In the 1970s The Apple II series was born. This is a family of home computers which was the first highly successful micro-computer. It was an 8-bit computer with the first color-graphic. Apple Dos 3.3 was the final and most popular version of the software.

In 1981 May MS-DOS was launched by Microsoft and started on the basis of 86-DOS by a company called 'Seattle computer products' which was created by Tim Patterson. MS-DOS was launched, shipped and user for the IBM personal computer, which was also licensed to IBM but called PC-DOS.

On May 22, 1990 Microsoft Windows launched Windows 3.0. The graphical environment was the 3rd major release.

On Sept 17, 1991 Linux released its OS kernel. Linux is a free OS which is widely known for its distributions such as Ubuntu and it's commercial use like that of Redhat Hot enterprise Linux.

On June 25, 1998 Microsoft launched Windows 98, which sported a hybrid 16-bit and 32-bit GUI which makes it a graphical operating system.

On March 6, 2008 iPhone OS 1 was the first iOS for Apple's mobile operating system. Apple stated that the iPhone ran on a version of its desktop OS macOS, then known as Mac OS X.

On May 2011 Google launched Chrome OS which is a Linux Kernel based OS. It is a free software which uses the Google Chrome web browser as its primary user interface (UI) and supports web application. Its User Data runs directly

off of the cloud, making it the first OS to be cloud-based. We are currently on the precipice of AI, robotics, and blockchain and these sectors will lead us towards different dimensions of Operating Systems.

## Chapter 2: Process / Thread Management

### 2.3 Processor Scheduling

#### # Scheduling Techniques

- First Come First Serve (FCFS/FIFO) Schedule
- With this scheme, the process that requests the CPU first is allocated the CPU first. It is non-primitive in nature.

i) Eg: Consider following set of processes that arrive at time 0 with length of CPU burst given in milliseconds.

Process      Burst time

P<sub>1</sub>      24

P<sub>2</sub>      3

P<sub>3</sub>      3

Suppose process arrive in order P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>

Gantt Chart

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0	24	27

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Waiting Time (Start - Arrival)

$$P_1 = (0 - 0) = 0$$

$$P_2 = (24 - 0) = 24$$

$$P_3 = (27 - 0) = 27$$

$$\therefore \text{Avg waiting Time} = \frac{0 + 24 + 27}{3} = \frac{51}{3} = 17 \text{ ms}$$

Turnaround Time (TAT) : W.T + B.T (Waiting Time + Burst Time)

$$P_1 = 0 + 24 = 24$$

$$P_2 = 24 + 3 = 27$$

$$P_3 = 27 + 3 = 30$$

$$\therefore \text{Average TAT} = \frac{24 + 27 + 30}{3} = \frac{81}{3}$$

= 27 ms.

ii) Eg:

Process	Arrival Time	Burst Time (ms)
P <sub>1</sub>	0	8
P <sub>2</sub>	1	4
P <sub>3</sub>	2	9
P <sub>4</sub>	3	5

Suppose process arrive in order P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub>

Gantt Chart

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>
0	8	12	21

Waiting Time | Start - Arrival |

$$P_1 = (0 - 0) = 0$$

$$P_2 = (8 - 1) = 7$$

$$P_3 = (12 - 2) = 10$$

$$P_4 = (21 - 3) = 18$$

$$\therefore \text{Avg WT} = \frac{0 + 7 + 10 + 18}{4} = \frac{79}{4} = 21.5 \text{ ms}$$

Turnaround Time (TAT) : WT + BT

$$P_1 = 0 + 8 = 8$$

$$P_2 = 7 + 4 = 11$$

$$P_3 = 10 + 9 = 19$$

$$P_4 = 18 + 5 = 23$$

$$\therefore \text{Avg TAT} = \frac{8+11+19+23}{4}$$

$$= 15.25$$

## 2) Shortest-Job First (SJF) Scheduling

With this scheme, the process that has smallest CPU burst time is scheduled first.

If CPU burst of two process are same, FCFS is used to break tie.

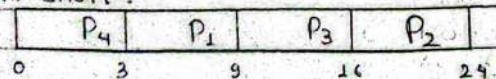
Examples:

i) Consider following set of process with CPU burst time given in ms (millisecond).

Process	Burst Time
P <sub>1</sub>	6
P <sub>2</sub>	8
P <sub>3</sub>	7
P <sub>4</sub>	3

Soln:

Gantt Chart:



Waiting time (Start - Arrival)

$$P_1 = 3 - 0 = 3$$

$$P_2 = 16 - 0 = 16$$

$$P_3 = 9 - 0 = 9$$

$$P_4 = 0 - 0 = 0$$

$$\therefore \text{Avg waiting time} = \frac{3+16+9+0}{4}$$

$$= 7 \text{ millisecond}$$

Turn around time (TAT) : WT + BT

$$P_1 = 3 + 6 = 9$$

$$P_2 = 16 + 8 = 24$$

$$P_3 = 9 + 7 = 16$$

$$P_4 = 0 + 3 = 3$$

$$\therefore \text{Avg TAT} = \frac{9+24+16+3}{4}$$

$$= 13 \text{ ms}$$

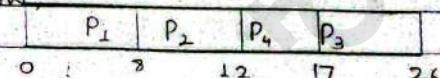
	Process	Arrival-Time	Burst-Time	A.T.Y	B.T
	P <sub>1</sub>	0	8	P <sub>2</sub>	4
	P <sub>2</sub>	8	4	P <sub>3</sub>	9
	P <sub>3</sub>	2	9	P <sub>4</sub>	5
	P <sub>4</sub>	-3	5		

Soln:

Gantt Chart

In SJF, when arrival time is given, take smallest of arrival first.

Now,



Waiting-time (Start-Arrival)

$$P_1 = 0 - 0 = 0$$

$$P_2 = 8 - 1 = 7$$

$$P_3 = 17 - 2 = 15$$

$$P_4 = 12 - 3 = 9$$

$$\therefore \text{Avg waiting time} = \frac{0 + 7 + 15 + 9}{4} = 7.75 \text{ ms}$$

Turn-around Time (TAT) : WT + BT

$$P_1 = 0 + 8 = 8$$

$$P_2 = 7 + 4 = 11$$

$$P_3 = 15 + 9 = 24$$

$$P_4 = 9 + 5 = 14$$

$$\therefore \text{Avg TAT} = \frac{8 + 11 + 24 + 14}{4}$$

$$= 14.25 \text{ ms}$$

Qn. Using FCFS and SJF, solve :

Process	Arrival Time	Burst Time
P <sub>1</sub>	0.0	8
P <sub>2</sub>	0.4	4
P <sub>3</sub>	1.0	1

Solution →

i) Using FCFS

Gantt Chart, P<sub>1</sub> | P<sub>2</sub> | P<sub>3</sub>

Waiting-time (Start-Arrival) 0 8 12 13

$$P_1 = 0 - 0 = 0$$

$$P_2 = 8 - 0.4 = 7.6$$

$$P_3 = 12 - 1.0 = 11$$

$$\therefore \text{Avg waiting time} = \frac{0 + 7.6 + 11}{3}$$

$$= 6.87 \text{ ms}$$

Turn-around time (TAT) : WT + BT

$$P_1 = 0 + 8 = 8$$

$$P_2 = 7.6 + 4 = 11.6$$

$$P_3 = 11.6 + 1 = 12$$

$$\therefore \text{Avg TAT} = \frac{8 + 11.6 + 12}{3}$$

$$= 11.2 \text{ ms}$$

ii) Using SJF

→ Gantt Chart

At 8,

	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
Waiting time	0	8	11

$$P_1 = 0 - 0 = 0$$

$$P_2 = 8 - 0.4 = 7.6$$

$$P_3 = 8 - 1.0 = 7$$

$$\therefore \text{Avg WT} = \frac{0 + 7.6 + 7}{3} = 5.2 \text{ ms}$$

Turn around time (TAT)

$$P_1 = 0 + 8 = 8$$

$$P_2 = 8 + 4 = 12.6$$

$$P_3 = 12.6 + 1 = 13$$

$$\therefore \text{Avg TAT} = \frac{8 + 12.6 + 13}{3}$$

$$= 11.2 \text{ ms}$$

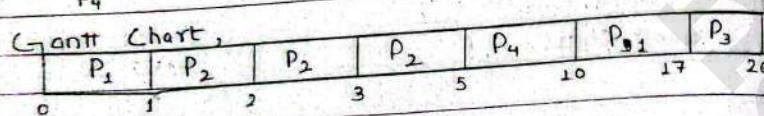
Pre-emptive

- 3) SJF (Priority) | SRTF / SRTN / STRF / STRN
- SRTF = Shortest - Remaining - Time - First
  - SRTN = Shortest - Remaining - Time - Next
  - STRF = Shortest - Time - Remaining - First
  - STRN = Shortest - Time - Remaining - Next

Example :

Process	Arrival Time	Burst Time
P <sub>1</sub>	0	8
P <sub>2</sub>	1	4
P <sub>3</sub>	2	9
P <sub>4</sub>	3	5

Gantt Chart,



At 1 B.T

$$P_1 \quad 8-1=7$$

$$P_2 \quad 4$$

At 2 B.T

$$P_1 \quad 7$$

$$P_2 \quad 4-1=3$$

$$P_3 \quad 9$$

At 3 B.T

$$P_1 \quad 7$$

$$P_2 \quad 4-2=2$$

$$P_3 \quad 9$$

$$P_4 \quad 5$$

Waiting-Time :

$$P_1 = (0-0) + (10-1) = 9 \text{ ms}$$

$$P_2 = (1-1) = 0 \text{ ms}$$

$$P_3 = 17-2 = 15 \text{ ms}$$

$$P_4 = 5-3 = 2 \text{ ms}$$

$$\therefore \text{Avg W.T} = \frac{9+0+15+2}{4}$$

$$= 6.5 \text{ ms.}$$

Turn-Around-Time = (W.T + B.T) :

$$P_1 = 9+8 = 17 \text{ ms}$$

$$P_2 = 0+4 = 4 \text{ ms}$$

$$P_3 = 15+9 = 24 \text{ ms}$$

$$P_4 = 2+5 = 7 \text{ ms}$$

$$\therefore \text{Avg TAT} = \frac{17+4+24+7}{4}$$

$$= 13 \text{ ms}$$

Qn: Process      Arrival Time      Burst Time

$$P_1 \quad 0.0 \quad 8$$

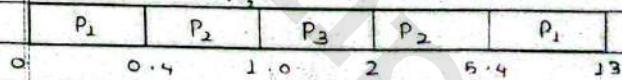
$$P_2 \quad 0.4 \quad 4$$

$$P_3 \quad 1.0 \quad 1$$

Calculate Avg W.T and Avg TAT using SJF (Priority)

Soln;

Gantt Chart,



At 0.4 B.T

$$P_1 \quad 8-0.4 = 7.6$$

$$P_2 \quad 4$$

At	BT
P <sub>1</sub>	7.6
P <sub>2</sub>	4 - 0.6 = 3.4
P <sub>3</sub>	1

Waiting-Time :

$$P_1 : (0 - 0) + (5 - 0.4) = 5$$

$$P_2 : (0.4 - 0.4) + (2 - 1) = 1$$

$$P_3 : (1 - 1) = 0$$

$$\text{Avg W.T} = \frac{5+1+0}{3} = 2, \text{ms}$$

Turn Around Time (TAT) = WTT + BT

$$P_1 = 5 + 8 = 13$$

$$P_2 = 1 + 4 = 5$$

$$P_3 = 0 + 1 = 1$$

$$\therefore \text{Avg TAT} = \frac{13+5+1}{3}$$

$$= 6.33 \text{ ms}$$

#### 4) Priority Scheduling

A priority is associated with each process and the CPU is allocated to the process with highest priority. Equal-priority processes are scheduled in FCFS order. It can be both pre-emptive and non-preemptive.

Example:

Process      Burst-Time      Priority

P <sub>1</sub>	10	3
----------------	----	---

P <sub>2</sub>	1	1
----------------	---	---

P <sub>3</sub>	2	4
----------------	---	---

P <sub>4</sub>	1	5
----------------	---	---

P <sub>5</sub>	5	2
----------------	---	---

Let us assume 1 as highest priority & so on:

Priority (Non-preemptive)

Gantt Chart

P <sub>2</sub>	P <sub>5</sub>	P <sub>1</sub>	P <sub>3</sub>	P <sub>4</sub>
0	1	6	16	18 19

W-T

$$P_1 = 6 - 0 = 6$$

$$P_2 = 0 - 0 = 0$$

$$P_3 = 16 - 0 = 16$$

$$P_4 = 18 - 0 = 18$$

$$P_5 = 1 - 0 = 1$$

$$\text{Avg W.T} = \frac{6+0+16+18+1}{5}$$

$$= 8.2$$

TAT

$$P_1 = 6 + 10 = 16$$

$$P_2 = 0 + 1 = 1$$

$$P_3 = 16 + 2 = 18$$

$$P_4 = 18 + 1 = 19$$

$$P_5 = 14 + 5 = 6$$

$$\text{Avg TAT} = \frac{16+1+18+19+6}{5}$$

$$= 12$$

Ques 2

Process	Burst Time	Priority	Arrival Time
P <sub>1</sub>	10	3	0
P <sub>2</sub>	1	1	1
P <sub>3</sub>	2	4	2
P <sub>4</sub>	1	5	3
P <sub>5</sub>	5	2	4

Priority (non-preemptive):

Gantt Chart:

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>1</sub>	P <sub>3</sub>	P <sub>4</sub>	
0	1	2	3	4	9	16	18	19
At 1 Priority	At 3 Priority							
P <sub>1</sub> 3	10	9	P <sub>2</sub> 3	..	8			
P <sub>2</sub> 1	1	1	P <sub>3</sub> 4	..	2			
			P <sub>4</sub> 5	..	1			
At 2 Priority	At 4 Priority							
P <sub>1</sub> 3	..		P <sub>2</sub> 3	..	3			
P <sub>2</sub> 4	..		P <sub>3</sub> 4	..	2	3		
			P <sub>4</sub> 5	..	1			

W.T

$$P_1 = (0-0) + (2-1) + (9-4) = 0 + 1 + 5 = 6 \text{ ms}$$

$$P_2 = (1-1) = 0 \text{ ms}$$

$$P_3 = 16 - 2 = 14 \text{ ms}$$

$$P_4 = 18 - 3 = 15 \text{ ms}$$

$$P_5 = 4 - 4 = 0 \text{ ms}$$

$$\text{Avg W.T} = \frac{6+0+14+15+0}{5}$$

$$= 7 \text{ ms}$$

TAT (WT + BT)

$$P_1 = 6 + 10 = 16$$

$$P_2 = 0 + 1 = 1$$

$$P_3 = 14 + 2 = 16$$

$$P_4 = 15 + 1 = 16$$

$$P_5 = 0 + 5 = 5$$

$$\text{Avg TAT} = \frac{16+1+16+16+5}{5}$$

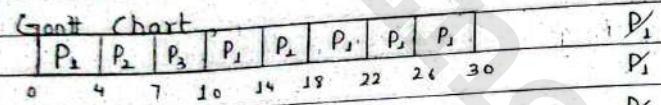
$$= 10.8 \text{ ms}$$

### 5) Round-Robin Scheduling (RR)

The RR Scheduling algorithm is designed especially for time-sharing systems. It is similar to FCFS scheduling but pre-emption is added to the switch between processes. A small unit of time is called a time quantum or time slice. It is defined. The ready queue is treated as a circular queue. The CPU scheduler goes around the ready queue, also called allocating the CPU to each process for a time quantum.

interval of upto 1 time quantum.

Eg	Process	Burst Time	Time quantum = 4ms	
			RQ	
	P <sub>1</sub>	24	P <sub>1</sub>	
	P <sub>2</sub>	3	P <sub>2</sub>	
	P <sub>3</sub>	3	P <sub>3</sub>	



Waiting Time,

$$P_1 = (0-0) + (10-4) = 6 \text{ ms}$$

$$P_2 = 4-0 = 4 \text{ ms}$$

$$P_3 = 7-0 = 7 \text{ ms}$$

$$\therefore \text{Avg WT} = \frac{6+4+7}{3}$$

$$= 5.66 \text{ ms}$$

TAT = B.T + W.T

$$P_1 = 6+24 = 30 \text{ ms}$$

$$P_2 = 4+3 = 7 \text{ ms}$$

$$P_3 = 7+3 = 10 \text{ ms}$$

$$\therefore \text{Avg TAT} = \frac{30+7+10}{3}$$

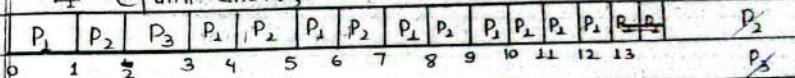
$$= 15.66 \text{ ms}$$

Example: Process      Arrival Time      Burst Time      Time Quantum = 4ms

Process	Arrival Time	Burst Time	Time Quantum = 4ms
P <sub>1</sub>	0	8	
P <sub>2</sub>	0.4	4	
P <sub>3</sub>	1	1	

Ready Queue

P<sub>1</sub> Gantt Chart,



Waiting Time,

$$P_1 = (0-0) + (13-1) + (5-4) + (7-6) + (9-8) \\ = 5 \text{ ms}$$

$$P_2 = (1-0.4) + (4-2) + (6-5) + (8-7) \\ = 4.6 \text{ ms}$$

$$P_3 = 2-1 \\ = 1 \text{ ms}$$

$$\therefore \text{Avg W.T} = \frac{5+4.6+1}{3} \\ = 3.53 \text{ ms}$$

TAT = B.T + W.T

$$P_1 = 8+5 = 13 \text{ ms}$$

$$P_2 = 4+4.6 = 8.6 \text{ ms}$$

$$P_3 = 1+1 = 2 \text{ ms}$$

$$\therefore \text{Avg TAT} = \frac{13+8.6+2}{3} \\ = 7.86 \text{ ms}$$

6) Highest-Response-Ratio-Next (HRN / HRRN)

It is non-preemptive in nature. With this scheme we calculate response time and the process with highest response time is scheduled first.

$$\text{Response Time} = \frac{\text{Waiting Time} + \text{Burst Time}}{\text{Burst Time}}$$

Example:

Process	Arrival Time	Service Time (Burst Time)
A	0	3
B	2	6
C	4	4
D	6	5
E	8	2

Gantt Chart,

A	B	C	E	D
0	3	9	13	15

At 9,

B

At 9,

C;  $R_T(C) =$

D

E

$$R_T(C) = (9-4) + 4$$

4

$$(9-A.T) + B.T$$

B.T

$$\therefore R_T(C) = 2.25$$

$$R_T(D) = (9-6) + 5$$

5

$$\therefore R_T(D) = 1.6$$

$$R_T(E) = (9-8) + 2$$

2

$$\therefore R_T(E) = 1.5$$

Since  $R_T(E)$  is highest, we run C at 9

At 13, we have D and E

$$R_T(D) = (13-6) + 5$$

5

$$\therefore R_T(D) = 2.21$$

$$R_T(E) = (13-8) + 2$$

2

$$\therefore R_T(E) = 3.5$$

Since  $R_T(E)$  is highest, we run E at 13.

At 15,

$$R_T(E) = (15-8) \rightarrow \text{At 15, we run D}$$

Waiting Time:

$$A = 0-0 = 0 \text{ ms}$$

$$B = 3-2 = 1 \text{ ms}$$

$$C = 9-4 = 5 \text{ ms}$$

$$D = 15-6 = 9 \text{ ms}$$

$$E = 13-8 = 5 \text{ ms}$$

$$\text{Avg W.T} = \frac{0+1+5+9+5}{5} = 4 \text{ ms}$$

TAT: W.T + B.T

$$A = 3+0 = 3$$

$$B = 6+1 = 7$$

$$C = 4+5 = 9$$

$$D = 5+9 = 14$$

$$E = 2+5 = 7$$

$$\text{Avg TAT} = \frac{3+7+9+14+7}{5} = 8 \text{ ms}$$

Qn By Round Robin find WT & TAT.

Process      ArrivalTime      ServiceTime(BT)

A	0	3
B	2	6
C	4	4
D	6	5
E	8	2

; Time  
Quantum = 2ms

Soln.

Gantt Chart

A	B	A	C	B	D	C	E	B	D	D
0	2	4	7	9	11	13	15	17	19	20

PQ

A

B

A

C

B

D

C

E

B

D

Waiting Time:

$$A = 10 - 0 + 14 - 2 = 2$$

$$B = (2 - 2) + (7 - 4) + (15 - 9) = 9$$

$$(C - 5 - 4) + (11 - 7) + (15 - 9) = 5$$

$$D = (9 - 6) + (17 - 11) = 9$$

$$E = 18 - 8 = 5$$

$$\text{Avg W.T.} = \frac{2+9+5+9+5}{5}$$

$$= 6 \text{ ms}$$

$$\text{TAT} = \text{W.T.} + \text{B.T.}$$

$$A = 3 + 2 = 5$$

$$B = 6 + 9 = 15$$

$$C = 4 + 5 = 9$$

$$D = 5 + 9 = 14$$

$$E = 2 + 5 = 7$$

$$\text{Avg TAT} = \frac{5+15+9+14+7}{5}$$

$$= 10 \text{ ms}$$

7) Multilevel Feedback Queue

Q Consider a multilevel feedback queue scheduling (MLFBQ) with three queues  $q_1, q_2$ , and  $q_3$ .  $q_1$  and  $q_2$  use round-robin algorithm with time quantum ( $T_Q$ ) = 5 and 4 respectively.  $q_3$  uses FCFS algorithm. Find the average waiting time and average TAT for executing the process.

Process	$P_1$	$P_2$	$P_3$	$P_4$
Burst Time	8	22	4	12

Soln;



$$\text{CPU utilization} = \frac{\text{Total B.T}}{\text{Total S.T}} \times 100; \text{Throughput} = \frac{\text{Total no. of processes}}{\text{Total S.T}}$$

Gantt Chart (MLFBOP)

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>4</sub>
0	5	10	14	19	22	26	30	43

Waiting-Time

$$P_1 = (0-0) + (19-5) = 14$$

$$P_2 = (5-0) + (22-10) + (30-26) = 21$$

$$P_3 = (10-0) = 10$$

$$P_4 = (14-0) + (26-19) + (43-30) = 34$$

$$\therefore \text{Avg W.T} = \frac{14+21+10+34}{4}$$

$$= 19.75 \text{ ms}$$

$$\text{TAT} = 14+8=22 \text{ (Total Time)}$$

$$P_1 = 14+8=22=6$$

$$P_2 = 21+7=28=4$$

$$P_3 = 10+4=14$$

$$P_4 = 34+12=46$$

$$\therefore \text{Avg TAT} = \frac{22+43+14+46}{4}$$

$$= 31.25 \text{ ms}$$

Or find Avg-WT & Avg-TAT using SJF (Preemptive)

Process	A.T	W.T.
A	0	3
B	2	6
C	4	4
D	6	5
E	8	2

Soln: Gantt Chart

A	A	B	C	C	E	B	D
0	2	3	4	6	8	10	15

At 2	B.T	At 3	B.T
A	3-2-1	3	
B	6		

At 4	B.T	At 6	B.T
B	6-1-5	B	5
C	4	C	4-2-2
		D	5

At 8	B.T
B	5
D	5
E	2

W.T

$$A = (0-0) + ($$

$$B =$$

$$C =$$

$$D =$$

$$E =$$

## 2.4 Process Synchronization

### # Background

# Producer and Consumer Problem  
A producer process produces information that is consumed by a consumer process. For example,

Program

Two-Tasks Solution

Peterson's Algorithm

Two process solution. It is much simpler way to achieve mutual exclusion.

```
#define FALSE 0
```

```
#define TRUE 1
```

```
#define N 2 /* no. of processes */
```

```
int turn; /* whose turn is it? */  
int interested[N]; /* all values initially 0 i.e False */  
void enter_region(int process) /* process is 0 or 1 */
```

```
{  
    int other; /* no. of other process */
```

```
    other = 1 - process; /* opposite of process */
```

```
    interested[process] = TRUE; /* show that you are interested */
```

```
    turn = process; /* set flag */
```

```
    while (turn == process && interested[other] == TRUE)  
        ; /* null statement */
```

```
void leave_region(int process) /* process who is leaving */
```

```
{  
    interested[process] = FALSE;
```

Page: / /  
Date: / /

\* buffer : storing device

- unbounded buffer: physical size is undefined

- bounded buffer: physical size is defined

## 2.5 Deadlocks

### # Deadlock Avoidance

Eg: Consider the following snapshot of the system.

Allocation : Max Available

Process	A	B	C	D	A	B	C	D
P <sub>0</sub>	0	0	1	2	0	0	1	2
P <sub>1</sub>	1	0	0	0	1	7	5	0
P <sub>2</sub>	1	3	5	4	2	3	5	6
P <sub>3</sub>	0	6	3	2	0	6	5	2
P <sub>4</sub>	0	0	1	4	0	6	5	6

Answer the following using Banker's Algorithm

1. What is the content of the matrix need?

2. Is the system in safe state? Also find the safe sequence.

3. If the request from process P<sub>1</sub> arrives for (0, 4, 2, 0). Can the request be granted immediately?

Answer:

1. Need Matrix (Max - Allocation)

	A	B	C	D
P <sub>0</sub>	0	0	0	0
P <sub>1</sub>	0	7	5	0
P <sub>2</sub>	1	0	0	2
P <sub>3</sub>	0	0	2	0
P <sub>4</sub>	0	6	4	2

2. Available  $\geq$  Need

Since available  $(1, 5, 2, 0) \geq$  need of  $P_0$  &  $P_3$

So we can run either  $P_0$  or  $P_3$ .

Run  $P_3$ . After completion it releases its allocated resource.

Run  $P_3$ .

$$\begin{aligned}\text{New Available} &= \text{Available} + \text{Allocation of } P_3 \\ &= (1, 5, 2, 0) + (0, 6, 3, 2) \\ &= (1, 11, 5, 2)\end{aligned}$$

Since New Available  $(1, 11, 5, 2) \geq$  need of all remaining processes. So we can run any process in any order.

Run  $P_0$ :

After completion it releases its allocated resources.

$$\begin{aligned}\therefore \text{New Available} &= (1, 11, 5, 2) + (\text{Allocation of } P_0) \\ &= (1, 11, 5, 2) + (0, 0, 1, 2) \\ &= (1, 11, 6, 4)\end{aligned}$$

Run  $P_1$ :

$$\begin{aligned}\text{New Available} &= (1, 11, 6, 4) + (\text{Allocation of } P_1) \\ &= (1, 11, 6, 4) + (1, 0, 0, 0) \\ &= (2, 11, 6, 4)\end{aligned}$$

Run  $P_2$ :

$$\begin{aligned}\text{New Available} &= (2, 11, 6, 4) + (\text{Allocation of } P_2) \\ &= (2, 11, 6, 4) + (1, 3, 5, 4) \\ &= (3, 14, 11, 8)\end{aligned}$$

Run  $P_4$ :

$$\begin{aligned}\text{New Available} &= (3, 14, 11, 8) + (\text{Allocation of } P_4) \\ &= (3, 14, 11, 8) + (0, 0, 1, 4) \\ &= (3, 14, 12, 12)\end{aligned}$$

Since all processes are completed, so the system is in safe state. And one of the safe sequence is  $P_3, P_0, P_1, P_2, P_4$ .

3. Available  $\geq$  request

Since available  $(1, 5, 2, 0) \geq$  request  $(0, 4, 2, 0)$

So, initially we can grant the request.  
After granting request:

$$\text{New Available} = (\text{Available}) - (\text{Request})$$

$$\begin{aligned}&= (1, 5, 2, 0) - (0, 4, 2, 0) \\ &= (1, 1, 0, 0)\end{aligned}$$

And, allocation of  $P_1$  now becomes  $(1, 0, 0, 0)$

$$\begin{aligned}&= (1, 0, 0, 0) + (0, 4, 2, 0) \\ &= (1, 4, 2, 0)\end{aligned}$$

And need of  $P_1 = (0, 3, 3, 0)$

Now

Available  $(1, 1, 0, 0) \geq$  Need of  $P_0 (0, 0, 0, 0)$

So, we run  $P_0$ . After completion it releases its allocated resources.

$$\begin{aligned}\text{New Available} &= (\text{Available}) + (\text{Allocation of } P_0) \\ &= (1, 1, 0, 0) + (0, 0, 1, 2) \\ &= (1, 1, 1, 2)\end{aligned}$$

Since, Available  $(1, 1, 1, 2) \geq$  Need of  $P_2$

Run  $P_2$ :

$$\begin{aligned}\text{New Available} &= (1, 1, 1, 2) + (\text{Allocation of } P_2) \\ &= (1, 1, 1, 2) + (1, 3, 5, 4) \\ &= (2, 4, 6, 6)\end{aligned}$$

Since, Available  $(2, 4, 6, 6) \geq$  need of  $P_1 \& P_3$ .  
We can run either  $P_1$  and  $P_3$ .

Run  $P_3$ :

$$\begin{aligned}\text{New Available} &= (2, 4, 6, 6) + (\text{Allocation of } P_3) \\ &= (2, 4, 6, 6) + (0, 6, 3, 2) \\ &= (2, 10, 9, 8)\end{aligned}$$

Since, Available  $(2, 10, 9, 8) \geq$  need of  $P_1 \& P_4$ .  
So we can run any process.

Run  $P_1$ :

$$\begin{aligned}\text{New Available} &= (2, 10, 9, 8) + (\text{Allocation of } P_1) \\ &= (2, 10, 9, 8) + (1, 4, 2, 0) \\ &= (3, 14, 11, 8)\end{aligned}$$

Run  $P_4$ :

$$\begin{aligned}\text{New Available} &= (3, 14, 11, 8) + (\text{Allocation of } P_4) \\ &= (3, 14, 11, 8) + (0, 0, 1, 4) \\ &= (3, 14, 12, 12)\end{aligned}$$

After granting the request for  $P_1$ , the system is still in safe state. So, we can grant the request for  $P_1$  immediately. And one of the safe sequence after granting request is  $P_0, P_2, P_3, P_1, P_4$ .

### # Deadlock Modeling Using Resource Allocation Graph:

↳ Can be modeled using direct graphs.

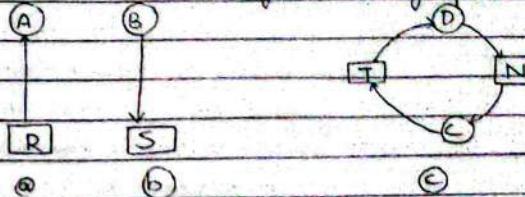


Fig 1: Resource allocation graphs

Ⓐ : Holding a Resource

Ⓑ : Requesting a Resource

Ⓒ : Deadlock

Now, let us see how resource graphs can be used. We have 3 processes A, B & C and 3 resources R, S & T. The requests & releases of three processes are given in fig 1 Ⓐ - Ⓒ. The operating system is free to run any unblocked process at any instant, so it could decide to run A until A finishes all its work. Then run B to completion and finally run C. This ordering does not lead to any deadlocks but it also had no parallelism at all.

A	B	C
Request R	Request S	Request T
Request S	Request T	Request R
Release R	Release S	Release T
Release S	Release T	Release R

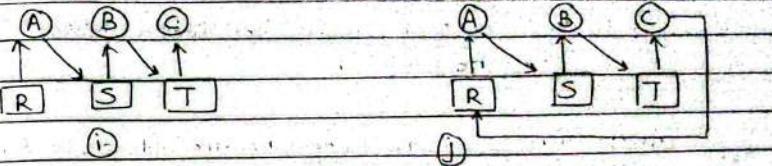
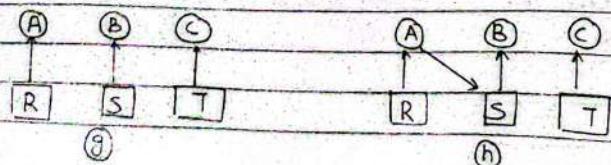
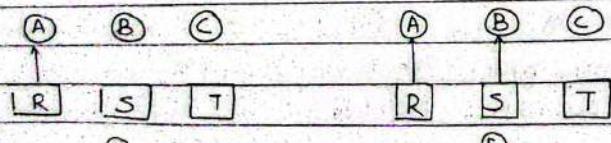
Fig 2: Ⓐ Ⓑ Ⓒ

Let's suppose, that the process does both I/O and computing. The resource requests might occur in order of fig 3. (d) If these six requests are carried out request 4 has been made in that order. The six resulting resource graphs are shown in fig 3. (e)-(j). After next two steps B and C also block. After request 4 has been made, A blocks waiting for S, as shown in fig 3 (h). In the next two steps B and C also block, ultimately leading to a cycle and the deadlock of fig 3 (j). From this point on, the system is frozen.

1. A: request R
2. B request S T
3. C request T
4. A request S
5. B request T
6. C request R

Deadlock

Fig 3: (d)



In figure (g), If OS knew about the impending deadlock, it could suspend B instead of granting it S. By running A & C, we would get the requests and release fig (h) k, instead of fig 2 (d). This sequence leads to the resource graph of fig 1 (l)-(q), which doesn't lead to deadlock.

1. A request R
2. C request T
3. A request S
4. C request R
5. A releases R
6. A releases S

No deadlock

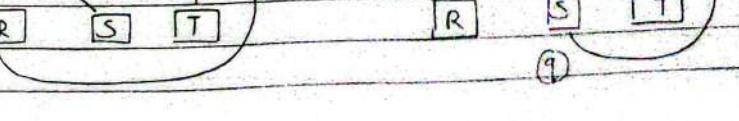
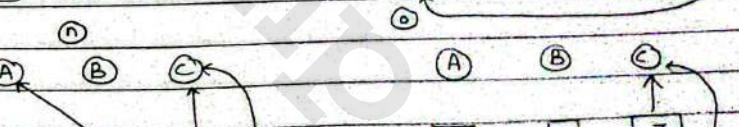
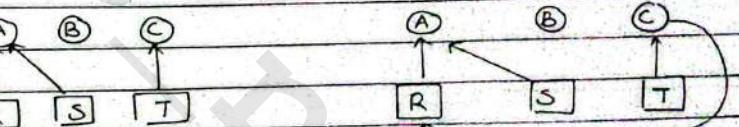
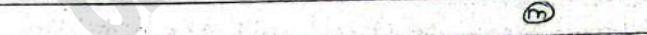


Fig 3: An example of how deadlock occurs and how it can be avoided.

After step (g), process can be granted S because A is finished and C has everything it needs. Even if B should eventually block when requesting T<sub>1</sub>, no deadlock can occur. B will just wait until C is finished.

#### # Recovery from Deadlock:

→ Process Termination

- Abort all deadlock processes.
- Abort one process at a time until the deadlock cycle is eliminated.
- In which order should we choose to abort?
  - priority of the process
  - How long process has completed, and how much longer to complete
  - Resources the process has used.
  - Resources process needs to complete.
  - How many processes will need to be terminated.

→ Resource Preemption:

Assignment 1

g Process ID      AT(ms)      CPU BT(ms)

A	0	6
B	5	5
C	6	7
D	8	4
E	12	3

STRF:

A	A	B	B	D	D	E	C
0	5	6	8	11	12	15	18

At 5, BT	At 6 BT
A $6-5=1$	B    5
B    5	C    7

At 8 BT	At 11 BT
B $5-2=3$	C    7
C    7	D    4
D    4	

At 12 BT

C    7
D $4-1=3$
E    3

Since BT of D and E are same, apply FCFS to break the tie. We run D.

RR (Time Slice = 3 ms);

A	A	B	C	D	B	E	C	D	C
0	3	6	9	12	15	17	20	23	25

RCP WT (Start - Arrival)

$$A = 0 - 0 = 0$$

$$B = (6-5) + (15-9) = 1+6 = 7 \text{ ms}$$

$$C = (9-6) + (20-12) + (24-23) = 12 \text{ ms}$$

$$D = (12-8) + (23-15) = 12 \text{ ms}$$

$$E = (17-12) = 5 \text{ ms}$$

C

D

$$\text{Avg W.T} = 35$$

$$5 = 7 \text{ ms}$$

TAT = WT + BT

$$A = 0 + 6 = 6 \text{ ms}$$

$$B = 7 + 5 = 12 \text{ ms}$$

$$C = 12 + 7 = 19 \text{ ms}$$

$$D = 12 + 4 = 16 \text{ ms}$$

$$E = 5 + 3 = 8 \text{ ms}$$

$$\text{Avg TAT} = 61$$

5

$$= 12.2 \text{ ms}$$

## Chapter 3: Memory Management

### # Placement Strategies

- \* First Fit
- \* Best Fit
- \* Worst Fit
- \* Next Fit

Example: Given five memory partitions of 100KB, 500KB, 200KB, 300KB and 600KB (in order). How would each of the first-fit, best-fit, worst-fit and next-fit algorithms place processes of 212 KB, 417 KB, 112 KB & 426 KB (in order)? Which algorithm makes the most efficient use of memory?

Soln;

Given memory partitions: 100KB, 500KB, 200KB, 300KB, 600KB  
 Process Size: 212KB, 417KB, 112KB, 426KB

### \* First-fit:

• 212KB is placed in 300KB

$$\text{Remaining Size} = 600 - 212 \\ = 388 \text{ KB}$$

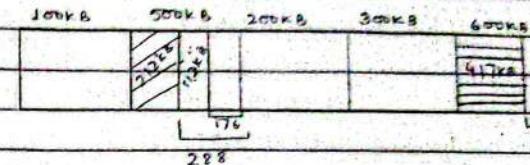
• 417KB is placed in 600KB

$$\text{Remaining Size} = 600 - 417 \\ = 183 \text{ KB}$$

• 112KB is placed in 200KB

$$\text{Remaining Size} = 200 - 112 \\ = 88 \text{ KB}$$

• 426KB must wait



### \* Best-Fit:

• 212KB is placed in 300KB

$$\text{Remaining Size} = 300 - 212 = 88 \text{ KB}$$

• 417KB is placed in 500KB

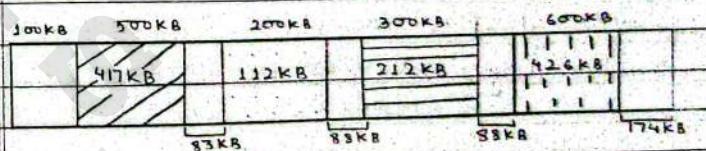
$$\text{Remaining Size} = 500 - 417 \\ = 83 \text{ KB}$$

• 112KB is placed in 200KB

$$\text{Remaining Size} = 200 - 112 \\ = 88 \text{ KB}$$

• 426KB is placed in 600KB

$$\text{Remaining Size} = 600 - 426 \\ = 174 \text{ KB}$$



\* Worst-Fit

• 212 KB is placed in 600 KB

$$\begin{aligned}\text{Remaining Size} &= 600 - 212 \\ &= 388 \text{ KB}\end{aligned}$$

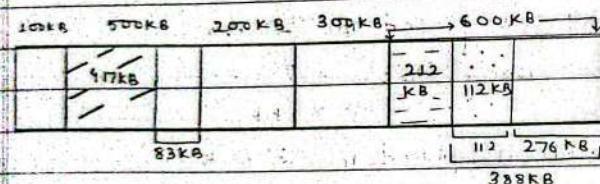
• 417 KB is placed in 500 KB

$$\begin{aligned}\text{Remaining Size} &= 500 - 417 \\ &= 83 \text{ KB}\end{aligned}$$

• 112 KB is placed in 388 KB

$$\begin{aligned}\text{Remaining Size} &= 388 - 112 \\ &= 276 \text{ KB}\end{aligned}$$

• 426 KB must wait.



\* Next-Fit : {Fastest Search}

• 212 KB is placed in 500 KB

$$\begin{aligned}\text{Remaining Size} &= 500 - 212 \\ &= 288 \text{ KB}\end{aligned}$$

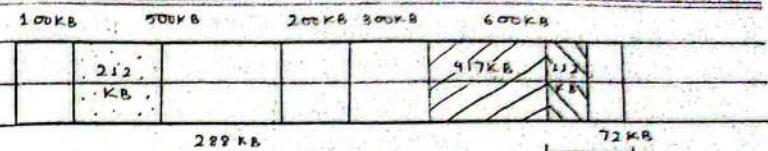
• 417 KB is placed in 600 KB

$$\begin{aligned}\text{Remaining Size} &= 600 - 417 \\ &= 183 \text{ KB}\end{aligned}$$

• 112 KB is placed in 183 KB

$$\begin{aligned}\text{Remaining Size} &= 183 - 112 \\ &= 71 \text{ KB}\end{aligned}$$

• 426 KB must wait.



Best-fit is most efficient because all processes were allocated.

2017 Fall

Q@ Given six memory partitions of 300KB, 600KB, 350KB, 200KB, 750KB and 125KB (in order). How would the first-fit, best-fit and worst-fit algorithms place processes of size 115KB, 500KB, 358KB, 200KB and 375KB (in order)? Rank the algorithms in terms of how efficiently they use memory.

Soln:

Given memory partitions: 300KB, 600KB, 350KB, 200KB, 750KB, 125KB

Process Size: 115KB, 500KB, 358KB, 200KB, 375KB

\* First-fit:

• 115 KB is placed in 300 KB

$$\begin{aligned}\text{Remaining Size} &= 300 - 115 \\ &= 185 \text{ KB}\end{aligned}$$

• 500 KB is placed in 600 KB

$$\begin{aligned}\text{Remaining Size} &= 600 - 500 \\ &= 100 \text{ KB}\end{aligned}$$

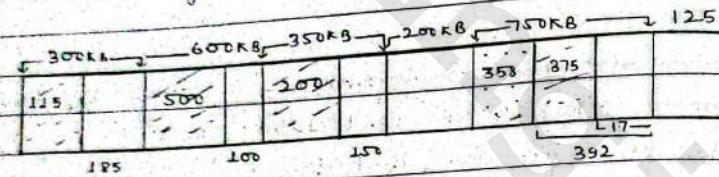
• 358 KB is placed in 750 KB

$$\begin{aligned}\text{Remaining Size} &= 750 - 358 \\ &= 392 \text{ KB}\end{aligned}$$

• 200KB is placed in 392 KB  
Remaining Size =  $392 - 200$

$$= 192 \text{ KB}$$

• 375KB is placed in 392 KB  
Remaining Size = 17 KB



#### • Best-Fit

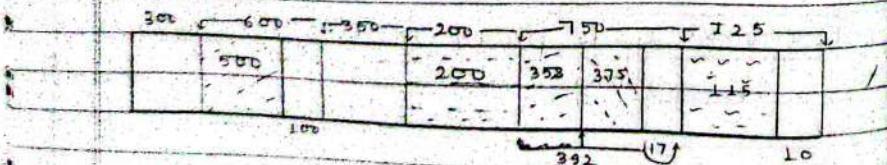
• 115KB is placed in 125KB  
Remaining Size =  $125 - 115$   
= 10 KB

• 500KB is placed in 600KB  
Remaining Size =  $600 - 500$   
= 100 KB

• 358KB is placed in 750KB  
Remaining Size =  $750 - 358$   
= 392 KB

• 200KB is placed in 200KB

• 375KB is placed in 392KB  
Remaining Size =  $392 - 375$   
= 17 KB



#### • Worst-Fit

• 115KB is placed in 750KB

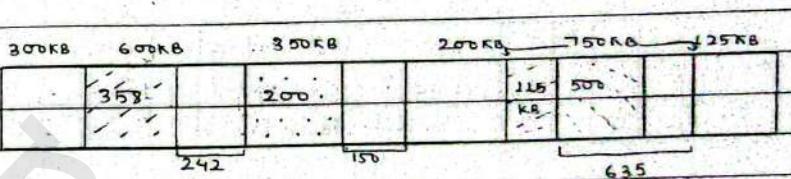
Remaining Size =  $750 - 115$   
= 635 KB

• 500KB is placed in 635 KB  
Remaining Size =  $635 - 500$   
= 135 KB

• 358KB is placed in 600 KB  
Remaining Size =  $600 - 358$   
= 242 KB

• 200KB is placed in 350 KB  
Remaining Size =  $350 - 200$   
= 150 KB

• 375 KB must wait



∴ The ranking in terms of efficiency is First-Fit, Best-Fit and Worst-Fit.

Page:  
Date: / /

In A.

Page Fault total hit = 5  
# Page Replacement Algorithm total miss = 15

Q Reference String

7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 7, 0, 1

→ Here,

Page replacement algorithm

FIFO (First In, First Out)

A. No. of frame = 3

7	0	1	2	0	3	0	4
7	7	7	2	No.	2	2	4
0	0	0	0	page	3	3	3
1	1	1	1	fault	1	0	0

2	3	0	3	2	1	2
4	4	0	No	No	0	0
2	2	2	page	page	1	1
0	3	3	fault	fault	3	2

0	1	7	0	1
No.	No.	7	7	7
Page	Page	1	0	0

Total number of page fault = 15

Fault Ratio = Total no. of page fault

Total no. of reference string

15

20

= 0.75

hit ratio = total no. of hit  
total ref string =  $\frac{5}{20} =$

42

B. → No. of frame = 4

7	0	1	2	0	3	0	4	2
7	7	7	7	No	3	No	3	No
0	0	0	0	page	0	page	4	page
		1	1	fault	1	fault	1	fault
		2			2		2	

3	0	3	2	1	2	0	1
No	03	No	No	3	2	No	No
page	34	page	page	4	4	page	page
fault	0	fault	Fault	0	0	fault	Fault
	2			1	1		

7	0	1
3	No	No
7	page	page
0	fault	fault
1		

Total number of page fault = 10

Fault Ratio = Total no. of page fault

Total no. of reference string

10

20

= 0.5

### FIFO Anomalies:

(Generally, if we increase no. of frame, the page fault decreases but in some rare cases the page fault increases)

### # FIFO Anomalies (Belady's Anomalies)

- Certain page reference pattern increases no. of page fault if no. of page size is increased.

### Qn. Reference String:

A B C D A B E A B C D E ..

→ Frame Size = 3

A	B	C	D	A	B	E	A	B
A	A	A	D	D	D	E	No	No
B	B	B	A	A	A		page	page
C	C	C	C	B	B		fault	fault
✓	✓	✓	✓	✓	✓	V	X	X

C	D	E
E	E	no
C	C	page
B	D	fault

Total no. of page fault = 9  
Fault Ratio =  $\frac{9}{12}$

= 0.75

→ Frame Size = 4

A	B	C	D	A	B	E	A
A	A	A	A	No	No	E	E
B	B	B	B	page	page	B	A
C	C	C	C	fault	Fault	C	C
			D			D	D
B	C	D	E				
E	E	D	D				
A	A	A	E				
B	B	B	B				
D	C	C	C				

Total no. of page fault = 10

$$\text{Fault Ratio} = \frac{10}{12} = 0.83$$

2 Least Recently Used (LRU)  
Check left side

Reference String:

7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, L,  
2, 0, 1, 7, 0, 1

	7	0	1	2	0	3	0	4
7	7	7	2	No	2	No	4	
0	0	0	page	0	page	0		
1	1	L	fault	3	fault	3		
2	3	0	3	2	1	2		
4	4	0	No	No	1	No		
0	3	3	page	page	3	page		
2	2	2	fault	fault	2	fault		
0	1	7	0	1				
1	No	1	No	No				
0	page	0	page	page				
2	fault	7	fault	fault				

∴ Total No. of page fault = 12

Fault Ratio =  $\frac{12}{20} = 0.6$

#### # Page Replacement Algorithm (Contd..)

3 Optimal Page Replacement : Check right side

Eg: Reference String : 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0  
3, 2, 1, 2, 0, 7, 0, 1

Frame Size = 3 Initially all frame are empty.

	7	0	1	2	0	3	0	4	2	3
7	7	7	2	No	2	No	2	No	No	No
0	0	0	0	page	0	page	4	page		
1	1	1	1	fault	3	fault	3	fault	3	fault

	0	3	2	1	2	0	1	7	0	1
2	No	No	2	No	No	No	7	No	No	
0	page	page	0	page	page	page	0	page	page	
3	fault	fault	1	fault	fault	fault	1	fault	fault	

Total no. of page fault = 9

$$\therefore \text{Fault rate/ratio} = \frac{9}{20} = 0.45$$

#### 4 Most Frequently Used (MFU):

Eg: 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 7, 0

	7	0	1	2	0	3	0	7	2
7	7	7	2	No	2	2	0	1	1
0	0	0	0	page	3	3	1	2	3
1	1	1	1	fault	1	0	2	2	4
3	2	2	3		3	2	3	1	

	4	2	3	0	3	2	1	2	0	1
2	No	No	0	No	2	2	No	0	No	
3	Page	Page	3	page	3	1	page	1	page	
4	Fault	Fault	4	Fault	4	4	Fault	4	Fault	

7 0 1

7	7	7
1	0	1
4	4	4

Total no. of page = 14

Fault rate / ratio = 14

$$20 = 0.7$$

### 5. Least Frequently Used (LFU)

Eg: 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 7, 0, 1

7 0 1 2 0 3 0 4 R.S. Counter.

7	7	7	2	No	2	No	4	7	x 2
0	0	0	Page	0	Page	0	0	x 2	8 4 5 6
1	1	1	Fault	3	Fault	3	1	x 2	3 4

2 x 2 3 4

2 3 0 3 2 1 2 0 3 x 2 3

4 3 No No No 3 3 No 4 1

0 0 Page Page Page 0 0 Page

2 2 Fault Fault Fault 1 2 Fault

1 7 0 1

Total no. of page fault = 13

∴ Fault rate / ratio = 13  
20 = 0.65

2019 Spring

Q@ How many page faults occur for the following reference strings for 3 page frame:

2, 3, 4, 5, 4, 2, 5, 3, 6, 3, 2, 3, 4, 5. Using MFU,

LRU and Optimal page replacement algorithm.

Soln: Frame Size = 3

R.S. Counter

Initially all frames are empty. 2 x 2 3

3 x 2 3 4

2 3 4 5 4 2 5 3 6 4 x 2 3

2 2 2 5 No 5 No No 6 5 x 2 3

3 3 3 page 3 page page 2 6 1

4 4 Fault 2 Fault 3

3 2 4 3 5 4 5

No No No 6 6

page page page 4 4

Fault Fault Fault 3 5

Total page fault = 9

Fault Ratio = 9

$$14 = 0.64$$

LRU;

2 3 4 5 4 2 5 3 6

2 2 2 5 No 5 No No 5

3 3 3 page 3 page page 3

4 4 Fault 2 Fault 6

3 2 3 4 5

No 5 5 5 No

page 2 2 2 page

Fault 6 3 4 Fault

Page: / /  
Date: / /

Total page fault = 9

$$\text{Fault / ratio} = \frac{9}{14} = 0.64$$

FIFO:

2	3	4	5	4	2	5	3	1	6	3
2	2	2	5	No	5	No	5	6	No	
3	3	3	page	2	page	2	2	page		
				4	fault	3	3			

2 3 4 5

No	No	6	6							
page	page	4	4							
fault	fault	3	5							

Total page fault = 9

$$\text{Fault / ratio} = 9/14 = 0.64$$

Optimal Page Replacement:

2	3	4	5	4	1	2	5	3	6
2	2	2	2	No	No	No	2	2	
3	3	5	page	page	page	3	3		

3 2 3 4 5

No	No	No	4	4						
page	page	page	3	5						
fault	fault	fault	6	6						

Total page fault = 8

$$\text{Fault / ratio} = \frac{8}{14} = 0.57$$

6 Second Chance Page Replacement Algorithm (SCA)

Eg 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 7, 0, 1

Frame Size = 3, Initially all frames are empty

R.B 7 0 1 2 0 3 0

0	7	0	7	0	2	0	2	0	2	0
	0	0	0	0	0	1	0	0	1	0

			0	1	0	1	0	1	0	3	0	3
- Page Fault			✓	✓	✓	✓	X	✓	✓	X		

4	2	3	0	3	2	1	2				
0	4	0	4	0	0	0	0	0	1	0	1

0	0	0	2	0	2	0	2	1	2	0	2	1	2
0	3	0	3	1	3	0	3	1	3	1	3	0	3

✓	✓	X	✓	✓	X	X	✓	✓	X		
---	---	---	---	---	---	---	---	---	---	--	--

0 1 7 0 1

0	1	1	0	1	0	1	1	1		
---	---	---	---	---	---	---	---	---	--	--

1	2	1	2	0	2	0	0	0		
---	---	---	---	---	---	---	---	---	--	--

0	0	0	0	0	0	0	7	0		
---	---	---	---	---	---	---	---	---	--	--

✓	X	✓	V	V	X					
---	---	---	---	---	---	--	--	--	--	--

Total Page fault = 12

$$\therefore \text{Fault Rate / ratio} = \frac{12}{20} = 0.6$$

Total no. of hit = 8

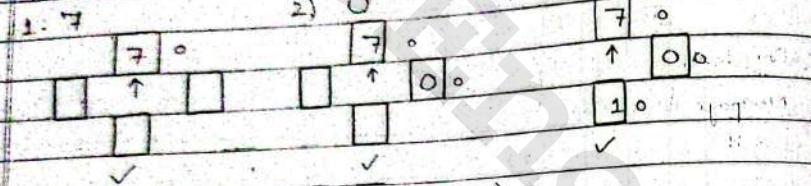
$$\therefore \text{Hit ratio} = \frac{8}{20} = 0.4$$

7) Clock Page Replacement

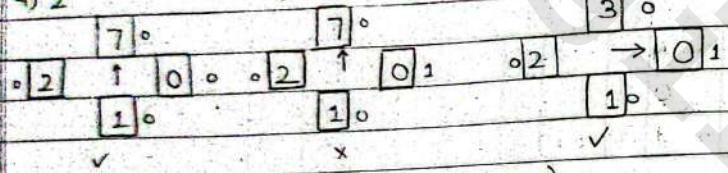
F<sub>j</sub>: 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1, 7, 0, 1

Frame Size = 4

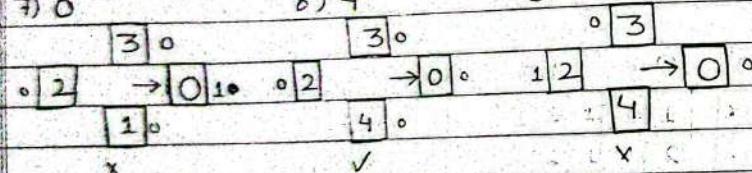
1) 7      2) 0      3) 1



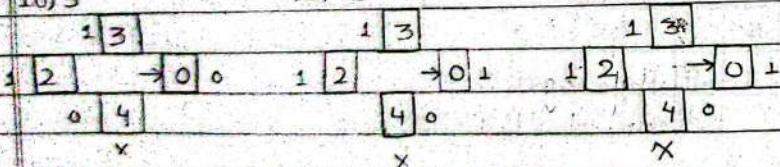
4) 2      5) 0      6) 3



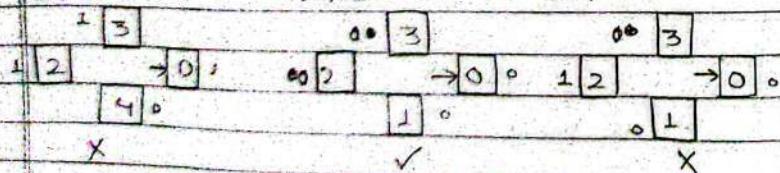
7) 0      8) 4      9) 2



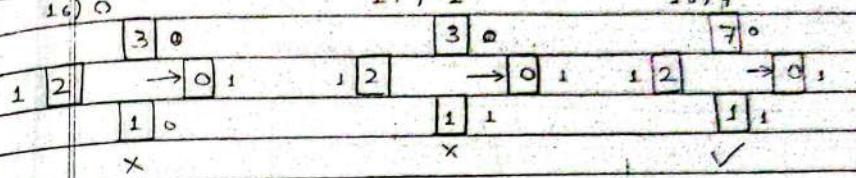
10) 3      11) 0      12) 3



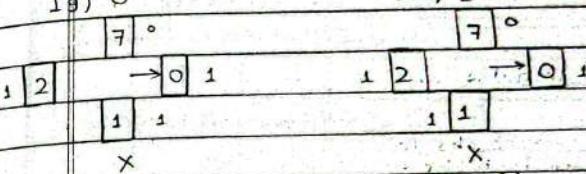
13) 2      14) 1      15) 2



16) 0      17) 1      18) 7



19) 0      20) 1

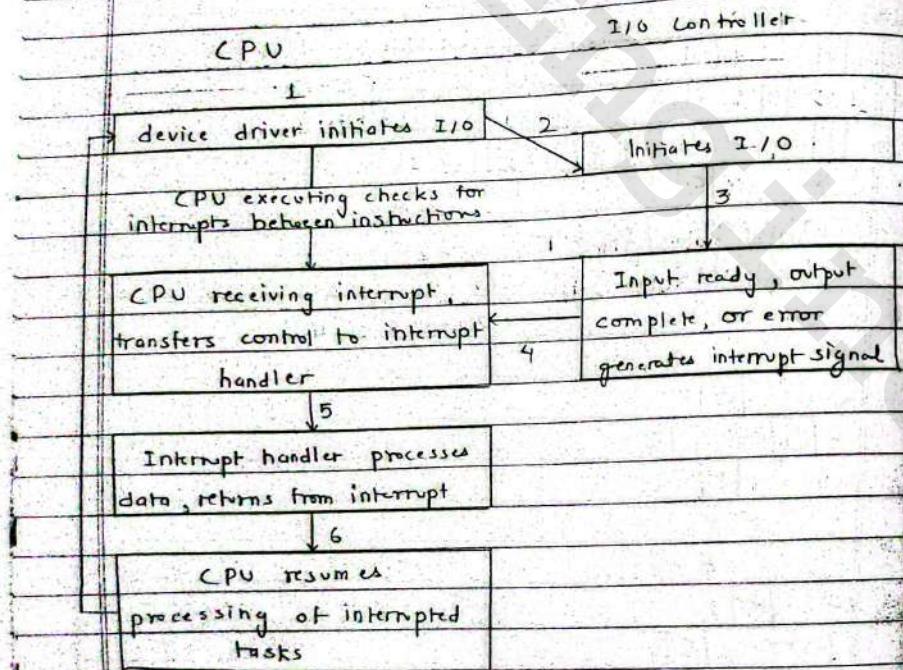


Total page fault = 8

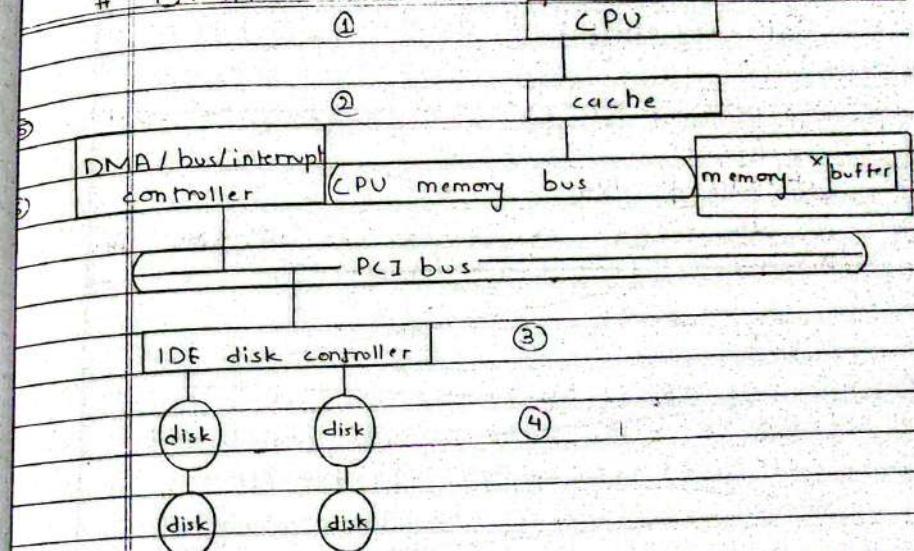
Fault ratio =  $\frac{8}{20} = 0.4$

## Chapter 4: Input / Output Management

### # Interrupt - Driven I/O Cycle



### # DMA (Direct Memory Access)



1. device driver is told to transfer disk data to buffer at address X.

2. device driver tells disk controller to transfer C bytes from disk to buffer at address X.

3. disk controller initiates DMA transfer.

4. disk controller sends each byte to DMA controller.

5. DMA controller transfers bytes to buffer X, increasing memory addressing and decreasing C unit  $C = 0$

6. When  $C = 0$ , DMA interrupt CPU to signal transfer completion

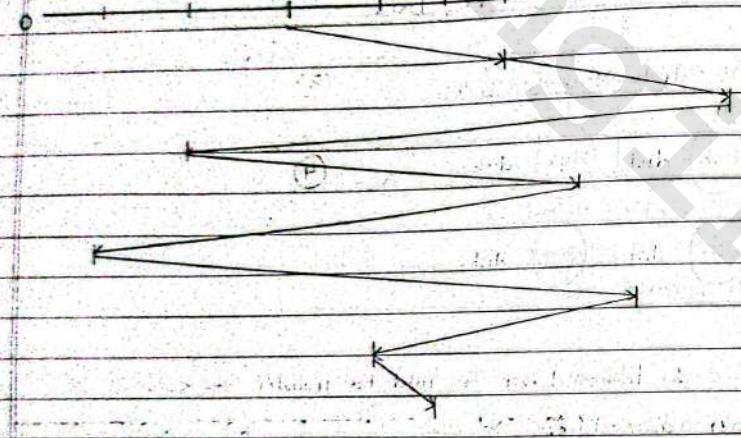
## \* Disk Scheduling Algorithm

### 1 FCFS

Required Queues: 98, 183, 37, 122, 14, 124, 65, 67

Header pointer: 53

14 37 53 65 67 98 122 124 183 189



Seek Order: 53, 98, 183, 37, 122, 14, 124, 65, 67

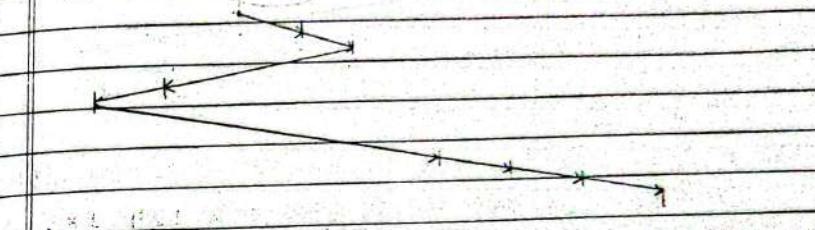
$$\begin{aligned} \text{Total Seek distance} &= |53-98| + |98-183| + |183-37| \\ &\quad + |37-122| + |122-14| + |14-124| + |124-67| \\ &= 640 \text{ cylinder.} \end{aligned}$$

14 →  
183 ↑  
37 ↑  
122 ↑  
14 ↑  
124 ↑  
65 ↑  
67 ↑

### 2 SSTF (Shortest Seek Time First)

Same qn:

0 14 37 53 65 67 98 122 124 183 189



Seek order: 53, 65, 67, 37, 14, 98, 122, 124, 183

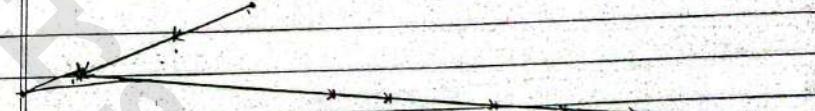
$$\begin{aligned} \text{Seek distance} &= |53-65| + |65-67| + |67-37| + |37-14| \\ &\quad + |14-98| + |98-122| + |122-124| + |124-183| \end{aligned}$$

∴ Cylinder moved = 236 cylinder.

### 3 SCAN (Elevator):

Same question:

0 14 37 53 65 67 98 122 124 183 189



Seek order: 53, 37, 14, 65, 67, 98, 122, 124, 183

$$\begin{aligned} \text{Total Seek distance} &= |53-37| + |37-14| + |14-65| \\ &\quad + |65-67| + |67-98| + |98-122| + |122-124| + |124-183| \\ &= 140 + 1065 \end{aligned}$$

|14-0| + |0-65|

upward-right ; outward-right  
downward-left ; inward-left

Date: / /

#### 4 C-SCAN

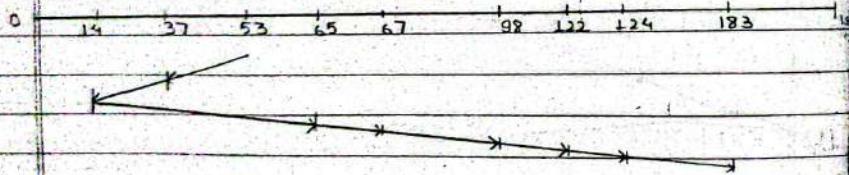


Seek-order: 53, 65, 67, 98, 122, 124, 183, 199, 0,  
14, 37

$$\text{Total Seek distance} = |153-65| + |65-67| + |67-98| + |98-122| + |122-124| + |124-183| + |183-199| + |199-0| + |0-14| + |14-37|$$

= 183 cylinder

#### 5 LOOK

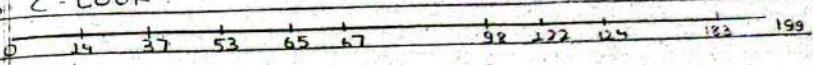


Seek-order: 53, 37, 14, 65, 67, 98, 122, 124, 183

$$\text{Total Seek Distance} = |153-37| + |37-14| + |14-65| + |65-67| + |67-98| + |98-122| + |122-124| + |124-183|$$

= 208 Cylinder

#### 6 C-LOOK



Seek-order: 53, 65, 67, 98, 122, 124, 183, 14, 37

$$\begin{aligned} \text{Total Seek distance} &= |153-65| + |65-67| + |67-98| + |98-122| + |122-124| + |124-183| + |183-14| + |14-37| \\ &= 153 \text{ Cylinder} \end{aligned}$$

2019 Spring

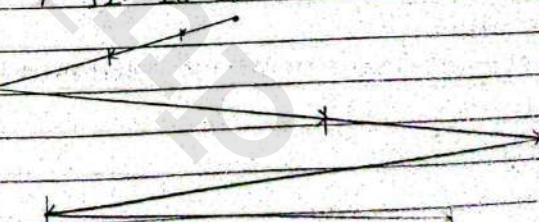
5 a) Disk request come to the disk drive for cylinder 16, 18, 12, 6, 25, 38, 7 and 36 in that order. A seek takes 2 micro sec per cylinder move. How much seek time is needed for:

- i) FCFS
- ii) Closest Cylinder Next
- iii) C-SCAN (initially moving upward)
- iv) SCAN (initially moving downward)

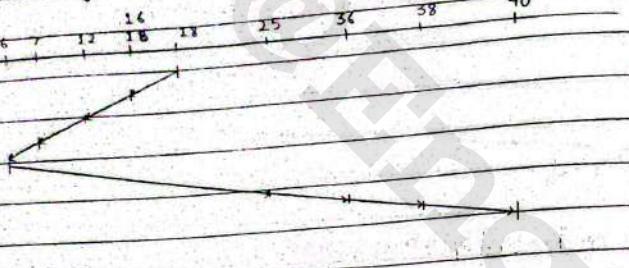
Initially cylinder is at 18.

Answer:

- i) FCFS



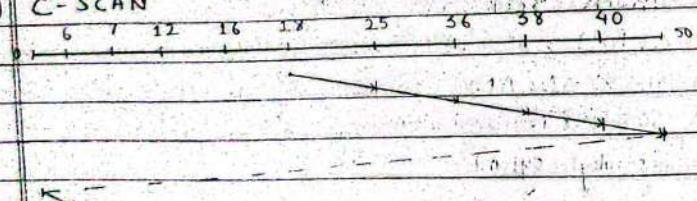
## ii) Closet Cylinder Next



Seek order: 18, 16, 12, 7, 6, 25, 36, 38, 40

$$\begin{aligned} \text{Total Seek Distance: } & |18-16| + |16-12| + |12-7| + |7-6| + \\ & |6-25| + |25-36| + |36-38| + |38-40| \\ = & 46 \end{aligned}$$

## iii) C-SCAN



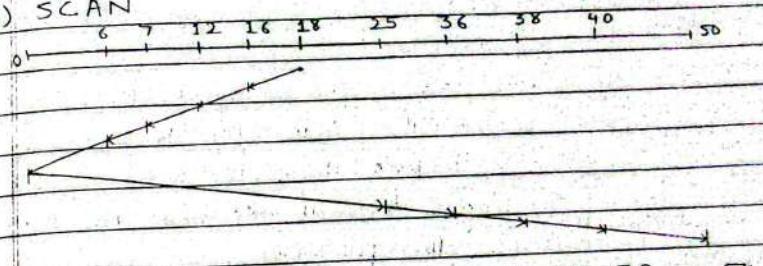
Seek order: 18, 25, 36, 38, 40, 50, 0, 6, 7, 12

Total seek distance:

$$\begin{aligned} & |18-25| + |25-36| + |36-38| + |38-40| + |40-50| + |50-36| + |36-12| + \\ & |12-6| + |6-7| + |7-12| \end{aligned}$$

= 49 cylinder

## iv) SCAN



Seek order: 18, 16, 12, 7, 6, 0, 25, 36, 38, 40, 50

$$\begin{aligned} \text{Total Seek Distance: } & |18-16| + |16-12| + |12-7| + |7-6| + \\ & |6-0| + |0-25| + |25-36| + |36-38| + |38-40| + \\ & |40-50| \\ = & 68 \end{aligned}$$

## # Interleaving

Example: A disk has 8 sectors per track and spins at 600 rpm. It takes the controller 10ms from the end of one I/O operation before it can issue a subsequent one. How long does it take to read all 8 sectors using the following interleaving systems?

- (a) No interleaving
- (b) Single interleaving
- (c) Double interleaving

Soln:

(a) When there is no interleaving then sectors would be like this

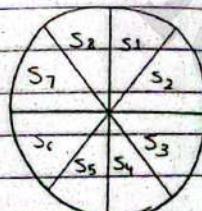
$s_1 > s_2 > s_3 > s_4 > s_5 > s_6 > s_7 > s_8$

We know,

In 60 sec disk revolve 600 rotations  
[600 RPM]

$\therefore 1 \text{ sec disk revolve } 600$

$$60 = 10 \text{ rotation}$$



For 10 rotations it requires 1000 ms  $1 \text{ sec} = 1000 \text{ ms}$

For 1 rotation, it requires  $1000 = 100 \text{ ms}$   
 $10$

Total time spent on each sector =  $100$   
 $8$

$$= 12.5 \text{ ms}$$

& the time it takes to load another request is 10ms. That is less than 12.5ms.

In first request it read sector  $s_1$ , then while the disk is spinning the controller went to fetch another request. And 10ms are wasted out of 12.5 ms which means more than half of  $s_2$  had already passed. Therefore, heads to rotate again to fetch  $s_2$ . So, because of all 8 sectors meaning it will take 8 revolutions

$$\therefore \text{Total time.} = 8 \times 100 \\ = 800 \text{ msec.}$$

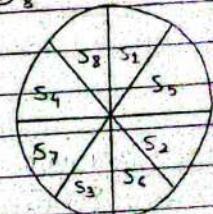
(b) When there is single interleaving then sectors will be arranged in order,

$s_1 > s_5 > s_2 > s_6 > s_3 > s_7 > s_4 > s_8$

In 1 revolution 4 sectors will

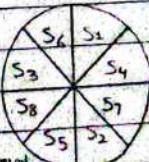
be read and,

In 2 revolution  $4 \times 2 = 8$  sectors will be read



For 1 rotation it takes 100 ms

For 2 rotation =  $100 \times 2 = 200 \text{ ms}$



(c) When double interleaving,  
 $s_1 > s_4 > s_7 > s_2 > s_5 > s_8 > s_3 > s_6$

Only 3 sectors will be read in 1 rev. But since 8th sector is read at  $3/4$  of disk.

$\therefore$  Total revolution required =  $2 + 3/4$  of rotation

For 1 rotation it requires 100 ms

$$\therefore \text{Total time} = 2 \times 100 + 3/4 \times 100 \\ = 275 \text{ ms.}$$

Reference bit = R

Assignment

Qn 8) A computer has four page frames. The time of loading, time of last access, and the R and M bits, for each page are as shown (the times are in clock ticks):

Page	Loaded	Last ref	R	M
0	126	280	1	0
1	230	265	0	1
2	140	270	0	0
3	110	285	1	1

② Which page will FIFO replace?

→ Page 3 because its loaded time is smallest i.e. 110.

③ Which page will NRU replace?

→ Page 2 because it has R=0 and M=0.

④ Which page will LRU replace?

→ Page 1 because its Reference time is smallest i.e. 265.

⑤ Which page will second chance replace?

→ Page 2. Here, according to FIFO first victim is page 3 but it has R=1, so it gets second chance. The next victim is page 1 but it also has R=1, so it also get second chance. Now, another victim is page 2 and it has R=0. So, it will be replaced.

2018 - Fall - 2b)

Process	A-T	B-T	R-Q	
P1	0	3	P1	P1
P2	3	6	P1	P2
P3	5	4	P2	P4
P4	6	5	P3	P4
RR, Quantum = 2			P2	1
P1	P1	P2	P3	P4
0	2	3	5	7
			9	11
			13	15
			17	18

## • ASSIGNMENT

1. What is an operating system? Describe time-sharing, real-time and distributed operating systems in brief.

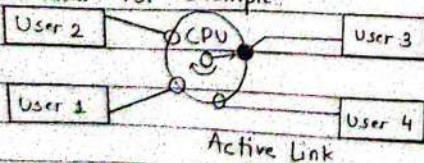
Answer

An operating system or 'OS' is software that communicates with the hardware and allows other programs to run. An OS acts as an intermediary between the user of a computer and the computer hardware. It hides hardware complexity, manages computational resources and provides isolation and protection. Most importantly, it directly has privilege access to the underlying hardware. Some common desktop operating systems include Windows, OS X, Linus, etc whereas Android, iOS are mobile operating systems.

### • Time-Sharing Operating Systems

It is a technique which enables many people, located at various terminals, to use a particular computer system at the same time. Time-sharing or multitasking is a logical extension of multiprogramming. Processor's time which is shared among multiple users simultaneously is termed as time-sharing.

Multiple jobs are executed by the CPU by switching between them. For example:



In the figure, user 3 is active but user 1,2 are in waiting state whereas user 4 is in ready status.

As soon as the time slice of user 3 is completed, the control moves on the next ready user ie user 4.

In this state user 2 is waiting and user 1 is ready. The process continues in the same way and so on.

#### Advantages:

1. Provides the advantage of quick response
2. Avoids duplication of software.
3. Reduces CPU idle time.

#### Disadvantages:

1. Problem of reliability.
2. Problem of data communication.
3. Question of security and integrity of user programs and data.

### • Real-Time Operating Systems.

In this OS, the response time is already fixed. It focuses on accomplishing a computational task before its specified deadline. No computer resources are shared and there is a single application at a time. Modification of the program is not possible and the user must get the response within the defined time constraint. The real-time OS not only require accurate results but also the timeliness of results, which means along the correctness of the results it must be produced in a time limit otherwise the system will fail. It is basically implemented in the applications which involve the control devices such as medical

imaging systems, industrial control systems, automobile engine fuel injection system, weapon system, etc.

- Advantages

1. Maximum consumption
2. Deterministic Behaviour

- Disadvantages

1. Sometime cost is more
2. Not easy to program

- Distributed Operating Systems

It uses multiple central processors to serve multiple real-time applications and multiple users. The data processing jobs are distributed among the processors accordingly. The processors communicate with one another through various communication lines (such as high-speed buses or telephone lines). These are referred as loosely coupled systems or distributed systems. Examples: www (world wide web), the internet, intranets, email, etc.

A distributed system is designed to tolerate failure of individual computers so the remaining computers keep working and provide services to the users.

- Advantages

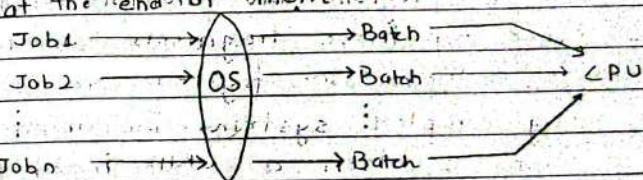
1. All systems are independent of each other, so the failure of one will not affect the others.
2. Electronic mail increases the data exchange speed.
3. Delay in data processing reduces.

- Disadvantages

1. Failure of main network will stop the entire communication.
2. These type of systems are not readily available as they are very expensive.

- Batch Operating System

The users of batch OS don't interact with the computer directly. It is an OS in which the same type of processes are batched together for execution. Batch processing is the execution of a series of programs (jobs) on a computer without manual intervention. Jobs are set up so they can run to completion without human interaction. Batch is good for executing large jobs that need little interaction. Example: Bank statements; at the end of month the bank makes statement for each account holder, so it can be made by batch system at the end of month.



- Advantages

1. Multiple users can share the batch system.
2. Easy to manage large work repeatedly.
3. Idle time is less.

- Disadvantage

1. Lack of interaction between the user and job.
2. Difficult to provide the desired priority.

## Personal Computer Operating Systems

These are widely used for word processing, internet access and spreadsheets. It provides a good interface to a single user. PC-OS are made only for personal. We can say laptop, tablets, desktop are the PC and OS such as windows 7, windows 10, android are the PC-OS. At home, the most popular use for PC's is for accessing the internet, games and for entertainment.

## Parallel System

They are designed to speed up the execution of program by dividing the program into multiple fragments and processing these fragments simultaneously. Such multiprocessor system are also known as tightly coupled systems. The architecture of the software is often a UNIX-based platform which allows it to coordinate distributed loads between multiple computers in a network. Parallel OS are able to use software to manage all of the different resources of the computers running in parallel, such as memory, caches, storage space.

### Advantage

1. Massive data storage and quick data communication
2. Solve larger problems in a short time.

### Disadvantage

1. Huge power consumption
2. Difficult to implement

2. What is CPU bound and I/O bound process? Why inter process communication is required? Explain

Answer

CPU bound means the rate at which, process progresses is limited by the speed of the CPU. A program is CPU bound if it would go faster, if the CPU is faster. A task that performs calculations on a small set of numbers, for example multiplying small matrices is likely to be CPU bound.

I/O bound means the rate, at which, a process progress is limited by speed of I/O subsystem. A program is I/O bound if it would go faster, if I/O subsystem is faster. A task that processes data from disk, for example counting number of lines in a file is likely to be I/O bound.

Inter process communication(IPC) is set of programming interfaces that allow a programmer to coordinate activities among different program process that can run concurrently in an OS. This allows a program to handle many user requests at same time. IPC is used for exchanging data between multiple threads in one or more process or programs. The process may be running on single or multiple computers connected by a network. Since every single user may result in multiple processes running in operating system, the process may require to communicate with each other. Each IPC protocol approach has its own advantage and limitation, so it is not unusual for single program to use all of the

IPC methods include pipes, message queuing, semaphores, shared memory and sockets. IPC facilitates efficient message transfer between processes. The idea of IPC is based on Task Control Architecture (TCA). It is a flexible technique that can send and receive variable length arrays, data structures and lists. It has the capability of using publish/subscribe and client/server data transfer paradigms while supporting wide range of operating systems & languages. There are numerous reasons for using interprocess communication.

- 1. Helps to speedup modularity.
- 2. Computation Speedup
- 3. Privilege Separation
- 4. Modularity
- 5. Convenience
- 6. Helps OS to communicate with each other and synchronizing their actions.

### 3. What is system call? Define major services of OS.

Answer: A system call is how a program requests a service from an operating system's kernel (central or most important part of something). System calls provide the interface between a running program and the operating system. System call provides the services of the OS to the user programs via Application Program Interface (API). The whole process may include hardware related services, creating and executing new processes, and

communicating with integral kernel services. The services provided by system calls are:

1. Process creation and management
2. Main memory management
3. File Access, Directory and File system management
4. Device handling (I/O)
5. Protection
6. Networking, etc.

System calls can be roughly grouped into 5 major categories:

- |  |                            |
|--|----------------------------|
| 1. Process Control                             | 2. File Management         |
| → load   | → create file, delete file |
| → execute                                      | → open, close              |
| → create process                               | → read, write, reposition  |
| → terminate process                            | → get/set attributes       |
| → get/set process attributes                   | → insert/extract mail      |
| → wait for time, wait for event, signal, event |                            |
| → allocate, free memory                        |                            |

### 3. Device Management

- request device, release device
- get/set time or date
- read, write, reposition
- get/set system data
- get/set device attributes
- get/set process, file or device attributes
- logically attach or detach device

### 4. Information Maintenance

- get/set time or date
- get/set system data
- get/set process, file or device attributes

### 5. Communication

- create, delete communication connection
- send, receive messages
- transfer status information
- attach or detach remote devices

Q. The services provided by the operating systems are:

i) Program execution

OS loads a program into memory & executes program. The program must be able to end its execution, either normally or abnormally.

ii) I/O Operation

Program may require any I/O device while running. So OS must provide the required I/O.

iii) File System Manipulation

Program needs to read or write a file. The operating system gives permission to program for operation on file.

iv) Communication

Data transfer between two processes is required for some time. Both the processes are on同一 computer or on different computers but connected through computer network.

v) Error Detection

Error may occur in CPU, I/O devices or in memory hardware. OS constantly needs to be aware of possible errors. It should take appropriate actions to ensure correct and consistent computing.

Additional operating system functions:

i) Resource Allocation

Allocating resources to multiple users or multiple jobs running at same time.

ii) Accounting

Keep track of and record which users use how much and what kinds of computer resources for account billing or for accumulating usage statistics.

iii) Protection

Making that all access to system resources is controlled.

Q. Explain the advantages of multithreading. What are different multithreading models? Explain.

Ans → The advantages of multithreading are;

i) Responsiveness

Program responsiveness allows a program to run even if part of it is blocked using multithreading. This can't happen if the process is performing a lengthy operations for example: An mobile browser with multithreading can use one thread for user contact and another for picture loading at the same time.

ii) Resource sharing

Multiple threads of a process share its resources such as memory, disk tiles, etc. A single application can have different threads within the same address space using resource sharing.

iii) Utilization of Multiprocessor Architecture

In a multiprocessor architecture, each thread can run on different processor in parallel using multithreading. This

increases concurrency of the system. This is in direct contrast to a single processor system, where only one processor thread can run on a processor at a time.

#### iv) Economy

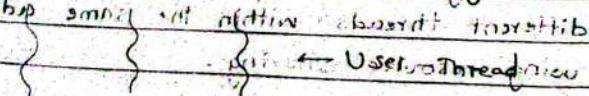
It is more economical to use threads as they share the process resources. Comparatively, it is more expensive and time-consuming to create processes as they require more memory and resources. The overhead for process creation and management is much higher than thread creation and management.

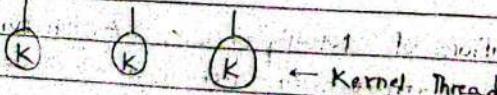
The multithreading models are:

#### i) One-to-One Model

The one-to-one model maps each of the user threads to a kernel thread. This means that many threads can run in parallel on multiprocessors and other processors can run when one thread makes a blocking system call. Examples: Linux, family of windows OS.

Its disadvantage is that the creation of a user thread requires a corresponding kernel thread since each of kernel threads burden the system with its restriction on the numbers of threads in the system.

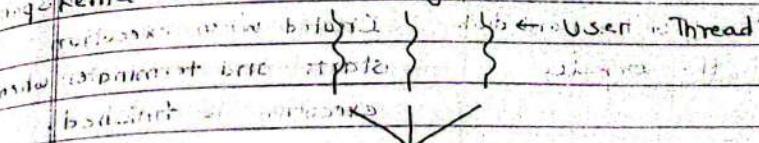




#### ii) Many-to-one Model

It maps many of the user threads to a single kernel thread. This model is quite efficient as the user space manages the thread management.

Its disadvantage is that a thread blocking system call blocks the entire process. Also, multiple threads cannot run in parallel as only one thread can access the kernel threads at a time. Figure it,

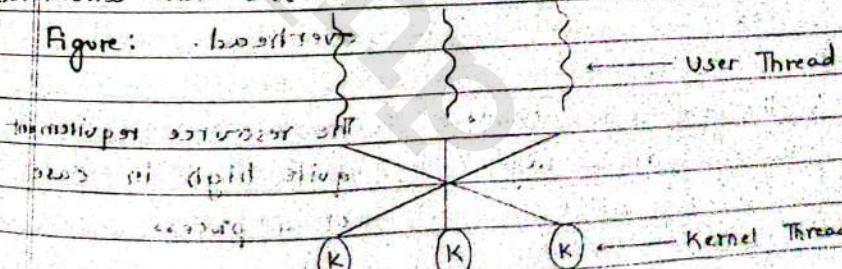


to simplify mapping A user thread to kernel thread

#### iii) Many-to-Many Model

This maps many of the user threads to a regular number or even less kernel threads. The no. of kernel threads depends on the application, machine. It does not have disadvantages of the other two models as there can be as many user threads as required and their corresponding kernel threads can run in parallel on a multiprocessor.

Figure: Many-to-many



5. Differentiate between program and process. Explain process control block (PCB) in details.

Answer:

Program	Process
1. It is a set of instructions.	When a program is executed it is known as process or a program in execution.
2. It has a longer life span. Stored on the hard disk in the computer.	It has a limited life span. Created when execution starts and terminates when execution is finished.
3. A program is passive or static entity.	A program is dynamic or active entity.
4. A program needs memory space on disk to store all its resources like memory instructions, logical address, data, pointers, etc.	All processes against many
5. No duplication is needed.	As each process requires duplication of their parent process.
6. No significant overhead cost.	Processes have considerable overhead.
7. The program only needs memory for storage.	The resource requirement is quite high in case of a process.

### Process Control Block (PCB)

PCB is a data structure used by computer OS to store all the information about a process. It is also known as a process descriptor. When a process is created (initialized or installed), the operating system creates a corresponding process control block. Information in a PCB is updated during the transition of process states. When the process terminates, its PCB is returned to the pool from which new PCBs are drawn. Each process has a single PCB.

Process ID	Process State
Process ID, Process State, Priority	Priority
Accounting Information, Program Counter, CPU Register, etc.	Accounting Information
Process ID, Address of running GPR Register	Program Counter
Relationships with the running of PR Block Pointers	PR Block Pointers
simultaneously track each process. It contains till has its unique ID which helps to identify the process.	Process Control Block.

This ID helps system in scheduling the processes. This ID is provided by the PCB. It is used to identify the process state of any process. The PCB's fields (process state) holds the current state of the resp. process. Example: If the process is currently executing, the process will hold the running state for that process.

### 3. Process Priority

It is a numeric value. Lesser the value, greater is the priority of that process. The priority of the process can be assigned externally by the user or by the operating system itself. The process is assigned the priority at the time of its creation. The priority of the process may get changed over its lifetime depending on the various parameters.

### 4. Process Accounting Information

The field of PCB gives the account/description of the resources used by that process such as the amount of CPU time, real-time used, context, time limit

### 5. Program Counter

Holds the pointer to an instruction in the program or address that is to be reexecuted next. This field contains the address of the instruction that will be executed next in the process.

### 6. List of Open files (CPU Registers, PCB Pointer, Process I/O status information, Event Information, etc)

It contains the information of all the files that is required by the program for execution. It helps the OS to close all the open files which aren't closed explicitly at the termination of the program.

### 7. CPU Registers : Used to hold the temporary values & information and instructions.

### 8. PCB Pointer : Here, the pointer has an address of the next PCB whose process state is ready.

### Ques. What is dispatcher and dispatch latency? Explain short term scheduler, medium term scheduler and long term scheduler.

Ans

Dispatcher is the module that gives control of the CPU to the process selected by the short-term schedulers (selects from among the processes that are ready to execute). The function involves switching context, switching to user mode, jumping to the proper location in the user program to restart that program. Dispatcher latency is the time taken by the dispatcher to switch one process and start another.

### Short Term Scheduler

Main objective is increasing system performance in accordance with chosen set of criteria.

→ It is CPU scheduler.

→ Speed is very fast.

→ It is controlled over degree of multiprogramming.

→ Also known as dispatcher.

→ Minimal wait time sharing system.

→ It selects among the processes that are ready to execute.

→ Process state is (Ready to Running).

→ Selects a new process from CPU quite frequently.

### Medium Term Scheduler

→ It removes the process from the memory.

→ It is swapping.

→ Speed is in between both.

- Reduces the degree of multiprogramming.
- Time sharing system uses medium term scheduler.
- Process can be reintroduced into memory and its execution can be continued.

### Long-Term Scheduler:

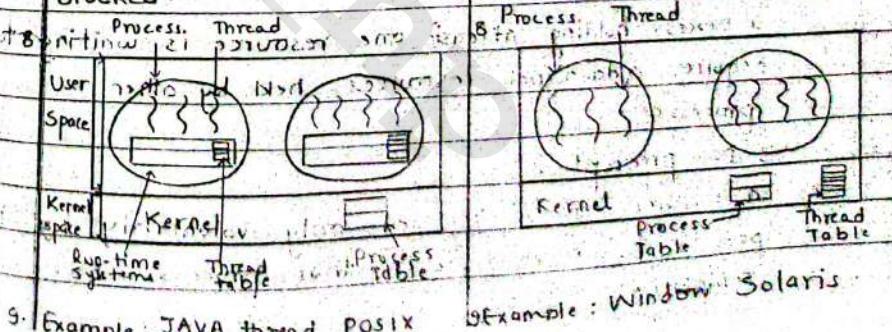
- It is job scheduler.
- Speed is less than short-term scheduler.
- Determines which programs are admitted to the system for processing.
- It controls degree of multiprogramming.
- Absent of minimal init time sharing system.
- It selects processes from pool and loads them into memory for execution.
- Process state is Never to Ready & Ready
- Select a good process mix of I/O bound & CPU bound.

7. How process differs from thread? Explain. Different between user and kernel threads? Draw figures to explain.

Answer: Process → Initiates its threads.  $\rightarrow A \leftarrow$

1. Process means any program. Thread means long segment of a process. Thus  $A \leftarrow$
2. Takes more time to terminate. Takes less time to terminate.
3. Takes more time for creation. Takes less time for creation.
4. Takes more time for context switching.
5. It is less efficient in terms of resources.

Process	Thread
6. Consumes more resources.	Consumes less resources.
7. Is isolated.	Shares memory.
8. Is a heavy-weight process.	Is a light-weight process.
9. Switching uses interface provided by operating system.	Switching doesn't require to call OS and cause an interrupt.
10. User-level Thread	Kernel-level Thread
1. Implemented by users.	1. Implemented by OS.
2. Not recognized by OS.	2. Recognized by OS.
3. Implementation is easy.	3. Implementation is complicated.
4. Context switching time is less.	4. Context switching time is more.
5. Context switch requires intervention of hardware support.	5. Hardw. support is hardware supported now if needed.
6. Are designed as independent entities.	6. Are designed as independent threads.
7. If other user-level threads perform blocking operation then entire process will be blocked.	7. If one kernel thread performs blocking operation then another thread can continue execution.
8. In initial processor state $\rightarrow$ User Space	8. In initial processor state $\rightarrow$ Kernel
9. Example: JAVA thread, POSIX	9. Example: Window, Solaris



8. Do you feel deadlock is a great enemy of computer system? If yes, why? Also, write protection mechanism for deadlock.

Answer

→ A set of process is said to be deadlock if each process in set is waiting for an event that only another process in the set can cause. Since, Deadlock is a situation that occurs in OS when any process enters a waiting state, because another waiting process is holding the demanded resource. It is a common problem in multi-processing where several processes wish are a specific type of mutually exclusive resource known as a softlock or software. Since, all process rare waiting, none of them will ever cause any of the events that it holds up any of the other members of the system. All process continue to wait forever. This stops the whole process soft executing the task and getting it completed and thus this hampers the system. Deadlock can arise if four conditions hold simultaneously:

1. Mutual Exclusion: if two or more processes can hold only one process at a time and use a resource.

2. Hold and Wait:

a process holding at least one resource is waiting to acquire additional resources held by other processes.

3. No Preemption:

a resource can be released only voluntarily by the process holding it, after that process has

#### 4. Circular Wait

there exists a set  $\{P_0, P_1, \dots, P_3\}$  of waiting processes such that  $P_0$  is waiting for a resource that is held by  $P_1$ ,  $P_1$  is waiting for a resource that is held by  $P_2$ , and  $P_2$  is waiting for a resource that is held by  $P_0$ .

#### Methods for handling deadlocks

- Enforce that the system will never enter a deadlock state.
- Allow the system to enter a deadlock state and then recover. Ignore the problem and pretend that deadlocks never occur in the system; used by most OS, including UNIX.

Deadlock can be prevented/protected as:

Can be avoided at least one of the four conditions.

#### 1. Mutual Exclusion

Resource shared such as read-only files do not lead to deadlocks but resources such as printers and tape drives requires exclusive access by a single process.

#### 2. Hold and Wait:

In this condition processes must be prevented from holding one or more resources while simultaneously waiting for one or more others.

#### 3. No Preemption:

Preemption together with resource allocations can avoid the condition of deadlocks, where ever possible.

#### 4. Circular waiting

It can be avoided if we number all resources and require that processes request only in strictly increasing (or decreasing) order.

Q. What is mutual exclusion? Show how mutual exclusion can be achieved using Peterson's solution.

Answer

Mutual exclusion is a way of making sure that if one process is using a shared variable or file, the other process will be excluded from doing the same things. Example: When two threads work on the same data at the same time, it acts as a lock and is held. When a thread tries to acquire a mutual exclusion, it gains mutex if it is available, otherwise, the thread goes into a sleep condition. If process  $P_i$  is executing in its critical section, then no other processes can be executing in its critical section simultaneously.

Peterson's Solution:

→ There are only 2 processes,  $P_0$  &  $P_1$  (two-process solution)  
→ Assume that the code is atomic (instruction cannot be interrupted) and takes 1 unit of time  
→ The two processes share two variables: turn & flag.  

```
int turn; // memory location with value 0
Boolean flag[2] is used to set enable
```

  
→ The variable turn indicates whose turn it is to enter the critical section.  
→ The flag array is used to indicate if a process is ready to enter critical section (0 or 1).  
→  $\text{flag}[i] = \text{true}$  implies that process  $P_i$  is ready!

Algorithm 1:

• Shared variables

boolean flag[2];

initially  $\text{flag}[0] = \text{flag}[1] = \text{FALSE}$

$\text{flag}[i] = \text{TRUE} \Rightarrow P_i$  wants to enter its critical section

• Process  $P_i$

do {

$\text{flag}[i] = \text{TRUE};$

while ( $\text{flag}[j]$ );

critical section

if  $\text{flag}[i] = \text{FALSE}$  then

remainder section

else (i.e.  $\text{flag}[i] = \text{TRUE}$ ) continue

while ( $\text{TRUE}$ );

→ satisfies mutual exclusion, but not progress requirements.

Algorithm 2:

• Shared variables: shared by both processes

• Shared turn: initially turn = 0

turn = i  $\Rightarrow P_i$  has entered its critical section

Process  $P_i$

do {

while ( $\text{turn} \neq i$ );

critical section

turn = i (i.e. primitive  $\text{turn} = i$ )

remainder section

else (i.e.  $\text{turn} = i$ )

if  $\text{flag}[i] = \text{TRUE}$ ;

→ satisfies mutual exclusion, but not progress.

### Algorithm 3 (Peterson's Algorithm)

Combined shared variables of algorithms 1 & 2

initially  $\text{flag}[0] = \text{flag}[1] = \text{False}$

Process P<sub>i</sub>

do {

$\text{flag}[i] = \text{true};$

    turn = j;

    while ( $\text{flag}[j]$  and  $\text{turn} \neq j$ );

        // critical section

$\text{flag}[i] = \text{false};$

        // remainder section

$\text{flag}[i] = \text{true};$

        turn = i;

    } // meets all three requirements, solves critical-section

problem for two processes

10. What is process synchronization? What problem may occur if they are not synchronized? Describe Peterson's algorithm in detail.

Answer

Process Synchronization means sharing system resources by processes in such a way that concurrent access to shared data is handled thereby minimizing the chance of inconsistent data. Maintaining data consistency demands mechanisms to ensure synchronized execution of operating processes. It was introduced to handle problem that arose while multiple process executions. The need for synchronization originates when process need to execute concurrently. The main purpose is the coordination of process interaction in an operating system.

The problems that may occur if they're not synchronized are

1. Principle of Concurrency

2. Producer - Consumer Problem

3. Race Condition

4. Mutual Exclusion

Peterson's Algorithm.

$\text{flag}[j] = \text{true};$

if  $\text{turn} = i$  then  $\text{flag}[i] = \text{true};$

while ( $\text{flag}[i] == \text{true} \& \& \text{turn} \neq i$ )

{  $\text{flag}[j] = \text{false};$

$\text{flag}[i] = \text{true};$

$\text{turn} = j;$

3

while ( $\text{flag}[j] == \text{true} \& \& \text{turn} \neq j$ )

{  $\text{flag}[i] = \text{false};$

Peterson's algorithm is used to synchronize 2 processes.

It uses two variables, a bool array flag of size 2 and an int variable turn to accomplish it. In the solution

i represents the consumer and j represents the producer.

Initially the flags are false. When a process wants to execute

its critical section, it sets the flag to true and turn

as the index of the other process. This means that the

process wants to execute but it will allow the other

process to run first. The process performs busy waiting

until the other process has finished, it's own critical section.

After this the current process enters its critical section

and adds or removes a random number from the

shared buffer. After completing the critical

section, it sets its own flag to false, indicating it doesn't wish to execute anymore.

The program runs for a fixed amount of time before exiting. This time can be changed by changing value of the macro RT.

11. How do you determine the state of system is "safe" or unsafe using Banker's algorithm for multiple resource type?

Ans

→ Banker's algorithm:

The resource-allocation graph algorithm is not applicable to resource-allocation system with multiple instances of each resource-type. This is applicable to such a system, but is less efficient than resource-allocation graph scheme.

• Multiple Instances

- Each process must have a prior claim to fix use
- When process gets all its resources it must return them in a finite amount of time.

Data structures for Banker's algorithm (Multiple Resource)

• Let  $N = \text{no. of processes}$ ,  $B, m = \text{no. of resource types}$

• Available: Vector of length  $m$ . If available $[j] = k$ , there are  $k$  instances of resource-type  $R_j$  available.

• Max:  $n \times m$  matrix. If  $\text{Max}[i][j] = k$ , then process  $P_i$  may request at most  $k$  instances of resource-type  $R_j$ .

• Allocation:  $n \times m$  matrix. If allocation $[i][j] = k$ , then it is currently allocated  $k$  instances of  $R_j$ .

Need:  $n \times m$  matrix. If  $\text{Need}[i][j] = k$ , then  $P_i$  may need  $k$  more instances of  $R_j$  to complete its task.

$$\text{Need}[i][j] = \text{Max}[i][j] - \text{Allocation}[i][j]$$

• Safety Algorithm

1. let work and finish be vectors of length  $m \times n$ .

• @ Initialize: Work = Available

• ⑥ Finish $[i] = \text{false}$  for  $i = 0, 1, \dots, n-1$

2. Find  $i$  such that both

• @ Finish $[i] = \text{false}$

• ⑥ (Need) $[i] \leq \text{Work}$

3. If no such  $i$  exists, go to step ④

• @ Work = Work + (Allocation) $[i]$

• Finish $[i] = \text{true}$

• Go to step ② if

• If Finish $[i] = \text{true}$  for all  $i$ , then system is in safe

• Otherwise, go to step ③

• Resource Request Algorithm for Process  $P_i$

Request = request vector for process  $P_i$ : If Request $[j] = k$  then process  $P_i$  wants  $k$  instances of resource-type  $R_j$ .

- i. If (Request)  $\geq$  (Need); goto step ②. Otherwise, raise error condition, since process has exceeded its maximum claim.
- ii. If (Request)  $\leq$  Available, goto step ③, otherwise  $P_i$  must wait, since resource are not available.

3. Pretend to allocate requested resources to  $P_i$  by modifying the state as follows:

• @ Available = Available - Request $[i]$

• Allocation = (Allocation) $[i] + (\text{Request})[i]$

• (Need) $[i] = (\text{Need})[i] - (\text{Request})[i]$

If safe  $\rightarrow$  the resources are allocated to  $P_i$   
If unsafe  $\rightarrow P_i$  must wait; and old resource allocation  
state is restored.

12. What are requirements for the solution of critical  
section problem? Explain software solution for critical  
section problem.

Critical solution is a code segment that can be accessed  
by only one process at a time. Critical section  
contains shared variables which need to be  
synchronized to maintain consistency of data variables.  
At given point of time only one process must be  
executing its critical section. If any other process  
also wants to execute its critical section, it must  
wait until the first one finishes.

Any solution to critical section problem must  
satisfy three requirements:

#### 1. Mutual Exclusion

If a process is executing in its critical section,  
then no other process is allowed to execute in  
the critical section.

#### 2. Progress

If no process is executing in critical section &  
other processes are waiting outside critical section  
then only those processes that aren't executing in  
their remainder section can participate in deciding  
which will enter critical section next, and the  
selection cannot be postponed indefinitely.

#### 3. Bounded waiting:

A bound must exist on number of times that other  
processes are allowed to enter their critical sections  
after a process has made a request to enter its  
critical section & before the request is granted.

#### Assumptions:

Assume that a variable can only have one value;  
never "between values".

If process A & B write a value to "same time",  
either value from A or value from B will be  
written rather than some scrambling of bits.

#### Peterson's algorithm

- Peterson's algorithm
13. What is semaphore? How producer-consumer problem  
is solved using semaphore. Explain with pseudo code.

A semaphore is special kind of integer value which can be  
initialized and can be accessed only through two  
atomic operations P & V. If S is semaphore variable,

P operation: Wait for semaphore  
to become positive and  
then decrement.

P(S) :

while ( $S \leq 0$ )

do no-op;

$S = S - 1$

#### V operation: Increment

A

V(S)

$S = S + 1$

### Properties of Semaphores:

1. Its simple and always have non-negative integer value.
2. Works with many processes.
3. Can have many different critical sections with different semaphores.
4. Each critical section has unique access semaphore.
5. Can permit multiple processes into critical section at once, if desirable.

### Semaphores implementation without busy waiting:

#### Implementation of wait(int value)

```
value--;  
if (value <= 0)  
    add this process to waiting queue  
    block(&mutex);
```

```
3  
Implementation of signal:  
wait(s) signal(s)  
value++;  
if (value <= 0)  
    remove msg. process P from the waiting queue  
    wakeup(P);  
3
```

#define N 100

typedef int semaphore;

semaphore mutex = 1;

semaphore empty = N;

semaphore full = 0;

void producer(void)

{ int item;

while (TRUE)

{ item = produce(item);

down(&empty);

insert-item(item);

up(&mutex);

up(&full);

3  
void consumer(void)

{ int item;

while (TRUE)

{ down(&full);

down(&mutex);

item = remove-item();

up(&mutex);

up(&empty);

consume-item(item);

3

The soln uses three semaphores:

- i) Full: For counting no. of slots that are full, initially 0.
- ii) Empty: For counting no. of slots that are empty, initially equal to no. of slots in the

iii) Mutex: To make sure that producer & consumer do access buffer at same time, initially 1.

14. Discuss in detail the use of translation lookaside buffer (TLB) in process of paging.

→ A TLB is a memory cache that stores recent translations of virtual memory to physical address for faster retrieval. Each entry in TLB consists of two parts: a key (or tag) and a value.

When associative memory is presented with an item, the item is compared with all keys simultaneously. If item is found, the corresponding value field is returned. The search is fast; a TLB lookup in modern hardware is part of instruction pipeline, essentially adding no performance penalty. To be able to execute search within a pipeline step, however, the TLB must be kept small. It is typically between 32 and 1024 entries in size. The TLB is used with page-table entries. When logical address is generated by the CPU, its page number is presented to TLB. If page number is found, its frame number is immediately available and is used to access memory. These steps are executed as part of instructions pipeline within the CPU, adding no performance penalty compared with system that doesn't implement Paging.

If page no. is not in the TLB (known as TLB miss), a memory reference to page table must be made. Depending on the CPU, this may be done automatically in hardware or via an interrupt to operating system. When frame no. is obtained, we can use it to access memory. In addition, we add page no. & frame no. to the TLB, so that they will be found quickly on next reference. If TLB is already full of entities, an existing entry must be selected for replacement.

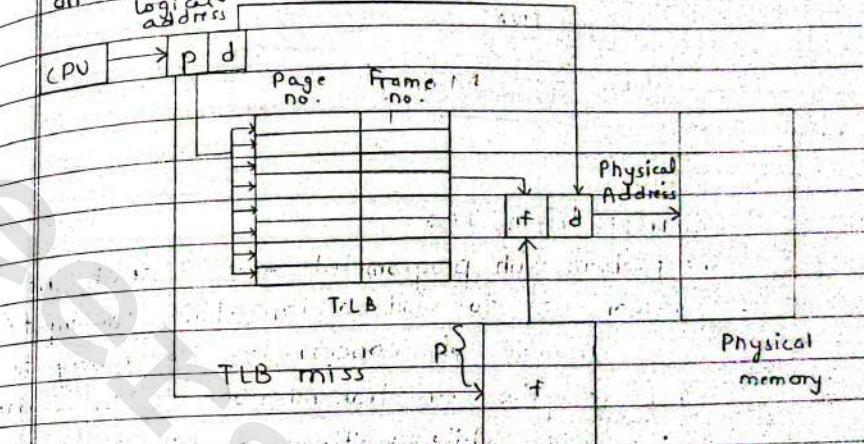


Fig : Paging hardware with TLB.

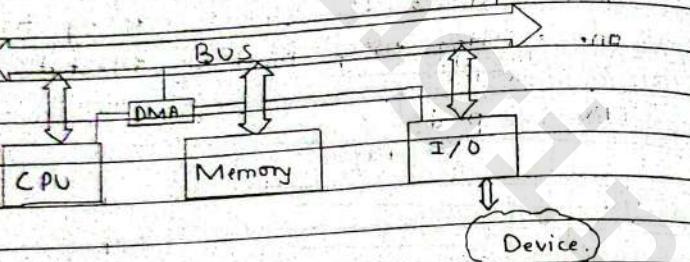
15. What are different ways to input output? Explain interrupt driven I/O with diagram.

The ways are

i) Programmed I/O

ii) Interrupt - driven

iii) Direct Memory Access



Interrupt driven I/O :

The problem with programmed I/O is that the processor has to wait a long time for input/output module of concern to be ready for either reception or transmission of more data.

The processor while waiting must repeatedly interrogate the status of I/O module. As a result, the level of performance of entire system is degraded.

An alternative approach for this is interrupt-driven I/O. The processor issues an I/O command to module.

& then go on to do some other useful work. The I/O will then interrupt the processor to request service, when it is ready to exchange data with processor. The processor then executes the data transfer as before and then resumes its

former processing. Interrupt driven I/O still consumes a lot of time because every data has to pass with processor.

Hardware

Device controller or other system

hardware issues an interrupt

Processor finishes execution of current instruction

Processor signals acknowledgement

bit interrupt

Processor pushes PSW & PC onto stack

Processor loads new PC value based on interrupt

Software

Save remainder of process state info

↓

Process

↓ Interrupt

Restore process

↓ state info

↓ Restore old psw and PC

↓

16. How data is maintained and managed using RAID technology? Is there any chance of losing data using it? Describe each of available modes in detail.

RAID (Redundant Array of Independent Disks) is a storage technology that combines multiple disk drive components into logical unit. Data is distributed across the drives in one of several ways called 'RAID levels', depending on what level of

redundancy and performance is required.

#### RAID Levels:

##### (a) RAID Level 0 - striping:

In RAID 0, system data are split up into blocks that get written across all drives in the array. By using multiple disks at same time, this offers superior I/O performance. This performance can be enhanced further by using multiple controllers, ideally one controller per disk.

RAID 0 offers great performance, both in read & write operations. There is no overhead caused by parity controls. All storage capacity is used, there is no overhead. The technology is easy to implement. RAID 0 is not fault tolerant. If one drive in RAID 0 fails, all data are lost.

##### (b) RAID Level 1 - Mirroring:

Data are stored twice by writing them in both data drive and mirror drive. If device active fails, the controller uses either the data drive or mirror drive for data recovery and continued operation. You need atleast 2 drives for a RAID 1 array. RAID 1 offers excellent read speed and write speed that is comparable to that of single drive. In case drive fails, data do not have to be rebuild, they just have to be copied to the replacement drive. RAID is very simple technology. The main

disadvantage is that effective storage capacity is only half of total drive capacity because all data get written twice.

##### (c) RAID Level 2 Bit-level Striping:

All disk spindle rotation is synchronized and data is striped such that each sequential bit is on different drive. Hamming code parity is calculated across corresponding bits and stored atleast one parity device.

##### (d) RAID Level 3 : Byte-level Striping:

All disk spindle rotation is synchronized and data is striped so each sequential byte is on different drive. Parity is calculated across corresponding bytes and stored on dedicated parity drive.

##### (e) RAID Level 4 : Block-level Striping:

Identical to RAID 5, but confines all parity data to single drive. In this setup, files maybe distributed between multiple drives. Each driver operates independently, allowing I/O requests to be performed in parallel. However use of dedicated parity drive could create performance bottleneck.

##### (f) RAID Level 5

It is most common secure RAID level. It requires atleast 3 drives but can work with upto 16. Data blocks are striped across drives and on one drive a parity check sum of all block data

is written. The parity data aren't written to a fixed device, they are spread across all drives. Using parity data, computer can recalculate data of one of other data blocks, should those data no longer be available, that means RAID 5 array can withstand a single drive failure without losing data or access to the data. Read data transactions are very fast, while data transactions are somewhat slower. If drive fails, you still have access to all data, even when failed drive is being replaced, and storage controller rebuilds data on the new drive. Drive failures have an efficient on throughput, although this is still acceptable.

17. What are different file access methods? Explain.

→ When a file is used, info is read and accessed into computer memory and there are several methods to access this information of file. Some systems provide only one access method for files. Other systems such as those of IBM, support many access methods, and choosing right one for a particular application is major design problem.

There are mainly three ways to access a file into computer system;

#### ② Sequential Access

It is the simplest access method. Information in the file is processed in other order, one record after the other. This mode of access is by far the most common. For example, editor and compiler usually access the file in this fashion. Data is accessed one record right after another record in an order. When we use read command, it moves ahead pointer by one. When we use write command, it will allocate memory and move the pointer to the end of the file. Such a method is reasonable for tape.

#### ③ Direct Access

Also known as relative access method. A file length logical record that allows the program to read and write records rapidly in no particular order. The direct access is based on disk model of a file since disk allows random access to any file block. For direct access, the file is viewed

18. What are different file operations? Explain contiguous and linked list allocation in file system.

→ File exist to store information and allow it to be retrieved later. Different systems provide different operations to allow storage and retrieval. Typical file operations are:

- i) Create: A new file is defined and positioned within structure of files